



Department of Environmental Affairs  
**Annual Report**

2011/12



**environmental affairs**

Department :  
Environmental Affairs  
**REPUBLIC OF SOUTH AFRICA**

This annual report is printed on  
Triple Green paper.





Department of Environmental Affairs

# Annual Report 2011/12



Department of Environmental Affairs

# Annual Report 2011/12

Published by:  
Chief Directorate: Communications  
Department of Environmental Affairs



# Table of contents

Foreword by the Director-General	2
Information on the Ministry	3
Legal and Constitutional Mandate	4
Vision, Mission and Values	9
Executive Management	10
Director-General's Introduction	11
Public Entities Reporting to the Minister	15
Programme Performance Report	17
Annual Financial Statements	50
Human Resources Oversight	137
Acronyms and Abbreviations	168



## Foreword by the Director-General



**Ms Nosipho Ngcaba**  
**Director-General**  
**Environmental Affairs**

In terms of section 40(1) of the Public Finance Management Act (PFMA), 1999, and the Treasury Regulations paragraph 18, the accounting authority of a department must submit an annual report with audited financial statements and the Auditor-General's report to the relevant executive authority for tabling in Parliament.

This report complies with all statutory reporting requirements for the department. It gives assurance that government resources were used in a manner consistent with applicable corporate governance principles to deliver services for which the resources were allocated.

In my capacity as the accounting officer of the Department of Environmental Affairs, I hereby formally submit for your consideration as the executive authority, the department's 2011/12 financial year annual report with audited financial statements and the Auditor-General's report for tabling in Parliament.

**Ms Nosipho Ngcaba**  
**Director-General**  
**Department of Environmental Affairs**  
**Date: 31 August 2012**



## Information on the Ministry



**Ms Edna Molewa, MP**  
**Minister**  
**Water and Environmental Affairs**



**Ms Rejoice Mabudafhasi, MP**  
**Deputy Minister**  
**Water and Environmental Affairs**

The Minister and Deputy Minister of Water and Environmental Affairs oversee the work of two portfolios through the Department of Environmental Affairs and the Department of Water Affairs. The Ministry's budget is under the Department of Water Affairs and payment of international travel expenses for both the Minister and the Deputy Minister is

therefore processed by that department. To avoid duplicate reporting, detailed information in relation to international trips undertaken by both the Minister and the Deputy Minister of the Department will be reflected by the Department of Water Affairs in its 2011/12 annual report.



# Legal and Constitutional Mandate

The mandate and core business of the Department of Environmental Affairs is underpinned by the Constitution and all other relevant legislation and policies applicable to the government, including the Batho Pele White Paper. In addressing the imperatives for sound environmental management, the following are among the pieces of legislation and policies that have been enacted to give effect to the constitutional environmental rights of all South Africans:

## ACTS OF PARLIAMENT

**The National Environmental Management Act (NEMA)**, 1998 (Act No. 107 of 1998), which establishes the concepts of participatory, cooperative and developmental governance in environmental management. It establishes principles for environmental management and provides for structures to facilitate these.

**The National Environmental Management Amendment Act**, 2003 (Act No. 46 of 2003), which deals with compliance and enforcement and provides for environmental management inspectors (EMIs).

**The National Environmental Management Amendment Act**, 2004 (Act No. 8 of 2004), which streamlines the process of regulating and administering the impact assessment process. Chapter 5 of the act lays down procedures with which the Minister or MEC, as the case may be, must comply before listing or delisting an activity.

**The National Environmental Management: Protected Areas Amendment Act**, 2009 (Act No. 15 of 2009), which provides for the assignment of national parks, special parks and heritage sites to South African National Parks; makes provision for flight corridors and permission of the management authority to fly over a special national park, national park or heritage site; and provides for the winding up and dissolution of South African National Parks.

**The National Environment Laws Amendment Act**, 2008 (Act No. 44 of 2008), which amends

the National Environmental Management Act, 1998, so as to clarify an uncertainty in the act; authorises the Minister of Water Affairs and Forestry to designate persons as environmental management inspectors; provides for environmental management inspectors to be regarded as peace officers as contemplated in the Criminal Procedure Act, 1977; and amends the National Environmental Management: Air Quality Act, 2004, so as to substitute Schedule 1 to that act.

**The National Environmental Management Amendment Act**, 2008 (Act No. 62 of 2008), which empowers the Minister of Minerals and Energy to implement environmental matters in terms of the National Environmental Management Act, 1998, in so far as it relates to prospecting, mining, exploration or related activities; aligns environmental requirements in the Mineral and Petroleum Resources Development Act (MPRDA), 2002 (Act No. 28 of 2002), with NEMA (1998), by providing for the use of one environmental system and by providing for environmental management programmes; and further regulates environmental authorisations.

**The National Environment Laws Amendment Act**, 2009 (Act No. 14 of 2009), which amends the Atmospheric Pollution Prevention Act, 1965, so as to adjust the penalties provided for in the said act, the Environment Conservation Act, 1989, so as to adjust the penalties provided for in the said act, the National Environmental Management: Air Quality Act, 2004, so as to provide for a processing fee to review a licence, and to include directors or senior managers in a juristic person for the criteria for a fit and proper person.

**The World Heritage Convention Act**, 1999 (Act No. 49 of 1999), which provides for the cultural and environmental protection and sustainable development of, and related activities in a world heritage site.

**The National Environmental Management: Biodiversity Act**, 2004 (Act No. 10 of 2004), which significantly reforms South Africa's laws



regulating biodiversity. Its sets out the mechanisms for managing and conserving South Africa's biodiversity and its components; protecting species and ecosystems that warrant national protection; the sustainable use of indigenous biological resources; the fair and equitable sharing of benefits arising from bioprospecting, including indigenous biological resources; and the establishment of the South African National Biodiversity Institute.

**National Environmental Management: Protected Areas Act**, 2003 (Act No. 57 of 2003), which provides for the protection and conservation of ecologically viable areas. It further provides for the establishment of a national register of protected areas and the proclamation and management of these areas.

**The National Environmental Management: Protected Areas Amendment Act**, 2004 (Act No. 31 of 2004), which provides for a national system of protected areas in South Africa as part of a strategy to manage and conserve the country's biodiversity. A significant part of this act is that the state is appointed as the trustee of protected areas in the country.

**The National Environmental Management: Air Quality Act**, 2004 (Act No. 39 of 2004), which reforms the law regulating air quality in order to protect the environment by providing reasonable measures for the prevention of pollution and ecological degradation and for securing ecologically sustainable development; and provides for national norms and standards regulating air quality monitoring.

**The National Environmental Management: Integrated Coastal Management Act**, 2008 (Act No. 24 of 2008), which establishes a system of integrated coastal and estuarine management in the Republic; ensures that development and the use of natural resources within the coastal zone is socially and economically justifiable and ecologically sustainable; determines the responsibilities of organs of state in relation to coastal areas; controls dumping at sea and pollution in the coastal zone; and gives effect to South Africa's international obligations in relation to coastal matters.

**The National Environmental Management: Waste Act**, 2008 (Act No. 59 of 2008), which reforms the law regulating waste management in order to protect health and the environment by providing reasonable measures for the prevention of pollution; provides for national norms and standards for regulating the management of waste by all spheres of government; and provides for the licensing and control of waste management activities.

**South African Weather Service Act**, 2001 (Act No. 8 of 2001), which established the South African Weather Service; determined its objects, functions and method of work, the manner in which it is to be managed; and governs and regulates its staff matters and financial affairs.

**Sea Shores Act**, 1935, (Act No. 21 of 1935), which declares the President to be the owner of the sea-shore and the sea within South Africa's territorial water and regulate the granting of rights and alienation thereof.

**Sea Birds and Seals Protection Act**, 1973 (Act No. 46 of 1973), which provides for control over certain islands and rocks for the protection and conservation of seabirds and seals.

**Dumping at Sea Control Act**, 1980 (Act No. 73 of 1980), which regulates the control of dumping substances at sea.

**Sea Fishery Act**, 1988 (Act No. 12 of 1988). Most of the powers in terms of this act had been transferred to the Minister of Agriculture, Forestry and Fisheries. The Minister only retains powers in terms of section 38 of the act.

**Antarctic Treaties Act**, 1996 (Act No. 60 of 1996), which provides for the implementation of certain treaties relating to Antarctica. The treaty is primarily concerned with the regulation of activities in Antarctica, including territorial claims, research and strict environmental protection in general and the protection of certain identified species such as seals.

**Marine Living Resources Act**, 1998 (Act No. 18 of 1998), which deal with the long-term sustainable uti-



lisation of marine living resources. Most of the powers and functions in terms of this Act had been transferred to the Minister of Agriculture Forestry and Fisheries. The Minister of Water and Environmental Affairs only retained functions pertaining to the Marine Protected Areas, certain regulatory powers that relates to the protection of the marine environment.

**Prince Edward Islands Act, 1948** (Act No. 43 of 1948), which provide for the confirmation of the annexation to the Union of South Africa of the Prince Edward Islands, and for the administration, government and control of the said islands.

## REGULATIONS

Environment Conservation Act, 1989 (Act No. 73 of 1989)

- Waste Tyre Regulations, 2008, which regulate the management of waste tyres by providing for the regulatory mechanisms.
- Regulations under section 24(d) of the ECA – plastic carrier bags and plastic flat bags, 2003, which regulate the manufacture, trade and commercial distribution of domestically produced and imported plastic carrier bags and plastic flat bags.
- Regulations regarding waste disposal sites, 1994, which regulate the establishment and operation of landfill sites in the Republic of South Africa.
- Regulations for the prohibition of the use, manufacturing, import and export of asbestos and asbestos containing materials, 2008, which prohibit the use, manufacture, import and export of asbestos and asbestos containing materials.
- Noise control regulations in terms of section 25, 1992, which regulate noise pollution.

National Environmental Management Act, 1998 (Act No. 107 of 1998)

- Protected Areas Act: Admission of Guilt Fines, 2011, which specify offences in respect of which an admission of guilt fine may be paid.
- Environment Impact Assessment (EIA) Regulations, 2010, which regulate procedures and criteria, as contemplated in Chapter 5 of NEMA, for the submission, processing, consideration and decision of applications for environmental authorisations of activities and for matters pertaining thereto.

- Environmental Impact Assessment Regulations Listing Notice 1 of 2010, which identify activities that would require environmental authorisations prior to commencement of that activity and to identify competent authorities [basic assessment].
- Environmental Impact Assessment Regulations Listing Notice 2 of 2012, which identify activities that would require environmental authorisations prior to commencement of that activity and to identify competent authorities [scoping and environmental impact reporting].
- Environmental Impact Assessment Regulations Listing Notice 3 of 2012, which list activities and identify competent authorities where environmental authorisation is required prior to commencement of that activity in specific identified geographical areas.
- Environmental Management Framework Regulations, 2010, which regulate the use of environmental management frameworks (maps and certain information) in the consideration of applications for environmental authorisations in or affecting the geographical areas to which those frameworks apply.
- Regulations Relating to Qualification Criteria, Training and Identification of, and Forms to be used by, Environmental Management Inspectors, 2006, which regulate administrative matters pertaining to the Environmental Management Inspectors.
- Regulations for the Establishment of a Designated National Authority for the Clean Development Mechanisms, 2005, which regulate the establishment of the DNA, powers, duties and administrative matters.
- Regulations Relating to Identification of Environmental Management Inspectors, 2005, which regulate the issuing of identity cards to environmental management inspectors.
- Regulations controlling the use of vehicles in the coastal zone, 2001, which regulate recreational use of vehicles in the coastal zone.

National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003)

- Regulations for the Proper Administration of Nature Reserves, 2012, which sets out the powers and duties of the management authorities as well as management of nature reserves.



- Regulations for the proper Administration of the Knysna Protected Environment, 2009, which regulate the management of the protected environment in accordance with the Act and the management and protection of the ecological integrity of the protected environment.
- Regulations for the Proper Administration of Special Nature Reserves, National Parks and World Heritage Sites, 2005, which regulate the conservation and management of special nature reserves, national parks and world heritage sites.

#### National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004)

- CITES Regulations, 2010, which regulate the conservation and sustainable utilisation of plants and animals within the Republic in accordance with the Convention on International Trade in Endangered Species of Wild Fauna and Flora, 1973.
- Threatened or Protected Species (TOPS) Regulations, 2007, which regulate the permit system set out in Chapter 7 of the Biodiversity Act insofar as that system applies to restricted activities involving specimens of listed threatened or protected species, the registration of captive breeding operations, commercial exhibition facilities, game farms, nurseries, scientific institutions, sanctuaries and rehabilitation facilities and wildlife traders, the regulation of the carrying out of a specific restricted activity, namely hunting, the prohibition of specific restricted activities involving specific listed threatened or protected species, the protection of wild populations of listed threatened species and the composition and operating procedure of the Scientific Authority.
- Regulations for bioprospecting, access and benefit-sharing, 2008, which regulate regulate the permit system set out in Chapter 7 of the Act insofar as that system applies to bioprospecting involving any indigenous biological resources or export from the Republic of any indigenous biological resources for the purpose of bioprospecting or any other kind of research and set out the contents of, the requirements and criteria for benefit-sharing and material transfer agreements.

#### Sea shore Act, 1935 (Act No. 21 of 1935)

- General regulations, 1955, for the management of the seashore including the removal of sand, rock etc from the seashore and the charging of fees.

#### Dumping at Sea Control Act, 1980 (Act No. 73 of 1980)

- Regulations made in terms of section 8 of the Act, 1988, which provides for the process for permitting dumping activities at sea.

#### Antarctic Treaties Act, 1996 (Act No. 60 of 1996)

- Regulations made in terms of section 6 of the Act, 1997, which prohibits the catching of any Antarctic marine living resource protected by conventions without a permit.

#### Marine Living Resources Act, 1998 (Act No. 18 of 1998)

- Regulations for the management of the Amathole Marine Protected Area, which provides for the protection and conservation of the marine environment and the marine biodiversity in the Amathole region.
- Regulations for the management of the Table Mountain Marine Protected Area, which provides for zonation and control of activities in the marine protected area.
- Regulations for the management of the Aliwal Shoal Marine Protected Area, which provides for zonation and control of activities in the marine protected area.
- Regulations for the management of the Pondoland Marine Protected Area, which provides for zonation and control of activities in the marine protected area.
- Regulations for the management of the Bird Island Marine Protected Area, which provides for zonation and control of activities in the marine protected area.
- Regulations for the management of the Still Bay Marine Protected Area, which provides for zonation and control of activities in the marine protected area.
- Regulations to manage boat-based whale-watching and protection of turtles, which promotes the economic growth of the boat-based whale-watching industry and to redress past racial and gender discrimination in this



industry; provides for control of the boat-based viewing of whales and dolphins, so that these activities may take place in a manner that does not threaten the safety of individuals or the wellbeing of the whales and dolphins; and provides for control over the viewing of turtles to protect and minimise any adverse impact on turtles.

- Regulations for the management of white shark cage diving, which promotes the economic growth of the white shark industry and redresses past racial and gender discrimination in this industry; provides for control over diving to view white sharks or the boat-based viewing of white sharks, so that these activities may take place in a manner that does not threaten the safety of divers or the wellbeing of the white sharks; and provides for control over the number of white shark cage diving operations in order to manage any adverse impact on white shark behaviour and to protect white sharks.

## POLICIES

Policies relating to the fishing sector and non-consumptive marine living resources

- White Paper for Sustainable Coastal Development in South Africa, 2000

Other policies

- White Paper on National Climate Change Response, 2011
- White Paper on Integrated Pollution and Waste Management, 2000
- White Paper on Environmental Management, 1998
- White Paper on Conservation and Sustainable Use of Biodiversity, 1997





# Vision, Mission and Values

## Vision

A prosperous and equitable society living in harmony with our natural resources.

## Mission

Providing leadership in environmental management, conservation and protection towards sustainability for the benefit of South Africans and the global community.

## Values

Driven by our **Passion** as custodians and ambassadors of the environment we have to be **Proactive** and foster innovative thinking and solutions to environmental management premised on a **People-centric** approach that recognises the centrality of Batho Pele, for it is when we put our people first that we will serve with **Integrity**, an important ingredient in a high **Performance** driven organisation such as ours





# Executive Management



**Mr Fundisile Mketeni**  
Deputy Director-General:  
Biodiversity and Conservation



**Ms Lize McCourt**  
Chief Operating Officer



**Mr Alf Wills**  
Deputy Director-General:  
Environmental Advisory Services



**Dr Monde Mayekiso**  
Deputy Director-General:  
Oceans and Coasts



**Ms Judy Beaumont**  
Deputy Director-General:  
Climate Change



**Mr Ishaam Abader**  
Deputy Director-General:  
Legal, Authorisation and Compliance  
Inspectorate



**Dr Guy Preston**  
Deputy Director-General:  
Environmental Programmes



# Director-General's Introduction

In March 2011 we tabled the departmental annual performance plan for the 2011/12 financial year to Parliament, as required by legislation. The annual performance plan provided clear details on specific objectives and measurable targets, to which we committed ourselves. These commitments were an expression of our Constitutional mandate of ensuring that South Africans live in an environment that is not harmful to their health and wellbeing. I have pleasure in presenting this annual report of the Department of Environmental Affairs for the period 01 April 2011 to 31 March 2012. This report is to us not only an obligatory legislative requirement, but also an important tool which presents us with an ideal opportunity to take note of our achievements, while we reflect on the challenges, and identify possible corrective interventions to overcome these challenges.

## Administration and support

The ability to recruit and retain the right skills and talent in the labour market is an important factor in enabling the department to effectively carry out its Constitutional mandate. As a result we continue to review and implement appropriate human resource strategies, to ensure that we are able to attract the right people and ensure that both our vacancy and turnover rates are maintained at an acceptable level. By the end of the 2011/12 financial year we managed to reduce our vacancy rate to 11.5 percent and turnover rate of 10.6% from a baseline of 26% and 19% respectively.

Transformation remains an important element of redressing the socio-economic imbalances of our society; therefore, meeting the set employment equity targets is critical for the department. An area of major concern which requires immediate attention is in relation to the recruitment of people with disabilities. The department is in the process of developing and finalising a comprehensive strategy, aimed at enabling us to effectively deal with the challenges within the labour market and also ensure that we can retain the talent we have recruited.

In 2011/12 the department spent R 605 million for goods and services on Broad Based Black

Economic Empowerment (BBBEE)/ Black Economic Empowerment (BEE) service providers. This figure amounted to 65% of total procurement expenditure for the period and is part of our commitment and obligation in making a contribution towards economic transformation of our society.

During the financial year, the department responded on time to 98% of all the queries (a total of 20) received from the Presidential Hotline which was launched in 2009. The few cases which could not be finalised within the prescribed time were those which required extensive investigation to finalise. In the spirit of Batho Pele, we will continue to deal promptly with all queries, and in cases where more time will be required to respond to the queries, regular updates will be provided to stakeholders.

In 2011/12 we had hoped to commence with the construction phase of our new "Green" building. Whilst the actual construction had not started by the end of the financial year as planned, we were very pleased with the progress we have made in this regard, given the nature and complexity of the project. Significant progress was made on the key issues which were outstanding and construction of our new building will begin early in the 2012/13 financial year.

## Environmental Quality and Protection

When we presented our medium term strategic plan in March 2011, we also identified as one of the department's key priorities, the need to focus our efforts in strengthening our capacity to enforce and improve compliance with the current environmental legislation and regulatory frameworks. In the past years we have worked hard in ensuring that appropriate laws and regulations are put in place to protect our environment. Whilst we will continue to review and enhance the current regulatory frameworks, we acknowledge the need to prioritise our resources towards implementation of various interventions aimed at curbing the growing trend of non-compliance with the existing environmental regulatory frameworks.



During the period under review, a total of 46 inspections were conducted on facilities to ensure compliance with environmental authorisations and other applicable regulatory requirements. As a result of information gathered during these inspections on facilities, a total of 25 administrative enforcement actions were issued to various organisations and individuals. Follow-up inspections have indicated that 67% of administrative actions resulted in compliance.

The number of environmental related incidents and complaints which we receive on a daily basis from ordinary South Africans are an important element in our efforts to protect the environment. Every single incident reported is also an indication that there are many within our society who are conscious of their responsibility and committed to protecting the environment. During the financial year, 58 complaints and incidents were reported to the department. All of these reported incidents were processed and are at various stages of investigation and finalisation.

In 2011/12 financial year the department also facilitated the processing and finalisation of 389 applications for environmental authorisations. 67% (260) of these 389 applications were processed and finalised within the prescribed legislative timeframes. The outstanding applications are being prioritised. The high number of applications received during the year has continued to put pressure on our available human resource capacity to process all applications within the required timeframes.

## Oceans and Coasts

As part of our efforts towards the development of an ocean management regime, we commenced drafting the Green Paper on Oceans Management in consultation with key stakeholders. This is aimed at enabling us to effectively protect, manage conserve our ocean and coastal environment. A draft guide for the development of Coastal Management Programme has also been developed.

Relevant and reliable information is important in enabling us to effectively manage and protect our ocean and coastal environment. Through

the South African National Antarctica Programme (SANAP), the department continues to maintain South Africa's research presence in the Antarctica and the Islands. In 2011/12 we successfully undertook all three planned expeditions to the Marion and Gough Islands, as well as the South African National Antarctic Expedition base (SANAE). The scientific data that is collected during these research expeditions is very critical in helping us contribute to the global research community's understanding of among other things, the impacts of climate change and weather information associated with extreme events.

Construction of the new state of the art polar vessel to replace the old SA Agulhas was also completed during the financial year. The new vessel is an important infrastructure investment, which will enhance our research capabilities and improve the country's ability to predict changes in weather patterns and potential climate change impacts. As part of our continuous efforts to improve South Africa's ability and readiness to deal with oil spills and related environmental disasters, we have reviewed and updated 7 of the 25 existing local emergency response plans.

## Climate Change

Following an extensive and consultative process with relevant stakeholders, Cabinet approved in October 2011 South Africa's National Climate Change Response Policy. The Climate Change policy set out South Africa's climate change response strategy and presents our country's vision for an effective climate change response and along term transition to a climate resilient and low-carbon economy and society. Our work in the 2012/13 financial year and going forward will focus on implementation of the imperatives set out in that climate change policy.

In December 2011 South Africa hosted a successful and historic 17<sup>th</sup> Conference of the Parties (COP17) to the United Nations Framework Conference on Climate Change (UNFCCC) in Durban. As part of the build-up to the Climate Change conference, the department led the implementation of the various consultation initiatives, including the hosting of nine "provincial climate change summits" and



an intensive public awareness campaign. These initiatives were in the main aimed at ensuring that all spheres of government, ordinary South Africans and all other stakeholders were afforded an opportunity to participate fully towards South Africa's negotiating position at the conference. The build-up to the conference was an opportunity to raise awareness amongst ordinary people around issues of climate change and the simple choices that each one of us could make to minimise its negative impact.

The outcome of the conference was amongst the most significant and precedent setting since the adoption of the Kyoto Protocol in 1997. Delegates in Durban agreed to the adoption of the five year, second commitment period to the Kyoto Protocol beyond the expiry of the current commitment period in 2012, and to work towards a new long term pathway for the development of a fair, unambiguous and legally binding, future multilateral and rules based global climate change system. Such a system would need to balance the environmental and development imperatives.

### **Biodiversity and conservation**

During the reporting period the Biodiversity and Conservation programme continued to make significant progress towards promoting the conservation and sustainable use of our natural resources, while making a contribution towards economic growth and poverty alleviation. The overall percentage of land under conservation was increased from 6.4% to 7.3% during the period under review, exceeding the planned target of 6.9%. This amounted to a total of 9 025 964 hectares of land under conservation.

All permit applications relating to bioprospecting, access and benefit sharing applications (8), Threatened or Protected Species (10) and Convention on International Trade in Endangered Species of Wild Fauna and Flora (43) were processed and issued within prescribed time frames.

Working in partnership with other key stakeholders within the sector, a total of 31 398 hectares of land was rehabilitated, restored and conserved.

The minimum standards for the management of captive elephants were also finalised and approved as part of our efforts to ensure development and implementation of appropriate legislative tools for the protection of species and ecosystems.

### **Services sector, Environmental Awareness and International Relations**

Improving environmental education and awareness remains an important aspect of our work. All of our efforts aimed at protecting and conserving our environmental assets for the benefit of the current and future generations will be further advanced when the majority of our citizens understand and appreciate the importance of the environment and the responsibility they have towards its protection. During the financial year, 100 environmental education and awareness workshops were conducted with various key stakeholders and a total numbers of 69 teachers were trained in environmental education.

In November 2011, Cabinet approved South Africa's National Strategy for Sustainable Development and Action Plan (NSSD1) for implementation. The strategy is aimed at providing both the private and public sector organisations with guidance in their long term planning and sets out key areas which require attention, to enable a shift towards a more sustainable development path. The NSSD1 is being implemented between the period 2011 and 2014. The lessons learned during the implementation period will inform the review process and development of the second phase of the strategy, which will cover the 2015-2020 period.

The department also continued to lead South Africa's participation in international engagements at multilateral and bilateral levels, with the aim of advancing our national and regional interests in relation to issues of environmental management and sustainable development. The ongoing economic crisis within the Euro Zone has negatively affected our ability to mobilise the much needed financial and technical resources from various multinational and bilateral donors, at the scale we had anticipated. In the 2011/12 financial year,



the department was able to mobilise a total of US\$ 24 060 million from multilateral and bilateral donors, which was less than the US\$ 35 Million we had hoped to mobilise.

### Implementation of the Environment Sector of the Expanded Public Works Programme (EPWP)

The fight against unemployment and the eradication of poverty remains one of government's key priorities to which all of us have a responsibility and obligation to make a contribution. At the beginning of the period under review, in April 2011, the Natural Resources Management Programme was transferred from the Department of Water Affairs to this department. The transfer of the Natural Resources Management Programme was an addition to our already existing Social Responsibility Programme. The two programmes are labour intensive and form part of Government's "Expanded Public Works Programme". The addition has therefore increased our capacity to create more employment and skills development opportunities for the environment and culture sector. Some of the notable achievements in the implementation of these programmes during the 2011/12 financial year include the creation of 65 182 new work opportunities, against a planned annual target of 48 084. Furthermore, a

total of 49 746 accredited person training days and 23 074 full time equivalent jobs (FTEs) were created. As part of our ongoing commitment to support emerging businesses, a total 846 small, micro and medium-sized enterprises (SMMEs) were in the implementation of our programme. There were 548 young people from various communities who have also benefited from the implementation of the National Youth Service.

### Conclusion

The achievement and the challenges reflected in this annual report are not only a reflection of strides made but of a continued commitment to deliver on our priorities identified in March 2011. I wish to take this opportunity to express my sincere gratitude to both the Minister and the Deputy Minister for their leadership and support and to all our employees, as well as our stakeholders for their cooperation, commitment and dedication in working towards the realisation our long term vision.

**Ms Nosipho Ngcaba**  
**Director-General**  
**Department of Environmental Affairs**  
**Date: 31 August 2012**





# Public Entities Reporting to the Minister

The following public entities report to the Minister

- South African National Biodiversity Institute
- iSimangaliso Wetland Park Authority
- South African Weather Service
- South African National Parks

## 1. South African National Biodiversity Institute (SANBI)

The South African National Biodiversity Institute (SANBI) was established on 1 September 2004 in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004). The mandate of SANBI is to play a leading role in South Africa's national commitment to biodiversity management, now and into the future. In partnership with the Department of Environmental Affairs and the biodiversity sector SANBI is tasked to lead the biodiversity research agenda; provide knowledge and information; give policy support and advice; manage gardens as windows to our biodiversity for leisure, enjoyment, spiritual upliftment and education; and engage in ecosystem restoration and rehabilitation programmes and best-practice models to manage biodiversity better.

Research remains central to SANBI's agenda and as such the entity continues leading the research agenda relating to climate change and bio-adaptation. The research is aimed at enhancing climate change policy development and decision making by increasing access to appropriate scientific knowledge. In an attempt to increase participation of previously disadvantaged individuals in biodiversity SANBI intends implementing programmes to encourage participation in biodiversity science at school level and to strengthen the quality of biodiversity teaching and learning. Furthermore, SANBI's priorities will focus on establishing strategic partnerships with education departments and schools to increase visibility and access to information on careers in the biodiversity sectors. SANBI also contributes to the key government priority on eradication of poverty

through the creation of decent and sustainable employment, by implementing a number of collaborative programmes focusing on the rehabilitation of ecosystems.

## 2. iSimangaliso Wetland Park

The iSimangaliso Wetland Park ("Park") was inscribed as South Africa's first world heritage site in December 1999 as an area of exceptional and outstanding universal heritage significance. The natural values in terms of which the Park was inscribed on the World Heritage list, include outstanding examples of ecological processes, superlative natural phenomena and scenic beauty, and exceptional biodiversity and threatened species. The Park has thus received recognition under three of four natural criteria recognised by the World Heritage Convention.

The Park is 332 000 ha and comprises 9% of South Africa's coastline. It includes five eco-systems (marine, coastal dunes, lake systems, wetlands, and woodlands). The species lists for the Park are the longest in the region. Of the species listed in the Park 56 are endemic to KwaZulu-Natal, 108 to South Africa and 467 are listed as threatened and endangered in South Africa. The Park also has 4 RAMSAR sites.

The Park is located in one of South Africa's poorest rural areas. It has been largely under claim. All but 3 of the land claims settlements have been concluded and a series of co-management agreements have been signed with land claimants. The management of such a diverse asset in an area of extreme poverty and high expectations requires an adaptive and inclusive approach.

## 3. South African Weather Services (SAWS)

The South African Weather Services was established in accordance with the South African Weather Service Act, 2001 (Act No. 8 of 2001). Its mandate



is gazetted in the said act. In terms of their vision statement, SAWS is striving “to be the foremost provider of relevant services in respect of weather, climate and related products, which contribute to sustainable development in South Africa and the African Continent”. SAWS aims to provide useful and innovative weather, climate and related products and services for all South African and the African Continent through the following: Enhancing observational data and communications networks; Effectively developing and managing talent within the sector; Enhancing collaborative partnerships and effectively disseminating weather services products to the users; Utilising cutting edge technology to convert data into meaningful products and services for risk mitigation; Advancing the science of meteorology, research and relevant applications; and enhancing fiscal discipline and resource mobilisation to ensure sustainability.

In their continued efforts to carry out their legal mandate, the work of SAWS will in the next medium term, be guided by their five key strategic goals which they have identified as follows: To ensure the continued relevance of the organisation in delivering meteorological related products and services in compliance with all applicable regulatory frameworks; To ensure effective management of stakeholder, partner and key client relations; To address the short term viability and long-term sustainability of the entity’s revenue and ensure continued fiscal discipline; To ensure continuous organisational effectiveness and efficiency; and to ensure the availability of strategy-driven human capital capacity for the performance of the entity.

#### **4. South African National Parks (SANParks)**

The South African National Parks (SANParks) was established in terms of the National Environmental

Management: Protected Areas Act, 2003 (Act No. 57 of 2003). In terms of this act, the primary mandate of SANParks is to oversee the conservation of South Africa’s biodiversity, landscapes and associated heritage assets through a system of national parks. The core areas which provide a fundamental basis of the SANParks’ mandate are: conservation management through the national parks system, constituency building and people focused eco-tourism management; and corporate governance and sound business and operational management.

The organisation has a significant role in the promotion of South Africa’s nature-based tourism or ecotourism business targeted at both international and domestic tourism markets. The eco-tourism pillar of the business architecture provides for the organisation’s self-generated revenues from commercial operations that is necessary to supplement government funding of conservation management. The work of SANParks also focuses on building strategic partnerships at international, national and local levels, in support of the conservation of the natural and cultural heritage of South Africa. It also has to ensure that South Africans participate and get involved in biodiversity initiatives, and that all its operations have a synergistic existence with neighbouring communities for their educational and socio-economic benefit.

SANParks is currently responsible for the management of a total of 22 national parks. The following core areas provide a fundamental basis of the SANParks’ mandate, and have informed the business architecture of SANParks: Conservation management through the national parks system; Constituency building and people focused; Ecotourism management; and Corporate governance; sound business and operational management.



