

DEPARTMENT OF ENVIRONMENTAL AFFAIRS
ANNUAL REPORT 2019/20



environmental affairs

Department:
Environmental Affairs
REPUBLIC OF SOUTH AFRICA



Cover picture: Southern Ground-Hornbill, South African bird of the year 2020,
Photo(s) credit to Dr Lucy Kemp, Project Manager - Mabula Ground Hornbill Project

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ANNUAL REPORT 2019/20

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PART A

GENERAL INFORMATION



PART A: GENERAL INFORMATION

1. DEPARTMENT'S GENERAL INFORMATION

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2. LIST OF ABBREVIATIONS/ACRONYMS

LIST OF ACRONYMS

AEL	Air Emission Licence	DAFF	Department of Agriculture Forestry and Fisheries
AEWA	African-Eurasian Migratory Waterbird Agreement	DBC	Departmental Bargaining Council
AFWC22	22nd Session of the African Forestry and Wildlife Commission	DBSA	Development Bank of Southern Africa
AG	Auditor-General	DEA	Department of Environmental Affairs
AGSA	Auditor-General of South Africa	DLDD	Desertification Land Degradation and Drought
AIS	Alien Invasive Species	DLDD	Desertification Land Degradation and Drought
AMCEN	African Ministerial Conference on the Environment	DPSA	Department of Public Service and Administration
AQA	Air Quality Act	DWA	Department of Water Affairs
AQM	Air Quality Management	EDMS	Electronic Document Management System
AQMP	Air Quality and Management Planning	EEZ	Exclusive Economic Zone Information System
BABS	Bioprospecting, Access and Benefit Sharing	EIA	Environmental Impact Assessment
BBBEE	Broad Based Black Economic Empowerment	EMF	Environmental Management Framework
BEE	Black Economic Empowerment	EMI	Environmental Management Inspectors
BMP	Biodiversity Management Plan	EMPrs	Environmental Management Programmes
BRICS	Brazil, Russia, India, China and South Africa	EMPs	Estuaries Management Plans
CAPS	Curriculum and Assessment Policy Statement	EPWP	Expanded Public Works Programme
CC	Climate Change	ESEID	Economic Sector, Employment, Infrastructure and Development
CCIMS	Climate Change Information Management System	ESP	Environmental Sector Programme
CFO	Chief Financial Officer	FOSAD	Forum of SA Directors-Generals
CITES	Convention on International Trade in Endangered Species of Wild Fauna and Flora.	FTE	Full Time Equivalent
CMC COP	Conference of the meeting Of Parties on the Convention of Migratory Species	GCAC	Global and Continental Affairs Committee
CMP	Conference of the Members of Protocol	GDP	Gross Domestic Product
CO2	Carbon Dioxide	GEF	Global Environment Facility
COP	Congress of the Parties.	GHG	Green House Gas
CPO	Chief Procurement Officer	GIS	Geographical Information System
CSIR	Council for Scientific and Industrial Research	GMO	Genetically Modified Organisms

LIST OF ACRONYMS

GYBN	Global Youth in Biodiversity Network	SAEO	South Africa Environment Outlook
HLPF	High Level Political Forum	SAEON	South African Environmental Observation Network
HOD	Head of Department	SANAE	South African National Antarctic Expedition
HR	Human Resources	SANBI	South African National Biodiversity Institute
HRD	Human Resources Development	SANPARKs	South African National Parks
IBSA	India Brazil South Africa	SASSETA	Safety and Security Sector Education and Training Authority
ICM	Integrated Coastal Management	SAWS	South African Weather Services
ICT	Information and Communication Technologies	SCM	Supply Chain Management
IDP	Integrated Development Plan	SDIP	Service Delivery Improvement Plan
IDPs	Integrated Development Plans	SETA	Sector Education and Training Authority
IEG	International Environmental Governance	TFCAs	Transfrontier Conservation Areas
IEM	Integrated Environmental Management	TOR	Terms of Reference
IGC	Intergovernmental Committee	UNCCD	United Nations Convention to Combat Desertification
IGCCC	Intergovernmental Committee on Climate Change	UNFCCC	United Nations Framework Convention on Climate Change
IPBES	Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services	W&RSETA	Whole Sale & Retail – Sector Education and Training Authority
IPCC	Intergovernmental Panel on Climate Change	WEF	World Economic Forum
IPCC	Intergovernmental Panel on Climate Change	WHC	World Heritage Convention
IRP	Integrated Resource Plan	WSP	Workplace Skills Plan
IWMP	Industry Waste Management Plans		
KZN	KwaZulu Natal		
LOGIS	Logistical Information System		
M&E	Monitoring and Evaluation		
MCS	Modified Cash Standards		
PPP	Pollution Prevention Plan		
RESP	Recycling Enterprise Support Programme		
SA	South Africa		
SAAQIS	South African Air Quality Information System		



Ms. Barbara Creecy, MP

MINISTER OF ENVIRONMENT, FORESTRY AND FISHERIES

3. FOREWORD BY THE MINISTER

The 2019/20 Financial Year saw the creation of the new Department of Environment, Forestry and Fisheries.

This Department has the Constitutional and legal responsibility for a healthy environment, that can promote sustainable growth that is equitable and inclusive.

In April 2019 South Africa declared an additional 22 Marine Protected Areas (MPA's) along the country's coastline, bringing to 45 the coastal and ocean biodiversity areas conserved. The 22 new MPA's increased protection of the ocean around South Africa from 0.4 to 5% of the Exclusive Economic Zone. Research and planning continues to identify further areas requiring protection and support.

The new network advances protection for several offshore ecosystems for the first time. SANParks manages three of the new MPA's – the Addo Elephant National Park, Namaqua National Park and Robben Island.

In November 2019, South Africa took over the Presidency of the African Ministerial Conference on the Environment (AMCEN). A virtual AMCEN Bureau meeting held in May 2020 endorsed the development of a "Green stimulus programme for Africa" to be tabled at the next AMCEN Ministerial Special Session scheduled to take place virtually in December 2020.

The African "Green stimulus programme" aims to assist the continent to embrace a more sustainable development path in the aftermath of the Covid-19 pandemic. Further work is being done with the African Union Commission and the United Kingdom on the development of a draft Action Plan for Africa's Green Recovery. This action plan aims to strengthen AU-UK collaboration on a broad range of

shared priorities complementing the work of AMCEN and to support the African Union's objectives for the Continent's sustainable recovery from Covid-19.

During the year, the State of the Climate Report was revised, while the 2000 to 2017 Greenhouse Gas Inventory report was finalised and is ready for publication. The web-based Climate Change Information Management System (CCIMS) is now also operational.

In December 2019 the South African delegation attended the international climate talks in Madrid, Spain. At these talks the South African Government emphasised its continued commitment to implementing the goals and objectives of United Nations Framework Convention on Climate Change (UNFCCC) and its Kyoto Protocol and the Paris Agreement and its components, namely: implementing effective climate change adaptation and mitigation measures, and will continue advocating for the necessary support for an effective means of implementation of our Nationally Determined Contribution.

The management of waste and, in particular, single use plastic waste, is a matter that requires our attention. The revised National Waste Management Strategy was published for public comment.

South Africa's first Plastic Colloquium emphasised the need for all to work together in finding appropriate solutions to the plastics problem. A Plastics Master Plan is being developed with targets, timeframes, actions and specific goals to ensure that the actions discussed are implemented and that everyone responsible for the work is held accountable.

Ending nine years of negotiation, the Rooibos Traditional Knowledge Benefit Sharing Agreement between industry and the Khoi and San communities was launched in the Western Cape in 2019.

This marked South Africa's commitment to local and international biodiversity and bioprospecting regulations, including the Convention on Biological Diversity and the Nagoya Protocol on Access and Benefit Sharing.

Through the Department's Environmental Programmes, 28 746 full-time equivalent jobs were created alongside 73 568 work opportunities. A total of 53 192 youth benefited from the implementation of environmental programmes, which include the Expanded Public Works Programme's Working For.. programmes. During the year more than 93 000 hectares of invasive alien plants were treated, while follow-up treatments were done in an area covering almost 415 800 ha. While 124 wetlands were rehabilitated, 1 806km of accessible coastline was cleaned. Our Working on Fire teams suppressed 1 852 wild fires.

The Draft Antarctic and Southern Ocean Strategy was approved by Cabinet, and the economic analysis of the Total Oceans Sector's contribution to South African GDP and associated jobs created was completed.

In 2019/20 fishers and cooperatives in KwaZulu-Natal and Eastern Cape received 15-year fishing rights allocations. In the Western Cape, small-scale fishers were, also for the first time, granted access to fishing during the 2019/20 west coast rock lobster season as part of the transition to the new small-scale fishing sector.

2019 was marked by another annual decline in rhino poaching, and illegal wildlife trade, as a result of continue collaborative efforts introduced to deal with these crimes.

In November 2019, a High Level Panel of experts was appointed to review policies, legislation and practices related to the management, breeding, hunting, trade and handling of elephant, lion, leopard and rhinoceros. This panel will complete its work by the end of 2020.

The 2019/20 Annual Report complies with all statutory reporting requirements, particularly section 40(1) of the Public Finance Management Act (PFMA), 1999, and paragraph 18 of the National Treasury Regulations.



Ms. Barbara Creecy, MP

MINISTER OF ENVIRONMENT, FORESTRY AND FISHERIES



Ms Makhotso Maggie Soty, MP

DEPUTY MINISTER OF ENVIRONMENT, FORESTRY AND FISHERIES

4. FOREWORD BY THE DEPUTY MINISTER

The 2019/20 financial year has been an exciting one for the Department of Environmental Affairs (DEA).

It was a year that saw the birth of the new Department of Environment, Forestry and Fisheries from the erstwhile Department of Environmental Affairs and the Forestry and Fisheries branches from the former Department of Agriculture, Forestry and Fisheries (DAFF).

The amalgamation has not been without its challenges. Despite this, the department has in the past year worked to achieve the goal set out in Vision 2030 of National Development Plan – to build environmentally sustainable, climate change resilient, low-carbon economy and just society by 2030.

To achieve this requires all South Africans to work together to address issues such as climate change and biodiversity loss. Key to this is women and young people.

I am proud to say that South Africa's response to the challenge of climate change has taken a stronger and decisive commitment to promote the inclusion of women voices in every aspect of sustainable development. This commitment is reflected in the country's National Strategy towards Gender Mainstreaming in the Environment Sector. The purpose of the strategy is to provide a framework for gender mainstreaming in the environment sector by ensuring that initiatives in the sector are inclusive and promote meaningful participation of women.

Through the National Adaptation Fund, the Department supports a number of projects in KwaZulu-Natal, Limpopo and the Northern Cape where most of the beneficiaries are women and youth. These

address raising climate resilient livestock; producing climate resilient rooibos tea; introducing climate smart vegetable production as well as investing in water security, saving schemes and in climate proof infrastructure.

