



# forestry, fisheries & the environment

Department:  
Forestry, Fisheries and the Environment  
REPUBLIC OF SOUTH AFRICA

## **INVITATION TO BID** **BID NUMBER: DFFE-RFQ013 (23/24)**

THE APPOINTMENT OF A SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT WITH THE REVISION OF THE EMISSIONS INVENTORY, EMISSIONS REDUCTION TARGETS DEVELOPMENT AND PHOTOCHEMICAL MODELLING OF THE BASELINE AND EMISSIONS REDUCTION TARGETS SCENARIOS FOR THE VAAL TRIANGLE AIRSHED PRIORITY AREA SECOND GENERATION AIR QUALITY MANAGEMENT FOR A PERIOD OF EIGHTEEN (18) MONTHS.

### ENQUIRIES:

Name : SCM Officials  
Office Telephone No. : 012 399 9892  
E-Mail : [Tenders@dffe.gov.za](mailto:Tenders@dffe.gov.za)

### **NATIONAL TREASURY CENTRAL SUPPLIER DATABASE (CSD) REGISTRATION INFORMATION**

<b>Company name</b>	<b>Supplier registration number</b>	<b>Unique reference number</b>	
			<b>Main contractor</b>
			<b>Sub-contracted/ joint venture comp 1</b>
			<b>Sub-contracted/ joint venture comp 2</b>

**CLOSING DATE OF THE BID: 22 JANUARY 2024 @11:00 AM**

***NB: Bidders should note that enquiries will only be allowed at least 10 days before the tender closed***

## PART A INVITATION TO BID

<b>YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF THE (NAME OF DEPARTMENT/ PUBLIC ENTITY)</b>					
BID NUMBER:	DFFE-RFQ013(23/24)	CLOSING DATE:	22 JANUARY 2024	CLOSING TIME:	11:00AM
DESCRIPTION	<b>THE APPOINTMENT OF A SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT WITH THE REVISION OF THE EMISSIONS INVENTORY, EMISSIONS REDUCTION TARGETS DEVELOPMENT AND PHOTOCHEMICAL MODELLING OF THE BASELINE AND EMISSIONS REDUCTION TARGETS SCENARIOS FOR THE VAAL TRIANGLE AIRSHED PRIORITY AREA SECOND GENERATION AIR QUALITY MANAGEMENT FOR A PERIOD OF EIGHTEEN (18) MONTHS.</b>				
<b>BID RESPONSE DOCUMENTS MAY BE DEPOSITED IN THE BID BOX SITUATED AT (STREET ADDRESS)</b>					
Department of Environmental Affairs; The Environment House,					
473 Steve Biko Road; Cnr Soutpansberg and Steve Biko Road, Arcadia Pretoria /Tshwane					
<b>BIDDING PROCEDURE ENQUIRIES MAY BE DIRECTED TO</b>			<b>TECHNICAL ENQUIRIES MAY BE DIRECTED TO:</b>		
CONTACT PERSON	Enquiries: Tenders@dffe.gov.za		CONTACT PERSON	SCM Officials	
TELEPHONE NUMBER	012 399 9892		TELEPHONE NUMBER	012 399 9892	
FACSIMILE NUMBER	N/A		FACSIMILE NUMBER		
E-MAIL ADDRESS	Tenders@dffe.gov.za		E-MAIL ADDRESS	Tenders@dffe.gov.za	
<b>SUPPLIER INFORMATION</b>					
NAME OF BIDDER					
POSTAL ADDRESS					
STREET ADDRESS					
TELEPHONE NUMBER	CODE		NUMBER		
CELLPHONE NUMBER					
FACSIMILE NUMBER	CODE		NUMBER		
E-MAIL ADDRESS					
VAT REGISTRATION NUMBER					
SUPPLIER COMPLIANCE STATUS	TAX COMPLIANCE SYSTEM PIN:		OR	CENTRAL SUPPLIER DATABASE No:	MAAA
B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE	TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No		B-BBEE STATUS LEVEL SWORN AFFIDAVIT	[TICK APPLICABLE BOX] <input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>[A B-BBEE STATUS LEVEL VERIFICATION CERTIFICATE/ SWORN AFFIDAVIT (FOR EMES &amp; QSEs) MUST BE SUBMITTED IN ORDER TO QUALIFY FOR PREFERENCE POINTS FOR B-BBEE]</b>					
ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES ENCLOSE PROOF]		ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?	<input type="checkbox"/> Yes <input type="checkbox"/> No [IF YES, ANSWER PART B:3 ]	
<b>QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>					
IS THE ENTITY A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE A BRANCH IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE A PERMANENT ESTABLISHMENT IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
DOES THE ENTITY HAVE ANY SOURCE OF INCOME IN THE RSA?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
IS THE ENTITY LIABLE IN THE RSA FOR ANY FORM OF TAXATION?			<input type="checkbox"/> YES <input type="checkbox"/> NO		
<b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN IT IS NOT A REQUIREMENT TO REGISTER FOR A TAX COMPLIANCE STATUS SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 BELOW.</b>					

**PART B  
TERMS AND CONDITIONS FOR BIDDING**

<b>1. BID SUBMISSION:</b>
<p>1.1. BIDS MUST BE DELIVERED BY THE STIPULATED TIME TO THE CORRECT ADDRESS. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p>1.2. <b>ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED–(NOT TO BE RE-TYPED) OR IN THE MANNER PRESCRIBED IN THE BID DOCUMENT.</b></p> <p>1.3. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT.</p> <p>1.4. <b>THE SUCCESSFUL BIDDER WILL BE REQUIRED TO FILL IN AND SIGN A WRITTEN CONTRACT FORM (SBD7).</b></p>
<b>2. TAX COMPLIANCE REQUIREMENTS</b>
<p>2.1 BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p>2.2 BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VERIFY THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p>2.3 APPLICATION FOR TAX COMPLIANCE STATUS (TCS) PIN MAY BE MADE VIA E-FILING THROUGH THE SARS WEBSITE WWW.SARS.GOV.ZA.</p> <p>2.4 BIDDERS MAY ALSO SUBMIT A PRINTED TCS CERTIFICATE TOGETHER WITH THE BID.</p> <p>2.5 IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE TCS CERTIFICATE / PIN / CSD NUMBER.</p> <p>2.6 WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p> <p>2.7 NO BIDS WILL BE CONSIDERED FROM PERSONS IN THE SERVICE OF THE STATE, COMPANIES WITH DIRECTORS WHO ARE PERSONS IN THE SERVICE OF THE STATE, OR CLOSE CORPORATIONS WITH MEMBERS PERSONS IN THE SERVICE OF THE STATE."</p>

**NB: FAILURE TO PROVIDE / OR COMPLY WITH ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

SIGNATURE OF BIDDER: .....

CAPACITY UNDER WHICH THIS BID IS SIGNED: .....

(Proof of authority must be submitted e.g. company resolution)

DATE: .....

**PRICING SCHEDULE**  
**(Professional Services)**

NAME OF BIDDER: .....	BID NO: DFFE-RFQ013 (23/24)
CLOSING TIME 11h00	CLOSING DATE: 22 January 2024

OFFER TO BE VALID FOR .....120.....DAYS FROM THE CLOSING DATE OF BID.

**DESCRIPTION: THE APPOINTMENT OF A SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT WITH THE REVISION OF THE EMISSIONS INVENTORY, EMISSIONS REDUCTION TARGETS DEVELOPMENT AND PHOTOCHEMICAL MODELLING OF THE BASELINE AND EMISSIONS REDUCTION TARGETS SCENARIOS FOR THE VAAL TRIANGLE AIRSHED PRIORITY AREA SECOND GENERATION AIR QUALITY MANAGEMENT FOR A PERIOD OF EIGHTEEN (18) MONTHS**

\*\*(ALL APPLICABLE TAXES INCLUDED)

1. The accompanying information must be used for the formulation of proposals.
2. Bidders are required to indicate a ceiling price based on the total estimated time for completion of all phases and including all expenses inclusive of all applicable taxes for the project. R.....
3. PERSONS WHO WILL BE INVOLVED IN THE PROJECT AND RATES APPLICABLE (CERTIFIED INVOICES MUST BE RENDERED IN TERMS HEREOF)

4. PERSON AND POSITION	HOURLY RATE	DAILY RATE
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....
.....	R.....	.....

5. PHASES ACCORDING TO WHICH THE PROJECT WILL BE COMPLETED, COST PER PHASE AND MAN-DAYS TO BE SPENT		
.....	R.....	..... days
.....	R.....	..... days
.....	R.....	..... days
.....	R.....	..... days

5.1 Travel expenses (specify, for example rate/km and total km, class of airtravel, etc). Only actual costs are recoverable. Proof of the expenses incurred must accompany certified invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED	RATE	QUANTITY	AMOUNT
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....

TOTAL: R.....

\*\* "all applicable taxes" includes value- added tax, pay as you earn, income tax, unemployment insurance contributions and skills development levies.

Name of Bidder: .....

5.2 Other expenses, for example accommodation (specify, eg. Three star hotel, bed and breakfast, telephone cost, reproduction cost, etc.). On basis of these particulars, certified invoices will be checked for correctness. Proof of the expenses must accompany invoices.

DESCRIPTION OF EXPENSE TO BE INCURRED	RATE	QUANTITY	AMOUNT
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
.....	.....	.....	R.....
TOTAL: R.....			

- 6. Period required for commencement with project after acceptance of bid .....  
.....
- 7. Estimated man-days for completion of project .....  
.....
- 8. Are the rates quoted firm for the full period of contract? \*YES/NO
- 9. If not firm for the full period, provide details of the basis on which adjustments will be applied for, for example consumer price index. ....  
.....  
.....  
.....

\*[DELETE IF NOT APPLICABLE]

Any enquiries regarding bidding procedures may be directed to the –

Department of Forestry Fisheries and the Environment

Tel: (012) 399 9892

E-mail: [Tenders@dffe.gov.za](mailto:Tenders@dffe.gov.za)

## DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state<sup>1</sup>, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-
  - the bidder is employed by the state; and/or
  - the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative: .....