# Programme Performance

# Programme performance

## PROGRAMME 1: ADMINISTRATION AND SUPPORT

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Equitable and sound corporate governance	Percentage compliance with statutory tabling and prescripts	100% compliance	100% compliance	100% (DEA 2010/11 Annual Report and 2012/13 Strategic Plan and Annual Performance Plan tabled on time)		
	Unqualified external audit report	Unqualified audit reports	Unqualified audit report	2010/11 unqualified audit report		
	Percentage expenditure	98%	98%	98% (R4,202 billion budget – R4,109 billion spent)		
	Percentage of expenditure on affirmative procurement	58%	59%	65% (R605 million out of R925 million)		
	Percentage of implementation of audit recommendations/ mitigation actions	60%	80%	Average of 87% (External Audit: All 17 recommendations were implemented = 100%, Internal Audit: 94 of 127 recommendations were implemented = 74%)		
Improved access to information	Percentage Presidential Hotline queries processed within time frames (within 3 working days)	100%	100%	98% (A total of 20 hotline queries received and dealt with)	Delays in resolution of queries, which required intensive investigation	
	Percentage share of voice in the media	55%	55%	55%		
	Number of public awareness and public participation events	8	5	10		
	Frequency of new website updates	A website that requires to be regularly updated	Website updated on weekly basis	<ul style="list-style-type: none"> <li>DEA website updated on a weekly basis</li> <li>New DEA website development completed</li> </ul>		
Adequately and appropriately skilled staff	Percentage vacancy rate	26% vacancy rate	14%	11,5% (175/1 461)		
	Percentage turnover rate	19% turnover rate	14%	10,6% (122/1 149)		
	Percentage implementation of PMDS Policy Framework	95% implementation of PMDS Policy Framework	95%	98% (781 assessed out of 795)		
	Percentage implementation of WSP	40% implementation of WSP	60%	100% (All 448 planned training as per approved 2011/12 WSP conducted)		



## PROGRAMME 1: ADMINISTRATION AND SUPPORT (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Secure, harmonious, transformed and conducive working environment	Percentage labour matters processed within prescribed time frames	99% of labour matters processed within prescribed time frames	95%	100% (All 11 cases have been dealt with in prescribed time frames in accordance with the regulatory framework)		
	Percentage implementation of annual employee wellness schedule	75% implementation of annual employee wellness schedule	100%	92% (24 of 26 activities on the annual wellness schedule implemented)	Human resources and financial constraints	2012/13 wellness schedule to be implemented
	Percentage implementation of culture intervention	70% implementation of culture intervention	90%	100% of planned activities carried out (a two-day culture champion workshop, 3D engagement, 23 face-to-face interviews, 6 telephonic interviews, 5D consultation/ feedback, and engagements with DG and COO)		
	Percentage women in DEA	50% women	50%	56% (727/1291)		
	Percentage blacks in DEA	86% blacks	90%	88% (1 134/1 291)	Availability of skills and staff turnover are contributory factors to the target being marginally missed	Continue recruitment efforts in the new financial year
	Percentage people with disabilities in DEA	1.6% people with disabilities	2%	1,5% (20/1 291)	Insufficient pool of relevant skill available, especially in scarce skills categories	Draft Disability Strategy to be finalised for implementation in 2012/13



## PROGRAMME 1: ADMINISTRATION AND SUPPORT (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Secure, harmonious, transformed and conducive working environment	New building	Approved feasibility study for secure accommodation procurement	Construction phase initiated	Initiation of construction phase delayed. Key issues which were outstanding have however been concluded with the preferred bidder and the TAll drafting process initiated.	Finalisation of issues which were still outstanding required more time than anticipated. <ul style="list-style-type: none"> <li>The DBSA Board has to finalise the BEE/ target groups term sheets.</li> <li>Revised financial model has to be audited; SARS must issue a tax directive regarding the treatment of wear and tear allowance on the financial model and finalisation of programme for detailed designs.</li> </ul>	<ul style="list-style-type: none"> <li>DBSA is being engaged at management level to expedite the process.</li> <li>The bidder is engaging KPMG to expedite model revision, including the SARS directive on tax matters.</li> </ul>
	Percentage compliance with security legislation	Phase 1 of the National Vetting Strategy implemented MISS/security legislation and policies implemented	100% compliance with security legislation	100% ( all major activities in line with security legislation implemented)		
Efficient and effective information technology service	Phased implementation of MSP	MSP reviewed	Phase II/III implementation	Phase II under implementation  1 of the 2 planned MSP projects (SRPP system) was completed and system testing is in progress.	The Integrated Permitting System (IPS) was put on hold due to financial constraints.	IPS requirements to be reviewed in new financial year.
	Percentage availability of IT services	95% availability of IT services	96% availability of IT services	99% availability of IT services		
Effective legal support	Percentage of appeals dealt with in stipulated time frames as per appeal protocol and applicable legislation	85%	90% of appeals received dealt with in stipulated time frames as per appeal protocol and applicable legislation.	100% (45/45)		
	Percentage coordination and legal input in terms of PAIA	100% coordination and legal input in terms of PAIA	100% of requests dealt with	100 (18/18)		



## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Improved compliance with environmental legislation	Percentage of all DEA complaints and incidents processed and investigated	Reactive inspections conducted on 50% of all DEA complaints and incidents	75%	100% (58 complaints/incidents were received and processed . All cases are at various stages of investigation and finalisation)		
	Number of facilities inspected	51 facilities inspected	70 facilities inspected	46 inspections were conducted during 2011/12 (environmental authorisations, waste management licences and strategic inspections).	Financial constraints	Further facilities to be inspected in 2012/13
	Percentage of administrative enforcement actions resulting in compliance	Zero baseline	75% of administrative enforcement actions resulting in compliance	67% (full compliance with 14 of 21 notices/directives issued.A total of 25 notices issued, 2 suspended following objections to Minister and 2 issued late in March 2012 and compliance not yet measured)	Delayed/lack of compliance by stakeholders	Continuous follow-up and implementation of enforcement actions
	Percentage reduction in the number of biodiversity-related offences investigated and processed	New indicator	4%	There were 448 offences/incidents in 2011/12, which resulted in 232 arrests. This reflects an increase of 74% in comparison to 333 incidents in 2010/11, which resulted in 162 arrests	Reduction in the number of biodiversity-related offences could not be achieved due to the increase in the number of offences related to the illegal killing of rhinoceros	The target has not been pursued any further in the department's 2012/13 annual plan
	Percentage environmental management inspectors (EMIs) (excluding Grade 5) undergoing specialised training courses	15% (43 out of 291)	19% (60 out of 311)	81% (252/311 EMIs underwent specialised training)		

## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Improved compliance with environmental legislation	Number of local authority officials trained as EMIs	Environmental Management Inspectorate established in terms of NEMA	50 EMIs trained	37 local authority officials trained as EMIs	The time frames for delivery of training to local authority officials depends on the academic programmes of the universities of technology, which do not necessarily coincide with the 2011/12 financial year. This has led to the annual target of training 60 local officials not being met within this financial year.	Further training will be conducted in 2012/13.
	Tools to measure levels of compliance with legislation developed and implemented	Regulatory tools for implementation of NEMA, NEMBA, NEMPAA and WHCA in place	<ul style="list-style-type: none"> <li>Compliance monitoring framework for NEMBA</li> <li>Compliance monitoring framework for WHCA drafted</li> </ul>	<ul style="list-style-type: none"> <li>Compliance monitoring framework for NEMBA finalised in relation to TOPS and CITES</li> <li>Drafting of the compliance monitoring framework for WHCA delayed</li> </ul>		
			Compliance monitoring framework for NEMPAA drafted	Draft of the framework for NEMPAA completed		
			Assessment of biodiversity enforcement strategies of 3 countries undertaken and the best practice model identified	Best practise model for a national enforcement strategy identified		
			2 coordinated national and international compliance operations/ inspection organised and executed	3 national and international compliance operations/ inspections organised and executed: <ul style="list-style-type: none"> <li>Operation Worthy</li> <li>Operation Hunt</li> <li>Operation Compliance</li> </ul>		



## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Improved compliance with environmental legislation	Increase level of compliance with biodiversity legislation	<ul style="list-style-type: none"> <li>BABS: 30%</li> <li>TOPS: 50%</li> <li>CITES: 60%</li> </ul>	<ul style="list-style-type: none"> <li>BABS: 40%</li> <li>TOPS: 60%</li> <li>CITES: 65%</li> </ul>	<ul style="list-style-type: none"> <li>TOPS: 53%</li> <li>Compliance to CITES at provincial level could not be assessed as the compliance monitoring framework was only finalised late in the year.</li> <li>BABS: 100% (departmental compliance)</li> </ul>		
Less waste that is better managed	Percentage increase in waste recycled	<ul style="list-style-type: none"> <li>Paper and packaging – 44.5% of packaging put onto the market is recycled (1 539 million tons recycled of 3 460 million tons)</li> <li>Lighting – 0</li> <li>Waste tyres – 2% of tyres put onto the market annually recycled (4 000 tons recycled of 200 000 tons generated)</li> </ul>	<ul style="list-style-type: none"> <li>45% of paper and packaging recycled</li> <li>Set recycling targets for lighting</li> <li>50% of tyres recycled</li> </ul>	<p>Progress on the 3 Integrated Waste Management Plans (IWMP) is at different stages :</p> <p>3 waste tyre IWMPs:</p> <ul style="list-style-type: none"> <li>REDISA Plan: The plan was gazetted for public comment on the 17 April and closed on 17 May. Still awaiting REDISA to resubmit.</li> <li>SATRP Plan: Comments made and SATRP published their plan in compliance with Regulation 10. Still awaiting their resubmission.</li> <li>RMI Plan: Comments made on the first draft. Still awaiting the resubmission of the revised plan from RMI.</li> </ul> <p>Paper and packaging/ lighting Industry plan reviews have been put on hold pending the development of a regulation.</p>	Decision by industry to review the IWMP, which meant the targets are being reviewed and not yet approved	Regulations will be drafted that will guide the development and implementation of IWMPs for other waste streams.



## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Less waste that is better managed	Percentage increase in waste recovered	N/A	Establish baseline for recovery of waste streams	Draft National Waste Information Baseline Report finalised.	Consultation and discussions with industry required more time than anticipated	Discussions with industry in progress and IWMPs are being reviewed
	Percentage of households with basic waste collection	62% of South African households receiving basic waste management service in 2007	Policy implementation tools developed	<ul style="list-style-type: none"> <li>Model By-law approved and published on DEA website.</li> <li>Tariff model guidelines, strategy and model developed and piloted in selected municipalities.</li> <li>IWMP web portal system developed.</li> <li>Training completed in 8 provinces (North West, Free State, Gauteng, Northern Cape, Eastern Cape, Western Cape, Mpumalanga and KwaZulu-Natal).</li> <li>Sector Plan signed off by the Minister and sent for gazetting.</li> </ul>		
	Percentage increase in waste management spend	N/A	Baseline established	Baseline established based on information from municipalities complying with National Treasury reporting requirements. (A total of R 6 720 442 spent by local government on waste management services for 2009/10 financial year)		
	Hectares of land remediated	N/A	Section 8 of Waste Management Act brought into effect	<ul style="list-style-type: none"> <li>Regulations, norms and standards for contaminated land gazetted for public comment.</li> <li>The SOP between DWA and DEA developed and approved</li> </ul>		
	Decrease in unlicensed waste disposal sites	341 surveyed unlicensed waste disposal sites	Action plan developed	Action plan to licence unlicensed landfill sites developed.		



## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Potential negative impacts of all significant developments prevented or managed	NEMA Chapter 5 amended to address shortfalls in section 24C, 24G and "activities undertaken in response to emergency incidents or natural disasters"	Amendment Bill to address section 24G – competent authority and emergency incidence – drafted	Gazette amended act	The Act has been amended to address the shortfalls. The amended Act is currently in the Parliamentary process for possible adoption.		
	Percentage of applications for environmental authorisations finalised within prescribed time frames, provided that no more than 400 applications per annum are received	87% applications for environmental authorisations processed within prescribed time frames	89% applications for environmental authorisations processed within prescribed time frames, provided that no more than 400 applications per annum are received	67% (260 of the 389) applications were finalised within time frames A total of 648 applications were received and processed, but 259 could not be finalised as there is still outstanding information from applicants	The number of applications received was significantly higher than the anticipated number. This has therefore put a strain on available resources to process/finalise applications on time.	Continuous engagement with applicants to submit outstanding information. Continuous efforts are put in place to deal with the high number of applications.
	Environmental Assessment and Management Strategy finalised and implemented	Strategy development commenced	Strategy completed	Strategy not completed. Terms of references for the finalisation of Theme 1 and Theme 2 compiled.	Procurement process delayed appointment of service providers. Project proposals and costing of Theme 1 report exceeded R500 000.	The work will be prioritised and finalised in 2012/13.
	Number of environmental management instruments developed and implemented to assist provinces and municipalities in environmental impact management and spatial planning	6 EMFs	1 EMF initiated for an area under development pressure and progress to draft status quo phase	The EMF for Vredefort World Heritage Site has been initiated. Consultants have been appointed and an inception meeting held.	The process to appoint consultants took more time than was anticipated.	The work has been prioritised and is now proceeding well.
	Number of officials trained in environmental impact management	274 officials trained	50 officials trained	66 officials trained		



## PROGRAMME 2: ENVIRONMENTAL QUALITY AND PROTECTION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Potential negative impacts of all significant developments prevented or managed	Integrated and coordinated regulatory system for environmental management of mining	Fragmented environmental management system for mining	Framework and protocol for monitoring and enforcement of mining activities	Achievement of annual target delayed. 3 options for implementing the environmental function associated with mining were compiled and submitted to the relevant Ministers for consideration.	Delay in approving the preferred option on the way forward.	A meeting of the Minister was prioritised and the preferred option identified.
	Number of tools for mitigation of negative impacts of development to biodiversity	Sustainable land management programmes in place	<ul style="list-style-type: none"> <li>Process for approval and publication of minimum requirements for biodiversity in land-use planning and IEM initiated</li> <li>Process for approval and publication of Mining and Biodiversity Good Practice Guidelines for South Africa initiated</li> <li>GIS-based spatial land-use planning tool developed: Phase I</li> </ul>	<ul style="list-style-type: none"> <li>Mainstreamed National Mining and Biodiversity Good Practice Guideline through:               <ul style="list-style-type: none"> <li>(i) Submission to Working Group 2</li> <li>(ii) Distribution to Mining Industry through South African Mining and Biodiversity Forum</li> <li>(iii) Submission to DMR for approval</li> </ul> </li> <li>Technical peer review of progress on the development of the tool held in workshop session</li> <li>GIS spatial land-use planning tool finalised.</li> </ul>		
Cleaner and healthy air	• The National Air Quality Indicator (NAQI)	• Non-compliance with national ambient SO <sub>2</sub> and PM <sub>10</sub> standards in various air pollution hot spots, resulting in a National Air Quality Index that is > 1 measured by 46 stations	1.150	1.150		
	Number of air quality monitoring stations reporting to SAAQIS	39 stations reporting to SAAQIS in 2010/11	42	60		



## PROGRAMME 3: OCEANS AND COASTS

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Effective protection, management and conservation of the ocean and coastal environment	Ocean management regime developed	Fragmented ocean-related policies	Draft Green Paper produced	Draft Green Paper on Oceans Management Policy produced		
	National coastal management programme and guidelines	<ul style="list-style-type: none"> <li>International best practice investigated</li> <li>Establishment of Coastal Management Committee</li> </ul>	First draft guide for formulation of CMP initiated	Draft guide for the development of Coastal Management Programme completed		
	Number of provinces in which NPOA on land-based sources of pollution are implemented	NPOA against land-based activities	NPOA implementation plan completed and priority NPOA land-based activities for each province identified	<ul style="list-style-type: none"> <li>The NPOA's Implementation Plan was compiled and it exists.</li> <li>Water Quality Guidelines reviewed and presented to some of the local municipalities in the coastal provinces</li> </ul>		
	Number of local emergency response plans and national plan reviewed	Outdated local oil pollution emergency response plans	6 of 25 plans updated	7 plans updated		
	Number of estuary management plans developed and Estuary Management Protocol finalised	<ul style="list-style-type: none"> <li>Draft Estuaries Management Protocol published for comment</li> <li>10 of 258 estuaries have draft management plans</li> </ul>	Draft protocol reviewed and approved	Draft protocol reviewed and approved by Cabinet.		
			15 draft estuary management plans	15 draft estuary management plans developed		



## PROGRAMME 3: OCEANS AND COASTS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
	Percentage increase of coastline under partial and full protection	21% of coastline protected through MPAs (partial: 12%, full: 9%)	<ul style="list-style-type: none"> <li>12% of coastline under partial protection</li> <li>9% of coastline under full protection</li> </ul>	With the declaration of Amathole Marine Protected Areas coverage is as follows: <ul style="list-style-type: none"> <li>Partial protection = 13.5%</li> <li>Full = 9%</li> </ul>		
	Percentage increase of total area of EEZ under protection	Less than 1% of offshore environment protected	Prince Edward MPA (PEI MPA) declared, which leads to an increase in the offshore and coastal area under protection	Draft Government Notice to declare Prince Edward MPA revised and will be submitted to Minister for approval.	Objections raised during consultation in relation to area targeted for declaration led to delay as additional time was needed to finalise the process.	The revised notice for declaration will be submitted to Minister for approval in 2012/13.
	Number of protected marine species with management plans and/or policy	3 protected species with management plans (seabirds, white shark and whales) and policies	3 species management plans implemented	<ul style="list-style-type: none"> <li>Penguin Management Plan drafted</li> <li>Draft for the NPOA for Sharks compiled</li> <li>BBWW and WSCD: Allocations, appeals to Minister, investigations were all conducted</li> </ul>		
Enhanced science-based evidence for effective protection, management and conservation of the ocean and coastal environment	Regular peer-reviewed population estimates of top predators	Periodic population status of some top predators	Annual seabird population estimate for 12 species	12 seabird species counts completed in the seabird colonies annually surveyed.		
			Aerial survey of west coast seal colonies	Annual aerial surveys of seal colonies completed with the survey from Port Elizabeth to Southern Angola. Results obtained for the entire population of Cape fur seals		
			Research on international methodology for shark and whale population estimation	Research on international methodology for shark and whale population estimation completed.		



### PROGRAMME 3: OCEANS AND COASTS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
	Number of directed research cruises	Research presence maintained at Marion Island, Gough Island and Antarctica	3 relief voyages	3 relief voyages undertaken		
			Southern Ocean and deep-sea research programmes finalised	Annual target on finalisation of Southern Ocean and deep-sea research programmes delayed	The scope of the programme was widened and the complexity required additional time for completion.	Draft strategy to be finalised in 2012/13
	Frequency of Ocean Status Report	Biannual State of the Oceans Report	Biannual State of the Oceans Report with parameter gap analysis for physical, biological and pollution	Biannual State of Oceans Report compiled. The parameter gap analysis was not necessary for 2011/12 as the parameters are set for a particular period.	With new development and the phase starting in 2012, there will be a need to review the appropriateness of our parameters	Review appropriateness of our parameters with new development (new research programme in draft)
	Number of peer-reviewed scientific publications	20 peer-reviewed scientific publications	23 peer-reviewed scientific publications	25 peer reviewed publications.		
Stakeholder recognition of the value of the ocean and coastal environment and their role in its protection	Online ocean and coastal information system accessed by stakeholders (eg early warning system)	No comprehensive ocean and coastal information system and atlas	Needs analysis and system design for South African ocean and coastal information system	Systems specifications completed	Availability of expertise	System design aligned to MSP to be finalised in 2012/13

## PROGRAMME 4: CLIMATE CHANGE

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Enhanced adaptive capacity, resilience and reduced vulnerability to climate change impacts	Long- term Adaptation Scenarios (LTAS) study and M&E system	<ul style="list-style-type: none"> <li>No adaptation scenario study</li> <li>Agriculture GHG Inventory</li> </ul>	Initiate LTAS process	Initiation of LTAS process delayed as the LTAS had to be aligned with national policy and further consultations needed to be undertaken.	The need for alignment and further consultations with stakeholder required more time.	Work will be prioritised and completed in 2012/13.
	Number of sector adaptation policies	<ul style="list-style-type: none"> <li>No adaptation policies</li> <li>Green Paper published</li> </ul>	White Paper submitted	White Paper approved by Cabinet and gazetted		
	Number of sector plans identifying climate change impacts and adaptation frameworks integrated into national sectoral plans	<ul style="list-style-type: none"> <li>10 draft climate change adaptation sector plans</li> <li>5 "working for" programmes (water, wetlands, fire, land care, coast care)</li> </ul>	4 climate change adaptation sector plans finalised	4 sector adaptation plans finalised (biodiversity, water, forestry and agriculture)		
	Number of disaster risk management tools	<ul style="list-style-type: none"> <li>SAWS forecasting</li> <li>South African Risk and Vulnerability Atlas</li> </ul>	SAWS forecasting and South African Risk and Vulnerability Atlas Phase II	Draft municipality toolkit developed	Finalisation of the review of the toolkit is still in process as it required more time than anticipated	Disaster Risk Management Tool to be completed in 2012/13
	Number of adaptation advisory and consultative forums and advocacy groups	<ul style="list-style-type: none"> <li>National Disaster Advisory Forums</li> <li>26 advocacy groups</li> </ul>	Initiate and operationalise adaptation advisory meeting and consultative forums with engagement of advocacy groups: <ul style="list-style-type: none"> <li>1 national</li> <li>1 provincial</li> <li>5 metropolitan</li> </ul>	Annual target not achieved. Meeting with Ethekweni Metro and Adaptation Network meeting held.		Target reviewed and not pursued any further in the 2012/13 annual performance plan of the department.



## PROGRAMME 4: CLIMATE CHANGE (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
An effective climate change mitigation programme facilitated	Percentage climate change policy interventions implemented	<ul style="list-style-type: none"> <li>National Climate Change Response Green Paper published and under consultation managed through the IMC, NCCCC and IGCCC</li> <li>Renewable Energy White Paper</li> <li>Refit policy</li> </ul>	Climate White Paper approved by Minister	National Climate Change Response White Paper approved by Cabinet and gazetted		
	Number of mitigation sector strategies/plans	<ul style="list-style-type: none"> <li>No sector plans finalised</li> <li>2004 Climate Change Response Strategy</li> <li>New Growth Path – including a “green economy” component</li> <li>“Working for Energy” Programme</li> <li>Energy Efficiency Strategy</li> <li>Long-term mitigation scenarios</li> <li>First national communication</li> <li>Technology needs assessment study</li> <li>Fridge study (economic impacts)</li> <li>Agriculture GHG</li> <li>Economic instruments study</li> <li>Transport GHG</li> <li>Provincial and municipal climate strategies (Western Cape, Cape Town, Johannesburg and eThekwinj)</li> </ul>	1 (electricity sector – IEP)	Consultation sessions on the IEP Framework held.	Delay in progress as the work was to be led by the Department of Energy.	This work is the competency of Department of Energy and will not be carried any further

## PROGRAMME 4: CLIMATE CHANGE (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
An effective climate change mitigation programme facilitated	Number of analytical, economic and regulatory instruments and systems	<ul style="list-style-type: none"> <li>• DSM programme</li> <li>• IRP2</li> <li>• IPAP</li> <li>• New vehicle emissions carbon tax</li> <li>• Electricity carbon levy</li> <li>• New generation capacity regulations</li> <li>• SAAQIS</li> </ul>	<ul style="list-style-type: none"> <li>• 2 studies (second national communication and transport GHG study)</li> <li>• 1 economic/ regulatory instruments</li> </ul>	1 of the 2 studies completed (second national communication).	Transport GHG sector study delayed due to human resource constraints	Human resource constraints will be addressed in 2012/13
	Number of sector implementation reports on climate change considerations mainstreamed in sectoral and departmental policies	No implementation reports	<ul style="list-style-type: none"> <li>• 1 IRP2</li> <li>• 5 provincial and local government reports</li> </ul>	Participated in several workshops and meetings on the drafting of a number of sectoral reports, strategies and plans (National Planning Commission Low Carbon Pathway and National Economic and Transformation Plan-Appliance Labelling and Standards Programme)	This work is the competency of specific sector departments	Target reviewed and not pursued any further in the 2012/13 annual performance plan of the department.
	Mandatory monitoring, evaluation and inventory of carbon emissions	435 240 metric tons 3 (latest GHG inventory reflects annual emissions in 2000; initial 2010 estimates are ~540 MT)	National inventory update (2009)	<p>Draft 2000–2009 GHG Inventory sectoral reports prepared for the following IPCC sectors: energy, industrial processes, agriculture and waste.</p> <p>Draft Low Emissions Pathway (LEP) report finalised and the LEP modelling architecture and assumptions have been calibrated using the GHG inventory information.</p>	<p>Participated in several workshops and meetings on the drafting of a number of sectoral reports, strategies and plans.</p> <p>(National Planning Commission Low Carbon Pathway and National Economic and Transformation Plan-Appliance Labelling and Standards Programme)</p>	



## PROGRAMME 4: CLIMATE CHANGE (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
UNFCCC COP 17 and Kyoto Protocol CMP 7 successfully hosted and managed	Public Climate Change Outreach and Mobilisation Programme implemented	COP 16 in December 2010	9 provincial climate change summits successfully convened	9 climate change provincial summits successfully convened		
			COP 17 South African Climate Change Response Expo successfully hosted	The Climate Change Response Expo successfully hosted alongside the UNFCCC COP17 Conference, in Durban from 28 November to 9 December 2011.		
	Globally accepted/ agreed outcome of COP 17/CMP 7 in 2011	COP 16 in December 2010	70% positive response to the substantive outcome in DEA national and international media scan	Percentage of positive national and international media response to the substantive outcome of COP 17/CMP7 not measured. However, it was reflected in the State of the Nation Address that "the final outcome of COP17 was historic and precedent-setting, ranking with the 1997 conference where the Kyoto Protocol was adopted."	Financial constraints as available budget had to be prioritised among many other activities leading up to COP17	The target will not be pursued any further.



## PROGRAMME 5: BIODIVERSITY AND CONSERVATION

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Biodiversity conserved, protected and threats mitigated	Percentage of land under conservation	<ul style="list-style-type: none"> <li>6.4% land declared as protected areas</li> <li>National Protected Area Expansion Strategy in place</li> <li>National Guideline for the Biodiversity Stewardship</li> <li>Programme in place</li> </ul>	6.9% of land under conservation	7.3% of land under conservation verified (9 025 964 ha of 121 991 200 ha)		
	Number of legislative tools to ensure the protection of species and ecosystems developed and implemented	<ul style="list-style-type: none"> <li>TOPS regulations and list</li> <li>CITES regulations</li> </ul>	Minimum standards for the management of captive elephants	Minimum standards for the management of captive elephants finalised and approved by MINMEC. Standards provided to DAFF to implement in terms of Animals Protection Act.		
		<p>Norms and standards for biodiversity management plans (BMPs) for species</p> <p>Norms and standards for ecosystems (BMP-E)</p>	Improved or maintained conservation status of TOPS	Conservation status of TOPS has been maintained		



## PROGRAMME 5: BIODIVERSITY AND CONSERVATION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Biodiversity conserved, protected and threats mitigated		<p>Norms and standards for biodiversity management plans (BMPs) for species</p> <p>Norms and standards for ecosystems (BMP-E)</p>	Increase in number of threatened ecosystems protected	<ul style="list-style-type: none"> <li>The first national list of threatened terrestrial ecosystems published for implementation – the purpose of this list is to reduce the rate of ecosystem extinction. That is by preventing further degradation or loss of structure, function and composition of threatened ecosystem. The remaining natural areas in threatened terrestrial ecosystem make up 9.5% of the country, with critically endangered and endangered ecosystems together accounting for 2.7% and Vulnerable ecosystem a further 6.8%</li> <li>The draft Norms and Standards for Biodiversity Management Plans for Ecosystems (BMP-Es) approved for publication for public comments by the Minister. These Norms and Standards are designed for BMP-Es for terrestrial and freshwater ecosystems. The BMP-Es will ensure long-term survival of the ecosystem concerned in a natural or near natural state. The BMP-E will lead to the proper management of the ecosystem and improve the ecosystem status and this will lead to an increase in the number of threatened ecosystems protected</li> <li>Participated in the development of 4 National Freshwater Ecosystem Priority Areas (NFEPA) products (atlas, technical report, DVD and implementation manual). NFEPA Atlas was launched. Rivers have enjoyed hardly any formal protection in the past: "less than 15% of South Africa's river ecosystems within protected areas. Half of these large rivers have been degraded by upstream human activities before they entered the protected areas." NFEPA focuses on protecting representative examples of freshwater ecosystems, as well as ensuring their persistence.</li> </ul>		

## PROGRAMME 5: BIODIVERSITY AND CONSERVATION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Biodiversity conserved, protected and threats mitigated			Number of BMPs	Request for approval to publish draft African penguin BMP for public comments submitted to Minister		
	Number of wetlands with management plans	5 wetlands of international importance with management plans	5 management plans	5 management plans finalised (Verlorenvlei, Barberspan, Nylsvlei, Prince Edward Islands and Wilderness Lakes)		
	Percentage of GMO applications assessed for environmental compliance	Environmental Risk Assessment Framework (ERA) for Genetically Modified (GM) Crops	100% of applications assessed	100% (35/35) GMO applications assessed		
	Number of tools developed and implemented to prevent the introduction of, control and eradicate alien and invasive species (AIS), which threaten ecosystems, habitats or species	N/A	<ul style="list-style-type: none"> <li>AIS Regulations published and implemented</li> <li>Risk assessment framework and guidelines for evaluation developed</li> <li>First draft guidelines for monitoring and control plans developed</li> <li>Development of the national strategy for AIS initiated</li> </ul>	<ul style="list-style-type: none"> <li>AIS regulations published and implemented</li> <li>Risk assessment framework and guideline for evaluation developed</li> <li>First draft guidelines for Monitoring and Control Plans developed</li> <li>Development of the National Strategy for AIS initiated</li> </ul>		
	Reduction in the area (hectares) affected by drought, land degradation and desertification	National Action Programme (NAP) to combat desertification, land degradation and the effects of drought	800 hectares	31 398 hectares of land rehabilitated, restored and conserved		
	Number of research programmes developed	Proposal received and evaluated	Species research – Elephant Research Programme	Progress towards the annual target delayed due to financial constraints	Unavailability of funds for the project.	Work to be prioritised in 2012/13
		Decision taken to restart the process	Biosafety research chair appointed	Research chair position was not allocated to DEA and is an initiative led by the Department of Science and Technology.		
		Phase I of Biosafety Research Programme completed	Institutionalise the coordination of DLDD research	Desertification, Land Degradation and Drought (DLDD) Coordination Research Committee established and programme of work in place.		
	Desertification, Land Degradation and Drought (DLDD) Research Programme developed					



## PROGRAMME 5: BIODIVERSITY AND CONSERVATION (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/ achievement against target	Challenges	Corrective measures
Biological resources sustainably utilised and regulated	Number of sustainable natural resource-based projects	CBNRM guidelines, People and Parks Programme and TFCA infrastructure and investment programme in place	Operationalisation of Aweleni Eco-tourism Lodge facilitated	Operationalisation of Aweleni Ecotourism Lodge facilitated. Opening of the facility has been scheduled for May 2012.		
Fair access and equitable sharing of benefits from biological resources promoted	System for transformation of the biodiversity sector developed	NBSAP	Wildlife sector transformation negotiations initiated	Wildlife sector transformation negotiations initiated through the wildlife forum platform. Terms of reference for the appointment of a service provider approved by DG.		
	Percentage of BABS permit applications assessed	BABS Regulations in place	<ul style="list-style-type: none"> <li>• 100%</li> <li>• Guidelines for negotiating, concluding and evaluation of benefit-sharing agreement finalised</li> </ul>	<ul style="list-style-type: none"> <li>• 100% (8/8 applications assessed)</li> <li>• BABS guidelines finalised</li> </ul>		
	Percentage of CITES permit applications assessed	60%	85%	100% (43 CITES permits received and 41 evaluated on time and issued, 2 in evaluation process within prescribed time frames )		
	Percentage of threatened or protected species permit applications assessed	50%	85%	100% (10 TOPS applications received, 4 evaluated and issued, 6 in evaluation process within prescribed time frames )		
	Number of co-management agreements facilitated	Co-management frameworks in place	1 co-management agreement facilitated	The process for facilitation of co-management agreement has been initiated. A follow up stakeholder consultation was conducted on 15 March 2012		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Improved socioeconomic benefits within the environmental sector	Number of FTE positions created	6 797	26 881 (SRPP: 8 577 and NRM: 18 304)	Total : 23 074 NRM 12 640 SRPP 10 434		
	Number of new work opportunities created	15 742	48 084 (SRPP: 17 154 and NRM: 30 930)	Total : 65 182 NRM 38 286 SRPP 26 896		
	Number of SMMEs used (empowerment)	138	250	846		
	Number of youth benefiting from NYS	459	600	548	Projects implemented in the year could not accommodate targeted number of youths	New youth-benefiting projects will be considered in 2012/13
	Number of wetlands rehabilitated	610 wetlands rehabilitated	100	80	Delays in finalising new Working for Wetlands Business Plan 2011/12	Implementer to identify all wetlands to be rehabilitated in each project site.
	Number of community parks created and/or rehabilitated	1	4	23		
	Number of indigenous trees planted	5 000	10 000	41 476		
	Number of households benefiting from waste collection initiatives	30 000	30 000	32 923		
	Number of funded sector projects implemented for the green economy programmes	7 key focus areas identified  New Growth Plan	2	2 (Western Cape – Solar Water Heating and Green Economy Modelling)		
	National Strategy for Sustainable Development (NSSD) Plan finalised and implemented	NSSD Plan finalised and implemented	Final NSSD approved by Cabinet	NSSD approved by Cabinet and published		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Improved socioeconomic benefits within the environmental sector	Number of person days of work created	31 525	4 210 450	2 907 200	The NRM transferred to the Department on 1 April 2011. The need to align departmental process led to procurement delays, resulting in the set target not being achieved.	Work will be prioritised in 2012/13
	Area of invasive alien plants treated	964 436 ha	717 300 ha	665 450 hectares		
	Number of fire suppression, fire-belts and prescribed burning hectares	468 170	520 000	1 234 398		
	Area restored and rehabilitated	1 200 ha	2 400 ha	81 939 ha		
	Area of invasive forest stands converted and rehabilitated	400 ha	990 ha	130 ha	The NRM programme was transferred to the department on 1 April 2011. The need to align the departmental process led to procurement delays, resulting in the set target not being achieved.	Work will be prioritised in 2012/13



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Improved sector education and awareness	Percentage implementation of skills development plan for prioritised sectors	National Skills Development Strategy III	30%	<p>The Workplace Skills Plan (WSP) could not be finalised or implemented.</p> <p>The development of the WSP by local municipalities was closely monitored through a series of workshops by both DEA and LGSETA backed by detailed presentations to integrate the environmental focus.</p>	The process of developing a WSP proved to be intensive and heavily administrative in nature.	WSP to be completed in 2012/13
	Number of environmental education and awareness workshops conducted	<ul style="list-style-type: none"> <li>• Environmental career booklet</li> <li>• 9 workshops conducted</li> </ul>	80	100 workshops conducted		
	Number of Grade 7 teachers trained	<ul style="list-style-type: none"> <li>• Accredited elementary course in environmental education skills</li> <li>• 40 teachers trained (Limpopo and North West)</li> </ul>	80	69 teachers trained		
	Number of accredited training person-days created	79 733 training days	32 675	49 746		
	Number of environmental centres established	1	2	2		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Effective knowledge and information management for the sector	Enterprise GIS	Partial access to GIS	Enterprise GIS development plans and process established and initiated	GIS support provided and extended to O&C and to Enforcement, Species Protection Management		
	Frequency of South Africa Environment Outlook (SAEO) published, distributed and utilised	<ul style="list-style-type: none"> <li>2006 South Africa Environment Outlook and revised in 2007</li> </ul>	First draft of the second SAEO compiled	The draft report compiled and circulated to broader stakeholders for comments.		
	Frequency of official environmental statistics published	<ul style="list-style-type: none"> <li>Memorandum of Understanding on partnership in the national statistics system signed with Statistics South Africa</li> <li>No official environment statistics</li> </ul>	Identification and submission of DEA data sets for implementation of SASQAF accreditation	Data sets identified and submitted to Statistics South Africa. Accreditation process to be carried out in 2012/13.		
	Science-policy interface, knowledge sharing and management system in place and utilised	Draft Environmental Sector Research, Development and Evidence Framework in place to facilitate science-policy interface and evidence based policy-making	Research, Development and Evidence Strategy finalised and implemented for two focus areas	The draft framework is in place and is being consulted with stakeholders.	Consultation with stakeholder on the draft framework required more time.	Finalisation of the strategy to be prioritised in 2012/13