The youth have an important part to play in economic development, either through job creation, being self-employed, or contributing to a formal workplace. As part of addressing one of the greatest challenges we have as a country -- the growing number of unemployed youth – the Department has invested more than R200 million to empower young South Africans through the Youth Environmental Service (YES) programme over a period of three years.

Besides the YES programme, the Department also hosted the South African chapter of the Global Youth in Biodiversity Network (GYBN) during which ideas were exchanged with, and skills provided to, youth playing a role in environmental conservation and management.

Under the auspices of the Expanded Public Works Programme (EPWP), the Department continues to invest on core areas of focus such as sustainable land management, protection of forests, securing strategic water resources, and the restoring degraded lands.

Through the Working for Water programme we have donated school desks to educational institutions countrywide – all made from wood sourced from alien invasive plants. Wood collected during these clearing drives are used to manufacture high-quality products at lower cost, creating jobs for approximately 1 500 workers nationally.

Our Working on Fire teams continue to gain international accolades after a crew of 45 spent a month in Canada again in 2019 assisting to fight wildfires in Alberta. The DEA has a Memorandum of Understanding with the Canadian Department of Natural Resources, in terms of which either country may request urgent support from the other with regards to wildfire management. This was the third successful deployment between Canada and South Africa.

Through the Working on Fire Programme, government continues to protect both plantation and indigenous forests. The financial year marked the graduation of recruits to the Working on Fire Forestry Support programme, 32 of whom are women. The graduates have been deployed in various forestry villages in the Western Cape and parts of the Eastern Cape to ensure compliance to the National Veld and Forest Fire Act and several other laws including the National Forest Act, while also implementing Integrated Fire Management (IFM) such as constructing fire breaks, fuel load reduction and assist with fighting fires.

Plantation Forestry has been identified as another programme that seeks to enhance on the existing natural forests and woodlands that South Africa has. South Africa presently has about 1.2 million hectares of plantations. Some of these plantations are leased by Government to private companies, and 3.7% of these hectares are owned by small growers. Forests contribution is around 10% of the agricultural GDP. The Forestry Stewardship Council and the implementation of its guidelines will thus go a long way to bring sustainability to the Plantation Forestry sector.

Trees are important as they provide oxygen and absorb harmful carbon dioxide. Greening interventions that include planting of fruit trees into home gardens can make a contribution towards alleviating household food insecurity in both urban and rural settlements.

With regard to waste management, the cleaning of our communities and improvement of our public open spaces, the 'Good Green Deeds' programme continues to encourage all South Africans to take pride in keeping our country clean. The Minister and I have participated in many clean-up campaigns, in collaboration with various local and provincial governments, with the aim of securing a buy-in from all into this national effort to clean up our country.

As one of the driest countries in the world, South Africa is prone to prolonged drought. A critical programme aimed at improving our water security is the control of invasive plants in our catchments and wetlands. Recent research estimates that protection and clearing of river catchments can increase our water supply by as much as one sixth, at a fraction of the cost of projects such as desalination.

Wetlands and estuaries also play a crucial role in flood management. The department is working with other affected departments and entities on a joint Wetlands Framework to improve wetland protection, management and conservation in an integrated manner.

Without this work, the country faces greater land degradation and desertification. As a signatory to the United Nations Convention to Combat Desertification we are working to ensure that desertification, land degradation and drought in Africa does not contribute further to poverty, food and water security as well as migration amongst others.

Regionally, the 20th anniversary of the first Transfrontier Conservation Areas (TFCAs) was celebrated in 2019. The creation of the Kgalagadi Transfrontier Park in 2000 opened the first chapter on the TFCAs in Southern Africa. Currently, South Africa is playing a leading role in the collaborative management of six Transfrontier Conservation Areas with Namibia, Botswana, Zimbabwe, Lesotho, Mozambique and Eswatini.

Given the rural nature of game reserves, biosphere reserves, national parks and TFCA's the protection of these areas plays an important role in not only uplifting adjacent communities through the provision of jobs, but also contribute to the development of infrastructure in those remote areas.

Thank You to Minister Creecy for her leadership in our first year of office in the Department of Environment, Forestry and Fisheries.

I would like to present to you the 2019/20 Annual Report.



Ms Makhotso Maggie Sotyu, MP

DEPUTY MINISTER OF ENVIRONMENT, FORESTRY AND FISHERIES



Mr Ishaam Abader

ACTING DIRECTOR-GENERAL

5. REPORT OF THE ACTING ACCOUNTING OFFICER

OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT

The legal mandate and core business of the Department of Environmental Affairs is to manage, protect and conserve South Africa's environment and natural resources. The mandate is informed by Section 24 of the Constitution of South Africa, which affords everyone the right to (a) an environment that is not harmful to their health or well-being; and (b) to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures.

To give effect to these Constitutional environmental right and the need for sound environmental management and sustainable development, the Department has over a period of time developed a comprehensive environmental management legislative/regulatory framework. These regulatory framework consists of acts of parliament (environmental laws), regulations, policies, norms and standards and other regulatory tools which are aimed at promoting sound environmental management practices in order to protect and conserve the environment for the benefit of current and future generations.

In addition to the development, implementation and on-going review of a progressive regulatory framework for sound environmental management, other critical environmental management interventions by the Department includes raising awareness on key environment issues and promoting a culture of environmental activism among ordinary citizens, building capacity within the sector and establishing and strengthening national, regional and global partnerships to address common environmental challenges.

The long term vision of the Department is to have "a prosperous and equitable society living in harmony with our natural resources" as outlined in our medium term strategic plan.

To support the achievement of this vision, the department has identified five strategic goals which are as follows:

- Environmental economic contribution optimised
- Environmental/ ecological integrity safeguarded and enhanced
- Social transformation within our communities
- Global agenda influenced and obligations met
- A capable and efficient department

The Department is structured into seven Programmes as outlined in the performance progress included in Part B of these annual report. The seven different programmes and their purposes are reflective of the different focus areas and subsectors of environment management. The objective of the current programme structure is to ensure that specific attention is given to each focus area of our mandate whilst acknowledging the interrelationship and ensuring an integrated approach.

Brief overview of the performance of the Department against pre-determined objectives and the challenges

The 2019/20 financial year marked the first of a five-year strategic planning cycle covering 2019-20 to 2023/24. I am pleased, in my acting capacity as the Director-General and Accounting Officer of the Department, to present the 2019/20 Annual Report on the activities of the Department.

The report provides progress on the performance of the Department against the commitment made for the 2019/20 financial year. The approved annual performance plan of the Department of Environmental Affairs for the 2019/20 financial year included a total of 87 annual targets across seven Programmes. The Department achieved an overall performance of 67% of the planned targets, with 58 of the planned 87 annual targets achieved and 29 targets missed. There are 26 annual targets on which the Department did not achieve what was planned for the year but however significant progress towards was made, with many of this targets missed by a marginal variance. Three of the planned 87 annual targets relating to clearing of invasive alien plants, construction of ablution facilities in schools (Programme 6) and diversion of waste tyres from landfill sites (Programme 7) were delayed by a significant margin.

An achievement of 67% of the planned commitments is below the performance standard which management considers to be satisfactory. The Department has reflected on the performance for the period under review and the challenges which delayed progress in many of the unachieved areas of work. Management will continue to ensure that the lessons learned in the implementation of programmes are used to inform the development of effective corrective actions and to significantly improve organisational performance.

The detailed performance report provides specific details with regard to factors which cause the delays on the non-achieved targets and the corrective interventions which Management has identified in each area of work. These interventions are currently being implemented. A detailed performance progress report against all the planned targets for the year is contained in the audited report in Part B of this annual report (page 19 to 59):

MANAGEMENT RESPONSE TO THE TURN AROUND STRATEGY ON THE 2018/19 AUDIT OPINION

The Department, after having received a qualified audit opinion for the 2018/19 financial year, crafted an action plan approved by the Accounting Officer in an effort to turn the situation around. The progress in relation to the action plan was consistently tracked. The action plan as well as the action plan progress was shared with the AGSA and tabled at the Audit and Risk Committee. Notable improvements can therefore be reported to date.

The Department remains committed to correct all matters raised in the audit opinion to ensure that a successful turnaround is achieved.

The significant projects and/or events for the 2019/20 financial year:

The department hosted the following national events to celebrate/commemorate key international environment days, raise environmental awareness and engage stakeholders within the environment sector.

Celebration of international environment days:

World Environment Day

On the 5th June 2019, the Minister of Environment, Forestry and Fisheries, Ms Barbara Creecy, led a community clean-up campaign in celebration of the World Environment Day in Mamelodi, Gauteng. The clean-up was hosted under the Good Green Deeds Programme that was launched by President Cyril Ramaphosa, with the aim of mobilising citizens to promote environmental action for sustainable living practices. By changing attitudes and behaviour to promote responsible waste management, the campaign aims to create a country free of litter and illegal dumping.

World Oceans Day

The Department led the celebration of the World Oceans Day on 19 June 2019 in Nelson Mandela Bay Metro, Eastern Cape. The day is celebrated annually on 8 June and has a history spanning over two decades. It was originally proposed in 1992 at the Earth Summit in Rio de Janeiro, Brazil. The Gender and the Ocean theme was adopted to build greater ocean and gender literacy as well as to encourage ways of promoting gender equality in marine-related activities. Thus it was proposed that South Africa adopt the following theme: **“Women and the Ocean.”** Approximately 520 school learners from various primary and secondary schools were hosted as part of the celebration of the Day.

International Coastal Clean-up Day

South Africa joined coastal countries across the world to commemorate International Coastal Clean-up Day by partnering with communities in and around Durban to clean beaches and areas leading to the ocean. The Department partnered with various stakeholders to raise awareness and educate people about the harmful effects of waste in the oceans on 21 September 2019. The 2019 International Coastal Clean-up Day theme **“Trash-free Seas”** was aimed at ensuring waste from activities inland does not pollute the oceans.

The activities in Durban formed part of the government’s Good Green Deeds Programme launched by President Cyril Ramaphosa. Good Green Deeds seeks to mobilise South Africans to become more environmentally conscious and to promote behavioural change towards littering, illegal dumping.

International Day for the Preservation of the Ozone Layer

The Department in partnership with the Department of Education, Provincial Department of Environment and Nature Conservation, Francis Baard District Municipality and Sol Plaatjie Local Municipality commemorated the International Day for the Preservation of the Ozone Layer on 16 September 2019 in Galeshewe, Kimberley in the Northern Cape Province. Approximately 1 000 people were targeted, which included learners from 12 different high schools, university students, TVET colleges, industry and community members.

The theme for World Ozone Day 2019 is **“32 Years and Healing”**. It is a celebration of more than 30 years of international cooperation to protect the ozone layer and the climate under the Montreal Protocol on Substances that Deplete the Ozone Layer.