2.2 Identity Number: .....

2.3 Position occupied in the Company (director, trustee, shareholder<sup>2</sup>): .....

2.4 Company Registration Number: .....

2.5 Tax Reference Number: .....

2.6 VAT Registration Number: .....

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

<sup>1</sup>"State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

<sup>2</sup>"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder presently employed by the state? **YES / NO**

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member: .....

Name of state institution at which you or the person connected to the bidder is employed : .....

Position occupied in the state institution: .....

Any other particulars:  
.....  
.....  
.....

2.7.2 If you are presently employed by the state, did you obtain the appropriate authority to undertake remunerative work outside employment in the public sector? **YES / NO**

2.7.2.1 If yes, did you attached proof of such authority to the bid document? **YES / NO**

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:  
.....  
.....  
.....

2.8 Did you or your spouse, or any of the company's directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months? **YES / NO**

2.8.1 If so, furnish particulars:  
.....  
.....  
.....

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

2.9.1 If so, furnish particulars.  
.....





**4 DECLARATION**

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.  
I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF  
PARAGRAPH 23 OF THE GENERAL CONDITIONS OF CONTRACT SHOULD THIS DECLARATION  
PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

**PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL  
PROCUREMENT REGULATIONS 2022**

This preference form must form part of all tenders invited. It contains general information and serves as a claim form for preference points for specific goals.

**NB: BEFORE COMPLETING THIS FORM, TENDERERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF THE TENDER AND PREFERENTIAL PROCUREMENT REGULATIONS, 2022**

**1. GENERAL CONDITIONS**

1.1 The following preference point systems are applicable to invitations to tender:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

**1.2 To be completed by the organ of state**

- a) The applicable preference point system for this tender is the **80/20** preference point system.
- b) The applicable preference point system for this tender is the **80/20** preference point system.
- c) **80/20 preference point system** will be applicable in this tender. The lowest/ highest acceptable tender will be used to determine the accurate system once tenders are received.

1.3 Points for this tender (even in the case of a tender for income-generating contracts) shall be awarded for:

- (a) Price; and
- (b) Specific Goals.

**1.4 To be completed by the organ of state:**

The maximum points for this tender are allocated as follows:

	<b>POINTS</b>
<b>PRICE</b>	<b>80</b>
<b>SPECIFIC GOALS</b>	<b>20</b>
<b>Total points for Price and SPECIFIC GOALS</b>	<b>100</b>

- 1.5 Failure on the part of a tenderer to submit proof or documentation required in terms of this tender to claim points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.
- 1.6 The organ of state reserves the right to require of a tenderer, either before a tender is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the organ of state.

## 2. DEFINITIONS

- (a) “**tender**” means a written offer in the form determined by an organ of state in response to an invitation to provide goods or services through price quotations, competitive tendering process or any other method envisaged in legislation;
- (b) “**price**” means an amount of money tendered for goods or services, and includes all applicable taxes less all unconditional discounts;
- (c) “**rand value**” means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;
- (d) “**tender for income-generating contracts**” means a written offer in the form determined by an organ of state in response to an invitation for the origination of income-generating contracts through any method envisaged in legislation that will result in a legal agreement between the organ of state and a third party that produces revenue for the organ of state, and includes, but is not limited to, leasing and disposal of assets and concession contracts, excluding direct sales and disposal of assets through public auctions; and
- (e) “**the Act**” means the Preferential Procurement Policy Framework Act, 2000 (Act No. 5 of 2000).

## 3. FORMULAE FOR PROCUREMENT OF GOODS AND SERVICES

### 3.1. POINTS AWARDED FOR PRICE

#### 3.1.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

$$Ps = 80 \left( 1 - \frac{Pt - Pmin}{Pmin} \right) \quad \text{or} \quad Ps = 90 \left( 1 - \frac{Pt - Pmin}{Pmin} \right)$$

Where

Ps = Points scored for price of tender under consideration

Pt = Price of tender under consideration

Pmin = Price of lowest acceptable tender

### 3.2. FORMULAE FOR DISPOSAL OR LEASING OF STATE ASSETS AND INCOME GENERATING PROCUREMENT

#### 3.2.1. POINTS AWARDED FOR PRICE

A maximum of 80 or 90 points is allocated for price on the following basis:

$$Ps = 80 \left( 1 + \frac{Pt - Pmax}{Pmax} \right) \text{ or } Ps = 90 \left( 1 + \frac{Pt - Pmax}{Pmax} \right)$$

Where

- Ps = Points scored for price of tender under consideration  
Pt = Price of tender under consideration  
Pmax = Price of highest acceptable tender

### 4. POINTS AWARDED FOR SPECIFIC GOALS

4.1. In terms of Regulation 4(2); 5(2); 6(2) and 7(2) of the Preferential Procurement Regulations, preference points must be awarded for specific goals stated in the tender. For the purposes of this tender the tenderer will be allocated points based on the goals stated in table 1 below as may be supported by proof/ documentation stated in the conditions of this tender:

4.2. In cases where organs of state intend to use Regulation 3(2) of the Regulations, which states that, if it is unclear whether the 80/20 or 90/10 preference point system applies, an organ of state must, in the tender documents, stipulate in the case of—

- (a) an invitation for tender for income-generating contracts, that either the 80/20 or 90/10 preference point system will apply and that the highest acceptable tender will be used to determine the applicable preference point system; or
- (b) any other invitation for tender, that either the 80/20 or 90/10 preference point system will apply and that the lowest acceptable tender will be used to determine the applicable preference point system,

then the organ of state must indicate the points allocated for specific goals for both the 90/10 and 80/20 preference point system.

**Table 1: Specific goals for the tender and points claimed are indicated per the table below.**

***(Note to organs of state: Where either the 90/10 or 80/20 preference point system is applicable, corresponding points must also be indicated as such.)***

***Note to tenderers: The tenderer must indicate how they claim points for each preference point system.)***

The specific goals allocated points in terms of this tender	Number of points allocated (80/20 system) (To be completed by the organ of state)	Number of points claimed (80/20 system) (To be completed by the tenderer)
more than 50% (fifty percent) ownership by Black people	20	
more than 50% (fifty percent) ownership by Women	20	
more than 50% (fifty percent) ownership by people with disabilities	20	

**DECLARATION WITH REGARD TO COMPANY/FIRM**

4.3. Name of company/firm.....

4.4. Company registration number: .....

4.5. TYPE OF COMPANY/ FIRM

- Partnership/Joint Venture / Consortium
  - One-person business/sole propriety
  - Close corporation
  - Public Company
  - Personal Liability Company
  - (Pty) Limited
  - Non-Profit Company
  - State Owned Company
- [TICK APPLICABLE BOX]

4.6. I, the undersigned, who is duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the specific goals as advised in the tender, qualifies the company/ firm for the preference(s) shown and I acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 4.2, the contractor may be required to furnish documentary proof to the satisfaction of the organ of state that the claims are correct;
- iv) If the specific goals have been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the organ of state may, in addition to any other remedy it may have –
  - (a) disqualify the person from the tendering process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the tenderer or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been applied; and
  - (e) forward the matter for criminal prosecution, if deemed necessary.

.....	
<b>SIGNATURE(S) OF TENDERER(S)</b>	
<b>SURNAME AND NAME:</b>	.....
<b>DATE:</b>	.....
<b>ADDRESS:</b>	.....
	.....
	.....
	.....



**forestry, fisheries  
& the environment**

Department:  
Forestry, Fisheries and the Environment  
REPUBLIC OF SOUTH AFRICA

**THE DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT (DFFE) AS AN ORGAN OF THE STATE SUBSCRIBES TO AND PROPAGATES THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT, 2000 (ACT NO. 5 OF 2000) AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2022.**

#### **TERMS OF REFERENCE**

**FOR THE APPOINTMENT OF A SERVICE PROVIDER WHO WILL ASSIST THE DEPARTMENT WITH THE REVISION OF THE EMISSIONS INVENTORY, EMISSIONS REDUCTION TARGETS DEVELOPMENT AND PHOTOCHEMICAL MODELLING OF THE BASELINE AND EMISSIONS REDUCTION TARGETS SCENARIOS FOR THE VAAL TRIANGLE AIRSHED PRIORITY AREA SECOND GENERATION AIR QUALITY MANAGEMENT FOR A PERIOD OF EIGHTEEN (18) MONTHS.**

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## **1. PURPOSE**

- 1.1. To appoint a service provider who will assist the department with the revision of emission inventory, emissions reduction target development, photochemical modelling of the baseline and emissions reduction targets scenarios for the Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan for a period of eighteen (18) months.

## **2. INTRODUCTION AND BACKGROUND**

- 2.1. The first-generation Vaal Triangle Airshed Priority Area (VTAPA) Air Quality Management Plan (AQMP) was developed in terms of section 19 of the National Environmental Management: Air Quality Act, (Act No. 39 of 2004, herein referred to as AQA), and published in the national Gazette on 29 May 2009 (No 32263). By its publication, the plan was endorsed as legislation and a strategic direction for the implementation of air quality interventions in this priority area, as well as an essential blueprint for action to reduce emissions in the area, to fulfill the Government's Constitutional mandate to ensure an environment that is not harmful to the health and well-being of all South Africans.
- 2.2. The VTAPA AQMP was implemented. However, the review of the AQMP revealed several air pollutants remained in non-compliance with the National Ambient Air Quality Standards in the area. Subsequently, the second generation VTAPA AQMP was developed and promulgated in the government gazette No. 44945 of 06th August 2021.
- 2.3. In addition, the Department of Forestry, Fisheries and the Environment (DFFE) is in the process of finalising regulations for implementing and enforcing priority area AQMPs. The regulations aim to ensure mandatory implementation of interventions; providing mechanisms for government to monitor and evaluate the effectiveness of the plans, as well as to activate enforcement measures where non-compliance is identified. The regulations furthermore set out the requirements necessary for implementing and enforcing approved priority area AQMPs including funding arrangements, measures to facilitate compliance with such plans, penalties for any contravention of any failure to comply with such plans, and provide a mandate for regular review of such plans.
- 2.4. Functionality analysis was conducted to align the AQMPs with the proposed priority area regulations. This analysis revealed that the AQMPs are not aligned with the requirements of the priority area Regulations. It is, therefore, necessary to revise the emissions inventory, photochemical modelling, and emissions reduction target development and photochemical modelling

- 2.5. The outcomes of the above-mentioned process will inform the revision of the implementation plan/ interventions for the second-generation VTAPA AQMP, which will be done in-house. The implementation of AQMP will be effectively enforced through regulations for implementing and enforcing priority area AQMPs.