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Effective cooperative governance and local government support	Number of municipalities consulted to include environmental issues in IDPs	Memorandum of agreement with SALGA and IDP Review Framework	80% of municipalities consulted on the review of the IDP	<ul style="list-style-type: none"> <li>100% (all municipalities consulted )</li> <li>Consolidated report for the 2011-2012 IDP Analysis finalised.</li> </ul>		
	Conflict resolution system in place and implemented	NEMA Chapter 4 provisions for effective resolution of sector conflicts	Functional conflict management system	Conflict Management Unit established.		
	Number of management tools developed and capacity-building initiatives to ensure and promote management of biodiversity at local level	<ul style="list-style-type: none"> <li>LAB Programme and capacity-building</li> <li>National Municipal Biodiversity Programme</li> <li>TEEB D2 report</li> <li>LAB guide-book</li> <li>Local Indaba Declaration on the Environment</li> <li>CBD Plan of Action</li> </ul>	Framework for mainstreaming biodiversity in local authorities finalised and approved	Framework finalised and approved		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Percentage of South African positions on international chemicals and sustainable development agreements successfully prepared for, negotiated and reported on	An average of 40% of positions on international chemicals and sustainable development agreements successfully negotiated and reported on	An average of 46% of the positions on international chemicals and sustainable development agreement successfully negotiated and reported on	58.3%  Key achievements <ul style="list-style-type: none"> <li>• Successfully coordinated and ensured effective and professional participation of South Africa in CSD 19 – South Africa also chaired the G77 and China Working Group on Chemicals during CSD 19</li> <li>• Successfully positioned DEA as a key member of the South Africa WTO team and the environmental inputs are integral components of the South African position.</li> <li>• Assumed the lead role in coordinating South Africa preparations for the Rio+20, which President Zuma will attend – South Africa is positioned as a key player in the process. This is evident by the demand for our participation in various Rio+20-related processes and our positions have formed the backbone of the African consensus document for Rio+20.</li> <li>• Successfully coordinated and ensured effective and professional participation of South Africa in the various chemical-related multilateral environmental agreements (Stockholm, Rotterdam, Basel, etc)</li> <li>• During the Rotterdam COP South Africa managed to list 3 chemicals, including the “problematic” endosulfan when only 2 chemicals were expected to be listed. Drafted text for the possible listing of the 4th “problematic” chemical (asbestos). Set up and drafted text for an unexpected compliance mechanism through a contact group.</li> <li>• Hosted a UNIDO/UNEP/SADC/COMESA Workshop on BAT/BEP through the Africa Institute. Inception and SADC NIP update meeting for African countries</li> </ul>		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Percentage of South African positions on African and bilateral, south-south, south-north and international environmental governance processes prepared and negotiated	An average of 50% of positions successfully negotiated and reported on	An average of 52% of the position have been successfully negotiated and reported on	64% <ul style="list-style-type: none"> <li>Enhanced the position of AMCEN as the key Ministerial environmental forum for Africa and South Africa as a key player in Africa.</li> <li>Contributed to enhancing and strengthening the SADC policy frameworks and institutional capacities</li> <li>Contributed to enhancing and building strategic relationships and partnerships with bilateral partner countries.</li> <li>Strategically positioned South Africa in the governing structures of International institutions and forums</li> <li>Strategically utilised our position to influence policy and create opportunities for South Africa.</li> <li>Successfully hosted the following: <ul style="list-style-type: none"> <li>2<sup>nd</sup> Partnership Conference of the World Bank's Climate Investment Funds (June 2011 – Cape Town)</li> <li>4<sup>th</sup> and final meetings of the Transitional Committee for the Green Climate Fund (October 2011 - Cape Town)</li> <li>16<sup>th</sup> Adaptation Fund Board meetings (December 2011 - Durban)</li> </ul> </li> </ul>		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Percentage of South Africa's objectives negotiated	53% of the positions in international climate change have been successfully negotiated	60%	80%  Brief assessment of the Durban outcomes against the stated South African priorities :  <ul style="list-style-type: none"> <li>On strengthening the multilateral climate change system to protect weaker states, the Durban Platform provides the basis for the negotiation of a new legal regime applicable to all parties, by 2015, to come into effect by 2020.</li> <li>On achieving a second Commitment Period of the Kyoto Protocol to secure environmental integrity through multilateral rules and commitments, Durban was successful in securing a 2nd Commitment Period, for those parties that are willing (Canada, Japan and Russia indicated they will not take on the 2nd commitment period).</li> <li>On prioritising adaptation by addressing fragmentation, Durban successfully consolidated adaptation programmes under the Adaptation Framework, with the Adaptation Committee providing policy guidance.</li> <li>On securing climate finance, particularly the Green Climate Fund, Durban achieved agreement on the design of the instrument of the GCF, with a process for its operationalisation, with further work to be done on sources of long-term finance, and the capitalisation of the fund.</li> <li>On operationalising the institutions agreed to in Cancun, Durban achieved agreement on the necessary modalities for the institutions for adaptation, technology, and finance with further work to be undertaken on some elements of mitigation.</li> </ul>		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Nagoya Protocol on ABS ratified and implemented	Nagoya Protocol on ABS	Implement the Nagoya Protocol	Implementation of the Nagoya Protocol initiated and is an ongoing process. Competent National Authority in place (Minister)		
	Ratified Benguela Current Commission Treaty	South African (DEA) chair of Benguela Current Commission Management Board	South Africa finalises its contribution to the draft Benguela Current Commission treaty	The final draft submitted to the Presidency for signature.		Facilitate signature by country parties, as well as facilitate ratification by Parliament after signature by parties in 2012/13
	Percentage of international conventions implemented	CITES, CBD, RAMSAR Convention on Wetlands, Convention on Migratory Species, Africa Eurasian Water Bird Agreement, World Heritage Convention, UCCD Convention to combat desertification, ATCM, Nairobi Convention, Abidjan Convention, UNFCCC, London Convention, Basel Convention	55%	55%		
	The financial value of resources raised from multilateral and bilateral donors to support South Africa's and Africa's programmes in the sectors the department is responsible for	Total funds: • Multilateral: US\$15 to 20 million • Bilateral: US\$10 to 15 million	• Total: US\$35 million • Multilateral: US\$25 million • Bilateral: US\$10 million	Total funds mobilised: US\$ 24 060 million	Financial/economic crisis in Europe had a negative impact on the amount of financial resources mobilised.	Further mobilisation of financial resources in the 2012/13



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Percentage of effective portfolio management and reporting on the use of resources in line with sectoral priorities.	70%	95%	95% <ul style="list-style-type: none"> <li>• Database on all GEF-funded projects, as well as climate change-funded projects and programmes developed. An online database system with M&amp;E and management reporting capabilities to be implemented in the next financial year.</li> <li>• Hosted 2 multi-stakeholder workshops to develop the South African biodiversity and climate change GEF 5 portfolio. Portfolio for climate change and biodiversity developed with 1 project concept of US\$ 9 million endorsed and another renewable energy programme concept being developed.</li> <li>• Site visits were conducted to the following Global Environment Facility projects in South Africa:               <ul style="list-style-type: none"> <li>- Isimangaliso Project</li> <li>- Sustainable Public Transport and Sport: A 2010 opportunity</li> <li>- Camdeboo Satellite Aquaculture Project</li> </ul> </li> </ul>		

## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
Enhanced international governance, instruments and agreements supportive of South African environmental and sustainable development priorities	Percentage of DEA international engagements provided with assistance of international relations administrative support services	85%	<ul style="list-style-type: none"> <li>Focal point administration: 90%</li> <li>International travel administration: 100%</li> <li>Delegation logistics support: 60%</li> </ul>	<ul style="list-style-type: none"> <li>Focal point administration: 95%</li> <li>International travel administration: 100%</li> <li>Delegation logistics support: 70%</li> </ul> <p>Key achievements</p> <ul style="list-style-type: none"> <li>Finalised the (2011/12) NEMA Section 26 report and ready for tabling.</li> <li>Facilitated South African delegation accreditation and credentials for international engagements (UNFCCC COP 17 and CMP, etc).</li> <li>Facilitated the payment of approximately R12 million in membership /trust fund fee payments.</li> <li>Finalised trip requests and obtained Ministerial approval for international travel for 4 quarters.</li> <li>South Africa's ratification status to multilateral agreements updated and uploaded on EDMS.</li> <li>Administration and logistical support rendered at both the UNFCCC COP 17 and CMP 7 and the 16th Adaptation Fund board meetings, as well as hosting the 16th Adaptation Fund Board</li> </ul>		
	Number of positions researched, drafted, consulted and approved.	6 positions approved	<ul style="list-style-type: none"> <li>National and international inter-governmental and stakeholder consultation process related to COP17 positions and outcomes</li> <li>1 position researched and drafted and Cabinet approved</li> </ul>	<ul style="list-style-type: none"> <li>4 positions approved for the 4 meetings held (Bangkok, Bonn, Panama and Durban CoP)</li> <li>South African's position paper for the COP 17 drafted and approved by Cabinet.</li> </ul>		
	Percentage of implementation recommendations accepted by implementing agents.	N/A	60%	70%		



## PROGRAMME 6: SECTOR SERVICES, ENVIRONMENTAL AWARENESS AND INTERNATIONAL RELATIONS (continued)

Strategic objective	Performance indicator	Baseline	Annual target 2011/12	Progress/achievement against target	Challenges	Corrective measures
	Annual NEMA section 26 report on implementation of climate agreements. Biennial UNFCCC M&E report	1 NEMA report. 1 second national communication drafted (not submitted yet)	<ul style="list-style-type: none"> <li>1 NEMA section 26 report</li> <li>Second national communication submitted</li> </ul>	<ul style="list-style-type: none"> <li>NEMA section 26 report compiled</li> <li>Second national communication submitted to the UNFCCC.</li> </ul>		





# Annual Financial Statements for the Department of Environmental Affairs

for the year ended 31 March 2012



# Table of Contents

Report of the Audit Committee	52
Report of the Accounting Officer	54
Report of the Auditor-General	66
Appropriation Statement	69
Notes to the Appropriation Statement	87
Statement of Financial Performance	90
Statement of Financial Position	91
Statement of Changes in Net Assets	92
Cash Flow Statement	93
Notes to the Annual Financial Statements (including Accounting Policies)	94
Disclosure Notes to the Annual Financial Statements	117
Annexures	128

# REPORT OF THE AUDIT COMMITTEE

for the year ended 31 March 2012

We are pleased to present our report for the financial year ended 31 March 2012.

## Audit Committee members and attendance

The Audit Committee consists of five external and three ex-officio members. Four meetings were held during the year under review.

Name of member	Number of meetings attended
Ms J Boggenpoel (external chair) Appointed 1 October 2010 (resigned on 31 May 2011)	1
Mr T Boucher (external member ) Appointed 1 October 2010 (appointed external chair on 1 June 2011)	4
Ms L Sennelo (external member ) Appointed 1 October 2010 (resigned on 5 October 2011)	0
Mr R Makhado (external member ) Appointed 1 October 2010	3
Ms S Thomas (external member) Appointed 1 October 2010	4
Dr DP vd Nes (external member) Appointed 1 June 2011	2
Mr R Rajcoomar (external member) Appointed 1 June 2011	2
Ms L McCourt (ex officio: COO)	3
Ms E Makau (ex officio: CFO)	4

Representatives of the Auditor-General of South Africa, National Treasury, Internal Audit and the Accounting Officer were invited to the meetings.

## Audit Committee responsibility

The Audit Committee reports that it has complied with its responsibilities arising from sections 38(1)(a) of the Public Finance Management Act (PFMA) and Treasury Regulations 3.1.

The Audit Committee also reports that it has adopted appropriate formal terms of reference as its Audit Committee Charter, has regulated its affairs in compliance with this charter and has discharged all its responsibilities as contained therein.

## The effectiveness of internal control

The department's system of internal control is designed to provide reasonable assurance, inter alia,

that assets are safeguarded and that liabilities and working capital are efficiently managed.

Internal Audit has submitted various internal audit reports based on the work performed in terms of the approved risk-based operational plan, which sets out the scope, control objectives and risks, as well as the period covered.

The Audit and Management Report of the Auditor-General of South Africa on the annual financial statements were also submitted to the Audit Committee.

The results of the internal and external audits indicated that controls have been operating as intended in a number of areas, while in others attention needs to be given to strengthen and improve the control environment. Where control weaknesses and other matters were reported, the Audit Committee has considered and evaluated the management responses and action plans to address



the matters in a timely manner and to facilitate corrective action and/or improvements to controls and procedures. Implementation of such corrective actions is monitored through the implementation of an issue-tracking report and the follow-up review reports submitted to the committee on a regular basis.

### **Quality of in-year management and monthly reports submitted in terms of the PFMA**

The Audit Committee is satisfied with the content and quality of monthly and quarterly reports prepared and issued by the department during the year under review.

### **Evaluation of financial statements**

The Audit Committee has done the following:

- Reviewed and discussed the annual financial statements to be included in the annual report, with the Auditor-General of South Africa and the Accounting Officer
- Reviewed the Auditor-General of South Africa's management report and management's response thereto
- Reviewed the department's compliance with legal and regulatory provisions
- Reviewed significant adjustments resulting from the audit

The Audit Committee concurs and accepts the Auditor-General's conclusion on the annual financial statements, and is of the opinion that the audited annual financial statements should be accepted and read together with the report of the Auditor-General.

### **Internal Audit**

The Internal Audit function conducts its affairs in terms of an approved Internal Audit Charter. The function also follows a risk-based audit approach, whereby the department's risk strategy and the function's assessment of the risks drives the internal audit approach and approved internal audit operational plan. The Internal Audit risk-based operational plan was formally adopted and

approved by the Audit Committee. Progress on the execution of the plan was monitored and reported at each Audit Committee meeting. Variations and amendments to the plan were justified and subsequently approved by the Audit Committee.

The Audit Committee is therefore satisfied that the Internal Audit function is operating effectively and that it has addressed the risks pertinent to the department in its risk-based strategic audit plan.

### **Risk Strategy**

The Audit Committee serves as the Risk Committee of the department. The department has a Risk Management Strategy and Fraud Prevention Plan that is implemented. Risk assessments in the department are conducted annually and strategies are formulated to mitigate risks. These are reported to the Audit Committee.

### **Auditor-General of South Africa**

The Audit Committee has met with the Auditor-General of South Africa to ensure that there are no unresolved issues.

### **Conclusion**

The department received an unqualified audit opinion on the financial statements. There were no material findings on the performance information reported by the department. The Audit Committee wishes to congratulate the Director-General, the Chief Operations Officer, management and all officials who have contributed to the success of the department and the excellent control environment prevalent. The Committee also wishes to thank the staff of the Auditor-General. Their positive contribution to the department is appreciated.

Dr DP van der Nest  
Chairperson of the Audit Committee

Date: 30 July 2012



# REPORT OF THE ACCOUNTING OFFICER

for the year ended 31 March 2012

Report by the Accounting Officer to the Executive Authority and Parliament/Provincial Legislature of the Republic of South Africa.

## 1. General review of the state of financial affairs

1.1 The medium-term plan for 2011 to 2016 indicates that the department's top priority areas include support to local government in the areas of air quality management, waste management, coastal and open-space planning, strengthening compliance and enforcement activities, drawing linkages between climate change, the green economy and sustainable development, the alignment of governance systems with the new outcome-based approach, particularly Outcome 10 and Outcome 4, and to focus on key national and international engagements. The plan was also aimed at ensuring that the department responds optimally to its legal and constitutional mandate and that it makes a significant contribution to the achievement of the set national priorities, particularly the New Growth Path. Below is a brief overview of the achievements and challenges in the implementation of the plan.

### Administration

During the period under review, the Department of Performance Monitoring and Evaluation (DPME) conducted an assessment using the Management Performance Assessment Tool (MPAT), which focuses on strategic management, governance and accountability, human resource and systems management, and financial management as key performance areas. In summary, the feedback from the DPME reflected that "the department functions at a 100% compliance level in all four key performance areas". The DPME further reflected that there is room for innovation to enhance performance in the aforementioned key performance areas.

## Environmental Quality and Protection

Although major advances have been made in the development of environmental management regulatory framework, these positive steps have not been met with rigorous implementation, compliance and enforcement, particularly at provincial and local government levels. This necessitated prioritisation and the direction of resources towards the implementation of various interventions to ensure that the department deals effectively with non-compliance and supports local government with the implementation of the regulatory framework. The department, however, acknowledges the need to continuously review and enhance the current regulatory framework.

During the period under review, 46 inspections were conducted on facilities to ensure compliance with environmental authorisations and other applicable regulatory requirements. As a result of continuous inspections being conducted, 25 administrative enforcement actions were issued to various organisations and individuals. Follow-up inspections indicated that 67% of administrative actions resulted in compliance. Notwithstanding the strides made, more resources still need to be committed towards developing and implementing a comprehensive compliance and enforcement system to deal with the growing trend of non-compliance and non-adherence with the existing environmental regulatory framework.

The high number of applications for environmental authorisations received during the year continued to put pressure on the department's available human resource capacity to process all applications within the required time frame. However, 67% (260 of 389) of the applications were processed within the prescribed legislative time frames.



## Oceans and Coasts

As part of the department's efforts towards the development of an ocean management regime, the process of the drafting of the draft Green Paper on Oceans Management in consultation with key stakeholders has been facilitated. The Green Paper is aimed at enabling the department to effectively protect, manage and conserve our ocean and coastal environment. A draft guide for the development of a Coastal Management Programme has also been developed.

Relevant and reliable information is important in enabling the effective management and protection of our ocean and coastal environment. To this end, the department continues to maintain South Africa's research presence in Antarctica and Islands. In 2011/12, it successfully undertook three planned expeditions to Marion Island, Gough Island, and the South African National Antarctic Expedition (SANAE) base. The scientific data that is collected during these voyages is critical in helping us understand, among other things, the impacts of climate change and weather information associated with extreme events. Construction of the new state-of-the-art polar vessel to replace the old SA Agulhas was also completed during the financial year. The new vessel, SA Agulhas II, was handed over to the department in May 2012. The new vessel is an important infrastructure investment, which will enhance the department's research capabilities.

## Climate Change

In October 2011, Cabinet approved South Africa's National Climate Change Response White Paper. This policy sets out our deliverables not only as a department, but as a country, and presents the

country's vision for an effective climate change response and a long-term, just transition to a climate-resilient and low-carbon economy and society. The department's work must be geared towards the implementation of this policy.

In December 2011, South Africa hosted a successful and historic 17th Conference of the Parties (COP17) to the United Nations Framework Conference on Climate Change (UNFCCC) in Durban. In the build-up to the Conference, the department led the implementation of various initiatives, including the hosting of nine provincial climate change summit and an intensive media awareness campaign. These initiatives were primarily aimed at ensuring that all spheres of government, all other stakeholders and ordinary South Africans are afforded an opportunity to participate fully towards South Africa's negotiating position at the conference. It was also an opportunity to raise awareness on climate change and the simple choices that each one of us could make to minimise its negative impact.

The outcome of the Conference was among the most significant and precedent-setting since the adoption of the Kyoto Protocol in 1997. The delegates in Durban agreed to the adoption of the five-year second commitment period to the Kyoto Protocol beyond the expiry of the current commitment period in 2012, and to work towards the development of a fair, ambitious and legally binding future multilateral and rules-based global climate change system that can balance the environment and development imperatives. The main task ahead is on how to capitalise on the success of the conference.

The following amounts were budgeted for the related expenditure incurred by the department:

	<b>R'000</b>
Hosting of a Climate Change Response Expo	56 388
Climate change awareness communication and social mobilisation activities	35 205
Greening programmes	10 000
Programme management fees	<u>3 900</u>
<b>Total</b>	<b>105 493</b>



The expenditure on this event amounted during the financial year to R120,353 million. With regard to the renting of exhibition space to participants in the exhibition, an amount of R12,417 million was received from the company responsible for the logistical arrangements of the exhibition. This amount was received in the departmental bank account during March 2012. The interest received is included in the payables in the statement of financial position and will be paid to the National Revenue Fund during the 2012/13 financial year.

The departmental contribution from reprioritised funds for the event amounted to R12,967 million, excluding indirect costs like travel and subsistence by employees.

### **Biodiversity and Conservation**

The main purpose of the department's Biodiversity and Conservation Programme is to promote the conservation and sustainable use of our natural resources and to make a contribution to economic growth and poverty alleviation. In 2011/12 the overall percentage of land under conservation increased from 6.4% to 7.3%, exceeding the planned target of 6.9%. Working in partnership with other key stakeholders within the sector, 31 398 hectares of land was rehabilitated, restored and conserved.

The minimum standards for the management of captive elephants were also finalised as part of the department's efforts to ensure that appropriate legislative tools for the protection of species and ecosystems are developed and implemented. All permit applications relating to bioprospecting, access and benefit-sharing (8), threatened or protected species (10) and the Convention on International Trade in Endangered Species of Wild Fauna and Flora (43) were processed and issued within the prescribed time frames.

The ongoing poaching of our rhino population is of great concern and requires a collective response by government and various stakeholders. It calls on us to continue to put systems and processes in place to support government's priority of fighting crime and

corruption. It is therefore critical to reinvigorate our multisectoral anti-poaching capacity to combat the current and future potential wildlife crime in our country.

### **Implementation of the Expanded Public Works Programme (EPWP)**

The fight against unemployment and the eradication of poverty remains one of government's key priorities to which we all have a responsibility and an obligation to make a contribution. In April 2011, at the beginning of the period under review, the Natural Resources Management (NRM) Programme was transferred from the Department of Water Affairs to this department. While the addition of the NRM Programme to the department's existing Social Responsibility Programme presented challenges, it has increased the department's capacity to create more employment and skills development opportunities in the implementation of the Expanded Public Work Programme (EPWP) for the environment sector.

Some of the notable achievements in the implementation of these programmes during the 2011/12 financial year include the creation of 65 182 new work opportunities, 49 746 accredited person training days and 23 074 full-time equivalents. As part of the department's ongoing commitment to support emerging businesses, 846 small, micro and medium-sized enterprises (SMMEs) were supported in implementing these programmes. A total of 548 young people from various communities also benefited from the implementation of the National Youth Service.

The Natural Resources Management function was transferred from the Department of Water Affairs to this department as from 1 April 2011. The main office is situated in Cape Town with regional programme leaders in all nine regions. The Working for Water and Working on Fire programmes are the focus of this function. These projects are also part of the EPWP and are included in Programme 6, alongside the current social responsibility projects run by the department.



1.2 A summary of the department's vote and spending trends is given below in Table 1.

Table 1

Programme	Adjusted estimates R'000	Virement R'000	Final appropriation R'000	Actual expenditure R'000	Variance R'000
1. Administration	286 829	7 166	293 995	293 995	-
2. Environmental Quality and Protection	336 714	(28 100)	308 614	298 016	10 598
3. Oceans and Coasts	848 552	27 785	876 337	876 337	-
4. Climate Change	129 208	7 955	137 163	137 163	-
5. Biodiversity and Conservation	472 352	(15 775)	456 577	456 577	-
6. Sector Services, Coordination and Information Management and International Relations	2 127 961	969	2 128 930	2 046 461	82 469
<b>Total</b>	<b>4 201 616</b>	<b>-</b>	<b>4 201 616</b>	<b>4 108 549</b>	<b>93 067</b>

### Details on the Department's Programme Structure

The programme structure of the department still consists of six programmes for the 2011/12 financial year. The following changes from the previous financial years can be reported:

#### Programmes and subprogrammes

2010/11		2011/12	
Programme	Subprogramme	Programme	Subprogramme
3. Oceans and Coastal Management	3.1 Integrated Coastal Management	3. Oceans and Coasts	3.1 Oceans and Coasts Management
	3.2 Coastal and Oceans Assessment and Research		3.2 Integrated Coastal Management
			3.3 Oceans and Coastal Research
			3.4 Oceans Conservation
4. Climate Change	4.1 Research and Policy Analysis	4. Climate Change	4.1 Climate Change Management
	4.2 Antarctic and Island Research		4.2 Climate Change Mitigation
			4.3 Climate Change Adaptation
6. Sector Service, Environmental Awareness and International Relations	6.1 Social Responsibility Policy and Projects	6. Sector Service, Cooperation and Information Management and International Relations	6.1 Social Responsibility, Policy and Projects
	6.2 International Cooperation		6.2 International Cooperation
	6.3 Environmental awareness		6.3 Coordination and Information Management
	6.4 Infrastructure Investment		6.4 Infrastructure Investment



## Comparative figures

The following comparative figures had to be re-stated due to the shift in functions between programmes:

### Programme 3: Oceans and Coasts

The figures for Antarctic and Island Research for the 2010/11 financial year under Programme 4: Climate Change was indicated under the Subprogramme: Oceans Conservation for the 2011/12 financial year's comparison.

### Programme 4: Climate Change

The Subprogramme: Research and Policy Analysis for the 2010/11 financial year was divided into three subprogrammes to expand the Climate Change Programme into clearer sectors for the 2011/12 financial year.

## Spending trends

### Programme 2: Environmental Quality and Protection

Reasons for underspending	Amount R'000
Buyisa-e-Bag was incorporated into the department during December 2011 after the approval of the adjusted estimates. The funds were still vested under Programme 2. National Treasury granted approval to move the funds from Programme 2 and to increase the transfer payments under the Social Responsibility Policy Programme (SRPP) under Programme 6. The funds were therefore shifted to fund the waste management projects under the SRPP.	19 000
The acquisition process and installation of the air quality monitoring stations were slower than anticipated. Funds will be reprioritised during the 2012/13 financial year to fund this expenditure.	9 100

### Programme 5: Biodiversity and Conservation

Reasons for underspending	Amount R'000
Transfrontier conservation projects progressed slower than anticipated due to various factors, such as the land acquisition process being slower than anticipated and various stakeholders being involved.	15 775

The department spent 98% of the allocated R4 201,616 million during the 2011/12 financial year. An amount of R93,067 million was not spent at year-end. Included was an amount of R76,807 million of the incentive programme of the EPWP that was not allowed during the 2011/12 financial

year. As this programme came into effect for the first time during the 2010/11 financial year, expansion was necessary.

### Programme 6: Sector Services, Coordination and Information Management and International Relations

The Subprogramme: Environmental Awareness was renamed Coordination and Information Management for the 2011/12 financial year. The amount of R4 million paid to the Wildlife and Environmental Society of South Africa (WESSA) for the 2010/11 financial year was reported under Programme 6, under Subprogramme: Environmental Awareness. Due to the management of this function moving to Programme 1, under Corporate Affairs, for the 2011/12 financial year, the comparative figure was also reported under Programme 1 in the financial statements.

year. This amount was already surrendered to the National Revenue Fund (NRF) during the 2011/12 financial year. The remaining R16,26 million will be surrendered to the NRF during the next financial year.



## Virement

The virement was approved by the Accounting Officer in term of section 43 of the Public Finance Management Act, 1999 (Act No. 1 of 1999).

Programme from	%	Programme to	Amount (R'000)	Motivation
2: Environmental Quality and Protection	8,3	1: Administration	7 166	Administrative expenditure with regard to the hosting of COP 17 by the department.
		4: Climate Change	1 934	To fund the communication expenditure for the hosting of the COP 17 by the department.
		6: Sector Services, Coordination and Information Management and International Relations	19 000	To fund the waste management projects under the SRPP as a result of the incorporation of the Buyisa-e-Bag section 21 company into the department during the financial year.
5: Biodiversity and Conservation	3,3	4: Climate Change	6 021	To fund additional costs for hosting the COP 17 by the department.
		3: Oceans and Coasts	9 754	To fund the additional cost for the additional voyage to Marion Island, as well as the shortfall as a result of the exchange rate for the last instalment of the new polar research vessel.
6: Sector Services, Coordination and Information Management and International Relations	0,8	3: Oceans and Coasts	18 031	To fund the additional cost for the additional voyage to Marion Island, as well as the shortfall as a result of exchange rate for the last instalment of the new polar research vessel.

### Virements according to economic classifications

#### Current payments

Compensation of employees was utilised between programmes to fund the shortfall within Programme 3: Oceans and Coasts.

#### Transfer payments

National Treasury granted approval to increase transfer payments for the following after the adjusted Estimates of National Expenditure:

- Increase the transfer payment to South African National Parks (SANParks) with R4,5 million for the acquisition of two new machinery items (WA 430 articulated wheel loader and HM 300 tip truck) to assist with the clearing up of the landing site of the pontoon at the Sendelingsdrift port of entry at the Ais/Ais Richtersveld Transfrontier Park.
- Increase the transfer payment to SRPP with R19 million to manage the waste projects incorporated into SRPP as a result of the incorporation of Buyisa-e-Bag into the department.
- Increase the transfer payment to Working on Fire with R56,3 million to clear invasive alien plants in inaccessible areas and clear areas in risk of veld fires.



## Capital payments

The overexpenditure on Machinery and Equipment was funded from Goods and Services. This was done between programmes. The overexpenditure of R14,568 million on Programme 3: Oceans and Coasts was a result of exchange rate differences and a shortfall in the payment of the last instalment of the new polar research vessel.

The savings that were utilised to apply virements were within the 8% limit as prescribed in section 43(2) of the PFMA. In terms of section 43(4), the department also declares that the savings were not specifically and exclusively appropriated for:

- specific purposes;
- transfers to another institution; or
- capital expenditure.

## 1.3 Other material matters

1.3.1 The performance audit on the use of consultants for the years 2007/08 to 2009/10 identified concerns on the appointment of transactional advisors for the procurement of suitable office accommodation and subsequently the Director-General has requested National Treasury's Specialised Audit Services to conduct a review into the matter on behalf of the department.

1.3.2 In the year 2001 a contract was awarded to an implementing agent for a project to build a rest camp which includes entrance gates, 9 chalets, a caravan park, restaurant, training/conference centre, gazebo, walkways, roads, water and electrical reticulation at the Zeekoeivlei Nature Reserve. This project was delayed for more than two years due to EIA requirements and this had a negative effect on the budget. During the normal project progress review by the department in 2004/05 it was established by the project manager that the work did not meet the required standard as per the service level agreement. This resulted in the termination of the initial contract where the project was already more than 90% complete. A new contract for completion of the incomplete work as well as additional deliverables (including a boardwalk, furniture and fittings), as part of phase two of the project was awarded to another implementing agent in 2005. In the process of implementation of the project

the new implementing agent indicated a need for more resources in which case they submitted a request to the department. As part of the due diligence undertaken by the department, Deloitte was appointed to make an assessment on the state of the project and to indicate the required resources needed to complete the project. Overall the extended project is over 80% complete and will be completed within 2012/13 financial year to be handed over to the provincial department who will manage the operations. Lastly, this is an income generating project and it is expected that once it is in operation, approximately 30 permanent jobs will be created and income generated for the benefit of the community members.

1.3.3 During the 2011/12 financial year, the department awarded a number of bids of which the major part related to COP 17. The Auditor-General found 3 cases of possible non-compliance to legislation in that some of the bids awarded involved subcontractors who did not submit tax clearance certificates during the tendering process. The department subsequently obtained their valid tax clearance certificates. These matters have been recorded in the register of Non-Compliance for further investigation and condonation in consultation with the National Treasury.

## 2. Service rendered by the department

2.1 The services rendered by the department are aimed to lead sustainable development of South Africa's environment for a better life for all. The list and details of the services to achieve this aim can be found in the section on programme performance that forms part of the annual report.

2.2 Memorandum of Agreement with the Department of Agriculture, Forestry and Fisheries through the Marine Living Resources Fund

The department issued permits in respect of the control of vehicles in the coastal zone, dumping at sea, recreational scuba diving, filming of protected species, boat based whale watching operations and white shark cage diving operations under the following regulations and Acts: the Control of Vehicles in the coastal zone regulations under the Na-



tional Environmental Management Act, 1988 (Act No. 107 of 1988); the Dumping at Sea Control Act, 1980 (Act No. 73 of 1980) and the Marine Living Resources Act, 1998 (Act No. 18 of 1988). These fees were gazetted in terms of the various Acts and, in terms of the MOA between the two Departments and are collected via the Marine Living Resources Fund (MLRF), who are a Schedule 3A public entity under the control of the Department of Agriculture, Forestry and Fisheries. These fees are paid over to the department after year end. The amount to be paid over by the MLRF is disclosed as receivables to departmental revenue in the disclosure notes.

### 2.3 Tariff policy

The regulations in accordance with the National Environmental Management Act, 1998 (Act No.7 of 1998) relating to the control of vehicles in the coastal zone made provision for the applicable permit processing fees.

The regulations in accordance with the National Environmental Management: Biodiversity Act, 2004 (Act No.10 of 2004) relating to threatened or protected species made provision for the applicable permit processing fees.

### 2.4 Free services

The Environmental Impact Assessment (EIA) Regulations in accordance with the National Environmental Management Act, 1998 (Act No.107 of 1998) provides for a prescribed application fee for the application for environmental authorisations as well as the development of free structure. The department is currently busy developing such a free structure in consultation with all role players.

## 3. Capacity constraints

The Department continues to be challenged by a scarcity of specialist skills in the environmental field generally, against the backdrop of increased awareness of and focus on the importance of these functions. In addition, the envisaged advantages of the implementation of the Occupational Specific Dispensation have not materialized as anticipated and have given rise to a whole new set of challenges to recruiting and appointing staff to serve in these areas.