Marine Week

National Marine Week was celebrated from 14 to 18 October 2019 in all the nine provinces in South Africa. The main objective was to highlight the importance of oceans and the role it plays in the daily lives of all South Africans. The National Marine Week is designed to create a platform to engage with the youth, communities, other organs of state and the general public to enable the Department to fulfil its commitment of informing and educating on relevant ocean and coasts matters.

Due to the substantial and increasing impact of pollution in South Africa, particularly plastic pollution that affects all terrestrial, coastal and oceanic ecosystems, National Marine Week was celebrated under the theme: "My Ocean Future". The marine week directly addressed the education, skills and health priority area of Government. Approximately 5 000 learners were collectively engaged in schools in each of the nine provinces.

World Wetlands Day

The Department joined the nations of the world in celebrating World Wetlands Day on 2 February 2020 under the theme: "Wetlands and Biodiversity", to raise awareness about these precious ecosystems as a source of fresh water. As part of the Good Green Deeds programme school awareness was conducted from 24-28 February 2020 in the Waterberg District Municipality to twenty schools. Approximately 1 000 community members and 5 000 learners were targeted. Awareness raising and distribution of information material to the communities were done through exhibitions at the shopping malls and the taxi ranks.

World Wildlife Day

The 3rd of March marked the celebration of the World Wildlife Day 2020 under the theme "Sustaining all life on Earth". This theme is more relevant to South Africa, given its unique status among the most mega-diverse countries of the world. The rich biodiversity and ecosystems that sustain life come with responsibility for the country to conserve and ensure that their utilisation is sustainable.

The challenges of biodiversity loss, land degradation and climate change pose a threat to meeting Sustainable Development Goals that underpin the reversal of poverty and associated development challenges.

The Deputy Minister of the Department of Environment, Forestry and Fisheries, Ms Makhotso Soty, hosted the 22nd Session of the African Forestry and Wildlife Commission (AFWC22) - 6th African Forestry and Wildlife Week (AFWW6), celebrated World Wildlife Day from 9 to 13 March 2020 in the Kruger National Park, Skukuza, Mpumalanga. The 5 days were celebrated under the theme: "Forests and Wildlife: Africa's diversity for shared prosperity and security". Approximately 300 people attended the event.

HOSTING OF CONFERENCES / STRATEGIC MULTI STAKEHOLDER ENGAGEMENTS:

Women in Environment Dialogue Conference

The Women in Environment Dialogue Conference was held on 28 and 29 August 2019 at Birchwood Hotel, Boksburg, Gauteng Province. The main purpose was to share experiences, best practice and knowledge on initiatives through which women and young people around the world in general, and particularly in South Africa, are leading solutions for environmental sustainability. The conference brought together women in the environment sector from across South Africa to deliberate on issues and initiatives that enhance their role in the environmental conservation, while creating economic and social opportunities.

Environmental Compliance and Enforcement Lekgotla

The Department hosted the 8th Environmental Compliance & Enforcement, Lekgotla 2019 on 9 to 12 September 2019 in Kimberley, Northern Cape.

The Lekgotla was held under the theme "Facing the Future Together" that supported cohesive responses to environmental challenges and greater collaboration between the various environmental authorities in South Africa to address concerns in the Blue, Green and Brown sub-sectors.

Waste Khoro, Asbestos & Chemicals Summit

The Waste Khoro 2019: Asbestos and Land Remediation Summit was held from the 18 to 20 September 2019 in Kimberley, Northern Cape Province. The theme of the summit was: "Good Green Deeds towards a Recycling Economy and Sustainable Land Remediation".

The emphasis was on asbestos management issues, land remediation aspects and to facilitate a national dialogue on pertinent issues related to the management of chemicals and remediation of contaminated land to ensure sustainable management of environmental resources.

The summit brought together academics, government professionals, consultants, industries and technology developers/experts, providing a network on interdisciplinary research and integrating technology.

Plastic Colloquium

The Plastic Colloquium was held on 21 and 22 November 2019 in Boksburg, Gauteng Province and attracted 678 participants. The emphasis was to bring together all the key stakeholders to discuss recent research, awareness campaigns, international best practise and policy direction around tackling the issues associated with plastic waste. In South Africa, numerous efforts have been made through policy interventions that seek to discourage and minimise the use of plastics with an aim of addressing their effects on the environment and human health. The colloquium also consisted of an exhibition of 38 companies (SMME's, Co-operatives) on current projects and business models being used for the management, collection, recycling and reuse of plastic waste.

OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT

During the 2019/20 financial year, departmental revenue collected amounted to R108,517,000, an increase of R79,130,000 when compared to the R29,387,000 in the 2018/19 financial year. The increase is due to funds recovered from projects that were closed and the interest earned from unspent funds in project accounts.

Department receipts

DEPARTMENTAL RECEIPTS	2019/2020			2018/2019		
	ESTIMATE	ACTUAL AMOUNT COLLECTED	(OVER)/UNDER COLLECTION	ESTIMATE	ACTUAL AMOUNT COLLECTED	(OVER)/UNDER COLLECTION
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Sale of goods and services other than capital assets	2 100	2 940	(840)	2 105	2 770	(665)
Fines, penalties and forfeits	3 000	4 033	(1 033)	500	25	475
Interest, dividends and rent on land	5 200	7 410	(2 210)	125	967	(842)
Sale of capital assets	150	120	30	175	224	(49)
Financial transactions in assets and liabilities	60 000	94 014	(34 014)	5 300	25 401	(20 101)
TOTAL	70 450	108 517	(38 067)	8 205	29 387	(21 182)

TARIFF POLICY

Control of vehicles in the coastal zone

Regulations were published in terms of sections 83(1)(g), (n), (p) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008) in GRN 37761 on 27 June 2014. Permits are issued in terms of these regulations.

Dumping at Sea Regulations

Regulations were published in terms of sections 83(1)(g),(h),(k) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No.24 of 2008) in GN 40996 on 21 July 2017. Permits are issued in terms of these regulations.

Coastal Waters Discharge Permit Regulations

Regulations published in terms of sections 83(1)(g),(h),(k) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No.24 of 2008) in GN 42304 on 15 March 2019. Permits are issued in terms of these regulations.

Commercial Scuba Diving involving listed marine species, Boat-Based Whale Watching (BBWW) and White-Shark Cage Diving (WSCD)

The Threatened and Protected Species Regulations in terms of the National Environmental Management: Biodiversity Act 2004 (Act No.10 of 2004) were promulgated on 30 May 2017. Fees have been set in terms of these regulations and are collected in terms thereof, commencing in 2017/18.

Commercial SCUBA Diving business operations within Marine Protected Areas are managed in terms of the Marine Living Resources Act and since transfer of the Marine Protected Areas to the NEMPAA legislation, the fees are now collected in terms of NEMPAA.

Alien Invasive Species Management

Application Fees for permits for the transport or being in possession of Alien Invasive Species are set and collected in terms of the Alien Invasive Species Regulations promulgated in terms of the National Environmental Management Biodiversity Act, 2004 (Act No.10 of 2004).

ATMOSPHERIC EMISSION TARIFFS

1. Fees for Processing of Atmospheric Emission Licence Applications in terms of Regulations prescribing the atmospheric emission licence processing fee (Regulation No 250 of 11 March 2016)
2. Administrative Fines: Imposed on facilities that have undertaken a listed activity without an Atmospheric Emission Licence in terms of Section 22A of the Air Quality Act. Regulations for the procedure and criteria to be followed in the determination of an administrative fine in terms of Section 22A of the Air Quality Act (Regulation No 322 of 18 March 2016).

WASTE BUREAU

In terms of Section 34E (2)(b) of the National Environmental Management Waste Act, Act No 59 of 2008. The Bureau charges fees for services rendered and will be recognised as revenue (income) in terms of Section 34F (1)(b) of the Act.

EIA ENVIRONMENTAL AUTHORISATIONS

Fees for consideration and processing of environmental authorisations and amendments under section 24 (5)(c)(i), 24(5)(j) and 44(1)(a) and (b) of the National Environmental Management Act, 1998 (Act No.107 of 1998).

The proponent is excluded from the requirement to pay the prescribed fee where the application:

- Is for a community-based project funded by a government grant; or
- Is made by an organ of state.

WASTE MANAGEMENT LICENCES, TRANSFER AND RENEWAL TARRIFS

National Environmental Management Waste Act, 2008. GN 37383 of 28 February 2014 "Fee Structure for consideration and processing of Applications for Waste Management Licenses, transfers and renewal thereof.

PROGRAMME EXPENDITURE

The department's spending as at 31 March 2020 was 98.4% of its allocated budget of R7.5 billion. The distribution of the budget of R7.5 billion is as follows: Compensation of Employees 17.2%; Goods and Services 46.9%; Interest and rent on land 0.4%; Transfers and Subsidies 28.9%; Payment for Capital Assets 6.5% and Payment for Financial Assets 0.2%.

The spending of 98.4% is mainly driven by the Expanded Public Works Programme which represents 50% of the total spend, followed by Compensation of Employees at 17% and payment to the department's Public Entities 18%. The remainder of the expenditure of 15% is made up of all the other environmental programmes within the department, namely administrative expenditure, legal costs, compliance and enforcement, air quality management, waste management, biodiversity and conservation, climate change, oceans and coasts expenditure.

The following table demonstrates the expenditure per Programme.

PROGRAMME NAME	2019/2020			2018/2019		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Administration	911 882	910 233	1 649	851 700	848 199	3 501
Legal, Authorisations, Compliance and Enforcement	220 495	220 102	393	183 751	175 927	7 824
Oceans and Coasts	494 645	458 621	36 024	456 574	436 903	19 671
Climate Change, Air Quality and Sustainable Development	450 251	450 251	-	420 049	419 811	238
Biodiversity and Conservation	796 896	796 847	49	791 648	791 648	-
Environmental Programmes	3 996 778	3 941 531	55 247	4 172 683	3 510 403	662 280
Chemicals and Waste Management	612 724	589 062	23 662	554 127	554 080	47
TOTAL	7 483 671	7 366 647	117 024	7 430 532	6 736 971	693 561

Programme 1: Administration

The expenditure of this programme increased from R848,199,000 in 2018/19 to R910,233,000 in 2019/20. An increase of R62,034,000 (7,3%), which is mainly attributable to the annual increases on the PPP annual unitary payment, compensation of employee costs and increased computer services costs.

Programme 2: Legal, Authorisations, Compliance and Enforcement

During the period under review, the programme's expenditure increased from R175,927,000 in 2018/19 to R220,102,000 in 2019/20 with R44,175,000 (25%). The increase is mainly due to increased legal costs for cases brought against the department, the purchase of software and increased compensation of employee costs.

Programme 3: Oceans and Coasts

The expenditure of this programme increased from R436,903,000 in 2018/19 to R458,621,000 in 2019/20. An increase of R21,718,000 (5%). The increase is mainly due to an increased cost of living and increased cost for the manning and operation of the vessels.