### **3. OBJECTIVES**

- 3.1. The main aim of the project is to revise the emissions inventory, emissions reduction targets development, photochemical modelling and the baseline and emissions reduction targets scenarios for VTAPA AQMP.

### **4. SCOPE AND EXTENT OF WORK**

- 4.1. The appointed service provider is to assist the department with the revision of the emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios for VTAPA AQMP.
- 4.2. The performance measures for the revision of emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios for the VTAPA AQMP report will be closely monitored by the DFFE. The service provider's performance will be measured through the following outputs:
  - 4.2.1. Project process plan/Inception report;
  - 4.2.2. Emissions inventory development and ambient air quality assessment report;
  - 4.2.3. Emissions reduction targets report;
  - 4.2.4. Photochemical modelling of the baseline, and emission reduction targets scenarios report; and
  - 4.2.5. Integrated Revised Report emissions inventory, emissions reduction targets development, photochemical modelling of the baseline, and emissions reduction targets for VTAPA AQMP.

### **5. EXPECTED DELIVERABLES / OUTCOMES**

- 5.1. The Service Provider must ensure that the current power interruption challenges in the country are not a hindrance to the project deliverables and the associated timelines as outlined in the terms of reference.
- 5.2. The service provider is expected to deliver the following:
  - 5.2.1. Output A: Project process plan:

5.2.1.1. The appointed service provider will carry out all the work required to develop the project process plan for the revision of emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios for the Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan Air Quality Management Plan. This process plan should include, amongst others:

- i). A detailed description (with a Gantt Bar Chart detailing the timing and critical path of key activities and milestones) of how the appointed service provider intends to revise the VTAPA AQMP- revision of emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios report.

**Table 1: Output A: Project Process Plan/Inception**

Description	Verifiable Indicator	Means of verification	Timeframes
<b>Output A:</b> Inception report which includes Project process plan	A clear and unambiguous plan with clear time frames on how the project will be conducted	Inception report which includes Project process plan approved by the project steering committed PSC	One (1) month after the order is issued

5.2.2. Output B: Emissions Inventory development and ambient air quality assessment report.

5.2.2.1. Emissions inventory development: The DFFE will provide the service provider with the emissions inventory used in the development of the 2015 VTAPA AQMP. The service provider will be required to revise and update the existing emissions inventory.

5.2.2.2. Ambient air quality assessment: The DFFE will provide the service provider with the ambient air quality assessment, which will clearly describe the current ambient air quality in the area compared the two national standards and assess this in relation to pollution sources; identify information gaps; and establish trends in ambient air quality since the promulgation of the 2015 VTAPA AQMP.

5.2.2.3. Following the completion of the emissions inventory development and ambient air quality assessment report with trend analysis, the service provider will be required to hand over all the input and output data used during the analysis.

**5.2.2.1. Table 2: Output B: Emissions inventory development and Ambient air quality assessment report- Success indicators**

Description	Verifiable Indicator	Means of verification	Timeframes
<b>Output B:</b> VTAPA AQMP Emission inventory and Ambient Air Quality assessment report-success indicator	Emission inventory and ambient air quality assessment report	Emission inventory approved by PSC	Four (4) months after the order is issued
		Ambient air quality assessment report approved by PSC	Two (2) months after the order is issued

### 5.2.3. Output C: Emissions reduction targets development

5.2.3.1. The service provider will be required to develop emissions reduction targets that depict changes required to meet the ambient air quality standards. The DFFE will provide support in relation to the development of emissions reduction targets.

**Table 3: Output C: Emissions reduction targets development report - Success Indicators**

Description	Verifiable Indicator	Means of verification	Timeframes
<b>Output C:</b> VTAPA AQMP emissions reduction targets development report	Emissions reduction targets development report	Emissions reduction targets development report approved by the PSC	Five (5) months after the order is issued

### 5.2.4. Output D: Photochemical modelling of the baseline and emissions reduction targets scenarios

5.2.4.1. The service provider is required to conduct photochemical modelling of the baseline, as well as modelling the emissions reduction targets scenarios. The DFFE will provide guidance with regard to the modelling approach, and approve the modelling approach prior to commencement of the modelling process. The selected modelling approach should be in line with the Guideline to Air Dispersion Modelling for Air Quality Management in South Africa, published on the 14<sup>th</sup> of December 2012 (Gazette No. 35981).

5.2.4.2. Photochemical Modelling of the baseline: The modelling exercise will assess the impact of all emission sources identified and provide air pollution dispersion modelling results that characterise the impact of emissions on ambient air quality in both time and space.

5.2.4.3. Emissions reduction targets scenario modelling: The service provider will be required to conduct atmospheric modelling for emissions reduction targets scenarios, and the DFFE will provide guidance on the required targets.

5.2.4.4. Upon completion of the photochemical modelling for the baseline and emissions reduction targets scenarios, the service provider will supply the DFFE with copies of all input data, model parameterization and post-processing files

**Table 4: Output D: Photochemical modelling of emission inventory the baseline and Emissions reduction targets Modelling scenarios Report - Success Indicators**

Description	Verifiable Indicator	Means of verification	Timeframes
<b>Output D:</b> Photochemical modelling of the baseline and Emissions reduction targets scenarios report	Photochemical modelling of the baseline and Emissions reduction targets scenarios report	Photochemical modelling of the baseline and Emissions reduction targets scenarios report approved by the PSC	Ten (10) months after the order is issued

5.2.5. Output E: Revised emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios for Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan report

5.2.5.1. The appointed service provider will carry out all the work required to compile the Revised emissions inventory, emissions reduction targets development and photochemical modelling for Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan. The report will be informed by the approved structure, and it should include, mainly:

- a). Emissions inventory development and ambient air quality assessment report;
- b). Emissions reduction targets development report; and
- c). Photochemical modelling of the baseline, and emissions reduction targets scenarios report.

5.2.5.2. The appointed service provider will be required to carry out all the work to effect changes received from stakeholder's consultation process into the report.

5.2.5.3. In order to facilitate approval, the service provider must compile an executive summary of the revised emissions inventory, emissions reduction targets development, and photochemical modelling of the baseline and emissions reduction targets scenarios for the Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan, and a Power Point presentation that summarizes key elements and interventions contained in the report.

5.2.5.4. The appointed service provider will be required to carry out all the work to effect changes received from the stakeholder’s consultation process into the report.

**Table 5: Output E: Revised emissions inventory, emissions reduction targets development, photochemical modelling of the baseline and emissions reduction targets scenarios for the Vaal Triangle Airshed Priority Area Second Generation Air Quality Management Plan**

Description	Verifiable Indicator	Means of verification	Timeframes
<b>Output E:</b> Baseline assessment report (BAR) for the VTAPA AQMP	A draft Baseline assessment report (BAR) for the VTAPA AQMP	A draft Baseline assessment report (BAR) for the VTAPA AQMP approved by the PSC	Thirteen (13) months after the order is issued
		A final draft Baseline assessment report (BAR) for the VTAPA AQMP	Eighteen (18) months after the order is issued

5.3. Given the above, the scope of work will include, but is not limited to, conducting research, meetings, interviews, workshop facilitation and/or participation in the Project Management Team (PMT) and Project Steering Committee (PSC) and when necessary/required, to present at the Air Quality officers’ forum, management and administrative activities required to generate the project deliverables as detailed in the terms of reference.

**6. PERIOD / DURATION OF PROJECT / ASSIGNMENT**

6.1. The contract period will be for a period of eighteen (18) months, after the signing of the SLA by both parties and the issuing of the order number by the supply chain department. The details of this will be set out in the Service Level Agreement (SLA).

**7. COSTING / COMPREHENSIVE BUDGET**

7.1. A comprehensive offer must be provided inclusive of all costs, expenses, and all applicable taxes. Note: Travelling costs and time spent or incurred between home and the office of the project manager and the DFFE office will not be for the account of the DFFE.

7.2. A comprehensive offer must be provided in the same envelope as the technical proposal inclusive of all disbursement costs, expenses, and VAT (Annexure A - Price Schedule /guidance: **(Service provider must quote for all activities as included in the Pricing Schedule unless indicated otherwise).**)

7.3. DFFE reserves the right to negotiate price with a recommended service provider identified in the evaluation process without offering the same opportunity to any other bidder(s) who have not been recommended.

7.4. The validity period is 120 days from the closing date of the bid.

## 8. INFORMATION SESSION

8.1. There will be **NO** information session with any representative from DFFE.

8.2. Request for clarification of the tender document, questions, or queries, if necessary, must be submitted to the DFFE representative as listed under technical enquiries at least seven (07) calendar days before the stipulated closing date and time of the tender in writing. However, DFFE shall not be liable nor assume liability for failure to respond to any questions and/or queries raised by the bidder.

## 9. EVALUATION CRITERIA

9.1. The evaluation for this bid will be carried out in the following phases:

- a) Phase 1: Pre-compliance
- b) Phase 2: Functionality Criteria
- c) Phase 3: Price and Specific Goals

### 9.2. PHASE 1: PRE-COMPLIANCE

9.2.1 During this phase bid documents will be reviewed to determine compliance with SCM returnable documents, tax matters, and whether proof of registration on the Central Supplier Database (CSD) has been submitted with the bid documents at the closing date and time of the bid. Bids that do not satisfy the compliance criteria may not be evaluated further.