## 4. Utilisation of donor funds

Donor Funds are utilised to fund projects that complement the department's strategic objectives. The Donor Funds for the Denmark funded: Urban Environmental Management Programme as well as the Norwegian Funded: Environmental Co-operation Programme came to an end during the 2010/2011 financial year. This contributed to the decrease in Donor Funded activities during the 2011/2012 financial year. Details of donor funds are furnished in the notes to the annual financial statements of foreign aid assistance included in this report.

## 5. Trading entities and public entities

The following public entities report to the Minister:

- South African National Biodiversity Institute
- iSimangaliso Wetland Park Authority
- South African Weather Service
- South African National Parks

### 5.1 South African National Biodiversity Institute (SANBI)

The South African National Biodiversity Institute (SANBI) was established on 1 September 2004 in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004). The mandate of SANBI is to play a leading role in South Africa's national commitment to biodiversity management, now and into the future. In partnership with the Department of Environmental Affairs and the biodiversity sector, SANBI is tasked to lead the biodiversity research agenda; provide knowledge and information; give policy support and advice; manage gardens as windows to our biodiversity for leisure, enjoyment, spiritual upliftment and education; and engage in ecosystem restoration and rehabilitation programmes and best-practice models to manage biodiversity better.

Research remains central to SANBI's agenda and as such the entity continues in leading the research agenda relating to climate change and bio-adaptation. The research is aimed at enhancing climate change policy development and decision making by increasing access to appropriate scientific knowledge. In an attempt to increase participation



of previously disadvantaged individuals in biodiversity, SANBI intends implementing programmes to encourage participation in biodiversity science at school level and to strengthen the quality of biodiversity teaching and learning. Furthermore, SANBI's priorities will focus on establishing strategic partnerships with education departments and schools to increase visibility and access to information on careers in the biodiversity sectors. SANBI also contributes to the key government priority on eradication of poverty through the creation of decent and sustainable employment, by implementing a number of collaborative programmes focusing on the rehabilitation of ecosystems.

## 5.2 iSimangaliso Wetland Park Authority

The iSimangaliso Wetland Authority ("Park") was inscribed as South Africa's first world heritage site in December 1999 as an area of exceptional and outstanding universal heritage significance. The natural values, in terms of which the Park was inscribed on the World Heritage list, include outstanding examples of ecological processes, superlative natural phenomena and scenic beauty, and exceptional biodiversity and threatened species. The Park has thus received recognition under three of four natural criteria recognised by the World Heritage Convention.

The Park is 332 000 ha and comprises 9% of South Africa's coastline. It includes five eco-systems (marine, coastal dunes, lake systems, wetlands, and woodlands). The species listed for the Park are the longest in the region. Of the species listed in the Park 56 are endemic to KwaZulu-Natal, 108 to South Africa and 467 are listed as threatened and endangered in South Africa. The Park also has four RAMSAR sites.

The Park is located in one of South Africa's poorest rural areas. It has been largely under claim. All but three of the land claims settlements have been concluded and a series of co-management agreements have been signed with land claimants. The management of such a diverse asset in an area of extreme poverty and high expectations requires an adaptive and inclusive approach.

## 5.3 South African Weather Service (SAWS)

The South African Weather Service was established in accordance with the South African Weather Service

Act (2001). Its mandate is gazetted in the South African Weather Service Act (Act No. 8 of 2001). In terms of their vision statement, SAWS is striving "to be the foremost provider of relevant services in respect of weather, climate and related products, which contribute to sustainable development in South Africa and the African Continent". SAWS aims to provide useful and innovative weather, climate and related products and services for all South African and the African Continent through the following: Enhancing observational data and communications networks; Effectively developing and managing talent within the sector; Enhancing collaborative partnerships and effectively disseminating weather services products to the users; Utilising cutting edge technology to convert data into meaningful products and services for risk mitigation; Advancing the science of meteorology, research and relevant applications; and enhancing fiscal discipline and resource mobilisation to ensure sustainability.

In their continued efforts to carry out their legal mandate, the work of SAWS will in the next medium term, be guided by their five key strategic goals which they have identified as follows: To ensure the continued relevance of the organisation in delivering meteorological related products and services in compliance with all applicable regulatory frameworks; To ensure effective management of stakeholder, partner and key client relations; To address the short term viability and long-term sustainability of the entity's revenue and ensure continued fiscal discipline; To ensure continuous organisational effectiveness and efficiency; and to ensure the availability of strategy-driven human capital capacity for the performance of the entity.

## 5.4 South African National Parks (SANParks)

The South African National Parks (SANParks) was established in terms of the National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003). In terms of this Act, the primary mandate of SANParks is to oversee the conservation of South Africa's biodiversity, landscapes and associated heritage assets through a system of national parks. The core areas which provide a fundamental basis of the SANParks' mandate are; conservation management through the national parks system,



constituency building and people focused ecotourism management; and corporate governance and sound business and operational management.

The organisation has a significant role in the promotion of South Africa's nature-based tourism or ecotourism business targeted at both international and domestic tourism markets. The eco-tourism pillar of the business architecture provides for the organisation's self-generated revenues from commercial operations that is necessary to supplement government funding of conservation management. The work of SANParks also focuses on building strategic partnerships at international, national and local levels, in support of the conservation of the natural and cultural heritage of South Africa. It also has to ensure that South Africans participate and get involved in biodiversity initiatives, and that all its operations have a synergistic existence with neighbouring communities for their educational and socio-economic benefit.

SANParks is currently responsible for the management of a total of 22 national parks. The following core areas provide a fundamental basis of the SANParks' mandate, and have informed the business architecture of SANParks: Conservation management through the national parks system; Constituency building and people focused; Ecotourism management; and Corporate governance; sound business and operational management.

## 6. Organisations to whom transfer payments have been made

Transfer payments made to the organisations and the reasons for these payments are reflected in table 3. Where the transfer payments entailed financial assistance, the provisions of the Public Finance Management Act, 1999 (Act No. 1 of 1999) and the Treasury Regulations were complied with.

**Table 3**

Organisation	Amount R'000	Reason for transfer payment
South African National Parks	222 014	Provision of financial assistance and infrastructure as provided for in founding legislation
South African National Biodiversity Institute	220 387	Provision of financial assistance and infrastructure as provided for in founding legislation
South African Weather Service	148 407	Provision of financial assistance and infrastructure as provided for in founding legislation
iSimangaliso Wetland Park Authority	67 847	Provision of financial assistance and infrastructure as provided for in founding legislation
Natural Resources Management and Social Responsibility Projects Implementing Agents	1 301 066	Implementation of EPWP Projects
Global Environmental Fund	12 890	International membership fee
Buyisa-e-Bag	16 050	Financial Contribution
Wildlife and Environmental Society of South Africa	4 000	Financial Contribution
African World Heritage Fund	3 000	Financial Contribution
National Association for Clean Air	1 400	Financial Contribution
Maloti Drakensberg	1 203	Financial Contribution
North West University	967	Financial Contribution
Social benefit	2 681	Leave gratuity and severance package
Bursaries	157	Bursaries: Non-employees
Gifts and donations	8	Gifts and donations made
Various municipalities	5	Vehicle licences
<b>Total</b>	<b>2 002 082</b>	



## 7. Public-private Partnerships (PPP)

The PPP for the construction of the new DEA building is still under negotiations with the service provider. The PPP agreement was not yet signed by the 31<sup>st</sup> March 2012.

## 8. Corporate governance arrangements

The corporate governance of the department is built on a number of pillars of which the following are the most important:

- Risk Management
- Internal Audit
- Audit Committee
- Fraud prevention strategy
- Transparent bidding process
- A framework of policies guiding the activities of the department.

A risk assessment was conducted for 2012/13. Through the risk assessment, emerging risks were identified and strategies to mitigate those risks were formulated. The risk management strategy, policy and response plan has been approved and implemented.

The internal audit function is currently co-sourced to an audit firm with the Director: Internal Audit overseeing the performance against the three-year rolling internal audit risk-based strategic plan and the one year operational plan. The internal audit function is achieving its objectives.

The current Audit Committee was appointed on 1 October 2010. Mr Bouwer has been appointed as the Chairperson. The chairperson resigned after 31 March 2012 and Dr. Van Der Nest has been appointed as new chairperson of the Audit Committee during May 2012. I am satisfied and grateful with the services rendered by the Audit Committee.

The department has a fraud prevention plan that was reviewed by the Audit Committee and is currently operational.

The bidding process of the department is underpinned by a policy that ensures fairness, transparency, equal opportunity and compliance with BEE principles.

The department also operates within a framework of general policies and delegations that set parameters that ensures that good corporate governance is exercised.

All Senior Managers disclosed their conflict of interest and annually complies with DPSA financial disclosure requirements.

The Department follows the requirements as listed in section 38 and 40 of the Public Finance Management Act (PFMA).

## 9. Discontinued activities/activities to be discontinued

No activities have been discontinued during the 2011/12 financial year or are to be discontinued.

## 10. New/proposed activities

The process to wind up the operations of Buyisa-e-Bag began in 2011/12. The function performed previously by Buyisa-e-Bag will be absorbed into the Department during 2012/13, after which the company will be deregistered. Assets with a total book value of R332 036.71 will be transferred to the department during the 2012/13 financial year.

## 11. Asset management

The transfer of the Natural Resources Management function assets was signed off on 29 March 2012 by both department's Director-Generals' in terms of Section 42 of the PFMA. These assets were transferred at R1.00 each, in line with the Reporting Framework Guide (Chapter 9). The reason for taking the assets at R1 was due to non-availability of the source documents from the Department of Water Affairs. However the department will revalue the assets and reflect the correct cost price/value of assets in the asset register within the 2012/13 financial year.



## 12. Inventories

The total of 13 571 inventory items to the amount of R1 248 428.75 are on hand at year-end. The movement on inventories held is included in the Annexure on Inventories in the annual financial statements. Herbicides purchased for the eradication of alien invasive species plants and trees are immediately issued to the different Natural Resources Management regional offices where it is recorded in a control register for effective management.

## 13. Events after the reporting date

The building project of the Polar Supply and Research Vessel, SA AGULHAS II, has been finalised and delivery took place in the 2012/13 financial year. However, it was only concluded on 3 May 2012 that an amount of R187 683 413,96 is payable in respect of VAT on the new Vessel. National Treasury indicated that the funding will be provided within the adjustments estimate budget.

## 14. Information on predetermined objectives

The Department has adopted and will continue with the roll-out of its balanced scorecard approach to organizational performance management. This is aimed at maximising the value and contribution of all employees towards the achievement of the department's goals through alignment of organisational and individual performance. The balanced scorecard approach is also aimed at enabling organisational performance monitoring and evaluation from the various perspectives of the organisation covering the areas of stakeholder relations, learning and growth, internal business processes and financial health of the department.

## 15. SCOPA resolutions

The department has no Standing Committee on Public Accounts (SCOPA) resolutions to report on.

## 16. Prior modifications to audit reports

The Department did not receive any qualification, disclaimer, adverse opinion and other matters of non-compliance. However, matters identified related to internal control deficiencies were rectified before the finalisation of the Annual Report.

## 17. Exemptions and deviations received from the National Treasury

The department did not request or receive any exemptions from National Treasury with regard to the Public Finance Management Act, 1999 (Act No. 1 of 1999) or Treasury Regulations or deviate from the financial reporting requirements for the current and/or prior financial year.

## 18. Interim financial statements

Quarterly Interim financial statements were compiled and submitted on time as prescribed and National Treasury confirmed that it complied in all respects.

## 19. Other

There are no material facts or circumstances to report that may have an effect on the understanding of the financial state of affairs not addressed elsewhere in this report.

## 20. Approval

The annual financial statements set out on pages 69 to 136 have been approved by the Accounting Officer(s).

**Ms Nosipho Ngcaba**  
Director-General  
Department of Environmental Affairs  
31 May 2012

# REPORT OF THE AUDITOR-GENERAL

for the year ended 31 March 2012

## Report on the Financial Statements

### Introduction

1. I have audited the financial statements of the Department of Environmental Affairs set out on pages 69 to 127, which comprise the appropriation statement, the statement of financial position as at 31 March 2012, the statement of financial performance, statement of changes in net assets and the cash flow statement for the year then ended, and the notes, comprising a summary of significant accounting policies and other explanatory information.

### Accounting officer's responsibility for the financial statements

2. The accounting officer is responsible for the preparation of these financial statements in accordance with The *Departmental financial reporting framework* prescribed by the National Treasury and the requirements of the Public Finance Management Act of South Africa, 1999 (Act No. 1 of 1999) (PFMA), and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor-General's responsibility

3. My responsibility is to express an opinion on these financial statements based on my audit. I conducted my audit in accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA), the General Notice issued in terms thereof and International Standards on Auditing. Those standards require that I comply with ethical requirements and plan and perform the audit to obtain

reasonable assurance about whether the financial statements are free from material misstatement.

4. An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

### Opinion

6. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Department of Environmental Affairs as at 31 March 2012, and its financial performance and cash flows for the year then ended in accordance with The *Departmental financial reporting framework* prescribed by the National Treasury and the requirements of the Public Finance Management Act of South Africa, 1999 (Act No. 1 of 1999) (PFMA).



## Additional matters

7. I draw attention to the matters below. My opinion is not modified in respect of these matters.

## Unaudited supplementary information

8. The supplementary information set out on pages 128 to 167 does not form part of the financial statements and is presented as additional information. I have not audited this schedule and, accordingly, I do not express an opinion thereon.

## Financial reporting framework

9. The financial reporting framework prescribed by the National Treasury and applied by the department is a compliance framework. The wording of my opinion on a compliance framework should reflect that the financial statements have been prepared in accordance with this framework and not that they "present fairly". Section 20(2)(a) of the PAA, however, requires me to express an opinion on the fair presentation of the financial statements. The wording of my opinion therefore reflects this requirement.

## REPORT ON OTHER LEGAL AND REGULATORY REQUIREMENTS

10. In accordance with the PAA and the General Notice issued in terms thereof, I report the following findings relevant to performance against predetermined objectives, compliance with laws and regulations and internal control, but not for the purpose of expressing an opinion.

## Predetermined objectives

11. I performed procedures to obtain evidence about the usefulness and reliability of the information in the annual performance report as set out on pages 18 to 49 of the annual report.
12. The reported performance against predetermined objectives was evaluated against the overall criteria of usefulness and reliability. The usefulness of information in the annual performance report relates to whether it is presented in accordance with the National Treasury annual reporting principles and whether the reported performance is consistent with the planned objectives. The usefulness of information further relates to whether indicators and targets are measurable (i.e. well defined, verifiable, specific, measurable and time bound) and relevant as required by the National Treasury Framework for managing programme performance information.

The reliability of the information in respect of the selected programmes is assessed to determine whether it adequately reflects the facts (i.e. whether it is valid, accurate and complete).

13. There were no material findings on the annual performance report concerning the usefulness and reliability of the information.

## Compliance with laws and regulations

14. I did not identify any instances of material non-compliance with specific matters in key applicable laws and regulations as set out in the General Notice issued in terms of the PAA.

## Internal control

15. I did not identify any deficiencies in internal control which we considered sufficiently significant for inclusion in this report.



## OTHER REPORTS

### Investigations

16. A forensic investigation is in progress for different projects due to possible fruitless and wasteful expenditure on the Zeekoeivlei project that was identified in 2010/11. The accounting officer requested a forensic investigation to be done on all projects.

### Performance audits

17. Performance audit on the use of consultants was conducted and completed during the financial year. Findings were discussed with the accounting officer and the Minister.

## Donor funding

1. A separate audit have been performed on the financial statements of World Bank: African Stockpile programme as well as Norway donor funding for the year ended 31 March 2011 and there were no findings.

*Auditor-General*

Pretoria

31 July 2012



AUDITOR-GENERAL  
SOUTH AFRICA

*Auditing to build public confidence*





# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Appropriation per programme									
	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>1. Administration</b>									
Current payment	283 485	(3 282)	7 166	287 369	287 369	-	100	263 811	263 811
Transfers and subsidies	-	757	-	757	757	-	100	724	724
Payment for capital assets	3 344	2 498	-	5 842	5 842	-	100	3 636	3 636
Payment for financial assets	-	27	-	27	27	-	100	60	60
	<b>286 829</b>	<b>-</b>	<b>7 166</b>	<b>293 995</b>	<b>293 995</b>	<b>-</b>		<b>268 231</b>	<b>268 231</b>
<b>2. Environmental Quality and Protection</b>									
Current payment	159 746	(2 002)	(13 650)	144 094	138 863	5 231	96	119 933	119 510
Transfers and subsidies	171 073	1 286	(14 450)	157 909	157 908	1	100	185 994	174 494
Payment for capital assets	5 895	675	-	6 570	1 204	5 366	18	7 008	1 728
Payment for financial assets	-	41	-	41	41	-	100	101	101
	<b>336 714</b>	<b>-</b>	<b>(28 100)</b>	<b>308 614</b>	<b>298 016</b>	<b>10 598</b>		<b>313 036</b>	<b>295 833</b>
<b>3. Oceans and Coasts</b>									
Current payment	212 402	(7 596)	13 605	218 411	218 411	-	100	246 048	246 048
Transfers and subsidies	-	667	-	667	667	-	100	368	368
Payment for capital assets	636 150	6 928	14 180	657 258	657 258	-	100	477 843	397 839
Payment for financial assets	-	1	-	1	1	-	100	1	1
	<b>848 552</b>	<b>-</b>	<b>27 785</b>	<b>876 337</b>	<b>876 337</b>	<b>-</b>		<b>724 260</b>	<b>644 256</b>
<b>4. Climate Change</b>									
Current payment	129 208	(316)	7 955	136 847	136 847	-	100	10 731	10 731
Transfers and subsidies	-	2	-	2	2	-	100	1 000	1 000
Payment for capital assets	-	314	-	314	314	-	100	29	29
	<b>129 208</b>	<b>-</b>	<b>7 955</b>	<b>137 163</b>	<b>137 163</b>	<b>-</b>		<b>11 760</b>	<b>11 760</b>
<b>5. Biodiversity and Conservation</b>									
Current payment	79 220	(566)	(20 299)	58 355	58 355	-	100	55 736	55 736
Transfers and subsidies	392 927	-	4 524	397 451	397 451	-	100	365 537	365 537
Payment for capital assets	205	558	-	763	763	-	100	537	537
Payment for financial assets	-	8	-	8	8	-	100	164	164
	<b>472 352</b>	<b>-</b>	<b>(15 775)</b>	<b>456 577</b>	<b>456 577</b>	<b>-</b>		<b>421 974</b>	<b>421 974</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Appropriation per programme									
	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>6. Sector Services, Coordination and Information Management and International Relations</b>									
Current payment	680 092	(7 774)	(74 331)	597 987	592 325	5 662	99	126 649	125 949
Transfers and subsidies	1 446 666	139	75 300	1 522 105	1 445 298	76 807	95	620 830	620 246
Payment for capital assets	1 203	7 602	-	8 805	8 805	-	100	1 706	1 706
Payment for financial assets	-	33	-	33	33	-	100	68	68
	<b>2 127 961</b>	<b>-</b>	<b>969</b>	<b>2 128 930</b>	<b>2 046 461</b>	<b>82 469</b>		<b>749 253</b>	<b>747 969</b>
<b>TOTAL</b>	<b>4 201 616</b>	<b>-</b>	<b>-</b>	<b>4 201 616</b>	<b>4 108 549</b>	<b>93 067</b>	<b>98</b>	<b>2 488 514</b>	<b>2 390 023</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

	2011/12		2010/11	
	Final appropriation	Actual expenditure	Final appropriation	Actual expenditure
TOTAL (brought forward)	4 201 616	4 108 549	2 488 514	2 390 023
Reconciliation with statement of financial performance				
<b>Add:</b>				
Departmental receipts	17 990		8 511	
Direct Exchequer receipts	-		-	
Aid assistance	3 327		24 897	
Actual amounts per statement of financial performance (total revenue)	<b>4 222 933</b>		<b>2 521 922</b>	
<b>Add:</b>				
Aid assistance		45		26 053
Direct Exchequer payments				
Prior year unauthorised expenditure approved without funding				
<b>Actual amounts per statement of financial performance (total expenditure)</b>		<b>4 108 594</b>		<b>2 416 076</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Appropriation per economic classification									
	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	490 930	(5 512)	(5 527)	479 891	471 649	8 242	98	327 030	327 030
Goods and services	1 053 223	(16 267)	(74 027)	962 929	960 278	2 651	100	495 878	494 755
Interest and rent on land	-	243	-	243	243	-	100	-	-
<b>Transfers and subsidies</b>									
Provinces and municipalities	-	5	-	5	5	-	100	-	-
Departmental agencies and accounts	654 131	-	4 524	658 655	658 655	-	100	644 281	644 280
Universities and technikons	968	-	-	968	967	1	100	980	980
Foreign governments and international organisations	12 890	-	-	12 890	12 890	-	100	19 077	19 077
Non-profit institutions	40 103	-	(14 450)	25 653	25 653	-	100	47 524	36 024
Households	1 302 574	2 845	75 300	1 380 719	1 303 912	76 807	94	462 591	462 008
<b>Payments for capital assets</b>									
Machinery and equipment	646 417	18 440	14 180	679 037	673 671	5 366	99	490 160	404 876
Software and other intangible assets	380	135	-	515	515	-	100	599	599
<b>Payments for financial assets</b>									
	-	111	-	111	111	-	100	394	394
<b>Total</b>	<b>4 201 616</b>	<b>-</b>	<b>-</b>	<b>4 201 616</b>	<b>4 108 549</b>	<b>93 067</b>	<b>98</b>	<b>2 488 514</b>	<b>2 390 023</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Statutory appropriation per economic classification									
Programme 1 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	117 545	(5 851)	-	111 694	111 694	-	100	84 300	84 300
Goods and services	165 940	2 571	7 166	175 677	175 677	-	100	179 511	179 511
<b>Transfers and subsidies</b>									
Provinces and municipalities	-	2	-	2	2	-	100	-	-
Non-profit institutions	-	-	-	-	-	-	-	-	-
Households	-	753	-	753	753	-	100	724	724
<b>Payments for capital assets</b>									
Machinery and equipment	3 344	2 450	-	5 794	5 794	-	100	3 500	3 500
Software and other intangible assets	-	48	-	48	48	-	100	136	136
<b>Payments for financial assets</b>	-	27	-	27	27	-	100	60	60
<b>Total</b>	<b>286 829</b>	<b>-</b>	<b>7 166</b>	<b>293 995</b>	<b>293 995</b>	<b>-</b>	<b>100</b>	<b>268 231</b>	<b>268 231</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>1.1 Management</b>									
Current payment	48 690	(5 727)	-	42 963	42 963	-	100	22 009	22 009
Transfers and subsidies	-	518	-	518	518	-	100	604	604
Payment for capital assets	-	940	-	940	940	-	100	477	477
Payment for financial assets	-	12	-	12	12	-	100	-	-
<b>1.2 Corporate Affairs</b>									
Current payment	132 779	13 317	7 166	153 262	153 262	-	100	131 566	131 566
Transfers and subsidies	-	238	-	238	238	-	100	32	32
Payment for capital assets	3 344	1 172	-	4 516	4 516	-	100	2 817	2 817
Payment for financial assets	-	15	-	15	15	-	100	59	59
<b>1.3 Financial Management</b>									
Current payment	31 953	(5 844)	-	26 109	26 109	-	100	21 867	21 867
Transfers and subsidies	-	1	-	1	1	-	100	88	88
Payment for capital assets	-	386	-	386	386	-	100	342	342
Payment for financial assets	-	-	-	-	-	-	-	1	1
<b>1.4 Office Accommodation</b>									
Current payment	70 063	(5 028)	-	65 035	65 035	-	100	88 369	88 369
<b>Total</b>	<b>286 829</b>	<b>-</b>	<b>7 166</b>	<b>293 995</b>	<b>293 995</b>	<b>-</b>	<b>100</b>	<b>268 231</b>	<b>268 231</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Programme 2 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds		Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	95 732	-	-	95 732	93 151	2 581	97	77 191	77 191
Goods and services	64 014	(2 002)	(13 650)	48 362	45 712	2 650	95	42 742	42 319
<b>Transfers and subsidies to:</b>									
Departmental agencies and accounts	138 205	-	-	138 205	138 205	-	100	148 614	148 614
Universities and technikons	968	-	-	968	967	1	100	980	980
Non-profit institutions	31 900	-	(14 450)	17 450	17 450	-	100	36 400	24 900
Households	-	1 286	-	1 286	1 286	-	100	-	-
<b>Payment for capital assets</b>									
Machinery and equipment	5 525	1 045	-	6 570	1 204	5 366	18	6 915	1 635
Software and other intangible assets	370	(370)	-	-	-	-	-	93	93
<b>Payments for financial assets</b>	-	41	-	41	41	-	100	101	101
<b>Total</b>	<b>336 714</b>	<b>-</b>	<b>(28 100)</b>	<b>308 614</b>	<b>298 016</b>	<b>10 598</b>	<b>97</b>	<b>313 036</b>	<b>295 833</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>2.1 Environmental Quality and Protection Management</b>									
Current payment	5 431	(92)	(2 153)	3 186	3 186	-	100	3 453	3 453
Payment for capital assets	-	92	-	92	92	-	100	33	33
<b>2.2 Regulatory Services</b>									
Current payment	32 593	(327)	(3 597)	28 669	28 669	-	100	23 431	23 431
Payment for capital assets	-	327	-	327	327	-	100	556	556
<b>2.3 Pollution and Waste Management</b>									
Current payment	44 435	(197)	(3 287)	40 951	35 720	5 231	87	28 840	28 840
Payment for capital assets	-	176	-	176	176	-	100	395	395
<b>2.4 Environmental Impact Management</b>									
Current payment	41 931	54	(2 995)	38 990	38 990	-	100	39 906	39 906
Transfers and subsidies	2 368	(134)	-	2 234	2 233	1	100	980	980
Payment for capital assets	370	80	-	450	450	-	100	236	236
Payment for financial assets	-	21	-	21	21	-	100	101	101



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>2.5 Air Quality Management</b>									
Current payment	35 356	(1 440)	(1 618)	32 298	32 298	-	100	24 303	23 880
Transfers and subsidies	-	1 420	-	1 420	1 420	-	100	1 400	1 400
Payment for capital assets	5 525	-	-	5 525	159	5 366	3	5 788	508
Payment for financial assets	-	20	-	20	20	-	100	-	-
<b>2.6 Buyisa-e-Bag</b>									
Transfers and subsidies	30 500	-	(14 450)	16 050	16 050	-	100	35 000	23 500
<b>2.7 South African Weather Service</b>									
Transfers and subsidies	138 205	-	-	138 205	138 205	-	100	148 614	148 614
<b>Total</b>	<b>336 714</b>	<b>-</b>	<b>(28 100)</b>	<b>308 614</b>	<b>298 016</b>	<b>10 598</b>	<b>97</b>	<b>313 036</b>	<b>295 833</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Programme 3 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	67 732	-	816	68 548	68 548	-	100	67 034	67 034
Goods and services	144 670	(7 596)	12 789	149 863	149 863	-	100	179 014	179 014
<b>Transfers and subsidies to:</b>									
Provinces and municipalities	-	2	-	2	2	-	100	-	-
Households	-	665	-	665	665	-	100	368	368
<b>Payment for capital assets</b>									
Machinery and equipment	636 150	6 461	14 180	656 791	656 791	-	100	477 561	397 557
Software and other intangible assets	-	467	-	467	467	-	100	282	282
<b>Payments for financial assets</b>									
	-	1	-	1	1	-	100	1	1
<b>Total</b>	<b>848 552</b>	<b>-</b>	<b>27 785</b>	<b>876 337</b>	<b>876 337</b>	<b>-</b>	<b>100</b>	<b>724 260</b>	<b>644 256</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>3.1 Oceans and Coasts Management</b>									
Current payment	20 414	11 485	-	31 899	31 899	-	100	-	-
Transfers and subsidies	-	45	-	45	45	-	100	-	-
Payment for capital assets	-	864	-	864	864	-	100	-	-
Payment for financial assets	-	1	-	1	1	-	100	-	-
<b>3.2 Integrated Coastal Management</b>									
Current payment	41 978	(14 047)	-	27 931	27 931	-	100	71 763	71 763
Transfers and subsidies	-	-	-	-	-	-	-	368	368
Payment for capital assets	3 901	(2 859)	-	1 042	1 042	-	100	1 046	1 046
<b>3.3 Oceans and Coastal Research</b>									
Current payment	47 072	1 039	1 430	49 541	49 541	-	100	86 628	86 628
Transfers and subsidies	-	290	-	290	290	-	100	-	-
Payment for capital assets	-	2 850	4 454	7 304	7 304	-	100	6 545	6 545
<b>3.4 Oceans Conservation</b>									
Current payment	102 938	(6 073)	12 175	109 040	109 040	-	100	87 657	87 657
Transfers and subsidies	-	332	-	332	332	-	100	-	-
Payment for capital assets	632 249	6 073	9 726	648 048	648 048	-	100	470 252	390 248
<b>Payment for Financial Assets</b>	-	-	-	-	-	-	-	1	1
<b>Total</b>	<b>848 552</b>	<b>-</b>	<b>27 785</b>	<b>876 337</b>	<b>876 337</b>	<b>-</b>	<b>100</b>	<b>724 260</b>	<b>644 256</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Programme 4 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	12 971	-	1 122	14 093	14 093	-	100	1 422	1 422
Goods and services	116 237	(316)	6 833	122 754	122 754	-	100	9 309	9 309
<b>Transfers and subsidies to:</b>									
Non-profit institutions	-	-	-	-	-	-	-	1 000	1 000
Households	-	2	-	2	2	-	100	-	-
<b>Payment for capital assets</b>									
Machinery and equipment	-	314	-	314	314	-	100	29	29
<b>Total</b>	<b>129 208</b>	<b>-</b>	<b>7 955</b>	<b>137 163</b>	<b>137 163</b>	<b>-</b>	<b>100</b>	<b>11 760</b>	<b>11 760</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>4.1 Climate Change Management</b>									
Current payment	118 054	1 632	7 955	127 641	127 641	-	100	10 731	10 731
Transfers and subsidies	-	2	-	2	2	-	100	1 000	1 000
Payment for capital assets	-	314	-	314	314	-	100	29	29
<b>4.2 Climate Change Mitigation</b>									
Current payment	7 621	(2 495)	-	5 126	5 126	-	100	-	-
<b>4.3 Climate Change Adaptation</b>									
Current payment	3 533	547	-	4 080	4 080	-	100	-	-
<b>Total</b>	<b>129 208</b>	<b>-</b>	<b>7 955</b>	<b>137 163</b>	<b>137 163</b>	<b>-</b>	<b>100</b>	<b>11 760</b>	<b>11 760</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Programme 5 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	34 411	395	-	34 806	34 806	-	100	29 920	29 920
Goods and services	44 809	(961)	(20 299)	23 549	23 549	-	100	25 816	25 816
<b>Transfers and subsidies to:</b>									
Departmental agencies and accounts	388 724	-	4 524	393 248	393 248	-	100	359 413	359 413
Non-profit institutions	4 203	-	-	4 203	4 203	-	100	6 124	6 124
<b>Payment for capital assets</b>									
Machinery and equipment	195	568	-	763	763	-	100	490	490
Land and subsoil assets									
Software and other intangible assets	10	(10)	-	-	-	-	-	47	47
<b>Payments for financial assets</b>									
	-	8	-	8	8	-	100	164	164
<b>Total</b>	<b>472 352</b>	<b>-</b>	<b>(15 775)</b>	<b>456 577</b>	<b>456 577</b>	<b>-</b>	<b>100</b>	<b>421 974</b>	<b>421 974</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>5.1 Biodiversity and Conservation Management</b>									
Current payment	5 900	(1 035)	-	4 865	4 865	-	100	7 042	7 042
Payment for capital assets	-	61	-	61	61	-	100	27	27
<b>5.2 Biodiversity Management</b>									
Current payment	31 946	1 400	-	33 346	33 346	-	100	25 427	25 427
Payment for capital assets	205	218	-	423	423	-	100	278	278
Payment for financial assets	-	7	-	7	7	-	100	155	155
<b>5. Transfrontier Conservation and Protected Areas</b>									
Current payment	41 374	(931)	(20 299)	20 144	20 144	-	100	23 267	23 267
Transfers and subsidies	4 203	-	-	4 203	4 203	-	100	6 124	6 124
Payment for capital assets	-	279	-	279	279	-	100	232	232
Payment for financial assets	-	1	-	1	1	-	100	9	9
<b>5.4 iSimangaliso Wetland Park Authority</b>									
Transfers and subsidies	25 847	-	-	25 847	25 847	-	100	21 365	21 365

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>5.5 South African National Parks</b> Transfers and subsidies	157 490	-	4 524	162 014	162 014	-	100	190 218	190 218
<b>5.6 South Africa National Biodiversity Institute</b> Transfers and Subsidies	205 387	-	-	205 387	205 387	-	100	147 830	147 830
<b>Total</b>	<b>472 352</b>	<b>-</b>	<b>(15 775)</b>	<b>456 577</b>	<b>456 577</b>	<b>-</b>	<b>100</b>	<b>421 974</b>	<b>421 974</b>



# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Programme 6 per economic classification	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>Current payments</b>									
Compensation of employees	162 539	(56)	(7 465)	155 018	149 357	5 661	96	67 163	67 163
Goods and services	517 553	(7 963)	(66 866)	442 724	442 723	1	100	59 486	58 786
Interest and rent on land	-	243	-	243	243	-	100	-	-
<b>Transfers and subsidies to:</b>									
Provinces and municipalities	-	1	-	1	1	-	100	-	-
Departmental agencies and accounts	127 202	-	-	127 202	127 202	-	100	136 254	136 253
Foreign governments and international organisations	12 890	-	-	12 890	12 890	-	100	19 077	19 077
Non-profit institutions	4 000	-	-	4 000	4 000	-	100	4 000	4 000
Households	1 302 574	139	75 300	1 378 013	1 301 206	76 807	94	461 499	460 916
<b>Payment for capital assets</b>									
Buildings and other fixed structures									
Machinery and equipment	1 203	7 602	-	8 805	8 805	-	100	1 665	1 665
Software and other intangible assets	-	-	-	-	-	-	-	41	41
<b>Payments for financial assets</b>									
	-	34	-	34	34	-	100	68	68
<b>Total</b>	<b>2 127 961</b>	<b>-</b>	<b>969</b>	<b>2 128 930</b>	<b>2 046 461</b>	<b>82 469</b>	<b>96</b>	<b>749 253</b>	<b>747 969</b>

# APPROPRIATION STATEMENT

for the year ended 31 March 2012

Detail per sub-programme	2011/12							2010/11	
	Adjusted appropriation	Shifting of funds	Virement	Final appropriation	Actual expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
<b>6.1 Social Responsibility, Policy and Projects</b>									
Current payment	596 269	(6 924)	(59 263)	530 082	524 420	5 662	99	57 630	57 630
Transfers and subsidies	1 302 574	18	75 300	1 377 892	1 301 085	76 807	94	460 868	460 285
Payment for capital assets	1 203	6 873	-	8 076	8 076	-	100	1 314	1 314
Payment for financial assets	-	33	-	33	33	-	100	68	68
<b>6.2 International Cooperation</b>									
Current payment	41 843	(133)	(10 970)	30 740	30 740	-	100	29 201	28 501
Transfers and subsidies	12 890	-	-	12 890	12 890	-	100	19 077	19 077
Payment for capital assets	-	133	-	133	133	-	100	153	153
<b>6.3 Coordination and Information Management</b>									
Current payment	41 980	(717)	(4 098)	37 165	37 165	-	100	39 818	39 818
Transfers and subsidies	4 000	121	-	4 121	4 121	-	100	4 731	4 730
Payment for capital assets	-	596	-	596	596	-	100	239	239
<b>6.4 Infrastructure Investment</b>									
Transfers and subsidies	127 202	-	-	127 202	127 202	-	100	136 154	136 154
<b>Total</b>	<b>2 127 961</b>	<b>-</b>	<b>969</b>	<b>2 128 930</b>	<b>2 046 461</b>	<b>82 469</b>	<b>96</b>	<b>749 253</b>	<b>747 969</b>



# NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2012

## 1. Detail of transfers and subsidies as per Appropriation Act (after Virement)

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-F & H) to the Annual Financial Statements.