Programme 4: Climate Change, Air Quality and Sustainable Development

The expenditure increased from R419,811,000 in 2018/19 to R450,251,000 in 2019/20. An increase of R30,440,000 (7,3%). The increase is mainly due to an increased cost of living expenditure as well as increased travel and accommodation costs for foreign engagements.

Programme 5: Biodiversity and Conservation

The programme's expenditure increased from R791,648,000 in 2018/19 to R796,847,000 in 2019/20. An increase of R5,199,000 (0.7%) mainly as a result of increased compensation of employee costs.

Programme 6: Environmental Programmes

This programme's expenditure increased from R3,510,403,000 in 2018/19 to R3,941,531,000 in 2019/20. An increase of R431,128,000 or 12,3%. The increase is mainly due to the implementation of the Good Green Deeds Programme under the Expanded Public Works Programme.

Programme 7: Chemicals and Waste Management

The expenditure of the programme increased from R554,080,000 in 2018/19 to R589,062,000 in 2019/20. An increase of R34,982,000 (6,3%). The increase is mainly due to increased cost for rental of land to host the Waste Bureau depots for recycling tyres, increased compensation costs due to the appointment of officials on contract to manage the Waste Phakisa activities as well as increased operational costs related to the Waste Bureau activities.

VIREMENTS

PROGRAMME FROM	PROGRAMME TO	AMOUNT R'000	% VIREMENT	REASON FOR VIREMENT
3. Oceans and Coasts	2. Legal Authorisations, Compliance and Enforcement	12 583	2.48%	Funding the shift of employees for Ocean Enforcement to Programme 2
5. Biodiversity and Conservation		385		Funding the shift of employees for Biodiversity Enforcement to Programme 2
5. Biodiversity and Conservation	4. Climate Change, Air Quality and Sustainable Development	4 040	0.55%	Funding the shortfall in Compensation of Employees due to restructuring of Programme 4 with shifts of personnel to the programme
6. Environmental Programmes	1. Administration	20 010	0.94%	Funding the Youth Community Outreach Programme established under Programme 1
	4. Climate Change, Air Quality and Sustainable Development	272		Funding the shortfall in operations under Programme 4 due to restructuring of the programme
	7. Chemicals and Waste Management	18 408		Partially funding the shortfall in compensation of employees for the Waste Phakisa Programme and Waste Management Bureau officials appointed on contract due to short term intervention programmes

The savings that were utilised were within the eight per cent limit as prescribed in section 43(2) of the PFMA.

UNAUTHORISED EXPENDITURE

An over expenditure on the transfers and subsidies ceiling was incurred due to contractual agreements approval not timely obtained. An amount of R132,685,000 million was however discovered in the current year and R16,588,000 million in the prior year relating to overspending of the vote or a main division within the vote.

FRUITLESS AND WASTEFUL EXPENDITURE – RELATING TO PRIOR YEAR

The department has improved the control measures to identify and report fruitless and wasteful expenditure. This has resulted in R73,680,000 of fruitless and wasteful expenditure incurred in prior years being identified and reported in the current year. This amount was incurred by the implementing entities who are contracted with the department to implement parts of the Expanded Public Works Programme. The circumstances that led to the fruitless and wasteful expenditure is currently under investigation and the recovery of these funds will be pursued based on the outcome of the investigations as well as consequence management.

The department is preparing for the transition to accommodate the Forestry and Fisheries functions which are transferred from the Department of Agriculture, Forestry and Fisheries as a result of the President's announcements on Macro organization of government resulting in shifting of functions across departments. The implementation will be effective as from the 2020/2021 financial year.

IRREGULAR EXPENDITURE

Irregular expenditure of R2,668,378,000 disclosed of which R1,593,050,000 relates to the prior year and R1,075,328,000 to the 2019/20 financial year. The irregular expenditure was incurred due to non-compliance to policies, procedures and prescripts with respect to applicable legislation including the PFMA and the PPPFA.

The department appointed a forensic audit firm to investigate the irregular contracts relating to 22 bids that were awarded. 11 investigations of the 22 were concluded and the expenditure was confirmed to be irregular. The report did not find any fraud and or ill-intentions on the part of the officials who presided over the awarding of those bids and an application for condonation has been submitted to National Treasury. The remaining investigations are still being concluded.

FUTURE PLANS OF THE DEPARTMENT

The incorporation of the Forestry and Fisheries function was effected from 1 April 2020. All measures will be implemented to ensure compliance to the PFMA, Treasury Regulations, Modified Cash Standards, Classification Framework as well as effective and efficient monitoring and segregation of duties.

PUBLIC PRIVATE PARTNERSHIPS (PPPs)

The Department of Environmental Affairs has a PPP agreement for office accommodation in operation for 25 years. The agreement commenced on 1 August 2012 and provides for the design, financing, building operation / maintenance and transfer of the Department of Environmental Affairs Environment House. The Department located the DEA Green Building Head Office with effect from 1 August 2014.

The gross unitary monthly instalment amounting to R157,489,000 as per clause 2.3 has been paid. Pass-through costs and additional payments, i.e. operational costs amounting to R6,258,000 as per Clause 6.24 of Schedule 6 of the PPP Agreement were also paid as at 31 March 2020.

DISCONTINUED ACTIVITIES / ACTIVITIES TO BE DISCONTINUED

During the period under review no activities were discontinued and no activities are planned to be discontinued in the next reporting period.

NEW OR PROPOSED ACTIVITIES

During the period under review there were no new or proposed activities implemented or introduced.

Supply chain management

The prescribed transversal system Logistical Information System (LOGIS) is utilised for the provisioning of goods and services, record-keeping and reporting thereof. Apart from the system controls, a number of additional internal control measures have been implemented to detect and prevent irregular expenditure. The department also makes use of the Central Supplier Database, which was implemented by the National Treasury.

List of unsolicited bid proposals concluded for the year

No unsolicited bid proposals concluded for the year under review.

Supply Chain Management processes and systems in place to prevent irregular expenditure

It was identified in the prior year that the Department's process and system with regard to procurement had certain control deficiencies which resulted in the irregular expenditure as reported in the financial statements. Management took steps to remedy the control weaknesses as identified. This included the following:

- The department procured the services of a quality assurer to review all procurement transactions for compliance and to recommend measures to be put in place where weaknesses were found in the system. This measure forms part of the Audit Turnaround Strategy.
- Existing SCM processes and systems in place such as financial delegations, policies, practice notes and relevant legislations were assessed and where applicable improved.
- Bid evaluation criteria have been revised to comply with principles of objectivity and transparency.
- The future contracts with Implementing Entities to include strict conditions pertaining their compliance with government prescripts relating to the procurement of goods and services using projects funds. Invoices received are verified against a checklist to establish possible irregular expenditure due to non-compliance with SCM prescripts.

When irregular expenditure is discovered, it is recorded and reported to the relevant authority for formal responses as per the National Treasury practice notes

Gifts and Donations received in kind from non-related parties

A list of the nature of the in-kind goods and services provided by the department or received from parties other than related parties is disclosed annexure 1G to the Annual Financial Statements.

Exemptions and deviations received from the National Treasury

No exemptions were requested or approved for the department in terms of the Public Finance Management Act, 1999 (Act No.1 of 1999) (PFMA) or Treasury Regulations, as may be applicable to any of the reporting requirements.

Events after the reporting date:

On 15 March 2020 the President declared a national state of disaster in South Africa in terms of the Disaster Management Act, 2002, following the declaration of the global COVID-19 pandemic by the World Health Organisation. Government had to act swiftly to minimise the economic impact of the pandemic, this implied a redirection of resources.

On the 21st of April 2020 the President announced a R500 billion fiscal support package that included spending towards COVID-19 priorities. On 30 April National Treasury published on the "Economic Measures for COVID-19", outlining an R500bn response, as well as identifying the funding sources for the package. Part of the funding sources for this package is a R130 billion baseline reprioritisation in the 2020/21 financial year.

A Special Adjustment Budget was introduced to modify the 2020/21 budget to utilise current baseline allocations to provide for the rapidly changing economic conditions and enable spending on the COVID-19 response. A revised fiscal framework was presented to account for the substantial revenue losses were expected to emanate from the economic shock of the pandemic and subsequent lockdown.

The lockdown commenced from 27 March to 16 April 2020 for 21 days and was further extended to 30 April 2020. President Ramaphosa on the 23 April 2020 introduced the approach that determines the measures required on the direction of the pandemic. In this approach five COVID-19 alert levels were introduced in line with the risk adjusted strategy, resulting in the lockdown being reduced to level four effective from 1 May 2020, then to level three from 1 June 2020.

Certain actions were taken by the Department in this lockdown period to ensure that the EPWP workers were not unduly impacted by the lockdown as well as service providers where relief could be requested if relief was not obtained elsewhere such as UIF Temporary Relief Scheme.

The Department and the DBSA have concluded an agreement in respect of the operations of the Green Fund, and as of 1 April 2020, the DBSA will take accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department.

Other:

None.

Acknowledgements and conclusion

I wish to express my appreciation to the Minister and the Deputy Minister for their support and leadership. I am grateful to all our employees of the department for their hard work and ongoing commitment which enables the organisation to make significant progress and achieve our objectives and planned targets.

I am also grateful for the support and contribution of the many partners and stakeholders in the public service and in the different sectors of our society with whom we work together. In the current economic climate, partnerships and collaborations are very critical elements in enabling all of us to share knowledge and maximise the use of resources in order to achieve our common objectives and long term goals of protecting our environment and preserving our natural assets and heritage.



Mr. Ishaam Abader

ACTING DIRECTOR-GENERAL

Date: 23 December 2020

6. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the Annual Report are consistent.

The Annual Report is complete, accurate and is free from any omissions.

The Annual Report has been prepared in accordance with the guidelines on the Annual Report as issued by the National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the Annual Financial Statements and for the judgments made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the Annual Financial Statements.

The external auditors are engaged to express an independent opinion on the Annual Financial Statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the Department for the financial year ended 31 March 2020.

Yours faithfully



Mr. Ishaam Abader

ACTING DIRECTOR-GENERAL

Date: 23 December 2020

7. STRATEGIC OVERVIEW

VISION

A prosperous and equitable society living in harmony with our natural resources.

MISSION

Providing leadership in environmental management, conservation and protection towards sustainability for the benefit of South Africans and the global community.

DEPARTMENTAL VALUES

We are driven by our Passion as custodians and ambassadors of the environment, we have to be Proactive and foster Innovative thinking and solutions to environmental management premised on a People centric approach that recognises the centrality of Batho-Pele, for it is when we put our people first that we will serve with Integrity, an important ingredient for high Performance driven organisation such as ours.