9.2.2 The bid proposal will be screened for compliance with administrative requirements as indicated below:

ITEM NO.	ADMINISTRATIVE REQUIREMENTS	CHECK/ COMPLIANCE
1	Master Bid Document	Provided and bound
2	Electronic Copy (USB)	Same as the master bid document
3	SCM - SBD 1 - Invitation to Bid	Completed and signed
4	Tax Compliance and CSD Registration	Attached CSD registration number/ Proof of CSD registration and/ or SARS Tax Pin

ITEM NO.	ADMINISTRATIVE REQUIREMENTS	CHECK/ COMPLIANCE
5	SBD 3.3 - Pricing Schedule	Completed
6	SCM - SBD 4 – Bidders Disclosure	Completed and signed
7	SCM - SBD 6.1 - Preference Points Claim Form in terms of the Preferential Procurement Regulations 2022	Completed and signed
8	In case of bids where Consortia/ Joint Ventures, Consortia/ Joint Venture agreement signed by both parties must be submitted with bid proposal	JV agreement completed and signed, if applicable

### 9.3 PHASE 2: FUNCTIONALITY CRITERIA

9.3.1. Only bid proposals that meet pre-compliance will be considered to be evaluated on functionality criteria.

9.3.2. The bidder must score a minimum of **75%** must be attained by bidders. Bidders who fail to score this minimum threshold will be disqualified and will not be evaluated further.

GUIDELINES FOR CATEGORY CRITERIA	FUNCTIONALITY: (GUIDELINES FOR APPLICATION)	CRITERIA	WEIGHT
A proposed project plan, Methodology and Management of the development of AQMP, emission inventory, emissions reduction target development photochemical modelling of inventory, and emissions reduction target modelling	Bidders are required to provide a detailed project plan with intermediate, final outputs and identified timeframes/ milestones of proposed methodology in the development of AQMP, emission inventory, emissions reduction target development photochemical modelling of inventory, and emissions reduction target modelling.		
	Project plan, methodology and project management revision of emission inventory, emissions reduction target development, photochemical modelling of inventory, and emissions reduction target modelling	Indicator	20
	Project plan and methodology action well broken down; with detailed objectives and milestones.	5	
	Project plan and methodology, action identification basic; clear objectives and clear milestones.	4	



GUIDELINES FOR CATEGORY CRITERIA	FUNCTIONALITY: (GUIDELINES FOR CRITERIA APPLICATION)		WEIGHT
	Action plan provided with no deliverables and timeframes.	3	
	Limited information provided on the action plan	2	
	Task not well understood.	1	
	No information provided	0	
Copy of the qualification (s) for the Project Team Leader to be assigned to the project.	<b>Bidder (s) are required to submit/ attach copy (s) of relevant qualification for the Project Team Leader in atmospheric science, environmental chemistry, process engineering or chemical engineering.</b>		<b>10</b>
	<b>Qualifications of project the team leader</b>	<b>Indicator</b>	
	A Masters qualification and above	5	
	An honours degree	4	
	A degree qualification (s)	3	
	A three-year diploma qualification (s)	2	
	Two years diploma or a certificates qualification (s)	1	
	No qualification (s) attached/ submitted	0	
Copy of the qualification (s) for the Expert in Air Quality Management to be assigned to the project	<b>Bidder (s) are required to submit/ attach copy (s) of relevant qualification for the Expert in atmospheric science, environmental chemistry, process engineering or chemical engineering</b>		<b>10</b>
	<b>Qualifications of Expert in Air Quality Management</b>	<b>Indicator</b>	
	A Masters qualification and above	5	
	An honours degree	4	
	A degree qualification (s)	3	
	A three-year diploma qualification (s)	2	
	Two years diploma or a certificates qualification (s)	1	
	No qualification (s) attached/ submitted	0	
Technical Capability/ expertise and track record of the Project Team Leader to be assigned to the project in	<b>Bidder (s) are required to demonstrate that they have the necessary resources and technical expertise to undertake and successfully complete the project.</b> <b>Bidder (s) should submit curriculum vitae for the Project Team Leader proposed to be employed on the project.</b>		

GUIDELINES FOR CATEGORY CRITERIA	FUNCTIONALITY: (GUIDELINES FOR CRITERIA APPLICATION)		WEIGHT
<p>development of emission inventory, emissions reduction target development photochemical modelling of inventory and emissions reduction target modelling.</p>	<p>Curriculum vitae are to include specific details of the individual including, inter alia, relevant experience and to include references, and experience in development of emission inventory, emissions reduction target development photochemical modelling of inventory and emissions reduction target modelling.</p>		<b>20</b>
	<p>Technical Capability/ expertise and track record of the Project Team Leader</p>	<p>Indicator</p>	
	<p>5 or more years' experience</p>	<p>5</p>	
	<p>4 and less than 5 years' experience</p>	<p>4</p>	
	<p>3 and less than 4 years' experience</p>	<p>3</p>	
	<p>2 and less than 3 years' experience</p>	<p>2</p>	
	<p>1 and less than 2 years' experience</p>	<p>1</p>	
	<p>Less than 1 years or no experience</p>	<p>0</p>	
<p>Technical Capability/ expertise and track record of the Expert in Air Quality Management to be assigned to the project in emission inventory development and assessment of ambient air quality trend analysis</p>	<p>Bidder (s) are required to demonstrate that they have the necessary resources and technical expertise to undertake and successfully complete the project.</p> <p>Bidder (s) should submit curriculum vitae for the Expert in Air Quality Management proposed to be employed on the project.</p> <p>Curriculum vitae are to include specific details of the individual including, inter alia, relevant experience and to include references, and experience in the field of emission inventory development and assessment of ambient air quality trend analysis</p>		<b>20</b>
	<p>Technical Capability/ expertise and track record of the Expert in Air Quality Management</p>	<p>Indicator</p>	
	<p>5 or more years' experience</p>	<p>5</p>	
	<p>4 and less than 5 years' experience</p>	<p>4</p>	
	<p>3 and less than 4 years' experience</p>	<p>3</p>	
	<p>2 and less than 3 years' experience</p>	<p>2</p>	
	<p>1 and less than 2 years' experience</p>	<p>1</p>	
	<p>Less than 1 years or no experience</p>	<p>0</p>	
<p>The company experience, track record and</p>	<p>Bidder (s) are required to demonstrate relevant experience and competency of the company for all successfully completed projects.</p>		

GUIDELINES FOR CATEGORY CRITERIA	FUNCTIONALITY: (GUIDELINES FOR APPLICATION)	CRITERIA	WEIGHT
knowledge in the fields of the development of the baseline assessment report, i.e. emission inventory, dispersion modelling, and emission reduction target	Bidder (s) should submit full details of reliable contactable dully signed positive references letters on company letter head where successfully completed projects were done in the field of.		
	Company experience with successfully completed projects in the fields of.	Indicator	<b>20</b>
	5 successfully completed projects with 5 duly signed positive reference letters or more	5	
	4 successfully completed projects with 4 duly signed positive reference letters	4	
	3 successfully completed projects with 3 duly signed positive reference letters	3	
	2 successfully completed projects with 2 duly signed positive reference letters	2	
	1 successfully completed project with 1 duly signed positive reference letter	1	
	No successfully completed project	0	
<b>Total points on functionality</b>			

#### 9.4 PHASE 3: PRICE AND SPECIFIC GOALS

9.4.1. The following preference point system will be followed to advance the categories of persons:

- a) For contracts with a Rand value **above R50 000 000**, a total of **10 points** may be allocated for specific goals as contemplated above, provided that the lowest acceptable tender scores **90 points** for price.
  - i. The applicable formula to be used is  $Ps=90[1-(Pt-Pmin)/Pmin]$ . Provided:
    - Ps = Points scored for the price of the tender under consideration.
    - Pt = Price of tender under consideration; and
    - Pmin = Price of the lowest applicable tender.
  - ii. A total of 10 points may be awarded to a tenderer as follows:
    - 10 points: If the Bidder has more than 50% (fifty percent) Black people, Women, or people with disabilities
    - 0 Points: for 50% and below ownership by stipulated categories of persons

b) For contracts with a Rand value Rand value **up to R50 000 000**, a total of **20 points** may be allocated for specific goals as contemplated above, provided that the lowest acceptable tender scores **80 points** for price.

i. The applicable formula to be used is  $P_s=80[1-(P_t-P_{min})/P_{min}]$ . Provided:

$P_s$  = Points scored for the price of the tender under consideration.

$P_t$  = Price of tender under consideration; and

$P_{min}$  = Price of the lowest applicable tender.

ii. A total of 20 points may be awarded to a tenderer as follows:

- 20 points: If the Bidder has more than 50% (fifty percent) Black people, Women, or people with disabilities
- 0 Points: for 50% and below ownership by stipulated categories of persons

c) If it is unclear whether the 80/20 or 90/10 preference point system applies, either the 80/20 or 90/10 preference point system will be applied. In such a case, the lowest acceptable tender will be used to determine the applicable preference point system.

9.4.2. The bid will be awarded to a bidder with the highest points on price and specific goals on condition that they have met all phases of the evaluation criteria and complied with the tender requirements set out in the tender document.

9.4.3. However, a contract may be awarded to a tenderer that did not score the highest points only by section 2(1)(f) of the PPPFA.

9.4.4. The DFFE reserves the right to negotiate prices that are not deemed market-related and not to award the tender to the bidder with the lowest price.

9.4.5. The specific goal applicable for this bid is **80/20**.

9.4.6. A total of **20** points will be allocated for either of the specific goals:

<b>SPECIFIC GOALS</b>	<b>80/20</b>
>50% ownership by Black people, Or	20
>50% ownership by Women, Or	20
>50% ownership by people with Disability	20

9.4.7. For bidders to claim preference points, the following must be adhered to:

- a) Submit a complete and signed SBD 6.1,
- b) Submit a valid B-BBEE Status Level Verification Certificate issued by SANAS, or Accredited Verification Agency, or B-BBEE Certificate issued by CIPC, or a Sworn Affidavit commissioned by the Commissioner of Oaths together with their bids; or
- c) Submit a CSD report.