## 2. Detail of specifically and exclusively appropriated amounts voted (after Virement)

Detail of these transactions can be viewed in Note 1 (Annual Appropriation) to the Annual Financial Statements.

## 3. Detail on payments for financial assets

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the annual financial statements.

## 4. Explanations of material variances from Amounts Voted (after Virement)

4.1 Per programme	Final appropriation	Actual expenditure	Variance R'000	Variance as % of final appropriation
Administration	293 995	293 995	-	-
Environmental Quality and Protection	308 614	298 016	10 598	3
Oceans and Coasts	876 337	876 337	-	-
Climate Change	137 163	137 163	-	-
Biodiversity and Conservation	456 577	456 577	-	-
Sector Services, Coordination and Information Management and International Relations	2 128 930	2 046 461	82 469	4
Total	<b>4 201 616</b>	<b>4 108 549</b>	<b>93 067</b>	

# NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2012

4.2 Per economic classification	Final appropriation	Actual expenditure	Variance	Variance as % of final appropriation
	R'000	R'000	R'000	R'000
<b>Current payments</b>				
Compensation of employees	479 891	471 649	8 242	2
Goods and services	971 102	968 451	2 651	-
Interest and rent on land	243	243	-	-
Unauthorised expenditure approved	-	-	-	-
<b>Transfers and subsidies</b>				
Provinces and municipalities	5	5	-	-
Departmental agencies and accounts	658 655	658 655	-	-
Universities and technikons	968	967	1	-
Public corporations and private enterprises	-	-	-	-
Foreign governments and international organisations	12 890	12 890	-	-
Non-profit institutions	25 653	25 653	-	-
Households	1 380 711	1 303 904	76 807	6
Gifts and donations	8	8	-	-
<b>Payments for capital assets</b>				
Buildings and other fixed structures	-	-	-	-
Machinery and equipment	670 864	665 498	5 366	1
Heritage assets	-	-	-	-
Specialised military assets	-	-	-	-
Biological assets	-	-	-	-
Land and subsoil assets	-	-	-	-
Software and other intangible assets	515	515	-	-
<b>Payments for financial assets</b>	111	111	-	-
<b>Total</b>	<b>4 201 616</b>	<b>4 108 549</b>	<b>93 067</b>	

Included in capital asset payments is an amount of R6,073 million from goods and services. The reason for the reclassification from goods and services to capital is that these are services related to the construction of the polar vessel.

Also included in machinery and equipment is an amount of R2,100 million which is the capital portion of finance leases. This amount was reclassified as capital in line with finance lease reporting requirements (refer to note 9 in the notes to the financial statements). National Treasury has given departments' discretion to revert to operational leases reporting on photo copiers.



# NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2012

**Explanation of variance between the final appropriation and actual expenditure per economical classification:**

	<b>R'000</b>
<b>Current payment</b>	<b>10 893</b>
<p>Programme 2: Posts not filled due to the restructuring of the Legal Authorisation and Compliance Inspectorate not finalised during the 2011/12 financial year resulted in a saving in Compensation of Employees including related operational expenditure.</p>	
<b>Transfers and subsidies</b>	<b>76 808</b>
Universities and technikons: payment lesser than anticipated	1
Households: incentives not earned for EPWP Programme*	76 807
<b>Payments for capital assets</b>	<b>5 366</b>
<p>Programme 2: The purchase of equipment and installation of Air Quality Monitoring programme slower than anticipated.</p>	
<b>Total</b>	<b>93 067</b>

\* The major part of the under spending in transfers to households relates to the Natural Resources Management function which was transferred from the Department of Water Affairs. Management made a conscious decision to delay payments, while implementing proper control processes as well as resourcing the new unit. This did not contribute to non-performance but delayed the earning of quarterly EPWP incentives as the Natural Resources Management payments only started in the second quarter.

# STATEMENT OF FINANCIAL PERFORMANCE

for the year ended 31 March 2012

	Note	2011/12 R'000	2010/11 R'000
<b>REVENUE</b>			
Annual appropriation	1	4 201 616	2 488 514
Departmental revenue	2	17 990	8 511
Aid assistance	3	3 327	24 897
<b>TOTAL REVENUE</b>		<b>4 222 933</b>	<b>2 521 922</b>
<b>EXPENDITURE</b>			
<b>Current expenditure</b>			
Compensation of employees	4	471 649	327 030
Goods and services	5	960 278	494 755
Interest	6	243	-
Aid assistance	3	45	25 299
<b>Total current expenditure</b>		<b>1 432 215</b>	<b>847 084</b>
<b>Transfers and subsidies</b>			
Transfers and subsidies	8	2 002 082	1 162 369
<b>Total transfers and subsidies</b>		<b>2 002 082</b>	<b>1 162 369</b>
<b>Expenditure for capital assets</b>			
Tangible capital assets	9	673 671	405 630
Software and other intangible assets	9	515	599
<b>Total expenditure for capital assets</b>		<b>674 186</b>	<b>406 229</b>
<b>Payments for financial assets</b>	7	111	394
<b>TOTAL EXPENDITURE</b>		<b>4 108 594</b>	<b>2 416 076</b>
<b>SURPLUS FOR THE YEAR</b>		<b>114 339</b>	<b>105 846</b>
<b>Reconciliation of Net Surplus for the year</b>			
Voted funds		93 067	98 491
Annual appropriation		93 067	98 491
Departmental revenue and NRF Receipts	14	17 990	8 511
Aid assistance	3	3 282	(1 156)
<b>SURPLUS FOR THE YEAR</b>		<b>114 339</b>	<b>105 846</b>

# STATEMENT OF FINANCIAL POSITION

at 31 March 2012

	Note	2011/12 R'000	2010/11 R'000
<b>ASSETS</b>			
<b>Current assets</b>		<b>48 777</b>	<b>105 985</b>
Cash and cash equivalents	10	43 163	101 091
Prepayments and advances	11	308	181
Receivables	12	4 421	2 358
Aid assistance receivable	3	885	2 355
<b>TOTAL ASSETS</b>		<b>48 777</b>	<b>105 985</b>
<b>LIABILITIES</b>			
<b>Current liabilities</b>		<b>48 147</b>	<b>105 631</b>
Voted funds to be surrendered to the Revenue Fund	13	16 261	98 491
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	14	2 048	63
Payables	15	28 026	6 577
Aid assistance repayable	3	1 812	500
<b>TOTAL LIABILITIES</b>		<b>48 147</b>	<b>105 631</b>
<b>NET ASSETS</b>		<b>630</b>	<b>354</b>
<b>Represented by:</b>			
Recoverable revenue		630	354
<b>TOTAL</b>		<b>630</b>	<b>354</b>

# STATEMENT OF CHANGES IN NET ASSETS

for the year ended 31 March 2012

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
<b>Recoverable revenue</b>			
Opening balance		354	510
Transfers:		<b>276</b>	<b>(156)</b>
Debts recovered (included in departmental receipts)		(65)	(361)
Debts raised		341	205
Closing balance		<b>630</b>	<b>354</b>
<b>TOTAL</b>		<b>630</b>	<b>354</b>



# CASH FLOW STATEMENT

for the year ended 31 March 2012

	Note	2011/12 R'000	2010/11 R'000
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts		<b>4 222 893</b>	<b>2 521 835</b>
Annual appropriated funds received	1.1	4 201 616	2 488 514
Departmental revenue received	2	17 950	8 424
Aid assistance received	3	3 327	24 897
Net decrease in working capital		19 259	5 626
Surrendered to Revenue Fund		(191 302)	(15 998)
Surrendered to RDP Fund/Donor		(500)	(1 679)
Current payments		(1 432 215)	(847 084)
Payments for financial assets		(111)	(394)
Transfers and subsidies paid		(2 002 082)	(1 162 369)
<b>Net cash flow available from operating activities</b>	<b>16</b>	<b>615 942</b>	<b>499 937</b>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Payments for capital assets	9	(674 186)	(406 229)
Proceeds from sale of capital assets	2.4	40	87
<b>Net cash flows from investing activities</b>		<b>(674 146)</b>	<b>(406 142)</b>
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>			
Increase/(decrease) in net assets		276	(156)
<b>Net cash flows from financing activities</b>		<b>276</b>	<b>(156)</b>
Net increase/(decrease) in cash and cash equivalents		(57 928)	93 639
Cash and cash equivalents at beginning of period		101 091	7 452
<b>Cash and cash equivalents at end of period</b>	<b>17</b>	<b>43 163</b>	<b>101 091</b>



# ACCOUNTING POLICIES

for the year ended 31 March 2012

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. However, where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the Financial Statements and to comply with the statutory requirements of the Public Finance Management Act, 1999 (Act No. 1 of 1999) (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of this Act.

## 1. Presentation of the financial statements

### 1.1 Basis of preparation

The financial statements have been prepared on a modified cash basis of accounting, except where stated otherwise. The modified cash basis constitutes the cash basis of accounting supplemented with additional disclosure items. Under the cash basis of accounting transactions and other events are recognised when cash is received or paid.

### 1.2 Presentation currency

All amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.

### 1.3 Rounding

Unless otherwise stated all financial figures have been rounded to the nearest one thousand Rand (R'000).

### 1.4 Comparative figures

Prior period comparative information has been presented in the current year's financial statements with the exception of those related to the Natural Recourses Management Programme transferred from the Department of Water Affairs in line with the Treasury Guide. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.

### 1.5 Comparative figures – appropriation statement

A comparison between actual amounts and final appropriation per major classification of expenditure is included in the Appropriation Statement with the exception of those related to the Natural Recourses Management Programme transferred from the Department of Water Affairs in line with the Treasury Guide.



# ACCOUNTING POLICIES

for the year ended 31 March 2012

## 2. Revenue

### 1.2 Appropriated funds

Appropriated funds comprises of departmental allocations as well as direct charges against revenue fund (i.e. statutory appropriation).

Appropriated funds are recognised in the financial records on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the financial records on the date the adjustments become effective.

Unexpended appropriated funds are surrendered to the National Revenue Fund. Any amounts owing to the National Revenue Fund at the end of the financial year are recognised as a payable in the statement of financial position.

Any amount due from the National Revenue Fund at the end of the financial year is recognised as a receivable in the statement of financial position.

### 2.2 Departmental revenue

All departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the National Revenue Fund, unless stated otherwise.

Any amount owing to the National Revenue Fund at the end of the financial year is recognised as a payable in the statement of financial position.

No accrual is made for amounts receivable from the last receipt date to the end of the reporting period. These amounts are however disclosed in the disclosure notes to the annual financial statements.

### 2.3 Aid assistance

Aid assistance is recognised as revenue when received.

All in-kind aid assistance is disclosed at fair value on the date of receipt in the annexure to the annual financial statements.

The cash payments made during the year relating to aid assistance projects are recognised as expenditure in the statement of financial performance when final authorisation for payments is effected on the system (by no later than 31 March of each year).

The value of the assistance expensed prior to the receipt of funds is recognised as a receivable in the statement of financial position.

Inappropriately expensed amounts using aid assistance and any unutilised amounts are recognised as payables in the statement of financial position.

# ACCOUNTING POLICIES

for the year ended 31 March 2012

---

## 3. Expenditure

### 3.1 Compensation of employees

#### 3.1.1 Salaries and wages

Salaries and wages are expensed in the statement of financial performance when the final authorisation for payment is effected on the system (by no later than 31 March of each year).

Other employee benefits that give rise to a present legal or constructive obligation are disclosed in the disclosure notes to the financial statements at its face value and are not recognised in the statement of financial performance or position.

#### 3.1.2 Social contributions

Employer contributions to post employment benefit plans in respect of current employees are expensed in the statement of financial performance when the final authorisation for payment is effected on the system (by no later than 31 March of each year).

No provision is made for retirement benefits in the financial statements of the department. Any potential liabilities are disclosed in the financial statements of the National Revenue Fund and not in the financial statements of the employer department.

Employer contributions made by the department for severance packages and leave gratuity to its ex-employees are classified as transfers to households in the statement of financial performance.

### 3.2 Goods and services

Payments made during the year for goods and/or services are recognised as an expense in the statement of financial performance when the final authorisation for payment is effected on the system (by no later than 31 March of each year).

The expense is classified as capital if the goods and/or services were acquired for a capital project or if the total purchase price exceeds the capitalisation threshold (currently R5 000). All other expenditures are classified as current.

Rental paid for the use of buildings or other fixed structures is classified as goods and services and not as rent on land.

### 3.3 Interest

Interest is recognised as an expense in the statement of financial performance when the final authorisation for payment is effected on the system (by no later than 31 March of each year).



# ACCOUNTING POLICIES

for the year ended 31 March 2012

---

## 3.4 Payments for financial assets

Debts are written off when identified as irrecoverable. Debts written-off are limited to the amount of savings and/or under spending of appropriated funds. The write off occurs at year-end or when funds are available. No provision is made for irrecoverable amounts but an estimate is included in the disclosure notes to the financial statements amounts.

All other losses are recognised when authorisation has been granted for the recognition thereof.

## 3.5 Transfers and subsidies

Transfers and subsidies are recognised as an expense when the final authorisation for payment is effected on the system (by no later than 31 March of each year).

## 3.6 Unauthorised expenditure

When confirmed unauthorised expenditure is recognised as an asset in the statement of financial position until such time as the expenditure is either approved by the relevant authority, recovered from the responsible person or written off as irrecoverable in the statement of financial performance.

Unauthorised expenditure approved with funding is derecognised from the statement of financial position when the unauthorised expenditure is approved and the related funds are received.

Where the amount is approved without funding it is recognised as expenditure in the statement of financial performance on the date of approval.

## 3.7 Fruitless and wasteful expenditure

Fruitless and wasteful expenditure is recognised as expenditure in the statement of financial performance according to the nature of the payment and not as a separate line item on the face of the statement. If the expenditure is recoverable it is treated as an asset until it is recovered from the responsible person or written off as irrecoverable in the statement of financial performance.

## 3.8 Irregular expenditure

Irregular expenditure is recognised as expenditure in the statement of financial performance. If the expenditure is not condoned by the relevant authority it is treated as an asset until it is recovered or written off as irrecoverable.

# ACCOUNTING POLICIES

for the year ended 31 March 2012

---

## 4. Assets

### 4.1 Cash and cash equivalents

Cash and cash equivalents are carried in the statement of financial position at cost.

Bank overdrafts are shown separately on the face of the statement of financial position.

For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.

### 4.2 Other financial assets

Other financial assets are carried in the statement of financial position at cost.

### 4.3 Prepayments and advances

Amounts prepaid or advanced are recognised in the statement of financial position when the payments are made and are derecognised as and when the goods/services are received or the funds are utilised.

Prepayments and advances outstanding at the end of the year are carried in the statement of financial position at cost.

### 4.4 Receivables

Receivables included in the statement of financial position arise from cash payments made that are recoverable from another party (including departmental employees) and are derecognised upon recovery or write-off.

Receivables outstanding at year-end are carried in the statement of financial position at cost plus any accrued interest. Amounts that are potentially irrecoverable are included in the disclosure notes.

### 4.5 Inventory

Inventories that qualify for recognition must be initially reflected at cost. Where inventories are acquired at no cost, or for nominal consideration, their cost shall be their fair value at the date of acquisition.

All inventory items at year-end are reflected using the weighted average cost or FIFO cost formula.

### 4.6 Capital assets

#### 4.6.1 Movable assets

#### Initial recognition

A capital asset is recorded in the asset register on receipt of the item at cost. Cost of an asset is defined as the total cost of acquisition. Where the cost cannot be determined accurately, the movable capital asset is stated at fair value. Where fair value cannot be determined, the capital asset is included in the asset register at R1.



# ACCOUNTING POLICIES

for the year ended 31 March 2012

All assets acquired prior to 1 April 2002 are included in the register R1.

## Subsequent recognition

Subsequent expenditure of a capital nature is recorded in the statement of financial performance as "expenditure for capital assets" and is capitalised in the asset register of the department on completion of the project.

Repairs and maintenance is expensed as current "goods and services" in the statement of financial performance.

### 4.6.2 Intangible assets

#### Initial recognition

An intangible asset is recorded in the asset register on receipt of the item at cost. Cost of an intangible asset is defined as the total cost of acquisition. Where the cost cannot be determined accurately, the intangible asset is stated at fair value. Where fair value cannot be determined, the intangible asset is included in the asset register at R1.

All intangible assets acquired prior to 1 April 2002 can be included in the asset register at R1.\*

#### Subsequent expenditure

Subsequent expenditure of a capital nature is recorded in the statement of financial performance as "expenditure for capital asset" and is capitalised in the asset register of the department.

Maintenance is expensed as current "goods and services" in the statement of financial performance.

## 5. Liabilities

### 5.1 Payables

Recognised payables mainly comprise of amounts owing to other governmental entities. These payables are carried at cost in the statement of financial position.

### 5.2 Contingent liabilities

Contingent liabilities are included in the disclosure notes to the financial statements when it is possible that economic benefits will flow from the department, or when an outflow of economic benefits or service potential is probable but cannot be measured reliably.

### 5.3 Contingent assets

Contingent assets are included in the disclosure notes to the financial statements when it is probable that an inflow of economic benefits will flow to the entity.

### 5.4 Commitments

Commitments are not recognised in the statement of financial position as a liability or as expenditure in the statement of financial performance but are included in the disclosure notes.



# ACCOUNTING POLICIES

for the year ended 31 March 2012

---

## 5.5 Accruals

Accruals are not recognised in the statement of financial position as a liability or as expenditure in the statement of financial performance but are included in the disclosure notes.

## 5.6 Employee benefits

Short-term employee benefits that give rise to a present legal or constructive obligation are disclosed in the disclosure notes to the financial statements. These amounts are not recognised in the statement of financial performance or the statement of financial position.

## 5.7 Lease commitments

### **Finance lease**

Finance leases are not recognised as assets and liabilities in the statement of financial position. Finance lease payments are recognised as an expense in the statement of financial performance and are apportioned between the capital and interest portions. The finance lease liability is disclosed in the disclosure notes to the financial statements.

### **Operating lease**

Operating lease payments are recognised as an expense in the statement of financial performance. The operating lease commitments are disclosed in the disclosure notes to the financial statements.

## 5.8 Impairment

The department tests for impairment where there is an indication that a receivable, loan or investment may be impaired. An assessment of whether there is an indication of possible impairment is done at each reporting date. An estimate is made for doubtful loans and receivables based on a review of all outstanding amounts at year-end. Impairments on investments are calculated as being the difference between the carrying amount and the present value of the expected future cash flows / service potential flowing from the instrument.

## 5.9 Provisions

Provisions are disclosed when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits will be required to settle the obligation and a reliable estimate of the obligation can be made.



# ACCOUNTING POLICIES

for the year ended 31 March 2012

---

## **6. Receivables for departmental revenue**

Receivables for departmental revenue are disclosed in the disclosure notes to the annual financial statements.

## **7. Net assets**

### 7.1 Recoverable revenue

Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.

## **8. Related-party transactions**

Specific information with regards to related party transactions is included in the disclosure notes.

## **9. Key management personnel**

Compensation paid to key management personnel including their family members where relevant, is included in the disclosure notes.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 1. Annual appropriation

### 1.1 Annual appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds)

	2011/12		2010/11
Final appropriation	Actual funds received	Funds not requested/ not received	Appropriation received
R'000	R'000	R'000	R'000
Programme 1	293 995	293 995	272 231
Programme 2	308 614	308 614	313 036
Programme 3	876 337	876 337	724 260
Programme 4	137 163	137 163	11 760
Programme 5	456 577	456 577	421 974
Programme 6	2 128 930	2 128 930	745 253
<b>Total</b>	<b>4 201 616</b>	<b>4 201 616</b>	<b>2 488 514</b>

Included in the final appropriation for the 2011/12 financial year is an amount of R1,270 billion in respect to The Natural Resources Management function transferred from the department of Water Affairs to programme 6.

## 2. Departmental revenue

	Note	2011/12	2010/11
		R'000	R'000
Sales of goods and services other than capital assets	2.1	323	283
Fines, penalties and forfeits	2.2	11 766	-
Interest, dividends and rent on land	2.3	165	90
Sales of capital assets	2.4	40	87
Transactions in financial assets and liabilities	2.5	5 696	8 051
Total revenue collected		<b>17 990</b>	<b>8 511</b>
<b>Departmental revenue collected</b>		<b>17 990</b>	<b>8 511</b>

### 2.1 Sale of goods and services other than capital assets

	Note	2011/12	2010/11
		R'000	R'000
Sale of goods and services produced by the department	2	322	282
Sales by market establishment		114	107
Administrative fees		65	77
Other sales		143	98
Sales of scrap, waste and other used current goods		1	1
<b>Total</b>		<b>323</b>	<b>283</b>



# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 2.2 Fines, penalties and forfeits

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	2	<b>R'000</b>	<b>R'000</b>
Fines		11 766	-
<b>Total</b>		<b>11 766</b>	<b>-</b>

Fines have been collected in terms of Section 24G of the National Environment Act, 1998 (NEMA)

## 2.3 Interest, dividends and rent on land

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	2	<b>R'000</b>	<b>R'000</b>
Interest		165	90
<b>Total</b>		<b>165</b>	<b>90</b>

## 2.4 Sale of capital assets

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	2	<b>R'000</b>	<b>R'000</b>
<b>Tangible assets</b>		40	<b>87</b>
Machinery and equipment	28	40	87
<b>Total</b>		<b>40</b>	<b>87</b>

## 2.5 Transactions in financial assets and liabilities

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	2	<b>R'000</b>	<b>R'000</b>
Receivables		99	199
Other receipts including recoverable revenue		5 597	7 852
<b>Total</b>		<b>5 696</b>	<b>8 051</b>

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 3. Aid assistance

### 3.1 Aid assistance received in cash from RDP

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
<b>Foreign</b>			
Opening balance		(1 855)	980
Revenue		3 327	24 897
Expenditure		<b>(45)</b>	<b>(26 053)</b>
Current		(45)	(25 299)
Capital		-	(754)
Prepayments			
Surrendered to the RDP		(500)	(1 679)
<b>Closing balance</b>		<b>927</b>	<b>(1 855)</b>

The current expenditure include an amount of R1,758 million not utilised by the implementing agent during the previous financial year and refunded to the department during the reporting year. Expenditure for the year on donor funds thus actually amounts to R1,803 million. Net Aid assistance amounts to R3,282 million (R3,327 – R,045) as a result.

### 3.2 Analysis of balance

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Aid assistance receivable		<b>(885)</b>	<b>(2 355)</b>
RDP		(885)	(2 355)
Aid assistance repayable		<b>1 812</b>	<b>500</b>
RDP		1 812	500
<b>Closing balance</b>		<b>927</b>	<b>(1 855)</b>



# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 4. Compensation of employees

### 4.1 Salaries and wages

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
		<b>R'000</b>	<b>R'000</b>
Basic salary		320 678	193 571
Performance award		15 950	15 375
Service-based		1 183	913
Compensative/circumstantial		4 951	3 657
Other non-pensionable allowances		81 630	77 248
<b>Total</b>		<b>424 392</b>	<b>290 764</b>

### 4.2 Social contributions

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
		<b>R'000</b>	<b>R'000</b>
Employer contributions		34 218	25 820
Pension		12 968	10 397
UIF		71	49
<b>Total</b>		<b>47 257</b>	<b>36 266</b>

<b>Total compensation of employees</b>		<b>471 649</b>	<b>327 030</b>
--	--	----------------	----------------

Average number of employees		1 462	944
-----------------------------	--	-------	-----

Included in the compensation of employee is an amount of R73,673 million in respect of the Natural Resources Management function which was transferred from the Department of Water Affairs on 1 April 2011 (Average of 346 employees) as well as an average of 172 posts filled till year end.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 5. Goods and services

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Administrative fees		765	338
Advertising		24 134	16 347
Assets less than R5,000	5.1	4 156	7 702
Bursaries (employees)		1 210	1 258
Catering		3 065	2 537
Communication		10 978	6 253
Computer services	5.2	28 905	16 478
Consultants, contractors and agencies/outsourced services	5.3	556 692	185 543
Entertainment		136	108
Audit cost – external	5.4	4 434	4 542
Fleet services		1	-
Inventory	5.5	50 248	33 617
Operating leases		58 068	78 968
Property payments	5.6	16 781	14 498
Rental and hiring		2 428	-
Transport provided as part of the departmental activities		69	-
Travel and subsistence	5.7	96 910	70 227
Venues and facilities		21 507	15 052
Training and staff development		16 043	6 402
Other operating expenditure	5.8	63 748	34 885
<b>Total</b>		<b>960 278</b>	<b>494 755</b>

Increase in advertising is due to increased marketing (i.e. COP 17), promotional items purchased for Working for Water and on Fire projects and recruitment to fill vacant posts.

The increase in Communication is due to Natural Resources management usage of cell phones and 3G communications in regions as well as the installation of new data lines, telephone and conference facilities.

Increase in Venues and Facilities is due to Communication projects, COP 17 events and meetings to facilitate the transfer of NRM into the department.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 5.1 Assets less than R5,000

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
<b>Tangible assets</b>		<b>3 128</b>	<b>7 118</b>
Machinery and equipment		3 128	7 118
<b>Intangible assets</b>		1 028	584
<b>Total</b>		<b>4 156</b>	<b>7 702</b>

## 5.2 Computer services

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
SITA computer services		5 203	3 769
External computer service providers		23 702	12 709
<b>Total</b>		<b>28 905</b>	<b>16 478</b>

Increased due to the renewal of Microsoft Enterprise Licensing and Platform Migration administered by SITA and network management protocol data line, internet, intranet and live link administrator premier support and product specialist services as well as desktop support licences. Software licenses include the renewal of Novell SA through SITA, procurement of virtualization software licenses and the Microsoft Windows Server license.

## 5.3 Consultants, contractors and agencies/outsourced services

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
Business and advisory services		257 578	142 035
Infrastructure and planning		2 973	11 207
Laboratory services		42	51
Legal costs		3 214	1 527
Contractors		206 454	20 192
Agency and support/outsourced services		86 431	10 531
<b>Total</b>		<b>556 692</b>	<b>185 543</b>

Included in business and advisory services is an amount of R35,640 million on scientific research rendered for NRM projects. Included in contractors is an amount of R193, 152 million for small clearing contracts. Included in Agency and Support/Outsources services is R78,856 million spent by NRM on small contractors mainly as personnel labour cost on the natural resources projects. These are payments relating to small contractors with short period contracts ranging between one and three months and therefore not possible to ascertain the amount and period in advance as these orders are ad-hoc based on areas to be cleared. Therefore; these small contracts are dealt with by LOGIS orders. These orders range between R39 000 to R50 000 per area to be cleared. National Treasury has been requested to provide one item to be used as from 1 April 2012 as part of Contractors same as Contractors: Fire Fighting Services.

Transfers are predetermined plans and approved in the prior year in the ENE process and transfers can only be adjusted with National Treasury approval.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 5.4 Audit cost – External

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
Regularity audits		4 210	4 542
Investigations		224	-
<b>Total</b>		<b>4 434</b>	<b>4 542</b>

## 5.5 Inventory

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
Food and food supplies		2 917	2 921
Fuel, oil and gas		27 030	11 810
Other consumable materials		4 355	3 280
Materials and supplies		3 256	9 730
Stationery and printing		12 229	5 716
Medical supplies		461	160
<b>Total</b>		<b>50 248</b>	<b>33 617</b>

Included in Fuel, oil and gas are herbicides purchased to an amount of R18,439 million and issued to the NRM regions for the eradication of alien invasion species. Registers in off-line stores are kept and effectively managed.

Included in computer consumables under stationary and printing are rechargeable dataloggers, Lotek Archival tags, reader/viewer for tracking oceanographic research items to the amount of R7,09 million of which R4,611 million by Ocean and Coasts.

## 5.6 Property payments

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	5	<b>R'000</b>	<b>R'000</b>
Municipal services		10 313	11 451
Property management fees		715	615
Property maintenance and repairs		3 399	1 496
Other		2 354	936
<b>Total</b>		<b>16 781</b>	<b>14 498</b>

Included under other property payments are cleaning services, fire protection, fumigation services and pest control services which increased with the increase in NRM accommodation.



# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 5.7 Travel and subsistence

	Note	2011/12	2010/11
Employee costs	5	<b>96 627</b>	<b>69 993</b>
Domestic travel costs		71 803	38 752
International travel costs		24 824	31 241
Non-employee costs		<b>283</b>	<b>234</b>
Domestic travel costs		283	234
<b>Total</b>		<b>96 910</b>	<b>70 227</b>

Included in domestic travel cost is an amount of R17,427 million in respect to Natural Resources Management function (regionalised) and with the main office in Cape Town travel cost is high. Further, COP 17 that was held in Durban also contributed to the increase in spending on travel during the year.

## 5.8 Other operating expenditure

	Note	2011/12	2010/11
	5	R'000	R'000
Professional bodies, membership and subscription fees		14 385	6 550
Resettlement costs		3 293	3 311
Gifts		10	-
Other		46 060	25 024
<b>Total</b>		<b>63 748</b>	<b>34 885</b>

Included in the other operating expenditure is R25, 293 million for air charter services related to the voyages to Islands (extra voyage to Marion Island for the opening of the new base and a voyage from Cape Town to Durban for COP 17) as well as oil spill control on the coasts, docking and harbour fees to the amount of R14,989 million and printing and publication cost to the amount of R4,684 million.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 6. Interest

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Interest paid		243	-
<b>Total</b>		<b>243</b>	<b>-</b>

This amount is the interest portion of the finance lease cost on photo copiers introduced as from the 2011/12 financial year.

## 7. Payments for financial assets

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Other material losses written off	7.1	111	393
Debts written off	7.2	-	1
<b>Total</b>		<b>111</b>	<b>394</b>

### 7.1 Other material losses written off

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Vehicle losses – damaged due to accidents	7	111	393
<b>Total</b>		<b>111</b>	<b>393</b>

Written off in accordance with Treasury Regulation 12.2.2

### 7.2 Debts written off

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Irrecoverable debt	7	-	1
<b>Total</b>		<b>-</b>	<b>1</b>
<b>Total debt written off</b>		<b>-</b>	<b>1</b>

Written off in accordance with Treasury Regulation 11.4.1(b)

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 8. Transfers and subsidies

		2011/12 R'000	2010/11 R'000
	<i>Note</i>		
Provinces and municipalities	<i>Annex 1A</i>	5	-
Departmental agencies and accounts	<i>Annex 1B</i>	658 655	644 280
Universities and technikons	<i>Annex 1C</i>	967	980
Foreign governments and international organisations	<i>Annex 1D</i>	12 890	19 077
Non-profit institutions	<i>Annex 1E</i>	25 653	36 024
Households	<i>Annex 1F</i>	1 303 904	461 976
Gifts, donations and sponsorships made	<i>Annex 1H</i>	8	32
<b>Total</b>		<b>2 002 082</b>	<b>1 162 369</b>

Included in transfers to households is an increase of R750,000 million due to the Natural Resources Management function transferred from the Department of Water Affairs.