8. LEGISLATIVE AND OTHER MANDATES

The mandate and core business of the Department of Environmental Affairs is underpinned by the Constitution of the Republic of South Africa and all other relevant legislation and policies applicable to the government, including the Batho Pele White Paper. In addressing the mandate for sound environmental management, the following policies, legislation and regulations have been enacted to give effect to the constitutional environmental rights of all South Africans in its Section 24, which provides a specific definition of the term sustainable development, namely that:

Everyone has the right to an environment that is not harmful to their health or well-being; and to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that:

- i. Prevent pollution and ecological degradation;
- ii. Promote conservation; and
- iii. Secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.

In the context of this constitutional definition, the policies, legislation and regulations have been enacted in the form of overarching and enabling Integrated Environmental Management legislation which provides for subsidiary issue specific legislation on biodiversity and heritage resources; oceans and coasts; climate change and air quality management; and waste and chemicals management. There have not been any relevant court rulings that had a significant ongoing impact on the mandate of the department and service delivery obligations.

INTEGRATED ENVIRONMENTAL MANAGEMENT

Policies

- White Paper on Environmental Management, 1998.
- National Framework Strategy for Sustainable Development, 2009.
- National Strategy for Sustainable Development 1, 2011.

Acts of Parliament

The National Environmental Management Act (NEMA), 1998, (Act No. 107 of 1998), which establishes the concepts of participatory, cooperative and developmental governance in environmental management. It establishes principles for environmental management and provides for structures to facilitate these.

The National Environmental Management Amendment Act, 2003 (Act No. 46 of 2003), which deals with compliance and enforcement and provides for Environmental Management Inspectors (EMIs). The National Environmental Management Amendment Act, 2004 (Act No. 8 of 2004), which streamlines the process of regulating and administering the impact assessment process. Chapter 5 of the act lays down procedures with which the Minister or MEC, as the case may be, must comply before listing or delisting an activity.

The National Environment Laws Amendment Act, 2008 (Act No. 44 of 2008), which amends the National Environmental Management Act, 1998, so as to clarify any uncertainty in the act; authorises the Minister of Water Affairs and Forestry to designate persons as environmental management inspectors; provides for environmental management inspectors to be regarded as peace officers as contemplated in the Criminal Procedure Act, 1977; and amends the National Environmental Management: Air Quality Act, 2004, so as to substitute Schedule 1 of that act.

The National Environmental Management Amendment Act, 2008 (Act No. 62 of 2008), which empowers the Minister of Minerals and Energy to implement environmental matters in terms of the National Environmental Management Act, 1998, in so far as it relates to prospecting, mining, exploration or related activities; aligns environmental requirements in the Mineral and Petroleum Resources Development Act (MPRDA), Act 28 2002, with NEMA (1998), by providing for the use of one environmental system and by providing for environmental management programmes; and further regulates environmental authorisations.

The National Environment Laws Amendment Act, 2009 (Act No. 14 of 2009), which amends the Atmospheric Pollution Prevention Act, 1965, so as to adjust the penalties provided for in the said act, the Environment Conservation Act, 1989, so as to adjust the penalties provided for in the said act, the National Environmental Management: Air Quality Act, 2004, so as to provide for a processing fee to review a licence, and to include directors or senior managers in a juristic person for the criteria for a fit and proper person.

BIODIVERSITY AND HERITAGE RESOURCES

Policies

- White paper on conservation and sustainable use of biodiversity, 1997.

Acts of Parliament

The World Heritage Convention Act, 1999 (Act No. 49 of 1999), which provides for the cultural and environmental protection and sustainable development of, and related activities in a world heritage site.

The National Environmental Management: Protected Areas Amendment Act, 2009 (Act 15 of 2009), which provides for the assignment of national parks, special parks and heritage sites to South African National Parks; makes provision for flight corridors and permission of the management authority to fly over a special national park, national park or heritage site; and provides for the winding up and dissolution of South African National Parks.

The National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004), which significantly reforms South Africa's laws regulating biodiversity. It sets out the mechanisms for managing and conserving South Africa's biodiversity and its components; protecting species and ecosystems that warrant national protection; the sustainable use of indigenous biological resources; the fair and equitable sharing of benefits arising from bioprospecting, including indigenous biological resources; and the establishment of the South African National Biodiversity Institute.

National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003), which provides for the protection and conservation of ecologically viable areas. It further provides for the establishment of a national register of protected areas and the proclamation and management of these areas.

The National Environmental Management: Protected Areas Amendment Act, 2004 (Act No. 31 of 2004), which provides for a national system of protected areas in South Africa as part of a strategy to manage and conserve the country's biodiversity. A significant part of this act is that the state is appointed as the trustee of protected areas in the country.

OCEANS AND COASTAL ENVIRONMENTAL MANAGEMENT

Policies

White Paper for Sustainable Coastal Development in South Africa, 2000

Acts of Parliament

The National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008), which establishes a system of integrated coastal and estuarine management in the Republic; ensures that development and the use of natural resources within the coastal zone is socially and economically justifiable and ecologically sustainable; determines the responsibilities of organs of state in relation to coastal areas; controls dumping at sea and pollution in the coastal zone; and gives effect to South Africa's international obligations in relation to coastal matters.

Sea Shores Act, 1935, (Act No. 21 of 1935), which declares the President to be the owner of the seashore and the sea within South Africa's territorial water and regulate the granting of rights and alienation thereof.

Sea Birds and Seals Protection Act, 1973 (Act No. 46 of 1973), which provides for control over certain islands and rocks for the protection and conservation of seabirds and seals.

Dumping at Sea Control Act, 1980 (Act No. 73 of 1980), which regulates the control of dumping substances at sea.

Sea Fishery Act, 1988 (Act No. 12 of 1988), most of the powers in terms of this Act were transferred to the Minister of Agriculture, Forestry and Fisheries. The Minister only retains powers in terms of section 38 of the Act.

Antartic Treaties Act, 1996 (Act No. 60 of 1996), which provides for the implementation of certain treaties relating to Antarctica. The treaty is primarily concerned with the regulation of activities in Antarctica, including territorial claims, research and strict environmental protection in general and the protection of certain identified species such as seals.

Marine Living Resources Act, 1998 (Act No. 18 of 1998), which deals with the long-term sustainable utilisation of marine living resources. Most of the powers and functions in terms of this Act were transferred to the Minister of Agriculture Forestry and Fisheries. The Minister of Water and Environmental Affairs only retained functions pertaining to the Marine Protected Areas, regulatory powers that relates to the protection of the marine environment.

Prince Edward Islands Act, 1948 (Act No. 43 of 1948), which provides for the confirmation of the annexation to the Union of South Africa of the Prince Edward Islands, and for the administration, government and control of the said islands.

CLIMATE CHANGE AND AIR QUALITY MANAGEMENT

Policies

White Paper on National Climate Change Response, 2011.

Acts of Parliament

The National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004), which reforms the law regulating air quality in order to protect the environment by providing reasonable measures for the prevention of pollution and ecological degradation and for securing ecologically sustainable development; and provides for national norms and standards regulating air quality monitoring.

South African Weather Service Act, 2001 (Act No. 8 of 2001), which established the South African Weather Service; determined its objects, functions and method of work, the manner in which it is to be managed. It governs and regulates its staff matters and financial affairs.

CHEMICALS AND WASTE MANAGEMENT

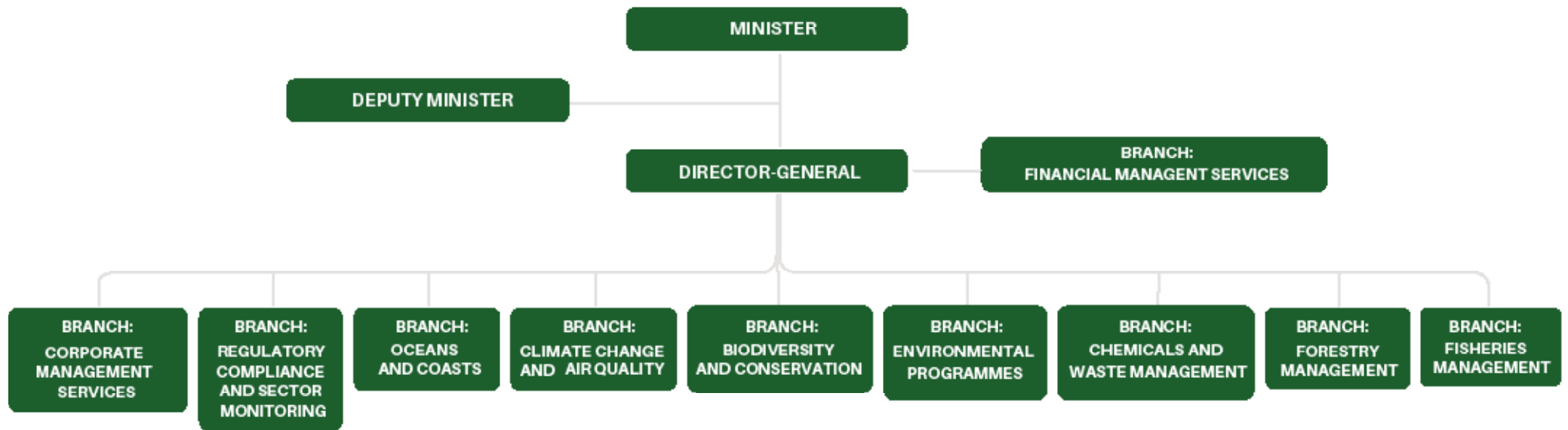
Policies

White Paper on Integrated Pollution and Waste Management, 2000.





Acts of Parliament

The National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008), which reforms the law regulating waste management in order to protect health and the environment by providing reasonable measures for the prevention of pollution; provides for national norms and standards for regulating the management of waste by all spheres of government; and provides for the licensing and control of waste management activities.