**NB:** Failure on the part of a tenderer to submit proof or documentation stated above in terms of this tender to claim preference points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

## **10. BID SUBMISSION REQUIREMENTS**

10.1. Bidders should ensure that the following submission requirements, which will be needed for evaluation purposes are included in their bid proposal and are as follows:

- 10.1.1. The bidder must draft a table of contents that will indicate where each document is in the proposal.
- 10.1.2. The proposal shall consist of one (01) master original document and must indicate the prices on SBD 3.3 and Annexure A (where applicable) for a detailed price schedule.
- 10.1.3. The information in the CV of the proposed Project Manager should include relevant experience in the chosen area of expertise.
- 10.1.4. Project reference specifying the role played by the service provider in the listed projects or assignments.
- 10.1.5. Standard bidding documents (SBD1, 3.3, 4, and 6.1).
- 10.1.6. Copy of Central Supplier Database (CSD) reports or tax pin certificate from SARS.
- 10.1.7. Letter of Authority to sign documents on behalf of the company.

## **11. LEGISLATIVE FRAMEWORK OF THE BID**

### **11.1 Tax Legislation**

- 11.1.1. Bidder must at all times attempt to be compliant when submitting a proposal to DFFE and remain compliant for the entire contract term with all applicable tax legislation, including but not limited to the Income Tax Act, 1962 (Act No. 58 of 1962) and Value Added Tax Act, 1991 (Act No. 89 of 1991).
- 11.1.2. Bidders who make taxable supplies more than R1 million in any 12-month conservative period are liable for compulsory VAT registration, but a person may also choose to register voluntarily provided that the minimum threshold of R50 000 has been exceeded in the past 12-month period.
- 11.1.3. Bidders who meet the above requirement must register as VAT vendors, if successful, within one month of the award of the bid.

- 11.1.4. SARS Tax Status Pin requirements/ or Central Supplier Database (CSD) number or report must be provided.
- 11.2. Procurement Legislation
  - 11.2.1. Bidders should be cognisant of the legislation and/or standards specifically applicable to the services.
  - 11.2.2. Bidders are requested to submit a valid Status Level Verification Certificate issued by SANAS Accredited Verification Agency, or B-BBEE Certificate issued by CIPC, or a Sworn Affidavit commissioned by the Commissioner of Oaths together with their bids. The sworn affidavit must be signed by the deponent (Bidder), in the presence of a Commissioner of Oaths where the Commissioner of Oaths must affix his/her signature, together with the stamp of the office, and affix a date on which the signature was affixed. Furthermore, the dates of the deponent and the CoO must correspond.
  - 11.2.3. If the application is made by a Joint Venture or Partnership, the accreditation credentials in the name of joined entities should be submitted. Members of the joint venture must meet the requirements of the proposal.
- 11.3 Privacy and Protection of Personal Information Act 4 of 2013
  - 11.3.1. Protecting personal information is important to the Department of Forestry, Fisheries, and the Environment. To do so, DFFE follows general principles by applicable privacy laws and the Protection of Personal Information Act 4 of 2013 (POPIA).
  - 11.3.2. DFFE's role as the responsible party is amongst others to process personal information for the intended purpose for which it was obtained and in line with legal agreements with its respective/ prospective service providers and third parties.
  - 11.3.3. DFFE will process personal information only with the knowledge and authorisation of the bidder/ respondent and will treat the personal information which comes to its knowledge as confidential and will not disclose it unless so required by law or subject to the exception contained in the POPIA.
  - 11.3.4. DFFE reserves all the rights afforded to it by the POPIA in the processing of any of its information as contained in this bid and the bidder/respondent is required to comply with all prescripts as detailed in the POPIA relating to all information concerning DFFE.
  - 11.3.5. In responding to this bid, DFFE acknowledges that it will obtain and have access to the personal information of the bidder/ respondent. DFFE agrees that it shall only process the information disclosed by the bidder/ respondent in their response to this bid for evaluation and subsequent award of the tender and by any applicable law.

## 12. SPECIAL CONDITIONS OF THE CONTRACT

- 12.1. On appointment, the performance measures for the appointment of a service provider assist the department with the revision of the emission inventory, emissions reduction target development photochemical modelling of inventory, and emissions reduction target modelling for Vaal Triangle Airshed priority area second generation air quality management of the project will be closely monitored by the DFFE.
- 12.2. The service provider/s will submit soft copy monthly progress reports for the first months, depending on project deliverables, within four (04) working days after the date of the end of each month and quarter for the duration of the project. Failure to submit the required reports on time will result in penalties.
- 12.3. The Programme Manager shall do the ongoing management of the Service Level Agreement.
- 12.4. The appointed service provider will be subjected to security vetting and screening.
- 12.5. The service provider/s must guarantee the presence of the senior in charge of fieldwork throughout the contract.
- 12.6. Before the appointment of a replacement, the Programme Manager must approve such appointment. If the senior has to leave the project, a period of at least one (1) month is required, in which the senior must work parallel with the next person (senior consultant with similar expertise and equal years of experience) appointed able to transfer skills and knowledge.
- 12.7. All the conditions specified in the **General Conditions of Contract (GCC)** will apply and where the conditions in the special conditions of contract contradict the conditions in the general conditions of contract the special conditions of contract will prevail.
- 12.8. The service provider shall notify the Department in writing of all subcontracts awarded under this contract if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the service provider from any liability or obligation under the contract.
- 12.9. A Letter of Authority to sign documents on behalf of the company.
- 12.10. The service provider will submit monthly progress reports as per the agreed to work plan, to the Programme Manager, within 3 days after the set date.
- 12.11. The proposals should be submitted with all required information containing technical information.
- 12.12. For service providers to claim preference points the following must be adhered to:
  - 12.12.1. Submit a completed and signed SBD 6.1,
  - 12.12.2. Submit a valid B-BBEE Status Level Verification Certificate issued by SANAS, or Accredited Verification Agency, or B-BBEE Certificate issued by CIPC, or a Sworn Affidavit commissioned by the Commissioner of Oaths together with their bids; or
  - 12.12.3. Submit a CSD report

NB: Failure on the part of a tenderer to submit proof or documentation stated above in terms of this tender to claim preference points for specific goals with the tender, will be interpreted to mean that preference points for specific goals are not claimed.

12.13. If the DFFE is of the view that a Bidder submitted false information regarding a Specific Goal, the DFFE must inform the Bidder accordingly and allow the Bidder to make representations within fourteen (14) days as to why:-

12.13.1.the Tender may not be disqualified, or,

12.13.2.If the Tender has already been awarded to the Bidder, the contract or order should not be terminated in whole or in part.

12.14. After considering the representations, the DFFE may, if it concludes that the information relating to a Specific Goal is false, disqualify the Bidder or terminate the Contract in whole or in part and if applicable, claim damages from the Bidder.

12.15. The Department shall consider Company and Individual(s) (Personnel) experience and Qualifications obtained within and outside the Republic of South Africa (RSA). The qualification obtained outside RSA shall for evaluation be accompanied by the SAQA Certificate of Evaluation (SCoE). The SCoE will indicate the recognition decision taken by SAQA concerning the foreign qualification and the comparability of that qualification with a South African qualification registered on the National Qualification Framework (NQF). Foreign qualifications that are not accompanied by the SCoE shall not be considered for evaluation in this contract.

12.16. The recognition of foreign qualifications is in terms of the South African National Qualifications Framework (NQF) conducted by SAQA. SAQA derives this mandate from the NQF Act, 67 of 2008 (as amended) and performs the function according to the Policy and Criteria for Evaluating Foreign Qualifications within the South African NQF (as amended, 2017). ([www. Saqa.org.za](http://www.Saqa.org.za))”

12.17. The proposals should be submitted with all required information containing technical information.

12.18. Poor or non-performance by the bidder will result in the cancellation of contracts/orders.

12.19. Please take note that DFFE is not bound to select any of the firms submitting proposals. DFFE reserves the right not to award any of the bids and not to award the contract to the lowest bidding price.

12.20. DFFE will not be held responsible for any costs incurred by the service providers in the preparation, presentation, and submission of the proposal

### **13. PAYMENT TERMS**



13.1. DFFE undertakes to pay out in full or as per deliverables within thirty (30) days all valid claims for work done to its satisfaction upon presentation of a substantiated claim and the required reports stipulated in special conditions. No payment will be made where there is outstanding information/ work not submitted by the Service Provider/s until that outstanding information is submitted.

#### **14. TECHNICAL ENQUIRIES**

14.1. Should you require any further information in this regard, please do not hesitate to send written enquiries to: [Tenders@dfre.gov.za](mailto:Tenders@dfre.gov.za)

**15. ANNEXURE A – PRICING SCHEDULE**

#	ACTIVITIES	NAME OF THE PROPOSED BIDDER	TIMEFRAMES	ESTIMATED QUANTITY (HRS)	RATE PER HOUR (EXCLUDING VAT)	TOTAL COST
1	Output A: Project process plan/ Inception report		Hours	..... Hrs.	R.....	R.....
2	Output B: Emission inventory development and ambient air quality assessment trend analysis report		Hours	..... Hrs.	R.....	R.....
3	Output C: Emissions reduction target development report		Hours	..... Hrs.	R.....	R.....
4	Output D: Photochemical modelling of inventory and emissions reduction target modelling report		Hours	..... Hrs.	R.....	R.....
5	Output E: Integrated revised report emission inventory, emissions reduction target development, photochemical modelling of inventory and emissions reduction target modelling for VTAPA AQMP		Hours	..... Hrs.	R.....	R.....
				Total Hours	<b>Capped @ 3040 hours</b>	
<b>SUBTOTAL</b>						R.....
<b>VAT @ 15%</b>						R.....
<b>TOTAL PROJECT COST</b>						R.....