## 9. Expenditure for capital assets

		2011/12 R'000	2010/11 R'000
<b>Tangible assets</b>		<b>673 671</b>	<b>405 630</b>
Machinery and equipment	28	673 671	405 630
<b>Software and other intangible assets</b>		<b>515</b>	<b>599</b>
Computer software	29	515	599
<b>Total</b>		<b>674 186</b>	<b>406 229</b>

The following amounts have been included as project costs in Expenditure for capital assets

Goods and services	8 173	845
<b>Total</b>	<b>8 173</b>	<b>845</b>

Goods and services costs capitalized to capital project on new polar vessel:	6 073
Capital portion of finance leases under goods and services:	2 100
<b>Net change on total capital on statement of financial performance</b>	<b>8 173</b>

See comment included on Notes to the Appropriation Statement.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 9.1 Analysis of funds utilised to acquire capital assets – 2011/12

	Voted funds R'000	Aid assistance R'000	Total R'000
<b>Tangible assets</b>	<b>673 671</b>	-	<b>673 671</b>
Machinery and equipment	673 671		673 671
<b>Software and other intangible assets</b>	<b>515</b>	-	<b>515</b>
Computer software	515		515
<b>Total</b>	<b>674 186</b>	-	<b>674 186</b>

## 9.2 Analysis of funds utilised to acquire capital assets – 2010/11

	Voted funds R'000	Aid assistance R'000	Total R'000
<b>Tangible assets</b>	<b>404 876</b>	<b>754</b>	<b>405 630</b>
Machinery and equipment	404 876	754	405 630
<b>Software and other intangible assets</b>	<b>599</b>	-	<b>599</b>
Computer software	599	-	599
<b>Total</b>	<b>405 475</b>	<b>754</b>	<b>406 229</b>

## 10. Cash and cash equivalent

Note	2011/12 R'000	2010/11 R'000
Consolidated Paymaster-General Account	39 913	101 007
Disbursements	-	5
Cash on hand	92	77
Cash with commercial bank (local)	3 158	2
<b>Total</b>	<b>43 163</b>	<b>101 091</b>

Cash with commercial bank (local) is indicating the amount cash not yet transferred from the payments received in the commercial bank account as from 28 to 30 March 2012.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 11. Prepayments and advances

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Travel and subsistence		308	181
<b>Total</b>		<b>308</b>	<b>181</b>

## 12. Receivables

	<i>Note</i>	<b>2011/12</b>			<b>2010/11</b>
		<b>R'000</b> <b>Less than one year</b>	<b>R'000</b> <b>One to three years</b>	<b>R'000</b> <b>Older than three years</b>	<b>R'000</b> <b>Total</b>
Claims recoverable	12.1 <i>Annex 4</i>	409	100	76	585
Recoverable expenditure	12.2	2 142	657	-	2 799
Staff debt	12.3	140	473	424	1 037
Other debtors	12.4	-	-	-	-
<b>Total</b>		<b>2 691</b>	<b>1 230</b>	<b>500</b>	<b>4 421</b>

### 12.1 Claims recoverable

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
National departments	12	341	248
Provincial departments		144	44
Universities and technikons		100	100
<b>Total</b>		<b>585</b>	<b>392</b>

### 12.2 Recoverable expenditure (disallowance accounts)

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Departmental suspense account		2 735	1 210
Control account		64	-
<b>Total</b>		<b>2 799</b>	<b>1 210</b>

Included in the departmental suspense account is an advance amount of R1,743 million to the Department of International Relations and Cooperation, R184 thousand to Tourvest Holdings for travel claims as well as a R657 thousand disallowance on payments made to SARS.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 12.3 Staff debt

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
	12	<b>R'000</b>	<b>R'000</b>
Debt account		1 037	756
<b>Total</b>		<b>1 037</b>	<b>756</b>

Debt increased by R265 thousand in respect to the transfer of the Natural Resources Management function from the Department of Water Affairs.

## 13. Voted funds to be surrendered to the Revenue Fund

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
		<b>R'000</b>	<b>R'000</b>
Opening balance		98 491	7 303
Transfer from statement of financial performance		93 067	98 491
Paid during the year		(175 297)	(7 303)
<b>Closing balance</b>		<b>16 261</b>	<b>98 491</b>

The R175,297 million paid during the year consist of separate rounded amounts of R98,491 million in respect to the 2010/11 financial year and R76,807 million that has been surrendered during March 2012 with regards to the Expanded Public Works Programme Incentive Scheme amounts not allowed for spending (see Notes to the Appropriation Statement).

## 14. Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
		<b>R'000</b>	<b>R'000</b>
Opening balance		63	247
Transfer from statement of financial performance		17 990	8 511
Paid during the year		(16 005)	(8 695)
<b>Closing balance</b>		<b>2 048</b>	<b>63</b>

Revenue surrendered/paid during the year comprise of R63 thousand in respect of the 2010/11 financial year and R15,942 million for the 2011/12 financial year. The closing balance has been surrendered in the 2012/13 financial year.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 15. Payables – current

	Note	2011/12 Total	2010/11 Total
Other payables	15.1	28 026	6 577
<b>Total</b>		<b>28 026</b>	<b>6 577</b>

### 15.1 Other payables

	Note	2011/12 R'000	2010/11 R'000
Salary-related payables	15	359	228
Departmental suspense account		24 506	6 345
Control account		3	2
Cash with commercial bank (local)		3 158	2
<b>Total</b>		<b>28 026</b>	<b>6 577</b>

The R24,506 million as per the departmental suspense account consist of an amount of R12,417 million paid by contributors during the COP 17 event to cover related expenses, R10,984 million received for specific projects to be rendered and R1,104 million donor funding directly paid into the department's bank account. The COP 17 and donor funds amount will be surrendered to the National Treasury.

The cash with the commercial bank account has been transferred to the Paymaster-General Account.

## 16. Net cash flow available from operating activities

	Note	2011/12 R'000	2010/11 R'000
Net surplus/(deficit) as per statement of financial performance		114 339	105 846
Add back non cash/cash movements not deemed operating activities		<b>501 603</b>	<b>394 091</b>
(Increase)/decrease in receivables – current		(2 063)	(243)
(Increase)/decrease in prepayments and advances		(127)	125
Increase/(decrease) in payables – current		21 449	5 744
Proceeds from sale of capital assets		(40)	(87)
Expenditure on capital assets		674 186	406 229
Surrenders to Revenue Fund		(191 302)	(15 998)
Surrenders to RDP Fund/Donor		(500)	(1 679)
<b>Net cash flow generated by operating activities</b>		<b>615 942</b>	<b>499 937</b>

The surrenders to revenue fund of R191,302 million consists of the voted funds surrendered (Note 13) to the amount of R175,297 million plus the revenue surrendered (Note 14) to the amount of R16,005 million.

# NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 17. Reconciliation of cash and cash equivalents for cash flow purposes

	<i>Note</i>	<b>2011/12</b>	<b>2010/11</b>
		<b>R'000</b>	<b>R'000</b>
Consolidated Paymaster-General Account		39 913	101 007
Disbursements		-	5
Cash on hand		92	77
Cash with commercial banks (local)		3 158	2
<b>Total</b>		<b>43 163</b>	<b>101 091</b>



# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

These amounts are not recognised in the annual financial statements and are disclosed to enhance the usefulness of the annual financial statements.

## 18. Contingent liabilities and contingent assets

### 18.1 Contingent liabilities

		<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
<b>Liable to</b>	<b>Nature</b>			
Housing loan guarantees	Employees	<i>Annex 3A</i>	406	325
Claims against the department		<i>Annex 3B</i>	2 577	513
Other departments (interdepartmental unconfirmed balances)		<i>Annex 5</i>	2 210	3 988
<b>Total</b>			<b>5 193</b>	<b>4 826</b>

### 18.2 Contingent assets

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
Section 24G Administration fines		1 291	-
<b>Total</b>		<b>1 291</b>	<b>-</b>

These fines relate to illegal constructions/development without environmental authority in terms of Section 24G of the National Environmental Management Act, 1998 (Appeals to the amount of R1,291 million have been lodged by the companies involved).

## 19. Commitments

	<i>Note</i>	<b>2011/12</b> <b>R'000</b>	<b>2010/11</b> <b>R'000</b>
<b>Current expenditure</b>			
Approved and contracted		1 672 055	1 390 990
Approved but not yet contracted		7 848	-
		<b>1 679 903</b>	<b>1 390 990</b>
<b>Capital expenditure</b>			
Approved and contracted		331	501 680
		<b>331</b>	<b>501 680</b>
<b>Total commitments</b>		<b>1 680 234</b>	<b>1 892 670</b>

Included in current commitments are the goods and services not delivered by 31 March 2012.

The decrease in capital commitments is due to the final payment made on the new polar research vessel.

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 20. Accruals

Listed by economic classification	2011/12			2010/11
	30 Days	30+ Days	Total	Total
Goods and services	21 075	14 324	35 399	12 369
Capital assets	597	314	911	589
Other	392	-	392	609
<b>Total</b>	<b>22 064</b>	<b>14 638</b>	<b>36 702</b>	<b>13 567</b>

Listed by programme level	Note	2011/12	2010/11
		R'000	R'000
1. Administration		17 954	1 210
2. Environmental Quality and Protection		704	461
3. Oceans and Coastal Management		2 608	7 017
4. Climate Change		577	2 933
5. Biodiversity and Conservation		287	153
6. Sector Service, Environmental Awareness and International Relations		14 180	1 185
Foreign aid assistance		-	224
Other (assets and liabilities)		392	384
<b>Total</b>		<b>36 702</b>	<b>13 567</b>

Included in the accruals under Administration is an amount of R8,23 million on Climate Change awareness campaigns and an amount of R8.758 million on COP 17 communication for events/stakeholders engagement and marketing and in Sector Service, Environmental Awareness and International Relations an amount of R13,295 million on NRM projects. These amounts have not been paid due to incorrect banking detail provided for verification and invoices not yet received by 31 March 2012.

	Note	2011/12	2010/11
		R'000	R'000
Confirmed balances with other departments	Annex 5	64	102
<b>Total</b>		<b>64</b>	<b>102</b>

## 21. Employee benefits

	Note	2011/12	2010/11
		R'000	R'000
*Leave entitlement		7 037	7 382
Service bonus (13 <sup>th</sup> cheque)		11 299	8 792
**Performance awards		7 198	20 500
Capped leave commitments		10 330	8 822
<b>Total</b>		<b>35 864</b>	<b>45 496</b>

\*A negative amount of R760 067.34 was offset against leave entitlement. The amount was as a result of pro-rata calculation of leave taken by employees as at 31 March 2012. In terms of the pro-rata calculation employees are entitled to 5.94 days leave from January to 31 March. If an employee takes more leave this result in a negative leave taken for the three month period. This situation will be automatically rectified during the leave period.

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

\*\*Performance awards for 2010/11 were estimated on historical cost basis amounting R20,500 million (actual amount paid = R15,950 million). For the 2011/12 financial year the estimate is based on 1.5% of the Employee cost according to the policy and will not be exceeded unless the Minister approves differently.

## 22. Lease commitments

### 22.1 Operating leases expenditure

2011/12	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
Not later than one year	-	-	48 351	-	48 351
Later than one year and not later than five years	-	-	115 806	-	115 806
<b>Total lease commitments</b>	-	-	<b>164 157</b>	-	<b>164 157</b>

  

2010/11	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
Not later than one year	-	-	40 428	7	40 435
Later than one year and not later than five years	-	-	117 961	-	117 961
<b>Total lease commitments</b>	-	-	<b>158 389</b>	<b>7</b>	<b>158 396</b>

Restated buildings and other fixed assets to include the leases for buildings with the Department of Public Works.

### 22.2 Finance leases expenditure\*\*

2011/12	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
Not later than one year	-	-	-	1 907	1 907
Later than one year and not later than five years	-	-	-	1 224	1 224
<b>Total lease commitments</b>	-	-	-	<b>3 131</b>	<b>3 131</b>
<b>Less: finance costs</b>	-	-	-	174	174
<b>Total present value of lease liabilities</b>	-	-	-	<b>2 957</b>	<b>2 957</b>

  

2010/11	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
Not later than one year	-	-	-	1 138	1 138
Later than one year and not later than five years	-	-	-	1 129	1 129
<b>Total lease commitments</b>	-	-	-	<b>2 267</b>	<b>2 267</b>
<b>Less: finance costs</b>	-	-	-	176	176
<b>Total present value of lease liabilities</b>	-	-	-	<b>2 091</b>	<b>2 091</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 23. Receivables for departmental revenue

	<i>Note</i>	<b>2011/12 R'000</b>	<b>2010/11 R'000</b>
Sales of goods and services other than capital assets		1 159	-
<b>Total</b>		<b>1 159</b>	<b>-</b>

The receivable relates to permit revenue surrendered on behalf of the department by the Marine Living Resources Fund (R894 878.75):

Broad base whale watching R411 271.75

Dumping at sea R5 400.00

4x4 Beach permits R70 815.00

Shark cage diving R407 392.00

Income generated for berthing fees at Eastern Pier when SA Agulhas is on a voyage: R264 031.66

### 23.1 Analysis of receivables for departmental revenue

	<i>Note</i>	<b>2011/12 R'000</b>	<b>2010/11 R'000</b>
Add: amounts recognised		1 159	-
<b>Closing balance</b>		<b>1 159</b>	<b>-</b>

## 24. Irregular expenditure

### 24.1. Reconciliation of irregular expenditure

	<i>Note</i>	<b>2011/12 R'000</b>	<b>2010/11 R'000</b>
Add: Irregular expenditure – relating to current year		2 397	-
<b>Irregular expenditure awaiting condonation</b>		<b>2 397</b>	<b>-</b>
<b>Analysis of awaiting condonation per age classification</b>			
Current year		2 397	-
<b>Total</b>		<b>2 397</b>	<b>-</b>

### 24.2. Details of irregular expenditure – current year

<b>Incident</b>	<b>Disciplinary steps taken</b>	<b>2011/12 R'000</b>
Bid 1181: Bidder indicated use of sub-contractors but sub-contractor tax clearance certificate only submitted later	Control processes updated	1 848
Bid 1181: Bidder indicated use of sub-contractors but sub-contractor tax clearance certificate only submitted later	Control processes updated	549
<b>Total</b>		<b>2 397</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 25. Related-party transactions

Payments made	Note	2011/12 R'000	2010/11 R'000
Goods and services		65	1 494
<b>Total</b>		<b>65</b>	<b>1 494</b>

### Related parties

Schedule 3A: South African Biodiversity Institute (SANBI)  
 Schedule 3A: South African National Parks (SANParks)  
 Schedule 3A: South African Weather Service (SAWS)  
 Schedule 3A: iSimangaliso Wetland Park Authority

Department of Water Affairs: Transfer of administration of NRM Programme (Department of Environmental Affairs (NRM) occupies a buildings owned by Department of Water Affairs without paying for the services and DWA is responsible to manage and control Programme's buildings, including regional offices and telecommunications infrastructure (voice) beyond 31 March 2011, until funds are reallocated to DEA.

## 26. Key management personnel

	No. of Individuals	2011/12 R'000	2010/11 R'000
Level 15 to 16	9	9 830	7 654
Level 14	29	27 490	19 334
Family members of key management personnel		-	748
<b>Total</b>		<b>37 320</b>	<b>27 736</b>

Included in the Level 14 figures is an amount of R834 thousand relating to a family member of another member within key management.

## 27. Impairment

	Note	2011/12 R'000	2010/11 R'000
<b>Impairment</b>			
Debtors		102	22
<b>Total</b>		<b>102</b>	<b>22</b>

## 28. Provisions

	Note	2011/12 R'000	2010/11 R'000
Claims recoverable		27	27
<b>Total</b>		<b>27</b>	<b>27</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 29. Movable tangible capital assets

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2012

	Opening balance	Current year adjustments to prior year balances	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000	R'000
<b>Machinery and equipment</b>	<b>257 354</b>	<b>(251)</b>	<b>26 636</b>	<b>4 192</b>	<b>279 547</b>
Transport assets	177 328	-	844	370	177 802
Computer equipment	33 900	(3)	9 887	3 185	40 599
Furniture and office equipment	20 682	70	7 059	294	27 517
Other machinery and equipment	25 444	(318)	8 846	343	33 629
<b>Total movable tangible capital assets</b>	<b>257 354</b>	<b>(251)</b>	<b>26 636</b>	<b>4 192</b>	<b>279 547</b>

### 29.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2012

	Cash	Non-cash	(Capital work in progress current costs and finance lease payments)	Received current, not paid (paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
<b>Machinery and equipment</b>	<b>673 671</b>	<b>3 727</b>	<b>(648 969)</b>	<b>(1 793)</b>	<b>26 636</b>
Transport assets	647 713	-	(646 869)	-	844
Computer equipment	9 137	46	-	704	9 887
Furniture and office equipment	3 722	3 610	-	(273)	7 059
Other machinery and equipment	13 099	71	(2 100)	(2 224)	8 846
<b>Total additions to movable tangible capital assets</b>	<b>673 671</b>	<b>3 727</b>	<b>(648 969)</b>	<b>(1 793)</b>	<b>26 636</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 29.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2012

	Sold for cash	Transfer out or destroyed or scrapped	Total disposals	Cash received actual
	R'000	R'000	R'000	R'000
<b>Machinery and equipment</b>	-	<b>4 192</b>	<b>4 192</b>	<b>40</b>
Transport assets	-	370	370	-
Computer equipment	-	3 185	3 185	40
Furniture and office equipment	-	294	294	-
Other machinery and equipment	-	343	343	-
<b>Total disposal of movable tangible capital assets</b>	<b>-</b>	<b>4 192</b>	<b>4 192</b>	<b>40</b>

## 29.3 Movement for 2010/11

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2011

	Opening balance	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000
<b>Machinery and equipment</b>	<b>239 931</b>	<b>32 374</b>	<b>14 951</b>	<b>257 354</b>
Transport assets	178 112	1 170	1 954	177 328
Computer equipment	30 360	8 862	5 322	33 900
Furniture and office equipment	18 208	4 904	2 430	20 682
Other machinery and equipment	13 251	17 438	5 245	25 444
<b>Total movable tangible assets</b>	<b>239 931</b>	<b>32 374</b>	<b>14 951</b>	<b>257 354</b>

## 29.4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2012

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	4 093	147	26 010	-	30 250
Additions	-	947	-	8 487	-	9 434
Disposals	-	41	-	350	-	391
<b>Total minor assets</b>	<b>-</b>	<b>4 999</b>	<b>147</b>	<b>34 147</b>	<b>-</b>	<b>39 293</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	10 468	-	10 468
Number of minor assets at cost	-	8 098	322	26 747	-	35 167
<b>Total number of minor assets</b>	<b>-</b>	<b>8 098</b>	<b>322</b>	<b>37 215</b>	<b>-</b>	<b>45 635</b>

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2011

	Specialised military assets R'000	Intangible assets R'000	Heritage assets R'000	Machinery and equipment R'000	Biological assets R'000	Total R'000
Opening balance	-	3 244	142	26 093	-	29 479
Current-year adjustments to prior year balances	-	70	1	131	-	202
Additions	-	809	4	3 706	-	4 519
Disposals	-	30	-	3 920	-	3 950
<b>Total minor assets</b>	<b>-</b>	<b>4 093</b>	<b>147</b>	<b>26 010</b>	<b>-</b>	<b>30 250</b>

  

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	2 165	-	2 165
Number of minor assets at cost	-	7 748	322	22 580	-	30 650
<b>Total number of minor assets</b>	<b>-</b>	<b>7 748</b>	<b>322</b>	<b>24 745</b>	<b>-</b>	<b>32 815</b>

29.5 Movable assets written off

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2012

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Assets written off	-	-	-	102	-	102
<b>Total movable assets written off</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>102</b>	<b>-</b>	<b>102</b>



# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 30. Intangible Capital Assets

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2012

	Opening balance	Current year adjustments to prior year balances	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000	R'000
Computer software	6 040	-	515	-	6 555
<b>Total intangible capital assets</b>	<b>6 040</b>	<b>-</b>	<b>515</b>	<b>-</b>	<b>6 555</b>

### 30.1 Additions

ADDITIONS TO INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2012

	Cash	Non-cash	(Development work in progress – current costs)	Received current year, not paid (paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
Computer software	515	-	-	-	515
<b>Total additions to intangible capital assets</b>	<b>515</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>515</b>

### 30.2 Movement for 2010/11

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2011

	Opening balance	Additions	Disposals	Closing balance
	R'000	R'000	R'000	R'000
Computer software	5 441	599	-	6 040
<b>Total intangible capital assets</b>	<b>5 441</b>	<b>599</b>	<b>-</b>	<b>6 040</b>

# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 31. Transfer of functions

The transfer of the Natural Resources Management function as from 1 April 2012, resulted in an increase of staff and related administration as well as additional environmental management projects

### 31.1 Statement of financial position

<i>Note</i>	<b>Balance per department 2010/11 AFS before transfer 2010/11 R'000</b>	<b>Functions per department (transferred) / received 2010/11 R'000</b>	<b>Functions per department (transferred) / received 2010/11 R'000</b>	<b>Functions per department (transferred) / received 2010/11 R'000</b>	<b>2010/11 Balance after transfer 2010/11 R'000</b>
<b>ASSETS</b>	105 985	265			106 250
<b>Current assets</b>					
Cash and cash equivalents	101 091	-	-	-	101 091
Prepayments and advances	181	-	-	-	181
Receivables	2 358	265	-	-	2 623
Aid assistance receivable	2 355	-	-	-	2 355
<b>TOTAL ASSETS</b>	<b>105 985</b>	<b>265</b>			<b>106 250</b>
<b>LIABILITIES</b>					
<b>Current liabilities</b>	<b>105 631</b>				<b>105 631</b>
Voted funds to be surrendered to the Revenue Fund	98 491	-	-	-	98 491
Departmental revenue and NRF receipts to be surrendered to the Revenue Fund	63	-	-	-	63
Bank overdraft	-	-	-	-	-
Payables	6 577	-	-	-	6 577
Aid assistance unutilised	500	-	-	-	500
<b>TOTAL LIABILITIES</b>	<b>105 631</b>	-	-	-	<b>105 631</b>
<b>NET ASSETS</b>	<b>354</b>	<b>265</b>	-	-	<b>619</b>



# DISCLOSURE NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## 31.2 Disclosure notes

<i>Note</i>	<b>Balance per department 2010/11 AFS before transfer</b>	<b>Functions per department (transferred) / received</b>	<b>Functions per department (transferred) / received</b>	<b>Functions per department (transferred) / received</b>	<b>2010/11 Balance after transfer</b>
	<b>2010/11</b>	<b>2010/11</b>	<b>2010/11</b>	<b>2010/11</b>	<b>2010/11</b>
	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>	<b>R'000</b>
Contingent liabilities	4 826	-	-	-	4 826
Commitments	1 892 670	-	-	-	1 892 670
Accruals	13 567	-	-	-	13 567
Employee benefits	44 615	-	-	-	44 615
Lease commitments – Operating lease	7	-	-	-	7
Lease commitments – Finance lease	2 091	-	-	-	2 091
Impairment and other provisions	49	-	-	-	49
Movable tangible capital assets	257 354	5	-	-	257 359
Intangible capital assets	6 040	-	-	-	6 040

Transfer of asset arrangement meetings was held between the relevant parties from day 1. The initial list of assets was received in August and thereafter the process of verification started. Due to practical reasons the supporting documents were not readily available and the asset transfer in terms of Section 42, in line with the Guide on Transfer of Functions, was signed on 29 March 2012. Accordingly, 4 967 assets were transferred at R1 each to the department and has been included in the note on minor asset.

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 1A: STATEMENT OF UNCONDITIONAL GRANTS AND TRANSFERS TO MUNICIPALITIES

NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER		SPENT			2010/11
	Amount	Roll-overs	Adjust-ments	Total avail-able	Actual transfer	% of avail-able funds trans-ferred	Amount received by munici-pality	Amount spent by munici-pality	% of available funds spent by munici-pality	Division of Revenue Act
	R'000	R'000	R'000		R'000	%	R'000	R'000	%	R'000
Various	-	-	5	5	5	100%	-	-	-	-
	-	-	5	5	5		-	-	-	-

## ANNEXURE 1B: STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENT/ AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2010/11
	Adjusted appro-riation	Roll-overs	Adjust-ments	Total available	Actual transfer	% of available funds transferred	Appro-riation Act
	R'000	R'000	R'000	R'000	R'000	%	R'000
South African Weather Service (SAWS)	148 407	-	-	148 407	148 407	100%	248 768
iSimangaliso Wetland Park Authority	67 847	-	-	67 847	67 847	100%	44 365
South African National Parks (SANParks)	217 490	-	4 524	222 014	222 014	100%	190 218
South African Biodiversity Institute (SANBI)	220 387	-	-	220 387	220 387	100%	160 830
Council for Scientific and Industrial Research	-	-	-	-	-	-	100
	<b>654 131</b>	-	<b>4 524</b>	<b>658 655</b>	<b>658 655</b>		<b>644 281</b>



# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 1C: STATEMENT OF TRANSFERS TO UNIVERSITIES AND TECHNIKONS

UNIVERSITY/ TECHNIKON	TRANSFER ALLOCATION				TRANSFER			2010/11
	Adjusted appropriation	Roll-overs	Adjustments	Total available	Actual transfer	Amount not transferred	% of available funds transferred	Appropriation Act
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000
North West University	-	-	968	968	967	1	99%	980
	-	-	968	968	967	1		980

## ANNEXURE 1D: STATEMENT OF TRANSFERS TO FOREIGN GOVERNMENT AND INTERNATIONAL ORGANISATIONS

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE		2010/11
	Adjusted appropriation Act	Roll-overs	Adjustments	Total available	Actual transfer	% of available funds transferred	Appropriation Act
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Global Environmental Fund (GEF)	12 890	-	-	12 890	12 890	100%	19 077
	12 890	-	-	12 890	12 890		19 077
<b>Subsidies</b>							
	-	-	-	-	-		-
<b>Total</b>	12 890	-	-	12 890	12 890		19 077

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 1E: STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2010/11
	Adjusted appropriation Act	Roll-overs	Adjustments	Total available	Actual transfer	% of available funds transferred	Appropriation Act
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Buyisa-e-Bag	30 500	-	(14 450)	16 050	16 050	100%	35 000
Wildlife and Environmental Society of South Africa	4 000	-	-	4 000	4 000	100%	4 000
National Association for Clean Air	1 400	-	-	1 400	1 400	100%	1 400
Maloti Drakensberg	1 203	-	-	1 203	1 203	100%	1 124
African World Heritage Fund	3 000	-	-	3 000	3 000	100%	2 000
South Africa Government Association	-	-	-	-	-	-	1 000
DBSA: Dryland Rehabilitation	-	-	-	-	-	-	3 000
	<b>40 103</b>	<b>-</b>	<b>(14 450)</b>	<b>25 653</b>	<b>25 653</b>		<b>47 524</b>
<b>Subsidies</b>							
<b>Total</b>	<b>40 103</b>	<b>-</b>	<b>(14 450)</b>	<b>25 653</b>	<b>25 653</b>		<b>47 524</b>

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 1F: STATEMENT OF TRANSFERS TO HOUSEHOLDS

HOUSEHOLDS	TRANSFER ALLOCATION				EXPENDITURE		2010/11
	Adjusted appropriation Act	Roll-overs	Adjustments	Total available	Actual transfer	% of available funds transferred	Appropriation Act
	R'000	R'000	R'000	R'000	R'000	%	R'000
<b>Transfers</b>							
Sustainable Land-based Livelihoods	165 530	-	82 915	248 445	245 454	99%	186 077
Working for Waste	158 021	-	19 000	177 021	177 021	100%	74 754
Working for the Coast	76 384	-	-	76 384	76 384	100%	69 134
People and Parks	128 770	-	-	128 770	128 770	100%	109 001
Beneficiary Training	23 869	-	-	23 869	23 869	100%	21 320
Working for Water	446 661	-	(82 915)	363 746	297 572	82%	-
Working on Fire	303 339	-	56 300	359 639	351 996	98%	-
Social benefit			2 838	2 838	2 838	100%	1 390
	<b>1 302 574</b>	<b>-</b>	<b>78 138</b>	<b>1 380 712</b>	<b>1 303 904</b>		<b>461 976</b>
<b>Subsidies</b>							
<b>Total</b>	<b>1 302 574</b>	<b>-</b>	<b>78 138</b>	<b>1 380 712</b>	<b>1 303 904</b>		<b>461 976</b>

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 1G: STATEMENT OF AID ASSISTANCE RECEIVED

NAME OF DONOR	PURPOSE	Opening balance R'000	Revenue R'000	Expenditure R'000	Closing balance R'000
<b>Received in cash</b>					
United Nations (UNEP)	Rotterdam Convention	(48)	-	-	(48)
United Nations (UNEP)	Second National Communication for Convention on Climate Change	137	408	650	(105)
United Nations (UNEP)	Reducing Mercury Emission from Coal Combustion into the Energy sector	76	(76)	2	(2)
	Benguela Coastal Current	-	-	472	(472)
Denmark	Environmental Capacity Building Programme	(750)	750		-
Denmark	National Waste Management Strategy Programme	(400)	-	(1 400)	1000
Botswana	Transfrontier Conservation Areas (TFCA Strategy)	-	812	-	812
*World Bank	African Stockpile Programme	(1 204)	1 252	100	(52)
Norway	Environmental Cooperation Programme	15		221	(206)
USAID	Compilation of ARID Transfrontier project	319	(319)	-	-
<b>Subtotal</b>		<b>(1 855)</b>	<b>2 827</b>	<b>45</b>	<b>927</b>
<b>TOTAL</b>		<b>(1 855)</b>	<b>2 827</b>	<b>45</b>	<b>927</b>

## ANNEXURE 1H: STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE AND REMISSIONS, REFUNDS AND PAYMENTS MADE AS AN ACT OF GRACE

NATURE OF GIFT, DONATION OR SPONSORSHIP	2011/12	2010/11
	R'000	R'000
<b>Paid in cash</b>		
Bring a girl child to work Day	-	7
Hosting the DEA Women's Day celebration	-	11
Memorabilia for DEA women in SMS workshop	-	13
An official gift from the department to Ms Joanne Yawitch	2	1
An official gift from the department to Ms B Molewa (Minister)	1	-
DEA's social giving	5	-
<b>Total</b>	<b>8</b>	<b>32</b>



# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 3A: STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH 2012 – LOCAL

Guarantor institution	Guarantee in respect of	Original guaranteed capital amount	Opening balance 1 April 2011	Guarantees draw downs during the year	Guarantees repayments/ cancelled/ reduced/ released during the year	Revaluations	Closing balance 31 March 2012	Guaranteed interest for year ended 31 March 2012	Realised losses not recoverable i.e. claims paid out
		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
	Motor vehicles								
	<b>Subtotal</b>	-	-	-	-	-	-	-	-
	<b>Housing</b>								
	Standard Bank	-	77	-	-	-	77	-	-
	FirstRand Bank: FNB	-	106	-	-	-	106	-	-
	Absa	-	14	103	-	-	117	-	-
	Old Mutual Fin. Ltd	-	20	-	-	-	20	-	-
	(Ned/Perm)	-	73	-	22	-	51	-	-
	Green Start H/ loans	-	35	-	-	-	35	-	-
	<b>Subtotal</b>	-	<b>325</b>	<b>103</b>	<b>22</b>	-	<b>406</b>	-	-
	<b>Total</b>	-	<b>325</b>	<b>103</b>	<b>22</b>	-	<b>406</b>	-	-

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 3B: STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2012

Nature of Liability	Opening balance 1 April 2011 R'000	Liabilities incurred during the year R'000	Liabilities paid/ cancelled/ reduced during the year R'000	Liabilities recoverable R'000	Closing balance 31 March 2012 R'000
<b>Claims against the department</b>					
Johannes Stephanus Wessels	500	-	-	-	500
Tau Meubelvervoer BK	13	-	13	-	-
Bhekinstsha Business Enterprises	-	1 099	-	-	1 099
Amathole Forestry Co.	-	978	-	-	978
<b>Total</b>	<b>513</b>	<b>2 077</b>	<b>13</b>	<b>-</b>	<b>2 577</b>

Nature of Liabilities recoverable	Opening Balance 1 April 2011 R'000	Details of Liability and Recoverability	Movement during year R'000	Closing balance 31 March 2012 R'000
Johannes Stephanus Wessels	500	Counsel for the department currently negotiating a possible settlement with the plaintiff.	-	500
Tau Meubelvervoer BK	13	The matter has been finalised (outstanding capital amounting to R13 132.80 and interest amounting to R593.71 was paid in terms of a settlement agreed to by the DG) Legal fees not yet settled.	(13)	-
Bhekinstsha. Business Enterprises	-	Claimant is claiming damages in respect of lost income due to alleged tender irregularities. Counsel briefed and filed an exception to which plaintiff has responded and awaiting advise.	1 099	1 099
Amathole Forestry Co.	-	Claimant is claiming damages due to alleged negligent acts or omissions in relation to veld fire. Counsel briefed and filed an exception to which plaintiff has responded and awaiting advice from the counsel.	978	978
<b>Total</b>	<b>513</b>		<b>2 064</b>	<b>2 577</b>

The department finalised the case of Micheal Hodge v The Minister of Water and Environmental Affairs. However, the department is responsible for the legal cost of the applicant, which have not yet been claimed and it is not possible to estimate the amount.