9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

NAME OF ENTITY	LOGOS	LEGISLATIVE MANDATE	FINANCIAL RELATIONSHIP	NATURE OF OPERATIONS
South African National Biodiversity Institute (SANBI)		SANBI was established in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004)	Transfer payment	The mandate of SANBI is to play a leading role in South Africa's national commitment to biodiversity management. In partnership with the Department of Environmental Affairs and the biodiversity sector, SANBI is tasked to lead the biodiversity research agenda; provide knowledge and information; give policy support and advice; manage gardens as windows to our biodiversity for leisure, enjoyment, spiritual upliftment and education; and engage in ecosystem restoration and rehabilitation programmes and best-practice models to manage biodiversity better
iSimangaliso Wetland Park Authority		iSimangaliso Wetland Park ("Park") was inscribed as South Africa's first world heritage site in December 1999 as an area of exceptional and outstanding universal heritage significance	Transfer payment	The iSimangaliso Wetland Park Authority in recognition of its exceptional natural beauty and unique global values, is responsible for managing the affairs of the Park. The 332 000 hectare Park contains three major lake systems, eight interlinking ecosystems, 700 year old fishing traditions, most of South Africa's remaining swamp forests, Africa's largest estuarine system, 526 bird species and 25 000 year-old coastal dunes – among the highest in the world. iSimangaliso also contains four wetlands of international importance under the Ramsar Convention
South African Weather Service (SAWS)		SAWS was established in terms of the South African Weather Service Act, 2001 (Act No. 8 of 2001)	Transfer payment	The mandate of SAWS is to provide useful and innovative weather, climate and related products and services for all South African and the African Continent through the following: Enhancing observational data and communications networks; Effectively developing and managing talent within the sector; Enhancing collaborative partnerships and effectively disseminating weather services products to the users; Utilising cutting edge technology to convert data into meaningful products and services for risk mitigation; Advancing the science of meteorology, research and relevant applications; and enhancing fiscal discipline and resource mobilisation to ensure sustainability
South African National Parks (SANParks)		SANParks was established in terms of the National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003)	Transfer payment	The mandate of SANParks is to oversee the conservation of South Africa's biodiversity, landscapes and associated heritage assets through a system of national parks. The core areas which provide a fundamental basis of the SANParks' mandate are; conservation management through the national parks system, constituency building and people focused eco-tourism management



PART B

AUDITOR-GENERAL'S
REPORT ON PREDETERMINED
OBJECTIVES

PART B: 1. AUDITOR-GENERAL'S REPORT ON PREDETERMINED OBJECTIVES

REPORT ON THE AUDIT OF THE ANNUAL PERFORMANCE REPORT

Introduction and scope

1. In accordance with the Public Audit Act of South Africa 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
2. My procedures address the usefulness and reliability of the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures also do not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
3. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the annual performance report of the department for the year ended 30 March 2020:

PROGRAMMES	PAGES IN ANNUAL PERFORMANCE REPORT
Programme 5: Biodiversity and conservation	37 – 39

4. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
5. The material findings in respect of the usefulness and reliability of the selected programme are as follows:

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

Number of hectares of state managed protected area assessed with a METT score above 67%

6. The indicator and target approved in the strategic plan was percentage of area of state managed protected areas assessed with a METT score above 67%. However, the indicator and target reported in the annual performance report was the number of hectares of state managed protected area assessed with a METT score above 67% only.
7. The achievement for the target of 5 764 346 ha of state managed protected areas assessed with a METT score above 67% reported in the annual performance report was 6 557 640 ha. However, the approved METT assessment reports did not agree to the reported achievement and indicated an achievement of 5 185 103 ha. This was due to the inconsistencies in the internal control environment that was required to ensure that METT assessment reports contain the approval of responsible officials of the respective Management Authorities.

Other matters

8. I draw attention to the matters below.

ACHIEVEMENT OF PLANNED TARGETS

9. Refer to the annual performance report on pages 24 to 44 for information on the achievement of planned targets for the year and explanations provided for the achievement of a number of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraph 24 to 25 of this report.

ADJUSTMENT OF MATERIAL MISSTATEMENTS

I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were in the reported performance information of Programme 5 Biodiversity and Conservation. As management subsequently corrected only some of the misstatements, I raised material findings on the usefulness and reliability of the reported performance information. Those that were not corrected are reported above.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

SERVICE DELIVERY ENVIRONMENT

The prevailing economic challenges and the resulting fiscal constraints that the Government continues to experience has an on-going impact in funding the operations of DEA. These challenges require that the Department be more efficient and find innovative ways to deliver on its approved plans and constitutional mandate. The Department has during the financial year under review had to review its existing personnel structure. These resulted in a significant reduction of the number of posts/personnel allocation as it was necessary to abolish some of the vacant posts on the approved establishment and to reprioritise other posts as new vacancies arise. The objective is to make sure that the Department operates within the allocated personnel funds and to accommodate the reduction in the allocation from the National Treasury. The focus is on prioritising critical human resources capacity required for the execution of the core mandate of the DEA and ensuring that we operate with the minimum required capacity for support and general administrative functions. The Department is also working on building and strengthening partnerships with different sectors of society and key stakeholders, including international donor organisation. These collaborations and partnerships are aimed at ensuring that in addition to working together towards common goals, we also ensure that the funding of environment and sustainable development programmes and intervention is not only reliant on public funds but other funding models are explored and optimised.

2019/20 MAIN SERVICES AND STANDARDS

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT (2019/20)
Legitimate invoices from suppliers paid within prescribed timeframes (30 days)	Members of the public	100% (32 017/32 017) of legitimate invoices from suppliers paid with 30 days	100%	99.8% (26 119/26 162) of legitimate invoices from suppliers paid with 30 days
Responding to Parliamentary questions and requirements on time	Members of Parliament	96% (101/105) of parliamentary questions and requirements responded to within the timeframes	100%	93% (114/112) of parliamentary questions and requirements responded to within timeframes
Responding to complaints and incidents on time	Members of the public	96% (177/185) of DEA environmental complaints and incidents responded to in accordance with the set timeframe	95%	96% (196/204) of DEA environmental complaints and incidents responded to in accordance with the set timeframe
Issuing of Environmental Authorisations	<ul style="list-style-type: none"> • Developers • Environmental assessment practitioners • Industry • Interested and affected parties 	95% (160/168) of EIA applications finalised within timeframes	100%	97% (124/128) of EIA applications finalised within timeframes
Issuing of Marine Research Permits	Industry/ Government Entities	100% (86/86) of Marine Research Permits issued within timeframe	100%	100% (68/68) of Marine Research Permits issued within timeframe
Issuing of Off-road Vehicle permits (ORV)	Researchers working on protected species and within Marine Protected Areas (MPA's)	100% (29/29) of Off-road Vehicle permits issued within timeframe	100%	100% (29/29) of Off-road Vehicle permits issued within timeframe

SERVICE DELIVERY IMPROVEMENT PLAN

As required in terms of Part III.C.1-2 of the Public Service Regulations, the Department has a comprehensive Service Delivery Improvement Programme which is made up of an approved Service Delivery Charter and Service Delivery Improvement Plan (SDIP) supported by a service standard matrix that focuses on improving governance within the department. The Service Charter is placed at the entrance points in the department. The charter seeks to emphasis our commitment to serving the general public with humility, in line with the

government principles of "Batho Pele", which amongst others include exercising courtesy in our dealings with the public, consultation, openness and transparency, access to information and proving value for public resources. A system or mechanism for lodging any complaints relating to the work of the Department is also outlined in the Charter. Compliance with approved service standards is monitored internally on a quarterly basis and reported to Parliament and the general public in the Department's Annual Report.

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT (2019/20)
Issuing of dumping permits	Applicants	40% (4/10) of dumping permits issued within timeframe	100%	100% (9/9) of dumping permits issued within timeframe
Issuing of permits for activities within marine protected areas	Applicants (film producers/scuba operators/research organisations)	95% (138/146) of permits issued within timeframe	100%	97% (157/162) of permits issued within timeframe
Issuing of Atmospheric Emission Licences	Applicants	100% (8/8) Atmospheric Emission Licenses with complete applications processed and issued within legislated timeframes	90%	100% (9/9) of Atmospheric Emission Licences with complete applications processed and issued within legislated timeframes
Issuing of GMO applications	<ul style="list-style-type: none"> • Traders • Exporters or importers of GMO listed Species • Scientific Institutions • Government Departments and Parastatals 	100% (24/24) of received GMO permits applications assessed for environmental compliance within prescribed time frame	100%	76% (26/34) of received GMO permits applications assessed for environmental compliance within prescribed time frame
Issuing of CITES decisions	<ul style="list-style-type: none"> • Traders • Exporters or importers of CITES listed species • Scientific Institutions • Government Departments and Parastatals 	100% (38/38) of received CITES applications assessed and permits issued within prescribed timeframe	100%	100% (31/31) of received CITES applications assessed and decision issued within prescribed timeframe
Issuing of TOPS decisions	<ul style="list-style-type: none"> • Traders • Exporters or importers of TOPS listed species • Scientific Institutions • Government Departments and Parastatals 	76% (81/107) of received TOPS applications assessed and permits issued within prescribed timeframe	100%	100% (85/85) of received TOPS applications assessed and decision issued within prescribed timeframe
Issuing of Waste Management Licences	Applicants	100% (21/21) Waste Management Licences were issued within legislated timeframes	85%	100% (29/29) of Waste Management Licences were issued within legislated timeframes
Issuing of Remediation orders	Applicants	87% (47/54) Remediation Orders were issued within timeframes	80%	92% (23/25) of Remediation Orders were issued within timeframes
Endorsing of Provincial IWMPs within set timeframe	Provincial authorities	N/A	100%	No IWMPs submitted for review

NOTE: The reported performance progress on the 2018/19 service delivery improvement plan does not form part of the audited performance information by the Auditor-General of South Africa (AGSA). The reported progress is as reviewed and verified by Management.

BATHO PELE ARRANGEMENTS WITH BENEFICIARIES (CONSULTATION ACCESS ETC)

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
Regular stakeholder consultation events on work of DEA	Maintain current arrangements	14 Events facilitated.
Media relations programme		A total of 118 media statements and speeches issued.
Facilitation of environmental campaigns		4 Environmental awareness campaigns implemented.

SERVICE DELIVERY INFORMATION TOOL

CURRENT/ACTUAL INFORMATION TOOLS	DESIRED INFORMATION TOOLS	ACTUAL ACHIEVEMENTS
DEA Website (www.environment.gov.za) DEA Call Centre (086 111 2468) Information centre (walk-in centre) Use of regional and national media (print media, radio and television) Capacity to respond to media queries	Maintain use of current tools	A total of 118 media statements and speeches issued. issued. A total of 9 opinion pieces were published. 14 Events facilitated including Ministerial events. 4 Stakeholder publications were produced and published (Environment Quarterly). A total of 90% of media queries finalised. A total of 176 media queries were received, of which 159 were completed while 17 are incomplete. The total number of visitors to the Information Centre amounted to 23 255.

COMPLAINTS MECHANISM

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
Regular stakeholder consultation events on work of DEA Facilitation of regular environmental campaigns	Maintain current mechanisms	14 Events facilitated including Ministerial events. 4 Environmental awareness campaigns implemented. 100% of queries were resolved as per service delivery standards. A total number of visitors to the Information Centre in this period amounted to 23 255. 99% of matters received and responded to through the Call Centre were resolved within the set service standard of 48 hours. A total of 2 279 requests were received in the Call Centre which consists of 778 calls and 1 501 e-mails.

ORGANISATIONAL ENVIRONMENT

The Department is committed to good corporate governance, accountability and implementation of effective and best management practices. These are important elements in ensuring that we carry out our constitutional mandate in line with good corporate values and principles, and we maintain the confidence and trust of South Africans and all our stakeholders. The Department consistently improves the integrity and reliability of internal systems and processes on the basis of lessons learnt from the outcomes and findings of the Management Performance Assessment Tool (MPAT), an initiative of the Department of Performance Monitoring and Evaluation in the Presidency, as well as internal and external audits.

KEY POLICY DEVELOPMENTS AND LEGISLATIVE CHANGES

No new key policy developments or legislative changes were made during this reporting period.