16. ANNEXURE B – CV TEMPLATE

TO BE COMPLETED BY PROPOSED EXPERTS

1. Surname	
2. Name	
3. National ID / Passport Number	
4. Contact Number	
5. Email Address	
6. Proposed role on the project	

7. Education:

Year Completed	Institution	Degree / Diploma obtained	NQF Level

8. Language skills: Indicate competence on a scale from 1 (basic) to 5 (excellent)

Language	Reading	Speaking	Writing

9. Membership of professional bodies:

Name of professional body	Year joined	Membership Number

10. Other skills: (e.g. Computer literacy, etc.)


11. Present position:

Name of Employer	
Position	
Date from - Date to	

12. Years within the institution:

**13. Key experience relevant to the terms of reference: (List specific assignments relevant to the terms of reference)**

Name of Employer	Name of Client	Role on Assignment	Client Reference (Provide contact person and contact details)	Date from - Date to	Description of key experience as per the requirements of Terms of Reference

**14. Professional experience:**

Name of Employer	Date from - Date to	Reference (Provide contact person and contact details)	Position	Description of duties

**15. Other relevant information (e.g. Publications)**

# **THE NATIONAL TREASURY**

**Republic of South Africa**



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## **GOVERNMENT PROCUREMENT: GENERAL CONDITIONS OF CONTRACT**

**July 2010**

**GOVERNMENT PROCUREMENT**  
**GENERAL CONDITIONS OF CONTRACT**  
**July 2010**

**NOTES**

The purpose of this document is to:

- (i) Draw special attention to certain general conditions applicable to government bids, contracts and orders; and
- (ii) To ensure that clients be familiar with regard to the rights and obligations of all parties involved in doing business with government.

In this document words in the singular also mean in the plural and vice versa and words in the masculine also mean in the feminine and neuter.

- The General Conditions of Contract will form part of all bid documents and may not be amended.
- Special Conditions of Contract (SCC) relevant to a specific bid, should be compiled separately for every bid (if applicable) and will supplement the General Conditions of Contract. Whenever there is a conflict, the provisions in the SCC shall prevail.

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## General Conditions of Contract

### 1. Definitions

1. The following terms shall be interpreted as indicated:
  - 1.1 “Closing time” means the date and hour specified in the bidding documents for the receipt of bids.
  - 1.2 “Contract” means the written agreement entered into between the purchaser and the supplier, as recorded in the contract form signed by the parties, including all attachments and appendices thereto and all documents incorporated by reference therein.
  - 1.3 “Contract price” means the price payable to the supplier under the contract for the full and proper performance of his contractual obligations.
  - 1.4 “Corrupt practice” means the offering, giving, receiving, or soliciting of any thing of value to influence the action of a public official in the procurement process or in contract execution.
  - 1.5 "Countervailing duties" are imposed in cases where an enterprise abroad is subsidized by its government and encouraged to market its products internationally.
  - 1.6 “Country of origin” means the place where the goods were mined, grown or produced or from which the services are supplied. Goods are produced when, through manufacturing, processing or substantial and major assembly of components, a commercially recognized new product results that is substantially different in basic characteristics or in purpose or utility from its components.
  - 1.7 “Day” means calendar day.
  - 1.8 “Delivery” means delivery in compliance of the conditions of the contract or order.
  - 1.9 “Delivery ex stock” means immediate delivery directly from stock actually on hand.
  - 1.10 “Delivery into consignees store or to his site” means delivered and unloaded in the specified store or depot or on the specified site in compliance with the conditions of the contract or order, the supplier bearing all risks and charges involved until the supplies are so delivered and a valid receipt is obtained.
  - 1.11 "Dumping" occurs when a private enterprise abroad market its goods on own initiative in the RSA at lower prices than that of the country of origin and which have the potential to harm the local industries in the



RSA.

- 1.12 "Force majeure" means an event beyond the control of the supplier and not involving the supplier's fault or negligence and not foreseeable. Such events may include, but is not restricted to, acts of the purchaser in its sovereign capacity, wars or revolutions, fires, floods, epidemics, quarantine restrictions and freight embargoes.
- 1.13 "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of any bidder, and includes collusive practice among bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the bidder of the benefits of free and open competition.
- 1.14 "GCC" means the General Conditions of Contract.
- 1.15 "Goods" means all of the equipment, machinery, and/or other materials that the supplier is required to supply to the purchaser under the contract.
- 1.16 "Imported content" means that portion of the bidding price represented by the cost of components, parts or materials which have been or are still to be imported (whether by the supplier or his subcontractors) and which costs are inclusive of the costs abroad, plus freight and other direct importation costs such as landing costs, dock dues, import duty, sales duty or other similar tax or duty at the South African place of entry as well as transportation and handling charges to the factory in the Republic where the supplies covered by the bid will be manufactured.
- 1.17 "Local content" means that portion of the bidding price which is not included in the imported content provided that local manufacture does take place.
- 1.18 "Manufacture" means the production of products in a factory using labour, materials, components and machinery and includes other related value-adding activities.
- 1.19 "Order" means an official written order issued for the supply of goods or works or the rendering of a service.
- 1.20 "Project site," where applicable, means the place indicated in bidding documents.
- 1.21 "Purchaser" means the organization purchasing the goods.
- 1.22 "Republic" means the Republic of South Africa.
- 1.23 "SCC" means the Special Conditions of Contract.
- 1.24 "Services" means those functional services ancillary to the supply of the goods, such as transportation and any other incidental services, such as installation, commissioning, provision of technical assistance, training, catering, gardening, security, maintenance and other such

obligations of the supplier covered under the contract.

1.25 “Written” or “in writing” means handwritten in ink or any form of electronic or mechanical writing.

## **2. Application**

2.1 These general conditions are applicable to all bids, contracts and orders including bids for functional and professional services, sales, hiring, letting and the granting or acquiring of rights, but excluding immovable property, unless otherwise indicated in the bidding documents.

2.2 Where applicable, special conditions of contract are also laid down to cover specific supplies, services or works.

2.3 Where such special conditions of contract are in conflict with these general conditions, the special conditions shall apply.

## **3. General**

3.1 Unless otherwise indicated in the bidding documents, the purchaser shall not be liable for any expense incurred in the preparation and submission of a bid. Where applicable a non-refundable fee for documents may be charged.

3.2 With certain exceptions, invitations to bid are only published in the Government Tender Bulletin. The Government Tender Bulletin may be obtained directly from the Government Printer, Private Bag X85, Pretoria 0001, or accessed electronically from [www.treasury.gov.za](http://www.treasury.gov.za)

## **4. Standards**

4.1 The goods supplied shall conform to the standards mentioned in the bidding documents and specifications.

## **5. Use of contract documents and information; inspection.**

5.1 The supplier shall not, without the purchaser’s prior written consent, disclose the contract, or any provision thereof, or any specification, plan, drawing, pattern, sample, or information furnished by or on behalf of the purchaser in connection therewith, to any person other than a person employed by the supplier in the performance of the contract. Disclosure to any such employed person shall be made in confidence and shall extend only so far as may be necessary for purposes of such performance.

5.2 The supplier shall not, without the purchaser’s prior written consent, make use of any document or information mentioned in GCC clause 5.1 except for purposes of performing the contract.

5.3 Any document, other than the contract itself mentioned in GCC clause 5.1 shall remain the property of the purchaser and shall be returned (all copies) to the purchaser on completion of the supplier’s performance under the contract if so required by the purchaser.

5.4 The supplier shall permit the purchaser to inspect the supplier’s records relating to the performance of the supplier and to have them audited by auditors appointed by the purchaser, if so required by the purchaser.

## **6. Patent rights**

6.1 The supplier shall indemnify the purchaser against all third-party claims of infringement of patent, trademark, or industrial design rights arising from use of the goods or any part thereof by the purchaser.

**7. Performance security**

- 7.1 Within thirty (30) days of receipt of the notification of contract award, the successful bidder shall furnish to the purchaser the performance security of the amount specified in SCC.
- 7.2 The proceeds of the performance security shall be payable to the purchaser as compensation for any loss resulting from the supplier's failure to complete his obligations under the contract.
- 7.3 The performance security shall be denominated in the currency of the contract, or in a freely convertible currency acceptable to the purchaser and shall be in one of the following forms:
  - (a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the purchaser's country or abroad, acceptable to the purchaser, in the form provided in the bidding documents or another form acceptable to the purchaser; or
  - (b) a cashier's or certified cheque
- 7.4 The performance security will be discharged by the purchaser and returned to the supplier not later than thirty (30) days following the date of completion of the supplier's performance obligations under the contract, including any warranty obligations, unless otherwise specified in SCC.

**8. Inspections, tests and analyses**

- 8.1 All pre-bidding testing will be for the account of the bidder.
- 8.2 If it is a bid condition that supplies to be produced or services to be rendered should at any stage during production or execution or on completion be subject to inspection, the premises of the bidder or contractor shall be open, at all reasonable hours, for inspection by a representative of the Department or an organization acting on behalf of the Department.
- 8.3 If there are no inspection requirements indicated in the bidding documents and no mention is made in the contract, but during the contract period it is decided that inspections shall be carried out, the purchaser shall itself make the necessary arrangements, including payment arrangements with the testing authority concerned.
- 8.4 If the inspections, tests and analyses referred to in clauses 8.2 and 8.3 show the supplies to be in accordance with the contract requirements, the cost of the inspections, tests and analyses shall be defrayed by the purchaser.
- 8.5 Where the supplies or services referred to in clauses 8.2 and 8.3 do not comply with the contract requirements, irrespective of whether such supplies or services are accepted or not, the cost in connection with these inspections, tests or analyses shall be defrayed by the supplier.
- 8.6 Supplies and services which are referred to in clauses 8.2 and 8.3 and which do not comply with the contract requirements may be rejected.
- 8.7 Any contract supplies may on or after delivery be inspected, tested or

analyzed and may be rejected if found not to comply with the requirements of the contract. Such rejected supplies shall be held at the cost and risk of the supplier who shall, when called upon, remove them immediately at his own cost and forthwith substitute them with supplies which do comply with the requirements of the contract. Failing such removal the rejected supplies shall be returned at the suppliers cost and risk. Should the supplier fail to provide the substitute supplies forthwith, the purchaser may, without giving the supplier further opportunity to substitute the rejected supplies, purchase such supplies as may be necessary at the expense of the supplier.

