



## forestry, fisheries & the environment

Department:  
Forestry, Fisheries and the Environment  
REPUBLIC OF SOUTH AFRICA

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### The process to be followed for the implementation of abalone ranching and stock enhancement for small-scale fishing rights

The process governing this implementation of ranching as per the the small scale fishing right is governed by the following published documents:

- Criteria for allocating rights for abalone ranching or stock enhancement pilot projects published in Government Notice No. 353 of *Government Gazette* 34241 dated 21 April 2011;
- General Guidelines for Marine Ranching and Stock Enhancement in South Africa published in Government Notice No. 729 of *Government Gazette* 33470 dated 20 August 2010; and
- Guidelines and Potential Areas for Marine Ranching and Stock Enhancement of Abalone (*Haliotis midae*) in South Africa published in Government Notice No. 729 of *Government Gazette* 33470 dated 20 August 2010.

The process shall be done in two phases, Phase 1 and Phase 2.

**Phase 1** involves the submission of registration forms with the relevant documentation specified in the Registration form. At this phase, the Small-scale fishing right holder will be evaluated based on the Exclusionary Criteria. After the initial screening of the registration documents and supporting documentation by the Department, the Small-scale fishing right holder will be informed on whether to proceed with Phase 2.

**Phase 2** involves the Public Participation process and the submission of full proposal as outlined in the Criteria and Invitation to apply for abalone ranching pilot projects. At this phase, the full proposal will be evaluated against the Balancing Criteria. Specific studies to be undertaken by the the Small-scale fishing right holder are highlighted in the "General Guidelines for Marine Ranching and Stock Enhancement in South Africa".

#### CRITERIA FOR ALLOCATING A RIGHT FOR ABALONE RANCHING EXCLUSIONARY CRITERIA (to be applied in Phase 1 of the registration process)

CRITERIA	RATIONALE
(a) Registration form	All Small-scale fishing right holder must complete a registration form. Registrations will not be considered if incorrectly completed or incomplete. All registration forms must be accompanied by a valid tax clearance from the South African Revenue Services (SARS).
(b) Access to finance	Small-scale fishing right holders must demonstrate that they will have access to finance in order to implement the project. This can include third party agreements to confirm funding sources.
(c) Access to seed/spat	Small-scale fishing right holders must demonstrate that they have access to spat/seed from a reputable hatchery that is authorised by the Department. A hatchery seed supply agreement must be signed and submitted to the Department.



## BALANCING CRITERIA (to be applied in Phase 2 of the registration process)

CRITERIA	RATIONALE
(a) Equity and job creation	<p>Inequalities of the past and the decline in fish stocks have compromised the viability of coastal livelihoods resulting in hardships for coastal communities. These communities should thus be the primary beneficiaries and partners of opportunities for the marine-based component of Ranching and Stock Enhancement of abalone Pilot Projects. Although the nature of the Pilot Projects for Marine Ranching and Stock Enhancement of abalone activities are recognised, provision for permanent jobs or greater levels of job security for lower level skilled and unskilled staff is promoted. Small-scale fishing co-operative must indicate how they will create significant amounts of jobs per tonnage harvested. This may be direct employment (employed by the co-operative) or through a third party partner of the co-operative.</p> <p>Additionally, any third party or a contracted partner of the co-operative will be required to produce a beneficiation plan for the members of the said co-operative applying. The plan must demonstrate the role of the co-operative members within the agreement, the role of the contracted partner for the purpose of development and empowerment of the co-operative members from the applying co-operative. It should also detail how all parties involved including the local community will benefit from ranching.</p> <p><b>Documents required:</b>  <b>1) Beneficiation Plan</b></p>
(b) Capacity (Technical)	<p>Pilot Projects for Marine Ranching and Stock Enhancement of abalone require a widespread, level of technical ability (involvement in related fishing sector, fish farming, diving etc.) and an understanding of marine aquaculture sector.</p> <p>A Small-scale fishing co-operative will need to display a well-rounded technical capability and capacity or source expertise and well-rounded technical capability.</p> <p><b>Documents required:</b>  <b>1) Technical capacity report. This report must detail how ranching will be undertaken.</b></p>
(c) Future socio economic Investment in the sector and community	<p>Small-scale fishing right holders must be able to demonstrate a detailed socio economic plan on how the ranching right will benefit the community. Applicants will have to show how they intend to process and market marine ranching products, while including local women and youth in its operations.</p> <p>Demonstration of social investment within the broader fishing community.</p> <p><b>Documents required:</b>  <b>1) Socio-economic Investment and inclusive marketing plan</b></p>
(d) Environmental considerations	<p>The applicant should be able to identify key environmental risks and demonstrate how the risks will be managed by a specialist. A copy of an agreement for the appointment of a specialist to monitor environmental impacts should be provided.</p> <p><b>Documents required:</b>  <b>1) Environmental Risk Assessment</b>  <b>2) Public participation process report</b>  <b>3) Monitoring plan</b>  <b>4) Baseline Assessment</b></p>