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 4: CLAIMS RECOVERABLE

Government entity	Confirmed balance outstanding		Unconfirmed balance outstanding		Total	
	31 March 2012	31 March 2011	31 March 2012	31 March 2011	31 March 2012	31 March 2011
	R'000	R'000	R'000	R'000	R'000	R'000
<b>Department</b>						
Department International Relations and Cooperation	-	19	-	15	-	34
Department of Agriculture, Forestry and Fisheries	-	38	-	-	-	38
Department Health	-	-	-	12	-	12
KwaZulu-Natal Provincial Government: Agriculture, Environment and Rural Development	-	-	-	17	-	17
Department of Social development	-	14	-	-	-	14
Department Presidency	-	-	50	50	50	50
The Eastern Cape: Department of Education	-	-	27	27	27	27
Department Public Works	-	-	45	59	45	59
Department of Water Affairs and Trading Account	-	-	52	-	52	-
Department of Tourism	-	18	62	23	62	41
The Eastern Cape: Rural Development and Agriculture	14	-	-	-	14	-
Limpopo: Social Development	-	-	18	-	18	-
Limpopo: Economic Development	-	-	84	-	84	-
Department of Science and Technology	-	-	91	-	91	-
Statistics South Africa	-	-	29	-	29	-
Department of Home Affairs	-	-	13	-	13	-
	<b>14</b>	<b>89</b>	<b>471</b>	<b>203</b>	<b>485</b>	<b>292</b>
<b>Other government entities</b>						
University Of Cape Town	-	-	100	100	100	100
	-	-	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>
<b>Total</b>	<b>14</b>	<b>89</b>	<b>571</b>	<b>303</b>	<b>585</b>	<b>392</b>

# ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2012

## ANNEXURE 5: INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL	
	31 March 2012	31 March 2011	31 March 2012	31 March 2011	31 March 2012	31 March 2011
	R'000	R'000	R'000	R'000	R'000	R'000
<b>DEPARTMENTS</b>						
<b>Current</b>						
Department: Justice and Constitutional Development	-	102	-	15	-	117
Department: International Relations and Cooperation	-	-	1 949	3 707	1 949	3 707
Department: Public Works	-	-	40	-	40	-
Department: Tourism	-	-	-	36	-	36
Department: Government Communications	-	-	-	40	-	40
Department: Water Affairs	-	-	-	190	-	190
Eastern Cape: Department of Economic Development and Environmental Affairs	-	-	6	-	6	-
KwaZulu-Natal: Department of Agriculture, Environmental Affairs and Rural Development	-	-	127	-	127	-
Department: Sports and Recreation	20	-	-	-	20	-
Gauteng Department of Finance	-	-	88	-	88	-
Western Cape: Department of Environmental Affairs and Development Planning	44	-	-	-	44	-
<b>Total</b>	<b>64</b>	<b>102</b>	<b>2 210</b>	<b>3 988</b>	<b>2 274</b>	<b>4 090</b>

## ANNEXURE 6: INVENTORY

Inventory	Note	Quantity	2011/12	Quantity	2010/11
			R'000		R'000
Opening balance		11 100	1 623	24 720	1 490
Add/(Less): Adjustments to prior year balance		3 066	(703)	(9)	-
Add: Additions/purchases – Cash		471 561	73 071	1 588 018	33 626
Add: Additions - Non-cash		210	-	-	-
(Less): Issues		(472 373)	(72 817)	(1 601 593)	(33 610)
Add/(Less): Adjustments		7	74	(36)	117
<b>Closing balance</b>		<b>13 571</b>	<b>1 248</b>	<b>11 100</b>	<b>1 623</b>



# Human Resources Oversight Report

for the year ended 31 March 2012

# Human Resources Oversight

April 2011 to March 2012, Department of Environmental Affairs

**TABLE 1.1 - Main Service for Service Delivery Improvement and Standards**

Main Services	Actual Customers	Potential Customers	Standard of Service	Actual Achievement against Standards
Issuing of TOPS permits	Traders, exporters or importers of TOPS listed species, Scientific Institutions, Government Departments and Parastatals	New applicants	85% of permit applications received, processed within prescribed time frames	100% (10 applications received, 4 evaluation and issued, 6 in evaluation process within prescribed timeframes)
Issuing of CITES permits	Traders, exporters or importers of CITES listed species, Scientific Institution, Government Departments and Parastatals	New applicants	85% of permit applications received, processed within prescribed time frames	100% (43 permits received and 41 evaluated on time and issued, 2 in evaluation process within timeframes)
Issuing of Environmental Authorizations	Developers, Environmental Assessment Practitioners, Industry, Interested and Affected Parties	New applicants	88% of applications processed within prescribed time frames (provided that the number of applications received does not exceed the projected numbers)	67% (260 of the 389) applications were finalised within timeframes. A total of 648 applications were received and processed but 259 could not be finalised as there is still outstanding information from applicants
Issuing of BABS permits	Traders, exporters or importers of CITES listed species, Scientific Institutions, Government Departments and Parastatals	New applicants	100% of permits applications received, processed within prescribed timeframes	100% (8/8 applications)



**TABLE 1.2 - Consultation Arrangements for Customers**

Type of Arrangement	Actual Customer	Potential Customer	Actual Achievements
Meetings with organs of state / applicants as required	Traders, exporters or importers of TOPS listed species, Scientific Institutions, Government Departments and Parastatals	New applicants	The consultation mechanism have been used appropriately and yielded the desired outcomes
Meetings with organs of state / applicants as required	Traders, exporters or importers of CITES listed species, Scientific Institution, Government Departments and Parastatals	New applicants	
Inter-Governmental coordination forums, (Min Tech & Min Tech), Provincial workshops, stakeholder forums, workshops and application consultation	Developers, Environmental Assessment Practitioners, Industry, Interested and Affected Parties	New applicants	
Conference presentations, meetings, awareness and capacity building workshops with organs of state / applicants as required	Traders, exporters or importers of CITES listed species, Scientific Institutions, Government Departments and Parastatals	New applicants	

**TABLE 1.3 - Service Delivery Access Strategy**

Access Strategy	Actual Achievements
TOPS: Through website; e-mails & meetings	Access mechanisms for all the main services have been utilised effectively
CITES: Through website; e-mails & meetings	
Applicants are received by the Department of Environmental Affairs through internet, email, contact meetings, faxes and through open Provincial and stakeholder meetings BABS: Through website; e-mails and meetings	

**TABLE 1.4 - Service Information Tool**

Type of Information Tool	Actual Achievements
TOPS: All regulations made available on Departmental website & e-mailed to applicants on request	The information tools for all the main services have been used adequately
CITES: All regulations made available on Departmental website & e-mailed to applicants on request Guidelines, website, information series booklets, NEAS reports and authorisation help desk. BABS: All regulations made available on Departmental website, e-mailed to applicants on request and available on CD	

**TABLE 1.5 - Complaint Mechanism**

Complaint Mechanism	Actual Achievements
TOPS, CITES and BABS: Departmental Hotline Environmental appeals process is in place, Senior management address complaints and queries, complaints not addressed at other levels can be directed to the Minister of Environmental Affairs	The available complaints mechanisms for all main services are utilised by customers

**TABLE 2.1 - Personnel costs by Programme, 2011/12**

Programme	Total Voted Expenditure (R'000)	Compensation of Employees Expenditure (R'000)	Training Expenditure (R'000)	Professional and Special Services (R'000)	Compensation of Employees as percent of Total Expenditure %	Average Compensation of Employees Cost per Employee (R'000)	Employment
Administration	293 995	111 694	3 190	25 655	38	271 76	411
Environment Quality and Protection	298 014	93 151	1 380	22 306	31.3	419 6	222
Oceans and Coasts	876 338	68 548	447	75 293	7.8	339 35	202
Climate Change	137 163	14 093	36	109 915	10.3	587 21	24
Biodiversity and Conservation	456 577	34 806	184	4 030	7.6	386.73	90
Sectors Services, Coordination and Information	2 046 462	149 357	4 807	324 629	7.3	57	492
<b>TOTAL</b>	<b>4 108 549</b>	<b>471 649</b>	<b>10 044</b>	<b>561 828</b>	<b>11.5</b>	<b>327.31</b>	<b>1 441</b>

**TABLE 2.2 - Personnel costs by Salary band, 2011/12**

Salary Bands	Compensation of Employees Cost (R'000)	Percentage of Total Personnel Cost for Department	Average Compensation Cost per Employee (R)	Total Personnel Cost for Department including Goods and Transfers (R'000)	Number of Employees
Skilled (Levels 3-5)	7 470	1.7	139 82	71 000	57
Highly skilled production (Levels 6-8)	70 933	15.0	199 81	631 896	355
Highly skilled supervision (Levels 9-12)	190 099	40.3	400 21	1 693 468	475
Senior management (Levels 13-16)	60 233	12.8	669 26	536 577	90
Contract (Levels 1-2)	3 043	0.6	56 35	27 108	54
Contract (Levels 3-5)	8 198	1.7	107 87	73 031	76
Contract (Levels 6-8)	32 407	6.9	209 08	288 693	155
Contract (Levels 9-12)	60 998	12.9	432 61	543 392	141
Contract (Levels 13-16)	37 768	8.0	993 89	336 451	38
<b>TOTAL</b>	<b>471 649</b>	<b>100.0</b>	<b>327.31</b>	<b>4 201 616</b>	<b>1 441</b>



**TABLE 2.3 - Salaries, Overtime, Home Owners Allowance and Medical Aid by Programme, 2011/12**

Programme	Salaries (R'000)	Salaries as % of Personnel Cost	Overtime (R'000)	Overtime as % of Personnel Cost	HOA (R'000)	HOA as % of Personnel Cost	Medical Ass. (R'000)	Medical Ass. as % of Personnel Cost	Total Personnel Cost per Programme (R'000)
Prog 1:Administration	76 043	16.1	597	0.5	2 563	2.3	3 959	3.5	111 694
Prog 2: Environment Quality and Protection	63 763	13.5	196	0.2	2 064	2.2	3 045	3.3	93 151
Prog 3:Oceans and Coastal	41 963	8.9	1 822	2.7	1 315	1.9	2 127	3.1	68 548
Prog 4:Climate Change	8 085	1.7	-	-	410	2.9	222	1.6	14 093
Prog 5: Biodiversity and Conservation	22 698	4.8	-	-	741	2.1	891	2.6	34 806
Prog 6: Sectors Services, Coordination and Information	108 126	22.9	187	0.1	1 911	1.3	2 600	1.7	149 357
<b>TOTAL</b>	<b>320 678</b>	<b>68.0</b>	<b>2 802</b>	<b>0.6</b>	<b>9 004</b>	<b>1.9</b>	<b>12 844</b>	<b>2.7</b>	<b>471 649</b>

**TABLE 2.4 - Salaries, Overtime, Home Owners Allowance and Medical Aid by Salary Band, 2011/12**

Salary bands	Salaries (R'000)	Salaries as % of Personnel Cost	Overtime (R'000)	Overtime as % of Personnel Cost	HOA (R'000)	HOA as % of Personnel Cost	Medical Ass. (R'000)	Medical Ass. as % of Personnel Cost	Total Personnel Cost per Salary Band (R'000)
Skilled (Levels 3-5)	4 202	0.9	189	0.1	468	5.9	600	7.5	7 970
Highly skilled production (Levels 6-8)	42 907	9.1	972	0.3	2 631	3.7	4 314	6.1	70 933
Highly skilled supervision (Levels 9-12)	124 910	26.5	1 193	0.4	3 153	1.7	5 835	3.1	190 099
Senior management (Levels 13-16)	41 112	8.7	28	-	2,120	3.5	1 103	1.8	60 233
Contract (Levels 1-2)	2 499	0.5	141	-	9	0.3	-	-	3 043
Contract (Levels 3-5)	6 752	1.4	78	-	18	0.2	46	0.6	8 198
Contract (Levels 6-8)	26 904	5.7	111	-	30	0.1	88	0.3	32 407
Contract (Levels 9-12)	42 566	9.0	90	-	235	0.4	515	0.8	60 998
Contract (Levels 13-16)	28 826	6.1	-	-	340	0.9	343	0.9	37 768
<b>TOTAL</b>	<b>320 678</b>	<b>68.0</b>	<b>2 802</b>	<b>0.6</b>	<b>9 004</b>	<b>1.9</b>	<b>12 844</b>	<b>2.7</b>	<b>471 649</b>

**TABLE 3.1 - Employment and Vacancies by Programme at 31 March 2012**

Programme	Number of Posts	Number of Posts Filled	Vacancy Rate	Number of Posts Filled Additional to the Establishment
Prog 1:Administration, Permanent	427	411	3.7	57
Prog 2: Environment Quality and Protection Permanent	248	222	10.5	2
Prog 3:Oceans and Coasts Permanent	232	202	12.9	57
Prog 4: Climate Change, Permanent	28	24	14.3	8
Prog 5: Biodiversity and Conservation, Permanent	104	90	13.5	6
Prog:6 Sectors Services, Coordination and Information, Permanent	589	492	16.5	24
<b>TOTAL</b>	<b>1 628</b>	<b>1 441</b>	<b>11.5</b>	<b>154</b>

**TABLE 3.2 - Employment and Vacancies by Salary Band at 31 March 2012**

Salary Band	Number of Posts	Number of Posts Filled	Vacancy Rate	Number of Posts Filled Additional to the Establishment
Lower skilled (Levels 1-2), Permanent	57	57	0	47
Skilled (Levels 3-5), Permanent	135	130	3.7	31
Highly skilled production (Levels 6-8), Permanent	599	510	14.9	32
Highly skilled supervision (Levels 9-12), Permanent	699	616	11.9	29
Senior management (Levels 13-16), Permanent	138	128	7.2	15
<b>TOTAL</b>	<b>1 628</b>	<b>1 441</b>	<b>11.5</b>	<b>154</b>

**TABLE 3.3 - Employment and Vacancies by Critical Occupation at 31 March 2012**

Critical Occupations	Number of Posts	Number of Posts Filled	Vacancy Rate	Number of Posts Filled Additional to the Establishment
Administrative related, Permanent	277	240	13.4	14
Agricul animal oceanography forestry & other scien, Permanent	326	274	16	3
Head of department/chief executive officer, Permanent	1	1	0	0
Natural sciences related, Permanent	15	12	20	1
Senior managers, Permanent	123	115	6.5	12
<b>TOTAL</b>	<b>742</b>	<b>642</b>	<b>13.5</b>	<b>30</b>



**TABLE 4.1 - Job Evaluation 1 April 2011 to 31 March 2012**

Salary Band	Number of Posts	Number of Jobs Evaluated	% of Posts Evaluated	Number of Posts Upgraded	% of Upgraded Posts Evaluated	Number of Posts Down-graded	% of Downgraded Posts Evaluated
Lower skilled (Levels 1-2)	3	10	333.3	7	70	-	-
Contract (Levels 1-2)	54	1	1.9	1	100	-	-
Contract (Levels 3-5)	76	9	11.8	6	66.7	-	-
Contract (Levels 6-8)	155	4	2.6	-	-	-	-
Contract (Levels 9-12)	141	-	-	-	-	-	-
Contract (Band A)	8	-	-	-	-	-	-
Contract (Band B)	21	-	-	-	-	-	-
Contract (Band C)	8	-	-	-	-	-	-
Contract (Band D)	1	-	-	-	-	-	-
Skilled (Levels 3-5)	59	96	116.9	69	100	-	-
Highly skilled production (Levels 6-8)	441	109	24.7	5	4.6	-	-
Highly skilled supervision (Levels 9-12)	555	6	1.1	-	-	-	-
Senior Management Service Band A	88	7	8	-	-	-	-
Senior Management Service Band B	9	27	300	-	-	-	-
Senior Management Service Band C	3	9	300	1	11.1	-	-
<b>TOTAL</b>	<b>1 622</b>	<b>251</b>	<b>15.5</b>	<b>89</b>	<b>35.5</b>	<b>-</b>	<b>-</b>

**TABLE 4.2 - Profile of employees whose positions were upgraded due to their posts being upgraded**

Beneficiaries	African	Asian	Coloured	White	Total
Female	44	1	8	3	56
Male	13	-	5	-	18
<b>Total</b>	<b>57</b>	<b>1</b>	<b>13</b>	<b>3</b>	<b>74</b>
Employees with a Disability	-	-	-	-	-

**TABLE 4.3 - Employees whose salary level exceed the grade determined by Job Evaluation [i.t.o PSR 1.V.C.3]**

Occupation	Number of Employees	Job Evaluation Level	Remuneration Level	Reason for Deviation	No of Employees in Dept
Management Support Personnel	2	10	11	Retention	2
Senior Managements	1	14	15	Retention	1
<b>Total</b>	<b>3</b>				<b>3</b>
Percentage of Total Employment	100				-



**TABLE 4.4 - Profile of employees whose salary level exceeded the grade determined by job evaluation [i.t.o. PSR 1.V.C.3]**

Beneficiaries	African	Asian	Coloured	White	Total
Female	-	-	-	1	1
Male	1	1	-	-	2
<b>Total</b>	<b>1</b>	<b>1</b>	<b>-</b>	<b>1</b>	<b>3</b>
Employees with a Disability	-	-	-	-	-

**TABLE 5.1 - Annual Turnover Rates by Salary Band, 1 April 2011 to 31 March 2012**

Salary Band	Employment at Beginning of Period (April 2011)	Appointments	Terminations	Turnover Rate
Skilled (Levels 1-2), Permanent	24	70	27	112.5
Skilled (Levels 3-5), Permanent	97	58	21	21.6
Highly skilled production (Levels 6-8), Permanent	459	209	37	8.1
Highly skilled supervision (Level 9-12), Permanent	461	159	34	7.4
Senior Management (Level 13), Permanent	73	4	2	2.7
Senior Management (Level 14), Permanent	27	3	-	-
Senior Management Service (Level 15), Permanent	7	3	1	14.3
Senior Management Service (Level 16), Permanent	1	-	-	-
<b>TOTAL</b>	<b>1 149</b>	<b>506</b>	<b>122</b>	<b>10.6</b>

**TABLE 5.2 - Annual Turnover Rates by Critical Occupation, 1 April 2011 to 31 March 2012**

Occupation	Employment at Beginning of Period (April 2011)	Appointments	Terminations	Turnover Rate
Administrative related, Permanent	125	84	13	10.4
Agricul animal oceanography forestry & other scien, Permanent	250	21	9	3.6
Head of department/chief executive officer, Permanent	3	-	-	-
Natural sciences related, Permanent	11	5	-	-
Senior managers. Permanent	97	10	5	5.2
<b>TOTAL</b>	<b>486</b>	<b>120</b>	<b>27</b>	<b>5.6</b>



**TABLE 5.3 - Reasons why staff are leaving the department, 1 April 2011 to 1 March 2012**

Termination Type	Number	Percentage of Total Resignations	Percentage of Total Employment	Total	Total Employment
Death, Permanent	6	5.4	0.7	111	915
Resignation, Permanent	46	41.4	5	111	915
Expiry of contract, Permanent	49	44.1	5.4	111	915
Dismissal-operational changes, Permanent	1	0.9	0.1	111	915
Discharged due to ill health, Permanent	1	0.9	0.1	111	915
Dismissal-misconduct, Permanent	2	1.8	0.2	111	915
Retirement, Permanent	6	5.4	0.7	111	915
<b>TOTAL</b>	<b>111</b>	<b>100</b>	<b>12.1</b>	<b>111</b>	<b>915</b>

Resignations as % of Employment
12.1

**TABLE 5.4 - Granting of Employee Initiated Severance Packages, 1 April 2012 to 31 March 2012**

Category	No of applications received	No of applications referred to the MPESA	No of applications supported by MPESA	No of Packages approved by department
Highly Skilled Production (Salary Level 9-12)	1	1	1	1
Senior Management (Salary Level 13 and higher)	-	1	1	1
<b>TOTAL</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>2</b>

**TABLE 5.5 - Promotions by Critical Occupation, 1 April 2011 to 31 March 2012**

Occupation	Employment at Beginning of Period (April 2011)	Promotions to another Salary Level	Salary Level Promotions as a % of Employment	Progressions to another Notch within Salary Level	Notch progressions as a % of Employment
Administrative related	125	37	29.6	127	101.6
Agricul animal oceanography forestry & other scien	250	23	9.2	146	58.4
Head of department/ chief executive officer	3	-	-	-	-
Natural sciences related	11	-	-	8	72.7
Senior managers	97	13	13.4	76	78.4
<b>TOTAL</b>	<b>486</b>	<b>73</b>	<b>15</b>	<b>357</b>	<b>73.5</b>

**TABLE 5.6 - Promotions by Salary Band, 1 April 2011 to 31 March 2012**

Salary Band	Employment at Beginning of Period (April 2011)	Promotions to another Salary Level	Salary Level Promotions as a % of Employment	Progressions to another Notch within Salary Level	Notch progressions as a % of Employment
Lower Skilled (Levels 1-2), Permanent	17	-	-	8	47.1
Skilled (Levels 3-5), Permanent	68	-	-	73	107.4
Highly skilled production (Levels 6-8), Permanent	302	59	19.5	245	81.1
Highly skilled supervision (Levels 9-12), Permanent	420	90	21.4	355	84.5
Senior management (Levels 13-16), Permanent	108	13	12	81	75
<b>TOTAL</b>	<b>915</b>	<b>162</b>	<b>17.7</b>	<b>762</b>	<b>83.3</b>

**TABLE 6.1 - Total number of Employees (incl. Employees with disabilities) per Occupational Category (SASCO), 1 April to 31 March 2012**

Occupational Categories	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Legislators, senior officials and managers, Permanent	42	8	7	57	11	28	2	5	35	13	116
Professionals, Permanent	141	20	9	170	41	160	11	18	189	33	433
Technicians and associate professionals, Permanent	132	14	3	149	42	170	16	2	188	36	415
Clerks, Permanent	105	10	2	117	9	222	27	3	252	17	395
Service and sales workers, Permanent	16	-	-	16	-	4	-	-	4	-	20
Craft and related trades workers, Permanent	2	1	-	3	4	-	-	-	-	-	7
Plant and machine operators and assemblers, Permanent	3	4	-	7	-	-	-	-	-	-	7
Elementary occupations, Permanent	16	-	-	16	1	27	1	-	28	-	45
Other, Permanent	-	-	-	-	1	2	-	-	2	-	3
<b>TOTAL</b>	<b>457</b>	<b>57</b>	<b>21</b>	<b>535</b>	<b>109</b>	<b>613</b>	<b>57</b>	<b>28</b>	<b>698</b>	<b>99</b>	<b>1 441</b>

	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Employees with disabilities	2	-	-	2	4	2	-	-	2	3	11



**TABLE 6.2 - Total number of Employees (incl. Employees with disabilities) per Occupational Bands, 1 April 2011 to 31 March 2012**

Occupational Bands	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Top Management, Permanent	3	1	-	4	3	2	-	-	2	2	11
Senior Management, Permanent	41	9	7	57	12	28	2	5	35	13	117
Professionally qualified and experienced specialists and mid-management, Permanent	204	21	13	238	59	238	18	18	274	45	616
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	151	18	1	170	23	260	23	3	286	31	510
Semi-skilled and discretionary decision making, Permanent	41	3	-	44	11	54	12	1	67	8	130
Unskilled and defined decision making, Permanent	17	5	-	22	1	31	2	1	34	-	57
<b>TOTAL</b>	<b>457</b>	<b>57</b>	<b>21</b>	<b>535</b>	<b>109</b>	<b>613</b>	<b>57</b>	<b>28</b>	<b>698</b>	<b>99</b>	<b>1 441</b>

**TABLE 6.3 - Recruitment, 1 April 2011 to 31 March 2012**

Occupational Bands	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Top Management, Permanent	2	-	-	2	1	-	-	-	-	-	3
Senior Management, Permanent	3	1	-	4	-	3	-	-	3	-	7
Professionally qualified and experienced specialists and mid-management, Permanent	46	8	5	59	23	57	8	2	67	9	158
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	79	10	1	90	12	80	11	3	94	13	209
Semi-skilled and discretionary decision making, Permanent	29	3	-	32	4	33	12	1	46	3	85
Unskilled, Permanent	21	5	-	26	-	39	3	1	43	1	70
<b>TOTAL</b>	<b>180</b>	<b>27</b>	<b>6</b>	<b>213</b>	<b>40</b>	<b>212</b>	<b>34</b>	<b>7</b>	<b>253</b>	<b>26</b>	<b>532</b>

**TABLE 6.4 - Promotions, 1 April 2011 to 31 March 2012**

Occupational Bands	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Top Management, Permanent	1	1	-	2	1	-	-	-	-	1	4
Senior Management, Permanent	30	6	7	43	13	18	1	5	24	10	90
Professionally qualified and experienced specialists and mid-management, Permanent	166	16	7	189	44	158	16	5	179	33	445
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	87	15	1	103	12	151	18	-	169	20	304
Semi-skilled and discretionary decision making, Permanent	23	2	-	25	-	35	11	1	47	1	111
Unskilled, Permanent	3	2	-	5	-	2	1	-	3	-	8
<b>TOTAL</b>	<b>310</b>	<b>42</b>	<b>15</b>	<b>367</b>	<b>70</b>	<b>364</b>	<b>47</b>	<b>11</b>	<b>422</b>	<b>65</b>	<b>924</b>

	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Employees with disabilities	3	-	-	3	3	2	-	-	2	1	9

**TABLE 6.5 - Terminations, 1 April 2011 to 31 March 2012**

Occupational Bands	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Top Management, Permanent	-	-	-	-	-	-	-	-	1	-	1
Senior Management, Permanent	-	-	-	-	2	-	-	-	-	-	2
Professionally qualified and experienced specialists and mid-management, Permanent	2	-	-	2	-	5	-	-	5	-	7
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	2	-	-	2	-	6	-	-	6	3	11
Semi-skilled and discretionary decision making, Permanent	-	1	-	1	-	1	-	-	1	-	2
Contract (Top Management), Permanent	1	-	-	1	-	-	-	-	-	-	1
Contract (Senior Management), Permanent	1	-	-	1	1	-	-	-	-	-	2
Contract (Professionally qualified), Permanent	4	2	1	7	10	1	1	-	2	3	22
Contract (Skilled technical), Permanent	8	1	-	9	4	3	1	-	4	4	21
Contract (Semi-skilled), Permanent	5	2	-	7	3	2	1	-	3	2	15
Contract (Unskilled), Permanent	9	-	-	9	-	16	1	1	18	-	27
<b>TOTAL</b>	<b>32</b>	<b>6</b>	<b>1</b>	<b>39</b>	<b>20</b>	<b>35</b>	<b>4</b>	<b>1</b>	<b>40</b>	<b>12</b>	<b>111</b>



	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
Employees with disabilities	-	-	-	-	-	1	-	-	1	-	1

**TABLE 6.6 - Disciplinary Action, 1 April 2011 to 31 March 2012**

Disciplinary action	Male, African	Male, Coloured	Male, Indian	Male, Total Blacks	Male, White	Female, African	Female, Coloured	Female, Indian	Female, Total Blacks	Female, White	Total
No outcome	7	2	1	7	-	7	-	-	7	-	17
Suspended without payment	-	1	-	-	-	1	-	-	-	-	1
<b>TOTAL</b>	<b>7</b>	<b>3</b>	<b>1</b>	<b>7</b>	<b>-</b>	<b>7</b>	<b>-</b>	<b>-</b>	<b>7</b>	<b>-</b>	<b>18</b>

**TABLE 7.1 - Performance Rewards by Race, Gender and Disability**

Demographics	Number of Beneficiaries	Total Employment	Percentage of Total Employment	Cost (R'000)	Average Cost per Beneficiary (R)
African, Female	205	391	52.4	5 304	25 874
African, Male	181	283	64	5 737	31 695
Asian, Female	9	22	40.9	417	46 337
Asian, Male	11	15	73.3	434	39 427
Coloured, Female	41	25	164	1 011	24 654
Coloured, Male	60	36	166.7	1 758	29 295
Total Blacks, Female	255	438	58.2	6 732	26 400
Total Blacks, Male	252	334	75.4	7 928	31 461
White, Female	63	77	81.8	2 185	34 686
White, Male	46	83	55.4	1 800	39 130
Employees with a disability	12	12	100	311	25 891
<b>TOTAL</b>	<b>628</b>	<b>944</b>	<b>66.5</b>	<b>18 956</b>	<b>30 185</b>

**TABLE 7.2 - Performance Rewards by Salary Band for Personnel below Senior Management Service**

Salary Band	Number of Beneficiaries	Total Employment	Percentage of Total Employment	Cost (R'000)	Average Cost per Beneficiary (R)
Skilled (Levels 3-5)	61	48	127.1	660	10 820
Highly skilled production (Levels 6-8)	271	288	94.1	4 456	16 443
Highly skilled supervision (Levels 9-12)	199	371	53.6	7 386	37 116
Contract (Levels 1-2)	0	26	0	0	0
Contract (Levels 3-5)	0	21	0	0	0
Contract (Levels 6-8)	2	21	9.5	45	22 500
Contract (Levels 9-12)	17	61	27.9	629	37 000
<b>TOTAL</b>	<b>550</b>	<b>836</b>	<b>65.8</b>	<b>13 176</b>	<b>23 956</b>

**TABLE 7.3 - Performance Rewards by Critical Occupation**

Critical Occupations	Number of Beneficiaries	Total Employment	Percentage of Total Employment	Cost (R'000)	Average Cost per Beneficiary (R)
Administrative related	83	135	61.5	3 020	36 386
Agricul animal oceanography forestry & other scien	90	248	36.3	3 379	37 544
All artisans in the building metal machinery etc.	1	7	14.3	23	23 000
Architects town and traffic planners	2	2	100	70	35 000
Auxiliary and related workers	22	15	146.7	319	14 500
Chemical and physical science technicians	0	9	0	0	0
Client inform clerks(switchb receipt inform clerks)	3	2	150	50	16 667
Community development workers	0	1	0	0	0
Diplomats	0	1	0	0	0
Engineering sciences related	0	1	0	0	0
Engineers and related professionals	0	13	0	0	0
Farming forestry advisors and farm managers	0	18	0	0	0
Finance and economics related	5	12	41.7	202	40 400
Financial and related professionals	3	4	75	63	21 000
Financial clerks and credit controllers	7	6	116.7	97	13 857
General legal administration & rel. professionals	2	6	33.3	122	61 000
Head of department/chief executive officer	2	4	50	149	74 500
Human resources & organisat developm & relate prof	22	20	110	680	30 909
Human resources clerks	17	22	77.3	314	18 471
Human resources related	4	5	80	222	55 500
Identification experts	1	1	100	15	15 000
Information technology related	1	2	50	52	52 000
Language practitioners interpreters & other commun	8	13	61.5	244	30 500
Librarians and related professionals	1	1	100	34	34 000
Library mail and related clerks	7	6	116.7	110	15 714
Light vehicle drivers	0	1	0	0	0
Logistical support personnel	18	24	75	279	15 500
Material-recording and transport clerks	2	3	66.7	33	16 500
Mechanical engineering technicians	2	0	0	40	20 000
Medical specialists	0	1	0	0	0
Messengers porters and deliverers	33	28	117.9	380	11 515
Natural sciences related	7	11	63.6	297	42 429
Nature conservation and oceanographical rel.techni	19	0	0	535	28 158
Other administrat & related clerks and organisers	35	60	58.3	495	14 143
Other administrative policy and related officers	61	68	89.7	1 068	17 508
Other information technology personnel.	2	3	66.7	33	16 500
Physicists	0	1	0	0	0
Professional nurse	0	3	0	0	0
Quantity surveyors & rela prof not class elsewhere	0	2	0	0	0
Rank: Unknown	0	1	0	0	0
Regulatory inspectors	33	0	0	514	15 576
Secretaries & other keyboard operating clerks	37	72	51.4	547	14 784
Security officers	13	13	100	204	15 692
Senior managers	71	97	73.2	5 241	73 817
Trade labourers	14	2	700	125	8 929
<b>TOTAL</b>	<b>628</b>	<b>944</b>	<b>66.5</b>	<b>18 956</b>	<b>30 185</b>



**TABLE 7.4 - Performance Related Rewards (Cash Bonus) by Salary Band for Senior Management Service**

SMS Band	Number of Beneficiaries	Total Employment	Percentage of Total Employment	Cost (R'000)	Average Cost per Beneficiary (R)	% of SMS Wage Bill	Personnel Cost SMS (R'000)
Band A	49	75	65.3	3 193	6 516	6.2	51 243
Band B	17	25	68	1 512	8 894	6.5	23 255
Band C	6	7	85.7	588	9 800	6.8	8 584
Band D	2	2	100	218	10 900	15.2	1 438
<b>TOTAL</b>	<b>74</b>	<b>109</b>	<b>67.9</b>	<b>5 511</b>	<b>7 447.3</b>	<b>6.5</b>	<b>84 520</b>

**TABLE 8.1 - Foreign Workers by Salary Band, 1 April 2011 to 31 March 2012**

Salary Band	Employment at Beginning Period	Percentage of Total	Employment at End of Period	Percentage of Total	Change in Employment	Percentage of Total	Total Employment at Beginning of Period	Total Employment at End of Period	Total Change in Employment
Highly skilled supervision (Levels 9-12)	-	-	1	20	1	-	5	5	-
Senior management (Levels 13-16)	2	40	1	20	-1	-	5	5	-
Contract (Levels 6-8)	1	20	1	20	-	-	5	5	-
Contract (Levels 13-16)	2	40	2	40	-	-	5	5	-
<b>TOTAL</b>	<b>5</b>	<b>100</b>	<b>5</b>	<b>100</b>	<b>-</b>	<b>-</b>	<b>5</b>	<b>5</b>	<b>-</b>

**TABLE 8.2 - Foreign Workers by Major Occupation, 1 April 2011 to 31 March 2012**

Major Occupation	Employment at Beginning Period	Percentage of Total	Employment at End of Period	Percentage of Total	Change in Employment	Percentage of Total	Total Employment at Beginning of Period	Total Employment at End of Period	Total Change in Employment
Professionals and managers	5	100	5	100	-	-	5	5	-
<b>TOTAL</b>	<b>5</b>	<b>100</b>	<b>5</b>	<b>100</b>	<b>-</b>	<b>-</b>	<b>5</b>	<b>5</b>	<b>-</b>

**TABLE 9.1 - Sick Leave for January 2011 to December 2011**

Salary Band	Total Days	% Days with Medical Certification	Number of Employees using Sick Leave	% of Total Employees using Sick Leave	Average Days per Employee	Estimated Cost (R'000)	Total number of Employees using Sick Leave	Total number of days with medical certification
Skilled (Levels 3-5)	362	62.2	44	5.2	8	119	840	225
Highly skilled production (Levels 6-8)	1 585	71.9	247	29.4	6	859	840	1 140
Highly skilled supervision (Levels 9-12)	1 676	72.7	298	35.5	6	2 055	840	1 218
Senior management (Levels 13-16)	306	79.1	48	5.7	6	835	840	242
Contract (Levels 1-2)	55	49.1	21	2.5	3	10	840	27
Contract (Levels 3-5)	61	80.3	12	1.4	5	19	840	49
Contract (Levels 6-8)	476.5	77.9	87	10.4	5	259	840	371
Contract (Levels 9-12)	280	81.1	58	6.9	5	344	840	227
Contract (Levels 13-16)	118	82.2	25	3	5	416	840	97
<b>TOTAL</b>	<b>4 919.5</b>	<b>73.1</b>	<b>840</b>	<b>100</b>	<b>6</b>	<b>4 916</b>	<b>840</b>	<b>3 596</b>

**TABLE 9.2 - Disability Leave (Temporary and Permanent) for January 2011 to December 2011**

Salary Band	Total Days	% Days with Medical Certification	Number of Employees using Disability Leave	% of Total Employees using Disability Leave	Average Days per Employee	Estimated Cost (R'000)	Total number of days with medical certification	Total number of Employees using Disability Leave
Skilled (Levels 3-5)	152	100	1	33.3	152	59	152	3
Highly skilled production (Levels 6-8)	15	100	1	33.3	15	8	15	3
Highly skilled supervision (Levels 9-12)	63	100	1	33.3	63	62	63	3
<b>TOTAL</b>	<b>230</b>	<b>100</b>	<b>3</b>	<b>100</b>	<b>77</b>	<b>129</b>	<b>230</b>	<b>3</b>