8.8 The provisions of clauses 8.4 to 8.7 shall not prejudice the right of the purchaser to cancel the contract on account of a breach of the conditions thereof, or to act in terms of Clause 23 of GCC.

**9. Packing**

9.1 The supplier shall provide such packing of the goods as is required to prevent their damage or deterioration during transit to their final destination, as indicated in the contract. The packing shall be sufficient to withstand, without limitation, rough handling during transit and exposure to extreme temperatures, salt and precipitation during transit, and open storage. Packing, case size and weights shall take into consideration, where appropriate, the remoteness of the goods' final destination and the absence of heavy handling facilities at all points in transit.

9.2 The packing, marking, and documentation within and outside the packages shall comply strictly with such special requirements as shall be expressly provided for in the contract, including additional requirements, if any, specified in SCC, and in any subsequent instructions ordered by the purchaser.

**10. Delivery and documents**

10.1 Delivery of the goods shall be made by the supplier in accordance with the terms specified in the contract. The details of shipping and/or other documents to be furnished by the supplier are specified in SCC.

10.2 Documents to be submitted by the supplier are specified in SCC.

**11. Insurance**

11.1 The goods supplied under the contract shall be fully insured in a freely convertible currency against loss or damage incidental to manufacture or acquisition, transportation, storage and delivery in the manner specified in the SCC.

**12. Transportation**

12.1 Should a price other than an all-inclusive delivered price be required, this shall be specified in the SCC.

**13. Incidental services**

13.1 The supplier may be required to provide any or all of the following services, including additional services, if any, specified in SCC:

- (a) performance or supervision of on-site assembly and/or commissioning of the supplied goods;
- (b) furnishing of tools required for assembly and/or maintenance of the supplied goods;
- (c) furnishing of a detailed operations and maintenance manual for each appropriate unit of the supplied goods;

- (d) performance or supervision or maintenance and/or repair of the supplied goods, for a period of time agreed by the parties, provided that this service shall not relieve the supplier of any warranty obligations under this contract; and
- (e) training of the purchaser's personnel, at the supplier's plant and/or on-site, in assembly, start-up, operation, maintenance, and/or repair of the supplied goods.

13.2 Prices charged by the supplier for incidental services, if not included in the contract price for the goods, shall be agreed upon in advance by the parties and shall not exceed the prevailing rates charged to other parties by the supplier for similar services.

#### **14. Spare parts**

14.1 As specified in SCC, the supplier may be required to provide any or all of the following materials, notifications, and information pertaining to spare parts manufactured or distributed by the supplier:

- (a) such spare parts as the purchaser may elect to purchase from the supplier, provided that this election shall not relieve the supplier of any warranty obligations under the contract; and
- (b) in the event of termination of production of the spare parts:
  - (i) Advance notification to the purchaser of the pending termination, in sufficient time to permit the purchaser to procure needed requirements; and
  - (ii) following such termination, furnishing at no cost to the purchaser, the blueprints, drawings, and specifications of the spare parts, if requested.

#### **15. Warranty**

15.1 The supplier warrants that the goods supplied under the contract are new, unused, of the most recent or current models, and that they incorporate all recent improvements in design and materials unless provided otherwise in the contract. The supplier further warrants that all goods supplied under this contract shall have no defect, arising from design, materials, or workmanship (except when the design and/or material is required by the purchaser's specifications) or from any act or omission of the supplier, that may develop under normal use of the supplied goods in the conditions prevailing in the country of final destination.

15.2 This warranty shall remain valid for twelve (12) months after the goods, or any portion thereof as the case may be, have been delivered to and accepted at the final destination indicated in the contract, or for eighteen (18) months after the date of shipment from the port or place of loading in the source country, whichever period concludes earlier, unless specified otherwise in SCC.

15.3 The purchaser shall promptly notify the supplier in writing of any claims arising under this warranty.

15.4 Upon receipt of such notice, the supplier shall, within the period specified in SCC and with all reasonable speed, repair or replace the defective goods or parts thereof, without costs to the purchaser.

15.5 If the supplier, having been notified, fails to remedy the defect(s) within the period specified in SCC, the purchaser may proceed to take

such remedial action as may be necessary, at the supplier's risk and expense and without prejudice to any other rights which the purchaser may have against the supplier under the contract.

- 16. Payment**
- 16.1 The method and conditions of payment to be made to the supplier under this contract shall be specified in SCC.
- 16.2 The supplier shall furnish the purchaser with an invoice accompanied by a copy of the delivery note and upon fulfillment of other obligations stipulated in the contract.
- 16.3 Payments shall be made promptly by the purchaser, but in no case later than thirty (30) days after submission of an invoice or claim by the supplier.
- 16.4 Payment will be made in Rand unless otherwise stipulated in SCC.
- 17. Prices**
- 17.1 Prices charged by the supplier for goods delivered and services performed under the contract shall not vary from the prices quoted by the supplier in his bid, with the exception of any price adjustments authorized in SCC or in the purchaser's request for bid validity extension, as the case may be.
- 18. Contract amendments**
- 18.1 No variation in or modification of the terms of the contract shall be made except by written amendment signed by the parties concerned.
- 19. Assignment**
- 19.1 The supplier shall not assign, in whole or in part, its obligations to perform under the contract, except with the purchaser's prior written consent.
- 20. Subcontracts**
- 20.1 The supplier shall notify the purchaser in writing of all subcontracts awarded under this contracts if not already specified in the bid. Such notification, in the original bid or later, shall not relieve the supplier from any liability or obligation under the contract.
- 21. Delays in the supplier's performance**
- 21.1 Delivery of the goods and performance of services shall be made by the supplier in accordance with the time schedule prescribed by the purchaser in the contract.
- 21.2 If at any time during performance of the contract, the supplier or its subcontractor(s) should encounter conditions impeding timely delivery of the goods and performance of services, the supplier shall promptly notify the purchaser in writing of the fact of the delay, its likely duration and its cause(s). As soon as practicable after receipt of the supplier's notice, the purchaser shall evaluate the situation and may at his discretion extend the supplier's time for performance, with or without the imposition of penalties, in which case the extension shall be ratified by the parties by amendment of contract.
- 21.3 No provision in a contract shall be deemed to prohibit the obtaining of supplies or services from a national department, provincial department, or a local authority.
- 21.4 The right is reserved to procure outside of the contract small quantities or to have minor essential services executed if an emergency arises, the

supplier's point of supply is not situated at or near the place where the supplies are required, or the supplier's services are not readily available.

21.5 Except as provided under GCC Clause 25, a delay by the supplier in the performance of its delivery obligations shall render the supplier liable to the imposition of penalties, pursuant to GCC Clause 22, unless an extension of time is agreed upon pursuant to GCC Clause 21.2 without the application of penalties.

21.6 Upon any delay beyond the delivery period in the case of a supplies contract, the purchaser shall, without canceling the contract, be entitled to purchase supplies of a similar quality and up to the same quantity in substitution of the goods not supplied in conformity with the contract and to return any goods delivered later at the supplier's expense and risk, or to cancel the contract and buy such goods as may be required to complete the contract and without prejudice to his other rights, be entitled to claim damages from the supplier.

## **22. Penalties**

22.1 Subject to GCC Clause 25, if the supplier fails to deliver any or all of the goods or to perform the services within the period(s) specified in the contract, the purchaser shall, without prejudice to its other remedies under the contract, deduct from the contract price, as a penalty, a sum calculated on the delivered price of the delayed goods or unperformed services using the current prime interest rate calculated for each day of the delay until actual delivery or performance. The purchaser may also consider termination of the contract pursuant to GCC Clause 23.

## **23. Termination for default**

23.1 The purchaser, without prejudice to any other remedy for breach of contract, by written notice of default sent to the supplier, may terminate this contract in whole or in part:

- (a) if the supplier fails to deliver any or all of the goods within the period(s) specified in the contract, or within any extension thereof granted by the purchaser pursuant to GCC Clause 21.2;
- (b) if the Supplier fails to perform any other obligation(s) under the contract; or
- (c) if the supplier, in the judgment of the purchaser, has engaged in corrupt or fraudulent practices in competing for or in executing the contract.

23.2 In the event the purchaser terminates the contract in whole or in part, the purchaser may procure, upon such terms and in such manner as it deems appropriate, goods, works or services similar to those undelivered, and the supplier shall be liable to the purchaser for any excess costs for such similar goods, works or services. However, the supplier shall continue performance of the contract to the extent not terminated.

23.3 Where the purchaser terminates the contract in whole or in part, the purchaser may decide to impose a restriction penalty on the supplier by prohibiting such supplier from doing business with the public sector for a period not exceeding 10 years.

23.4 If a purchaser intends imposing a restriction on a supplier or any

person associated with the supplier, the supplier will be allowed a time period of not more than fourteen (14) days to provide reasons why the envisaged restriction should not be imposed. Should the supplier fail to respond within the stipulated fourteen (14) days the purchaser may regard the intended penalty as not objected against and may impose it on the supplier.

23.5 Any restriction imposed on any person by the Accounting Officer / Authority will, at the discretion of the Accounting Officer / Authority, also be applicable to any other enterprise or any partner, manager, director or other person who wholly or partly exercises or exercised or may exercise control over the enterprise of the first-mentioned person, and with which enterprise or person the first-mentioned person, is or was in the opinion of the Accounting Officer / Authority actively associated.

23.6 If a restriction is imposed, the purchaser must, within five (5) working days of such imposition, furnish the National Treasury, with the following information:

- (i) the name and address of the supplier and / or person restricted by the purchaser;
- (ii) the date of commencement of the restriction
- (iii) the period of restriction; and
- (iv) the reasons for the restriction.