3. STRATEGIC OUTCOME ORIENTED GOALS

STRATEGIC GOALS / OUTCOMES	GOALS /OUTCOME STATEMENT
Environmental Economic Contribution Optimised	Facilitate sustainable socio- economic growth and development by catalysing, optimising and scaling up the contribution of the environmental sector to economic prosperity contributing to an environmentally sustainable, low-carbon economy as a result of a well-managed just transition. Managing the transition will require strong institutional and governance mechanisms that create an enabling environment for stakeholders to contribute to the transition.
Environmental/ Ecological Integrity Safeguarded and Enhanced	Provide leadership in promoting and ensuring environmental sustainability through the management, utilisation, conservation, protection and valuing of our natural resources including management of threats to environmental integrity (climate change, waste and chemicals, atmospheric pollution and alien invasive). Ecosystems will be sustained through an increase in the conservation estate, the protection of biomes and endangered species, rehabilitation and restoration of degraded land and ecosystems as well as through sustainable exploitation of natural resources. The desired outcomes include a reduction in impacts of climate change, risk mitigation through appropriate disaster responses and the deployment of innovative technologies that combat the effects of climate change. The NDP also recognizes that the actions related to adaptation will depend on strong policies supported by a sound technical understanding and operational capacity to deal with developmental challenges.
Socially Transformed and Transitioned Communities	Facilitate sustainable socio- economic growth and development by optimising and scaling up the contribution of the environmental sector to economic prosperity. Contributing to an environmentally sustainable, low-carbon economy as a result of a well-managed just transition. Managing the transition will require strong institutional and governance mechanisms that create an enabling environment for stakeholders to contribute to the transition
Global Agenda Influenced and Obligations Met	Enhance regional and international cooperation supportive of South African environmental / sustainable development priorities and influence the global environmental agenda. Ensuring adherence to international governance and regulatory frameworks, instruments and agreements while delivering on national and regional imperatives for South Africa and the global community.
A Capable and Efficient Department	Improve departmental service delivery capacity and capabilities through creation of a harmonious and conducive working environment and provision of delivery platforms such as ICT infrastructure and services; development and implementation of an effective Human Resource strategy to attract, develop and retain a skilled, transformed and diverse workforce that performs in line with the DEA Culture and Values; aligning and transforming our business processes and systems to support strategy execution, and sound corporate governance thereby optimising efficiencies and strategic agility.

4. PERFORMANCE INFORMATION BY PROGRAMME

STRATEGIC OBJECTIVES, PERFORMANCE INDICATORS, PLANNED TARGETS AND ACTUAL ACHIEVEMENTS

PROGRAMME 1: ADMINISTRATION

The purpose of the Programme is to provide strategic leadership, management and support services to the department. The programme is made-up of four subprogrammes which are as follows: (1) Management (2) Corporate Management Services (3) Financial Management Services (4) Office Accommodation

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Sound corporate governance	External audit opinion	Qualified audit opinion for 2016/17	Unqualified external audit opinion	Qualified external audit opinion on the Department's financial Statements and audit findings on annual performance information for 2018/19 financial year	Material audit findings on the Department's financial statements	Management will developed and implemented a comprehensive audit action plan to address all audit findings and improved internal controls
	Percentage expenditure	96% (6 590 137/6 848 214)	98 %	98% (7 358 154/7 483 671) expenditure spent	None	None
	Percentage of expenditure on affirmative procurement	92% (1 459 553 029.76/1 588 070 783.21)	65 %	75% (1 627 214/2 167 145) expenditure spent on affirmative procurement	None	None
Value focused funding and resourcing (leveraged public and private sector investments)	Financial value of resources raised from international donors to support SA and African environment programmes	Total Resources Mobilised USD 121 208 692	US\$ 20 million raised	US\$ 65 138 427.9 raised	Planned target exceeded by US\$ 45 138 427. Exceeding the target did not have any impact on resources earmarked for other priorities as the work is coordinated through existing internal human resource capacity. Mobilisation of more funds than estimated is a desired performance outcome.	None
	Number of investor projects funded	1 project in the Transfrontier Conservation Area (TFCA investment catalogue funded in the Lubombo TFCA. Construction on the Milibangala site in the Maputo Special Reserve has started	2 projects in the TFCA investment catalogue funded	Tourism investment opportunities for Bokong Chalets and Sehlabathebe Lodge from Lesotho side of Maluti Drakensberg Transfronteer Park were promoted to 14 potential tourism investors. Proposals for the two cconcessions were received from inventors. The proposals have been evaluated by Lesotho's Ministry of Tourism, Environment and Culture and this process is being finalised by the Governmemnt of the Kingdom of Lesotho.	The Department is largely in control of promoting projects to investor and process of evaluating and deciding on proposals in led by the host country on which the projects are located	The department will continue with promoting other projects using diverse promotion platforms.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Adequate, appropriately skilled, transformed and diverse workforce	Percentage vacancy rate	7.6% Vacancy rate (139/1823*100)	8%	Vacancy rate is at 12.3% (222/ 1 812) at 31 March 2020	Efforts to reduce vacancy rate is being off-set by higher number of appointments which are internal promotions (32) and high turnover rate (71) since April 2019.	Fast track filling of vacancies.
	Number of Human Resource Development interventions implemented	2 HRD Interventions implemented as follows: 102 Interns appointed	2 Interventions implemented: 100 Interns recruited	126 graduates appointed on a two year internship programme for the 2018/19 and 2019/20 financial years (two years as per DPSA Directive)	None	None
		30 fulltime bursary and 48 part time Bursary issued	70 bursaries issued	55 part time and 30 full time bursaries issued	Planned target exceeded with a slight margin/variance with no impact on the resources earmarked for other priorities	None
	Percentage compliance to the Employment Equity targets	43% Women SMS (70/164*100)	50 % Women in SMS	43% (68/158) Women in SMS	Limited vacancies at SMS level during financial year to enable achievement of the planned annual target of 50% women in SMS.	Prioritise filling of SMS vacancies with female candidates.
		2.9% (48/1 684*100)	2 % People with disabilities	2.9% (46/1598)	Planned target exceeded with a slight margin of 0.9 % with no impact on the resources earmarked for other priorities	None
Efficient and Effective Information Technology service	Information Communication and Technology Systems developed and implemented	N/A	3 modules of Coordinated and Integrated Permitting System tested	2 of 3 Modules tested (CITES + TOPS) - BABS use-case document revised.	BABS use-case document revised, but process has been slow due to initial incorrect capturing of the specifications by the developer.	Fast-track the testing and roll-out of permit modules.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES	
Improved profile, support and enhanced capacity for the environment sector	Number of events including Ministerial Public Participation Programme (PPP) hosted	16 events were hosted	14 events hosted	A total of 14 Ministerial Public Participation Programme (PPP) hosted.	None	None	
	Number of environment awareness campaigns conducted	4 environmental awareness campaigns implemented: <ul style="list-style-type: none"> · Waste Management · Awareness Campaign · Climate Change Awareness Campaign · Rhino Anti-Poaching awareness · Marine Awareness Campaign 	4 environmental awareness campaigns implemented: <ul style="list-style-type: none"> · Air Quality Management · Biodiversity Economy · Climate Change · Good Green Deeds 	4 environmental awareness campaigns implemented across 4 thematic areas: <ul style="list-style-type: none"> · Air Quality Management: 8 activities conducted. · Biodiversity Economy: 9 activities conducted. · Climate Change: 4 activities conducted. · Good Green Deeds: 22 activities conducted. 	None	None	
	Number of beneficiaries benefiting from Environmental Capacity Development Programmes	100 youth people recruited in the Environmental Learnership Programme	100 youth people recruited in the Environmental Learnership Programme	2 interventions: 100 students placed in Work Integrated Learning Programme	100 students placed in Work Integrated Learning Programme	None	None
		110 teachers trained through Fundisa for Change Programme	110 teachers trained through Fundisa for Change Programme	Training of Trainer (ToT) CAPS Programme Developed	Draft Training of Trainer CAPS programme developed and is awaiting endorsement by sector partners.	A scheduled stakeholder meeting for the 27th March 2020 to endorse the programme was postponed due to national lockdown following the outbreak of Covid-19.	An online meeting to finalised the programme with sector partners is scheduled to take place in the first quarter of 2020/21.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Effective Partnership, Cooperative Governance and Local Government support	Number of local government Support interventions implemented	100% (14/14) of annual action plan for Local Government Support Strategy were implemented	2 interventions: Environmental priorities incorporated in IDPs of 9 district municipalities	Environmental priorities incorporated in IDPs of 12 district municipalities: <ul style="list-style-type: none"> · Capricorn · West Rand · Ngaka Modiri Molema · Lejwaleputswa · Nkangala · Ehlanzeni · Amathole · Mopani · Waterberg · Chris Hani · King Cetshwayo · Ugu 	Planned target exceeded by four additional district municipalities. This was due to good levels of corporation from the different municipalities.	None
			Wetlands rehabilitation plans for 2 municipalities developed	Wetland rehabilitation plans for plans for 2 municipalities developed: <ul style="list-style-type: none"> · Bojanala DM (Borakaralo Catchment Area) · Overstrand LM (Onrus Catchment Area) 	None	None

SUMMARY OF PROGRAMME PERFORMANCE: ADMINISTRATION

Summary of Programme Performance: The Annual Performance Plan of Programme 1 for the 2019/20 financial year had a total of 17 annual targets. Eleven (11) of these planned 17 targets were achieved (65%) and six (6) targets were partially achieved (35%).

PROGRAMME 2: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT

The purpose of the Programme Promote the environment legal regime and licensing system to ensure enforcement and compliance with environmental law. The programme is made-up of six sub-programmes which are: (1) Legal, Authorisations, Compliance and Enforcement Management (2) Compliance Monitoring (3) Integrated Environmental Authorisations (4) Enforcement (5) Corporate Legal Support and Litigation (6) Law Reform and Appeals

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved compliance with environmental legislation	Percentage of administrative enforcement instructions compiled with	72% (182.5 / 252) of administrative enforcement actions resulting in compliance	75%	Annual average compliance with administrative enforcement actions of 53%: Pre-compliance notices: 62% (171 of 274) pre-compliance notices were responded to (representations submitted to the Department) and in other cases a follow-up inspections confirmed that recipients had responded positively to the issues raised in the notice. Final compliance notices: 43% (9 of 21) compliance.	Planned target missed. Performance indicator measures compliance by industry and the level of performance is not within the control of the Department.	None.
	Number of administrative enforcement notices issued for non-compliance with environmental legislation	228 administrative enforcement notices issued for non compliance with environmental legislation	220	234 administrative enforcement notices issued for non-compliance with environmental legislation.	Planned target exceeded with 14 notices with no impact on the resources earmarked for other priorities as the work is done with available internal human resources.	None.
	Number of criminal cases finalised and dockets handed over to the NPA for prosecution	50 cases were handed over to the NPA	46	56 environmental management criminal case handed to the National Prosecuting Authority.	Planned target exceeded with 10 criminal cases. There was no impact on the resources earmarked for other priorities as the work is done with available internal human resources	None.
	Integrated strategy for management of Rhino population developed and implemented	Rhino Lab Action Plan implemented: Key interventions implemented in 2017/18 focusing on five areas.	Annual action plan for protection and management of Rhino populations implemented	Annual action plan for protection and management of Rhino populations implemented. Key interventions implemented in 2019/20 focusing on the following five identified areas of the Rhino Implementation Plan as per the Rhino Lab Outcomes: <ul style="list-style-type: none"> • Management of the rhino population • Demand Management • Community Empowerment • Responsive legislative framework • Law enforcement 	Remuneration structure could not be finalised due to low response rate from provinces.	Task team meeting planned for first quarter of 2020/21 to draft final report.