**TABLE 9.3 - Annual Leave for January 2011 to December 2011**

Salary Band	Total Days Taken	Average days per Employee	Number of Employees who took leave
Skilled (Levels 3-5)	912	17	55
Highly skilled production (Levels 6-8)	5 865.12	16	364
Highly skilled supervision (Levels 9-12)	8 204.92	18	463
Senior management (Levels 13-16)	1 759	20	90
Contract (Levels 1-2)	592	8	73
Contract (Levels 3-5)	211	8	25
Contract (Levels 6-8)	1 538.92	9	181
Contract (Levels 9-12)	1 521	11	135
Contract (Levels 13-16)	726	18	41
<b>TOTAL</b>	<b>21 329.96</b>	<b>15</b>	<b>1 427</b>



**TABLE 9.4 - Capped Leave for January 2011 to December 2011**

Salary Band	Total days of capped leave taken	Average number of days taken per employee	Average capped leave per employee as at 31 December 2011	Number of Employees who took Capped leave	Total number of capped leave available at 31 December 2011	Number of Employees as at 31 December 2011
Highly skilled production (Levels 6-8)	31	10	30	3	1 996	67
Highly skilled supervision (Levels 9-12)	24	4	33	6	2 907	88
Senior management (Levels 13-16)	8	3	47	3	1 662	35
Contract (Levels 9-12)	35	35	190	1	758	4
Contract (Levels 13-16)	2	2	34	1	235	7
<b>TOTAL</b>	<b>100</b>	<b>7</b>	<b>38</b>	<b>14</b>	<b>7 558</b>	<b>201</b>

**TABLE 9.5 - Leave Payouts for January 2011 to December 2011**

Reason	Total Amount (R'000)	Number of Employees	Average Payment per Employee (R)
Leave payout for 2011/12 due to non-utilisation of leave for the previous cycle	110	4	27 500
Capped leave payouts on termination of service for 2011/12	863	46	18 761
Current leave payout on termination of service for 2011/12	708	51	13 882
<b>TOTAL</b>	<b>1 681</b>	<b>101</b>	<b>16 644</b>

**TABLE 10.1 - Steps taken to reduce the risk of occupational exposure**

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
no date	Conduct VCT quarterly Distribution of condoms Awareness campaigns Distribution of pamphlets





**TABLE 10.2 - Details of Health Promotion and HIV/AIDS Programmes**

Question	Yes	No	Details, if yes		
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	yes		Director: Human Resource Management		
2. Does the department have a dedicated unit or have you designated specific staff members to promote health and well being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	yes		3 dedicated staff members with an annual budget of R1 200 000		
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of the programme.	yes		Wellness management. Health and Productivity Management. HIV and TB Management. Occupational Health and Safety Management		
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	yes		Mr. D Vukela, Ms C Radebe, Ms T Mtombeni, MR V Naidoo, Mr Ditshego and Ms Tsutsubi.		
5. Has the department reviewed the employment policies and practices of your department to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	yes		Drafted HIV workplace Policy		
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	yes		Counselling services are in place, regular distribution of condoms and issue nutritional supplements		
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have achieved.	yes		About 500 employees tested for HIV in the previous financial year		
8. Has the department developed measures/ indicators to monitor & evaluate the impact of your health promotion programme? If so, list these measures/indicators.	yes		New HIV and TB management policy drafted, operational plan on HIV and TB management and Compliance with DPSA on quarterly HCT reports		



**TABLE 11.1 - Collective Agreements**

Subject Matter	Date
Special Leave (GPSSBC ratified on 21 October 2011)	25-Marc-11

**TABLE 11.2 - Misconduct and Discipline Hearings Finalised, 1 April 2011 to 31 March 2012**

Outcomes of disciplinary hearings	Number	Percentage of Total	Total
No outcome	5	83.3	6
Suspended without payment	1	16.7	6
<b>TOTAL</b>	<b>6</b>	<b>100</b>	<b>6</b>

**TABLE 11.3 - Types of Misconduct Addressed and Disciplinary Hearings, 1 April 2011 to 31 March 2012**

Type of misconduct	Number	Percentage of Total	Total
Unlawful removal of state property	1	5	20
Uses state vehicle without authorisation	1	5	20
Corruption/ Misrepresentation x5	5	25	20
Negligence x3	3	15	20
Insubordination x4	4	20	20
Failure to follow procurement policy x2	2	10	20
Under the influence, threat to assault	1	5	20
Absenteeism x2	2	10	20
Irregular appointment	1	5	20
<b>TOTAL</b>	<b>20</b>	<b>100</b>	<b>20</b>

**TABLE 11.4 - Grievances Lodged, 1 April 2011 to 31 March 2012**

Number of grievances addressed	Number	Percentage of Total	Total
Not resolved	19	61.3	31
Resolved	12	38.7	31
<b>TOTAL</b>	<b>31</b>	<b>100</b>	<b>31</b>

**TABLE 11.5 - Disputes Lodged, 1 April 2011 to 31 March 2012**

Number of disputes addressed	Number	% of total
Upheld	-	-
Dismissed	-	-
Total (all pending finalisation)	8 (1x settled by Minister)	-

(Note: Disputes x7 have not been finalised)

**TABLE 11.6 - Strike Actions, 1 April 2011 to 31 March 2012**

Strike Actions	
Total number of person working days lost	7 days
Total cost(R'000) of working days lost	1 817.54
Amount (R'000) recovered as a result of no work no pay	1 817.54

**TABLE 11.7 - Precautionary Suspensions, 1 April 2011 to 31 March 2012**

Precautionary Suspensions	
Number of people suspended	2
Number of people whose suspension exceeded 30 days	1
Average number of days suspended	335
Cost (R'000) of suspensions	183 528.40

**TABLE 12.1 - Training Needs identified, 1 April 2011 to 31 March 2012**

Occupational Categories	Gender	Employment	Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	-	-	23	-	23
	Male	-	-	37	-	37
Professionals	Female	-	-	84	-	84
	Male	-	-	72	-	72
Technicians and associate professionals	Female	-	-	67	-	68
	Male	-	-	45	-	45
Clerks	Female	-	-	66	-	66
	Male	-	-	17	-	17
Service and sales workers	Female	-	-	1	-	1
	Male	-	-	11	-	11
Skilled agriculture and fishery workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Craft and related trades workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Elementary occupations	Female	-	-	16	-	16
	Male	-	-	8	-	8
Gender sub totals	Female	-	-	258	-	258
	Male	-	-	190	-	190
<b>TOTAL</b>		-	-	<b>448</b>	-	<b>448</b>



**TABLE 12.2 - Training Provided, 1 April 2011 to 31 March 2012**

Occupational Categories	Gender	Employment	Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	-	-	39	-	39
	Male	-	-	15	-	15
Professionals	Female	-	-	57	-	57
	Male	-	-	47	-	47
Technicians and associate professionals	Female	-	-	123	-	123
	Male	-	-	90	-	90
Clerks	Female	-	-	130	-	130
	Male	-	-	61	-	61
Service and sales workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Skilled agriculture and fishery workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Craft and related trades workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Elementary occupations	Female	-	-	8	-	8
	Male	-	-	5	-	5
Gender sub totals	Female	-	-	357	-	357
	Male	-	-	218	-	218
<b>TOTAL</b>		-	-	<b>575</b>	-	<b>575</b>

**TABEL 13.1 – Injury on Duty 1 April 2011 to 1 March 2012**

Nature of injury in duty	Number	% of total
Required basic medical attention only	103	100
Temporary Total Disablement	-	-
Permanent Disablement	-	-
Fatal	-	-
<b>TOTAL</b>	<b>103</b>	<b>100</b>

**TABLE 14.1 - Report on consultant appointed using appropriate funds**

Project Title	Total number of consultants that worked on the project	Duration: Work days	Contracts value in rand	BEE Credentials
<b>E1167:</b> Appointment of a service provider for the supply, installation and management of three air quality monitoring stations for Waterberg Airshed. <b>C&amp;M Consulting Engineers (Pty) Ltd</b>	8	36 months	R 11 668 429.20	0%
<b>E1171:</b> Appointment of a service provider to assist DEA with the development of a National Waste Information Baseline Report (NWIBR) <b>CSIR</b>	10	18 months	R 3 747 026.33	0%
<b>E1172:</b> Appointment of a service provider for the provision of hygiene health care services for 24 months <b>Motheo Wa Modimolle Hygiene Services</b>	1	24 months	R 844 978.00	100%
<b>E1174:</b> Appointment of a service provider for the provision of travel and accommodation services for a period of two years. <b>Travel with Flair</b> <b>Tourvest Travel Services</b>	15 9	24 months	R 5 700 000.00	61% 80%
<b>E1175:</b> Appointment of a service provider for outsourcing summative evaluation study for the Social Responsibility Programme (SRP) for DEA for a period of six months. <b>Basic Blue Trading 437cc</b>	6	6 months	R 1 983 372.00	15%
<b>E1168:</b> Appointment of a consultant for outsourcing the development of the National Energy sector guideline to the implementation of the National Environmental Management Act (Act 107 of 1998 as amended). <b>Zitholele Consulting (Pty) Ltd</b>	7	12 months	R 549 457.00	60%
<b>E1173:</b> Appointment of a service provider to assist the department with the assessment of Air Quality Management Planning Status Quo and the development of the National Support Programme. <b>Zanokuhle Environmental Services</b>	6	6 months	R 2 034 490.00	100%



Project Title	Total number of consultants that worked on the project	Duration: Work days	Contracts value in rand	BEE Credentials
<p><b>E1169:</b> Appointment of a consultant for the development of the National Social Infrastructure Guidelines relating to the National Environmental Management Act, Act 108 of 1998, as amended. <b>Institute of Natural Resources (INR)</b></p>	3	12 months	R 676 368.00	80%
<p><b>E1177:</b> Appointment of a service provider for the outsourcing of the development of an Environmental Management Framework (EMF) for the Vredefort Dome World Heritage. <b>Centre of Environmental Management (NWU)</b></p>	9	12 months	R 1 460 724.41	0%
<p><b>E1189:</b> Appointment of an Events company/s to organize and manage content and logistics for the second Waste Management Officers KHORO <b>Zenande Consulting CC</b></p>	6	4 months	R 2 211 485.69	100%
<p><b>E1180:</b> Appointment of a service provider to conduct training for 450 Local Government officials across the country in Landfill Site Operation and Management. <b>Khabokedi Waste Management CC</b></p>	4	5 months	R 2 956 504.50	100%
<p><b>E1179:</b> Appointment of a service provider for the development and support of an on-line Web-based Programme Management System for the Social Responsibility Programme. <b>Dataworld (Pty) Ltd</b></p>	5	9 months	R 2 966 506.00	100%
<p><b>E1182:</b> Appointment of a service provider to for outsourcing of the DEA functions of Arranging an Exhibition to Showcase Efforts by National Departments, Public Entities, Parliament at the COP17 Climate Change Conference. <b>Interactive Africa &amp; Scan Display Solutions</b></p>	23	7 months	R 39 622 886.29	100%
<p><b>E1183:</b> Appointment of a service provider for outsourcing of the DEA function of raising awareness of Climate Change and Promote a Culture of Climate activism in the context of COP17 for a period of 7 months <b>The Communication Firm</b></p>	9	7 months	55000000	100%



Project Title	Total number of consultants that worked on the project	Duration: Work days	Contracts value in rand	BEE Credentials
<p><b>E1184:</b> Appointment of a service provider for outsourcing the DEA functions of Raising Awareness of Climate Change and Promote a Culture of Climate activism in the context of COP17.</p> <p><b>Icologie CC</b></p>	8	7 months	R 3 035 655.00	0%
<p><b>E1186:</b> Appointment of a service provider for outsourcing the Overall Programme Management of DEA COP17 projects for a period of seven months.</p> <p><b>Global Conference Africa (Pty) Ltd</b></p>	9	7 months	R 3 857 180.00	80%
<p><b>E1181:</b> Appointment of a service provider for the development of an integrated strategy on the control of vehicle emissions for a period of 18 months.</p> <p><b>uMoya-Nilu Consulting (Pty) Ltd</b></p>	9	18 months	R 1 848 028.00	39%
<p><b>E1188:</b> Appointment of a service provider/consultant to assist DEA in conducting the medium term review of the Vaal Triangle Airshed priority area Air Quality Management plan.</p> <p><b>Escience Associates (Pty) Ltd</b></p>	9	12 months	R 661 339.94	0%
<p><b>E1196:</b> Appointment of a suitable service provider for maintenance and repair of the PABX and telephone system including the call centre for a period of two years.</p> <p><b>Redsox Telecommunication (Pty) Ltd</b></p>	7	24 months	R 1 298 774.88	100%
<p><b>E1200:</b> Appointment of a service provider to collect Waste service data from Municipalities for the Outcome 10 reporting.</p> <p><b>Nompilo Sidondi</b></p>	3	6 months	R 2 130 900.00	100%
<p><b>E1201:</b> Appointment of a suitable service provider for specialist consultancy services to assist the regulating committee for meteorological services in verifying the SAWS aviation tariff proposals and the basis thereof before new tariffs are approved and promulgated.</p> <p><b>Potlako Consulting</b></p>	3	36 months	R 2 407 418.94	51%
<p><b>E1190:</b> Appointment of a professional service provider that can support NRM (Natural Resources Management) with the management and implementation of the NRM Nursery Partnership Programme.</p> <p><b>Kay Montgomery Editorial Services (Pty) Ltd</b></p>	3	36 months	R 4 272 000.00	0%



Project Title	Total number of consultants that worked on the project	Duration: Work days	Contracts value in rand	BEE Credentials
<b>E1198:</b> Appointment of a service provider for the Aerial herbicide control of aquatic weeds for a period of six months. <b>Gamework Helicopters cc JV Equipped to Stand</b>	3	6 months	R 5 066 088.00	25%
<b>E1204:</b> Appointment of a service provider for the implementation of Table24 Groundthruthing development and Management of National Air Passive sampling compaing. <b>uMoya-Nilu Consulting (Pty) Ltd</b>	3	24 months	R 2 329 476.00	39.60%
<b>TOTAL</b>			<b>R 158 329 088.18</b>	

QUOTATIONS Above R 30 000.00 Project Description	Total individual consultants	Total duration work days	Total contract value in rand	BEE Credentials
<b>11-Apr</b> "0" Report for April 2011				
<b>11-May</b> Maintenance of Web-based forum site for Dev of EIAMS <b>(Propaganda Studios for EQP, OR-027437)</b>	Not indicated	3 years 1/6/10-31/5/13	R 82 080.00	0%
<b>Jun-11</b> Extention. Perform-Developer System for the management of Social Responsibility Projects <b>(Lisemma IT Solutions for SSIR, OR-027763)</b>	Not indicated	18 months 1/3/11-31/7/12	R 370 430.64	49% women 100%HDI
Analytical support for SA's preparations for the international climate change negotiations <b>(UCT for Climate Change, OR-027831)</b>	Not indicated	11 months 1/4/11-17/2/12	R 153 400.00	0%
Protocol Workshop <b>(Sinelwati Scientific for SSIR, OR-027814)</b>	Not indicated	1-2 June 2011	R 162 336.00	100% women 100% BEE
TV Media Partner for Boundless Southern Africa <b>(Africa Business News for Biodiversity, OR-027995)</b>	Not indicated	5 months from 24/12/2010	R 539 220.00	0%
Audit Service <b>(Deloitte &amp; Touche for Internal Audit, OR-027997)</b>	4	Not indicated	R 223 981.50	25% HDI



<b>QUOTATIONS Above R 30 000.00</b> <b>Project Description</b>	<b>Total individual consultants</b>	<b>Total duration work days</b>	<b>Total contract value in rand</b>	<b>BEE Credentials</b>
Design, manufacture & install road signs ( <b>WSP SA Civil &amp; Structural Engineers for EQP, OR-027855</b> )  <b>Jul-11</b>	3	7 months 1/9/10-31/3/11	R 465 501.90	50% HDI
Verification of qualifications ( <b>Managed Integrity Evaluation for HRM, OR-028048</b> )	Not indicated	Not indicated	R 30 195.18	42% HDI
Hosting maintenance of NEAS Phases II & III (extention) ( <b>SITA, OR-028043</b> )	Not indicated	Not indicated	R 943 800.00	0%
Mercury Situation Analysis ( <b>Ajua Environmental Consultants for SSIR, OR-028086</b> )	6	Not indicated	R 400 000.00	6% women 12% HDI
Support & maintenance - Balance Score Card System ( <b>ASG Consulting for CD: ITC, OR-028234</b> )	Not indicated	2 years from 1/1/2011	R 189 200.00 per year	75% HDI
Appeals Administration ( <b>Adv PKM Retief for Legal Services, OR-028247</b> )  <b>11-Aug</b>	1	9 months 1/7/11-31/3/12	R 397 062.00	0%
Deregistering Buyisa-e-Bag ( <b>KPMG for COO - OR-028389</b> )	9	5 months	R 994 935.00	0%
COP17 ( <b>SA Cities Network for SSIR, OR-028376</b> )	Not indicated	5 months Aug-Dec 2011	R 2 400 000.00	0%
Development of AQA S21 Companion Document ( <b>Esience Associates for EQP, OR-028571</b> )  <b>Sep-11</b>	Not indicated	2 months from 9 May 2011	R 225 435.00	0%
NMRP Infrastructure Migration ( <b>SITA for IT, OR-028626</b> )	2	Not indicated	R 701 247.22	0%
Rhino Dehorning Impact study ( <b>Endangered Wildlife Trust for Biodiversity, OR-028702</b> )  <b>Oct-11</b>	Not indicated	18/7/2011 - 18/10/2011	R 178 404.00	0%
Legal services to the BSP ( <b>Marais Muller Yekiso for Biodiversity, OR-028866</b> )	1	Dec 2010 - 31/3/2012	R 280 000.00	5.76% women 25% HDI
Review the tariff strategy & model for solid waste ( <b>Zenande Leadership Consulting for EQP, OR-028998</b> )  <b>Nov-11</b>	6	Not indicated	R 495 056.63	100% women



<b>QUOTATIONS Above R 30 000.00</b> <b>Project Description</b>	<b>Total individual consultants</b>	<b>Total duration work days</b>	<b>Total contract value in rand</b>	<b>BEE Credentials</b>
Development of Management Plans for Ramsar <b>Eco Pulse Consulting for Biodiversity, OR-029068</b>	Not indicated	7.5 months from 15/08/2011	R 458 451.00	0%
<b>Dec-11</b> Conduct the Regulatory Impact Assessment Study of the Implementation of the NEMA Amendment Bill, 2011 <b>Ferson Consulting for Legal Services, OR-029421</b>	1	6 weeks from 16/09/2011	R 58 900.00	0%
SA Green Economy Modelling <b>Sustainable Institute for SSIR, OR-029415</b>	3	4 months from 12/12/2011	R 199 500.00	11%
Analytical Support for SA preparations for the international climate change negotiations. <b>UCT for Climate Change, OR-029383</b>	2+	1/4/2011 to 17/02/2012	R 765 000.00	0%
Development of a Geo Database on Protected Areas <b>Computer Foundation for SSIR, OR-029428</b>	Not indicated	6 months 14/12/2011	R 447 723.60	30%
<b>Jan-12</b> Implications of the Nagoya-Kuala Lumpur Supplementary Protocol <b>Smith Ndlovu &amp; Summer Attorneys Biodiversity, OR-029445</b>	2	6 months from 22/12/2011	R 297 312.00	18%
Suppliers Database Enhancement and Maintenance <b>Optiflex, SCM, OR-029448</b>	Not indicated	Not indicated	R 77 500.00	100%
Appeal against refusal to grant Great White Shark diving permit. <b>Mr. Ferdi Zondagh for Legal Services, OR-029484</b>	Not indicated	1 month 15/7 - 15/08/2011	R 210 000.00	0%
Appeal against refusal to grant Great White Shark diving permit. <b>Ms B Mabandla for Legal Services, OR-029501</b>	Not indicated	1 month 15/7 - 15/8/2012	R 210 000.00	100%



QUOTATIONS Above R 30 000.00 Project Description	Total individual consultants	Total duration work days	Total contract value in rand	BEE Credentials
<b>Feb-12</b>				
<b>Conduct studies identified at the Minister's Rhino Summit Endangered Wildlife Trust for Biodiversity, OR-029641</b>	Not indicated	8 months 1/12/2011 - 32/7/2012	R 474,200.00	0%
<b>Land Degradation Dr J Gambiza for Biodiversity, OR-029814</b>	1	1 day	R 54,720.00	0%
<b>Legal Consultant Ngeno &amp; Mteto for SSIR, OR-029829</b>	Not indicated	12 months from 16/1/2012	R 500,000.00	0%
<b>Review guide of the Social Responsibility Programme Mathews &amp; Associates for SSIR, OR-029828</b>	Not indicated	6 months from 15/12/2012	R 562,072.00	0%
<b>Mar-12</b>				
<b>Draft report on Implementation of JPOI targets and Agenda 21 for RIO+20. SRK Consulting for SSIR, OR-029912</b>	7	36 working days	R 283,572.72	75%
<b>TOTAL</b>			<b>R 11 956 671.67</b>	

**TABLE 14.2 - Analysis of consultant appointments using appropriated funds, i.t.o. HDI's**

Project Title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the projects	BEE Credentials
<b>E1167:</b> Appointment of a service provider for the supply, installation and management of three air quality monitoring stations for Waterberg Airshed. <b>C&amp;M Consulting Engineers (Pty) Ltd</b>	0	0	0	0%
<b>E1171:</b> Appointment of a service provider to assist DEA with the development of a National Waste Information Baseline Report (NWIBR) <b>CSIR</b>	0	0	2	0%
<b>E1172:</b> Appointment of a service provider for the provision of hygiene health care services for 24 months <b>Motheo Wa Modimolle Hygiene Services</b>	100%	100%	1	100%



Project Title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the projects	BEE Credentials
<p><b>E1174:</b> Appointment of a service provider for the provision of travel and accommodation services for a period of two years.</p> <p><b>Travel with Flair</b> <b>Tourvest Travel Services</b></p>	61% 1	61% 1	2 3	61% 80%
<p><b>E1175:</b> Appointment of a service provider for outsourcing summative evaluation study for the Social Responsibility Programme (SRP) for DEA for a period of six months.</p> <p><b>Basic Blue Trading 437 cc</b></p>	15%	15%	2	15%
<p><b>E1168:</b> Appointment of a consultant for outsourcing the development of the National Energy sector guideline to the implementation of the National Environmental Management Act (Act 107 of 1998 as amended).</p> <p><b>Zitholele Consulting (Pty) Ltd</b></p>	60%	60%	0	60%
<p><b>E1173:</b> Appointment of a service provider to assist the department with the assessment of Air Quality Management Planning Status Quo and the development of the National Support Programme.</p> <p><b>Zanokuhle Environmental Services</b></p>	100%	100%	3	100%
<p><b>E1169:</b> Appointment of a consultant for the development of the National Social Infrastructure Guidelines relating to the National Environmental Management Act, Act 108 of 1998, as amended.</p> <p><b>Institute of Natural Resources (INR)</b></p>	80%	80%	1	80%
<p><b>E1177:</b> Appointment of a service provider for the outsourcing of the development of an Environmental Management Framework (EMF) for the Vredefort Dome World Heritage.</p> <p><b>Centre of Environmental Management (NWU)</b></p>	0%	0%	1	0%
<p><b>E1189:</b> Appointment of an Events company/s to organize and manage content and logistics for the second Waste Management Officers KHORO</p>	100%	100%	5	100%
<p><b>E1180:</b> Appointment of a service provider to conduct training for 450 Local Government officials across the country in Landfill Site Operation and Management.</p> <p><b>Khabokedi Waste Management CC</b></p>	100%	100%	4	100%



Project Title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the projects	BEE Credentials
<p><b>E1179:</b> Appointment of a service provider for the development and support of an on-line Web-based Programme Management System for the Social Responsibility Programme.</p> <p><b>Dataworld (Pty) Ltd</b></p>	100%	100%	5	100%
<p><b>E1182:</b> Appointment of a service provider to for outsourcing of the DEA functions of Arranging an Exhibition to Showcase Efforts by National Departments, Public Entities, Parliament at the COP17 Climate Change Conference.</p> <p><b>Interactive Africa &amp; Scan Display Solutions</b></p>	100%	100%	7	100%
<p><b>E1184:</b> Appointment of a service provider for outsourcing the DEA functions of Raising Awareness of Climate Change and Promote a Culture of Climate activism in the context of COP17.</p> <p><b>Icologie CC</b></p>	0%	0%	0	0%
<p><b>E1186:</b> Appointment of a service provider for outsourcing the Overall Programme Management of DEA COP17 projects for a period of seven months.</p> <p><b>Global Conference Africa (Pty) Ltd</b></p>	80%	80%	2	80%
<p><b>E1181:</b> Appointment of a service provider for the development of an integrated strategy on the control of vehicle emissions.</p> <p><b>uMoya-Nilu Consulting (Pty) Ltd</b></p>	39%	39%	4	39%
<p><b>E1188:</b> Appointment of a service provider/consultant to assist DEA in conducting the medium term review of the Vaal Triangle Airshed priority area Air Quality Management plan.</p> <p><b>Escience Associates (Pty) Ltd</b></p>	0%	0%	2	0%
<p><b>E1196:</b> Appointment of a suitable service provider for maintenance and repair of the PABX and telephone system including the call centre for a period of two years.</p> <p><b>Redsox Telecommunication (Pty) Ltd</b></p>	100%	100%	1	100%
<p><b>E1200:</b> Appointment of a service provider to collect Waste service data from Municipalities for the Outcome 10 reporting.</p> <p><b>Nompilo Sidondi</b></p>	100%	100%	3	100%



Project Title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the projects	BEE Credentials
<p><b>E1201:</b> Appointment of a suitable service provider for specialist consultancy services to assist the regulating committee for meteorological services in verifying the SAWS aviation tariff proposals and the basis thereof before new tariffs are approved and promulgated.</p> <p><b>Potlako Consulting</b></p>	51%	51%	1	51%
<p><b>E1190:</b> Appointment of a professional service provider that can support NRM (Natural Resources Management) with the management and implementation of the NRM Nursery Partnership Programme.</p> <p><b>Kay Montgomery Editorial Services (Pty) Ltd</b></p>	0%	0%	1	0%
<p><b>E1198:</b> Appointment of a service provider for the Aerial herbicide control of aquatic weeds for a period of six months.</p> <p><b>Gamework Helicopters cc JV Equipped to Stand</b></p>	25%	25%	2	25%
<p><b>E1183:</b> Appointment of a service provider for outsourcing of the DEA function of raising awareness of Climate Change and Promote a Culture of Climate activism in the context of COP17 for a period of 7 months</p> <p><b>The Communication Firm</b></p>	100%	100%	7	100%
<p><b>E1204:</b> Appointment of a service provider for the implementation of Table24 Groundtruthing development and Management of National Air Passive sampling campaign.</p> <p><b>uMoya-Nilu Consulting (Pty) Ltd</b></p>	39.6%	39.6%	3	39.6%

### TABLE 14.3 - Report on consultant appointments using Donor funds

Consultant appointments using donor funds: None

### TABLE 14.4 - Analysis of consultant appointments using donor funds, i.t.o. HDIs

Consultant appointments using donor funds, i.t.o. HDIs: None



## List of Acronyms

<b>AG</b>	Auditor General	<b>EPWP</b>	Expanded Public Works Programme
<b>AIS</b>	Alien Invasive Species	<b>ERA</b>	Environmental Risk Assessment
<b>AMCEN</b>	African Ministerial Conference on the Environment	<b>FNB</b>	First National Bank
<b>ATCM</b>	Antarctic Treaty Consultative Meeting	<b>FTE</b>	Full Time Equivalent
<b>BABS</b>	Bioprospecting, Access and Benefit sharing	<b>GM</b>	Genetically Modified
<b>BEE</b>	Black Economic Empowerment	<b>GEF</b>	Global Environment Facility
<b>BMP</b>	Biodiversity Management Plan	<b>GHG</b>	Green House Gas
<b>BBWW</b>	Boat Based World Watching	<b>GIS</b>	Geographical Information System
<b>CBD</b>	Convention on Biological Diversity	<b>GMO</b>	Genetically Modified Organisms
<b>CBNRM</b>	Community Based Natural Resources Management	<b>IT</b>	Information Technology
<b>CSD</b>	Commission on Sustainable Development	<b>IDP</b>	Integrated Development Plan
<b>CITES</b>	Convention on International Trade in Endangered Species of Wild Fauna and Flora	<b>IEG</b>	International Environmental Governance
<b>CMP</b>	Conference of the Members of Protocol	<b>IEP</b>	Integrated Environmental Programme
<b>COMESA</b>	Common Market for Eastern and Southern Africa	<b>IEM</b>	Integrated Environmental Management
<b>COO</b>	Chief Operating Officer	<b>IGCCC</b>	Intergovernmental Committee on Climate Change
<b>COP</b>	Congress of the Parties	<b>IIWMP</b>	Industrial Integrated Waste Management Plans
<b>DG</b>	Director General	<b>IPS</b>	Integrated Permitting System
<b>DAFF</b>	Department of Agriculture Forestry and Fisheries	<b>IPAP</b>	Industrial Policy Action Plan
<b>DBSA</b>	Development Bank of Southern Africa.	<b>IRP</b>	Integrated Resource Plan
<b>DEA</b>	Department of Environmental Affairs	<b>LAB</b>	Laboratory Accreditation Bureau
<b>DLDD</b>	Desertification Land Degradation and Drought	<b>LEP</b>	Large Electronic Position
<b>DMR</b>	Department of Minerals and Resources	<b>LGSETA</b>	Local Government Sector Education and Training Authority
<b>DPSA</b>	Department of Public Service and Administration	<b>LTAS</b>	Long Term Adaptation Scenario
<b>DPME</b>	Department of Performance Monitoring and Evaluation	<b>M&amp;E</b>	Monitoring and Evaluation
<b>DWA</b>	Department of Water Affairs	<b>MEC</b>	Member of the Executive Council
<b>EEZ</b>	Exclusive Economic Zone	<b>MINMEC</b>	Minister and Members of Executive Council
<b>EIA</b>	Environmental Impact Assessment	<b>MoA</b>	Memorandum of Agreement.
<b>EMF</b>	Environmental Management Framework	<b>M&amp;E</b>	Monitoring and Evaluation
<b>EMI</b>	Environmental Management Inspectors	<b>MLRF</b>	Marine Living Resources Fund
<b>EDMS</b>	Electronic Document Management System	<b>MPAT</b>	Management Performance Assessment Tool
		<b>MSP</b>	Master Systems Plan
		<b>NAP</b>	National Action Programme
		<b>NAQI</b>	National Air Quality Indicator
		<b>NBSAP</b>	National Biodiversity and Action Plan
		<b>NCCC</b>	National Committee on Climate Change



<b>NCMP</b>	National Coastal Management Programme	<b>SASQAF</b>	South African Statistical Assessment Framework
<b>NFEPA</b>	National Freshwater Ecosystem Priority Areas	<b>SAWS</b>	South African Weather Services
<b>NEMA</b>	National Environmental Management Act	<b>SCOPA</b>	Standing Committee on Public Accounts
<b>NEMBA</b>	National Environmental Management: Biodiversity Act, 2004	<b>SMME</b>	Small Micro and Medium Enterprises
<b>NEMPAA</b>	National Environmental Management: Protected Areas Act, 2003	<b>SRPP</b>	Social Responsibility Programmes and Projects
<b>NPOA</b>	National Plan of Action	<b>SARS</b>	South African Revenue Services
<b>NRM</b>	Natural Resources Management	<b>TAE</b>	Total Allowable Effort
<b>NSSD</b>	National Strategy for Sustainable Development	<b>TEEB</b>	The Economics of Ecosystems and Biodiversity
<b>NYS</b>	National Youth Services	<b>TFCA</b>	Trans Frontier Conservation Area
<b>O&amp;C</b>	Ocean and Coast	<b>TOPS</b>	Threatened or protected species
<b>PAA</b>	Public Audit Act	<b>UCCD</b>	United Nations Convention to Combat Desertification
<b>PAIA</b>	Promotion of Access to Information Act	<b>UNEP</b>	United Nations Environment Programme
<b>PEI</b>	Prince Edward Island	<b>UNFCCC</b>	United Nations Framework Convention on Climate Change
<b>PFMA</b>	Public Finance Management Act	<b>UNIDO</b>	United Nations Industrial Development Organization
<b>PMDS</b>	Performance Management Development System	<b>WHS</b>	World Heritage Sites
<b>PPP</b>	Public Private Partnership	<b>WESSA</b>	Wildlife and Environment Society of South Africa
<b>SA</b>	South Africa	<b>WHCA</b>	World Heritage Convention Authority
<b>SAAQIS</b>	South African Air Quality Information System	<b>WSP</b>	Workplace Skills Plan
<b>SADC</b>	Southern African Development Community	<b>WSCD</b>	White Shark Cage Diving
<b>SADC NIP</b>	Southern African Development Community National Implementation Plans	<b>WTO</b>	World Trade Organisation
<b>SAEO</b>	South Africa Environment Outlook		
<b>SALGA</b>	South African Local Government Association		
<b>SANAE</b>	South African National Antarctic Expeditions		
<b>SANAP</b>	South African National Antarctic Programme		
<b>SANBI</b>	South African National Biodiversity Institute		
<b>SANParks</b>	South African National Parks		





RP205/2012  
ISBN: 978-0-621-41050-1

**Department of Environmental Affairs**

Private Bag X447

Pretoria

0001

Tel: +27 (0) 12 310 3911

Fax: +27 (0) 12 322 2682

Call Centre: 086 111 2468

email: [call\\_centre@environment.gov.za](mailto:call_centre@environment.gov.za)

website: [www.environment.gov.za](http://www.environment.gov.za)