These details will be loaded in the National Treasury's central database of suppliers or persons prohibited from doing business with the public sector.

23.7 If a court of law convicts a person of an offence as contemplated in sections 12 or 13 of the Prevention and Combating of Corrupt Activities Act, No. 12 of 2004, the court may also rule that such person's name be endorsed on the Register for Tender Defaulters. When a person's name has been endorsed on the Register, the person will be prohibited from doing business with the public sector for a period not less than five years and not more than 10 years. The National Treasury is empowered to determine the period of restriction and each case will be dealt with on its own merits. According to section 32 of the Act the Register must be open to the public. The Register can be perused on the National Treasury website.

#### **24. Anti-dumping and countervailing duties and rights**

24.1 When, after the date of bid, provisional payments are required, or anti-dumping or countervailing duties are imposed, or the amount of a provisional payment or anti-dumping or countervailing right is increased in respect of any dumped or subsidized import, the State is not liable for any amount so required or imposed, or for the amount of any such increase. When, after the said date, such a provisional payment is no longer required or any such anti-dumping or countervailing right is abolished, or where the amount of such provisional payment or any such right is reduced, any such favourable difference shall on demand be paid forthwith by the contractor to the State or the State may deduct such amounts from moneys (if any) which may otherwise be due to the contractor in regard to supplies or services which he delivered or rendered, or is to deliver or render in terms of the contract or any other contract or any other amount which



may be due to him

**25. Force Majeure**

- 25.1 Notwithstanding the provisions of GCC Clauses 22 and 23, the supplier shall not be liable for forfeiture of its performance security, damages, or termination for default if and to the extent that his delay in performance or other failure to perform his obligations under the contract is the result of an event of force majeure.
- 25.2 If a force majeure situation arises, the supplier shall promptly notify the purchaser in writing of such condition and the cause thereof. Unless otherwise directed by the purchaser in writing, the supplier shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the force majeure event.

**26. Termination for insolvency**

- 26.1 The purchaser may at any time terminate the contract by giving written notice to the supplier if the supplier becomes bankrupt or otherwise insolvent. In this event, termination will be without compensation to the supplier, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the purchaser.

**27. Settlement of Disputes**

- 27.1 If any dispute or difference of any kind whatsoever arises between the purchaser and the supplier in connection with or arising out of the contract, the parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 27.2 If, after thirty (30) days, the parties have failed to resolve their dispute or difference by such mutual consultation, then either the purchaser or the supplier may give notice to the other party of his intention to commence with mediation. No mediation in respect of this matter may be commenced unless such notice is given to the other party.
- 27.3 Should it not be possible to settle a dispute by means of mediation, it may be settled in a South African court of law.
- 27.4 Mediation proceedings shall be conducted in accordance with the rules of procedure specified in the SCC.
- 27.5 Notwithstanding any reference to mediation and/or court proceedings herein,
- (a) the parties shall continue to perform their respective obligations under the contract unless they otherwise agree; and
  - (b) the purchaser shall pay the supplier any monies due the supplier.

**28. Limitation of liability**

- 28.1 Except in cases of criminal negligence or willful misconduct, and in the case of infringement pursuant to Clause 6;
- (a) the supplier shall not be liable to the purchaser, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, provided that this exclusion shall not apply to any obligation of the supplier to pay penalties and/or damages to the purchaser; and

- (b) the aggregate liability of the supplier to the purchaser, whether under the contract, in tort or otherwise, shall not exceed the total contract price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment.
- 29. Governing language** 29.1 The contract shall be written in English. All correspondence and other documents pertaining to the contract that is exchanged by the parties shall also be written in English.
- 30. Applicable law** 30.1 The contract shall be interpreted in accordance with South African laws, unless otherwise specified in SCC.
- 31. Notices** 31.1 Every written acceptance of a bid shall be posted to the supplier concerned by registered or certified mail and any other notice to him shall be posted by ordinary mail to the address furnished in his bid or to the address notified later by him in writing and such posting shall be deemed to be proper service of such notice
- 31.2 The time mentioned in the contract documents for performing any act after such aforesaid notice has been given, shall be reckoned from the date of posting of such notice.
- 32. Taxes and duties** 32.1 A foreign supplier shall be entirely responsible for all taxes, stamp duties, license fees, and other such levies imposed outside the purchaser's country.
- 32.2 A local supplier shall be entirely responsible for all taxes, duties, license fees, etc., incurred until delivery of the contracted goods to the purchaser.
- 32.3 No contract shall be concluded with any bidder whose tax matters are not in order. Prior to the award of a bid the Department must be in possession of a tax clearance certificate, submitted by the bidder. This certificate must be an original issued by the South African Revenue Services.
- 33. National Industrial Participation Programme (NIP)** 33.1 The NIP Programme administered by the Department of Trade and Industry shall be applicable to all contracts that are subject to the NIP obligation.
- 34 Prohibition of Restrictive practices** 34.1 In terms of section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, an agreement between, or concerted practice by, firms, or a decision by an association of firms, is prohibited if it is between parties in a horizontal relationship and if a bidder (s) is / are or a contractor(s) was / were involved in collusive bidding (or bid rigging).
- 34.2 If a bidder(s) or contractor(s), based on reasonable grounds or evidence obtained by the purchaser, has / have engaged in the restrictive practice referred to above, the purchaser may refer the matter to the Competition Commission for investigation and possible imposition of administrative penalties as contemplated in the Competition Act No. 89 of 1998.

- 34.3 If a bidder(s) or contractor(s), has / have been found guilty by the Competition Commission of the restrictive practice referred to above, the purchaser may, in addition and without prejudice to any other remedy provided for, invalidate the bid(s) for such item(s) offered, and / or terminate the contract in whole or part, and / or restrict the bidder(s) or contractor(s) from conducting business with the public sector for a period not exceeding ten (10) years and / or claim damages from the bidder(s) or contractor(s) concerned.



# DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT

## BAS ENTITY MAINTENANCE FORM

Head Office Only	
Date Received	_____
Safetynet Capture	_____
Safetynet Verified:	_____
BAS/LOGIS Capt	_____
BAS/LOGIS Auth	_____
Supplier No.	_____

### The Director General

I/We hereby request and authorise you to pay any amounts, which may accrue to me/us to the credit of my/our account with the mentioned bank.

I/we understand that the credit transfers hereby authorised will be processed by computer through a system known as "ACB - Electronic Fund Transfer Service", and I/we understand that no additional advice of payment will be provided by my/our bank, but that the details of each payment will be printed on my/our bank statement or any accompanying voucher. (This does not apply where it is not customary for banks to furnish bank statements).

I/we understand that the Department will supply a payment advice in the normal way, and that it will indicate the date on which the funds will be made available on my/our account.

This authority may be cancelled by me/us by giving thirty days notice by prepaid registered post.

**Please ensure information is validate as per required bank screens .**

I/We understand that bank details provided should be exactly as per record held by the banks.

**I/We understand that the Department will not held liable for any delayed payments as a result of incorrect information supplied.**

Company / Personal Details									
Registered Name	<input style="width: 100%;" type="text"/>								
Trading Name	<input style="width: 100%;" type="text"/>								
Tax Number	<input style="width: 100%;" type="text"/>								
VAT Number	<input style="width: 100%;" type="text"/>								
Title:	<input style="width: 100%;" type="text"/>								
Initials:	<input style="width: 100%;" type="text"/>								
Full Names	<input style="width: 100%;" type="text"/>								
Surname	<input style="width: 100%;" type="text"/>								
Persal Number	<input style="width: 100%;" type="text"/>								
Address Detail									
	<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%; border-bottom: 1px solid black;">Physical</th> <th style="width: 50%; border-bottom: 1px solid black;">Postal</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Address <small>( Compulsory if Supplier )</small></td> <td style="padding: 5px;"><input style="width: 100%;" type="text"/></td> </tr> <tr> <td style="padding: 5px;"></td> <td style="padding: 5px;"><input style="width: 100%;" type="text"/></td> </tr> <tr> <td style="padding: 5px;">Postal Code</td> <td style="padding: 5px;"><input style="width: 100%;" type="text"/></td> </tr> </tbody> </table>	Physical	Postal	Address <small>( Compulsory if Supplier )</small>	<input style="width: 100%;" type="text"/>		<input style="width: 100%;" type="text"/>	Postal Code	<input style="width: 100%;" type="text"/>
Physical	Postal								
Address <small>( Compulsory if Supplier )</small>	<input style="width: 100%;" type="text"/>								
	<input style="width: 100%;" type="text"/>								
Postal Code	<input style="width: 100%;" type="text"/>								
New Detail									
<input type="checkbox"/> New Supplier information <input type="checkbox"/> Update Supplier information									
Supplier Type: <input type="checkbox"/> Individual <input type="checkbox"/> Department <input type="checkbox"/> Partnership <input type="checkbox"/> Company <input type="checkbox"/> Trust <input type="checkbox"/> CC <input type="checkbox"/> Other ( Specify ) <input style="width: 100px;" type="text"/>									
Department Number <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>									

**Supplier Account Details (To be Verified by the bank)**

(Please note that this account MUST be in the name of the supplier. No 3rd party payments allowed).

Account Name

Account Number

Branch Name

Branch Number

**Bank screen info**

**ABSA**-CIF screen  
**FNB**-Hogans system on the CIS4/CUPR  
**STD** Bank-Look-up-screen  
**Nedbank**- Banking Platform under the Client Details Tab

Account Type  Cheque Account  
 Savings Account  
 Transmission Account  
 Bond Account  
 Other (Please Specify)

ID Number

Passport Number

Company Registration Number

\*CC Registration

Bank Stamp

**\*Please include CC/CK where applicable**

**Supplier Contact Details**

Business

Area Code Telephone Number Extension

Home

Area Code Telephone Number Extension

Fax

Area Code Fax Number

Cell

Cell Code Cell Number

Email Address

Contact Person:

Supplier Signature

Print Name

Date (dd/mm/yyyy)

**NB: All relevant fields must be completed**