PROGRAMME 2: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government	Number of interventions for streamlining environmental authorisation/management developed	<ul style="list-style-type: none"> · Draft NEMA/SEMA alignment proposal document developed · Draft Minimum environmental requirements developed · Electricity Grid (SIP 10 Infrastructure EMPR finalised for gazetting for comments · Square Kilometre Array (SKA) management plan finalised 	3 interventions: SEA to locate strategic gas and electricity corridors finalised for gazetting	SEA to locate strategic gas and electricity corridors finalised for gazetting for public comment.	None	None
			SEA to identify renewable energy development zones for wind and solar in previously mined areas finalised	SEA to identify renewable energy development zones for wind and solar in previously mined areas finalised.	None	None
			Generic EMPs for the Working for Water, Wetlands and Land Care programme finalised for submission to gazette for public comments	Final draft of Generic EMPs for the Working for Water, Wetlands and Land Care programme circulated for comment which closed on 15th April 2020.	The project was delayed by three months due to poor performance of the contracted service provider.	The contractor was put on terms and the contract was renewed for 4 months to allow the work to be improved and to be finalised.
	Climate Change Regulatory Framework and tools developed and Implemented	National Climate Change Response Regulatory Framework presented to the Forum of SA Directors-Generals (FOSAD) Economic Sector, Employment, Infrastructure and Development (ESEID) Cluster	National Climate Change Response Bill submitted to Parliament for promulgation	Climate Change bill under discussion at NEDLAC.	NEDLAC process was delayed due to stakeholders having to address alignment of the carbon tax and carbon budget.	Fasttrack finalization of NEDLEC process.

PROGRAMME 2: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government	Legislative tools to ensure conservation and sustainable use of biodiversity developed and implemented	N/A	Draft assessment report compiled on policies matters related to the management, breeding, hunting, trade and handling of elephant, lion, leopard and rhinoceros	Draft assessment report compiled on policies matters related to the management, breeding, hunting, trade and handling of elephant, lion, leopard and rhinoceros.	None	None
	Number of chemicals and waste management instruments developed and implemented	Draft Policy finalised and recommended to Cabinet for approval to publish for public consultation/ comments	National Waste Management Strategy published for public comments	National Waste Management Strategy published for public comments	None	None

SUMMARY OF PROGRAMME PERFORMANCE: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 2 for the 2019/20 financial year had a total of ten (10) annual targets. Six (6) of the planned 10 targets were achieved (60%) and the remaining four (4) targets were partially achieved (40%).

PROGRAMME 3: OCEANS AND COASTS

The purpose of the Programme is to Promote, manage and provide strategic leadership on oceans and coastal conservation. The programme is made-up of five sub-programmes which are as follows: (1) Oceans and Coasts Management (2) Integrated Coastal Management and Coastal Conservation (3) Oceans and Coastal Research (4) Oceans Economy & Project Management (5) Specialist Monitoring Services

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	National Coastal Management Programme interventions implemented	Draft National Coastline Assessment baseline study report completed	National Coastal Assessment Report finalised	National Coastal Assessment Report finalised	None	None
	Ocean and Coastal Management measures and tools developed for effective coastal water quality management	Coastal Water quality guidelines were developed for the Natural Environmental and Mariculture	Coastal water quality guidelines developed for two end-user categories (natural environment and mariculture)	Thresholds were calculated for 2 priority areas (Algoa Bay and Saldanha Bay). A report was compiled recommending sites to be monitored for setting future thresholds	None	None
	Ocean and coastal management strategies and plans developed and implemented	Draft strategy presented at the Economic, Sectors, Employment and Infrastructure Development Cluster (ESEID), MINTECH and Global and Continental Affairs Committee (GCAC)	Draft Antarctic and Southern Ocean Strategy submitted to Cabinet for approval for public comments	Draft Antarctic and Southern Ocean Strategy submitted and approved by Cabinet on 12 March 2020 to be published for public comments.	None	None
		Marine Spatial Bill (MSP) was submitted to Parliament for approval	First sub-regional MSP plan submitted to Directors-General Committee for approval	Directors-General (DGs) Committee not established. The First sub-regional MSP plan was presented to a meeting of Director-Generals of the Economic Sectors, Employment and Infrastructure Development (ESEID) Cluster on 12 March 2020.	The Economic Sectors, Employment and Infrastructure Development (ESEID) Cluster of Directors-General was used as an alternative structure for processing on the MSP plan and creation of a separate DGs Committee was not pursued.	None
Enhanced sector monitoring and evaluation	Annual South African Oceans and Coasts Environment data report published	Annual Report Card on key Ocean and Coasts indicators compiled	Annual SA Ocean and Coasts Environment Data Report published	Annual South African Ocean and Coasts Environment Data Report Completed and ready for publication.	Delays in finalising the design and layout of the report for printing	The report is being finalised for publications.

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Enhanced sector monitoring and evaluation	Oceans and Coasts Monitoring and evaluation programme developed and implemented	National Oceans & Coasts Water Quality Monitoring Programme implemented in 17 priority areas for 3 Coastal provinces	Water quality monitoring programme implemented 17 priority sites in 4 Coastal Province (EC=5; KZN =5; WC=5; NC =2) and report compiled	Water quality monitoring programme implemented in 23 priority sites in 4 Coastal Province as follows: <ul style="list-style-type: none"> • Eastern Cape: Kowie, Swartkops, Buffalo, Qholora and Mzimvubu (5 priority sites). • Kwa-Zulu Natal: Mhlathuze (Richards Bay), Tugela, Mvoti, Sipingo, Mahlongwane and Nkongweni (6 priority sites). • Western Cape: Rooiels Estuary, Buffels Estuary, Palmiet Estuary, Kleinmond Lagoon/ Klein River, Bot Estuary, Onrus, Uilkraal and Vermont Slipway (8 priority sites). • Northern Cape: Orange River, Port Nolloth, Buffels Estuary and Hondeklip Baai (4 priority sites). 	Planned target exceeded due to the appointment of 34 monitors which increased the capacity to do the work.	None
	Number of Socio-Economic impact assessment studies of the Operation Phakisa Oceans Economy programme conducted	N/A	1 Socio-Economic impact assessment study conducted	1 Socio-Economic impact assessment study conducted: Economic analysis of the Total Ocean Sectors contribution to South African GDP and associated jobs created has been completed as part of the broader MOU with Nelson Mandela University and the Eastern Cape Oceans Economy project.	None	None
Strengthened knowledge, science and policy interface	Number of peer-reviewed scientific publications (including theses and research policy reports)	24 peer review publications have been produced	16 peer-reviewed scientific publications	20 peer-reviewed scientific publications (including theses and research policy reports)	Planned target exceeded with four publications. No resources earmarked for other priorities were used as the work is done using internal human resource capacity.	None
	Number of relief voyages to remote stations undertaken to SANAE, Gough and Marion	3 relief voyages undertaken	3 relief voyages undertaken (Marion islands , Gough, SANAE Voyage)	3 relief voyages undertaken (Marion islands , Gough, SANAE Voyage)	None	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Estuarine management strategy developed and implemented	1 Estuary Management Plan (EMP) finalised for approval	Amended National Estuarine Management Protocol gazetted for public comment (Phase 1 of the Estuarine Management Strategy)	Amended National Estuarine Management Protocol (NEMP) approved by Minister in March 2020 for publishing for public comments	The process was finalised late towards the end of the financial year due to prolonged consultation processes.	The NEMP will be published in the government gazett early in 2020/21.
	Number of Management Plans for declared Marine Protected Areas developed and approved	18 Marine Protected Areas not declared	4 draft management plans for declared MPAs developed	4 draft management plans for declared Management Plan developed for the Hluleka, Amathole, Child bank and Browns bank MPAs.	None	None

SUMMARY OF PROGRAMME PERFORMANCE: OCEANS AND COASTS

Summary of Programme Performance: The Annual Performance Plan of Programme 3 for the 2019/20 financial year had a total of 11 annual targets. Nine (9) of the planned 11 targets were achieved (82%). The remaining two (2) annual targets were partially achieved (18%).

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

The purpose of the Programme is to improve air and atmospheric quality; lead, support, inform, monitor and report on international, national and significant provincial and local responses to climate change; and promote sustainable development. The programme is made-up of eight sub-programmes which are as follows: (1) Climate Change, Air Quality and Sustainable Development Management (2) Climate Change Mitigation (3) Climate Change Adaptation (4) Air Quality Management (5) South African Weather Service (6) International Climate Change Relations and Negotiations (7) Climate Change Monitoring and Evaluation (8) Sustainable Development

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	National Framework for Climate Services developed and implemented	National Framework of Climate Services (NFCS) Annual plan has been implemented for 4 sectors	5 Climate Service Products developed	5 Climate Service Products developed: <ul style="list-style-type: none"> Revised State of the Climate Report World Meteorological Organization (WMO) extreme Climate indices Agricultural product: Heat Stress Indices for Livestock Climate Change Projections (Climate Change Reference Atlas) Impact Based Aviation products 	None	None
	National Climate Change Adaptation Strategy developed and implemented	Draft National Climate Change Adaptation Strategy finalised and on-route for submission to Minister	4 Adaptation Interventions Implemented	5 projects/interventions implemented through civil society organisation with the support from the Government of Flanders	Planned target exceeded with one additional intervention. There was no impact on resources earmarked for other priorities	None
	Number of sector mitigation potential and impact studies conducted	1 study conducted: Draft Mitigation Potential Analysis 2018 updated	1 study conducted: Mitigation Potential Analysis 2019 – Technical Summary developed	1 study conducted: Mitigation Potential Analysis 2019 – Technical Summary developed	None	None
	Number of Climate Change Response Policy interventions implemented	4 interventions implemented: <ul style="list-style-type: none"> Pollution Prevention Plans (PPPs) received from 33 companies but not processed within regulated timeframe 	2 interventions implemented: 90% of Annual Pollution Prevention Plan (PPP) processed in line with the regulations	100% (4/4) of Annual Pollution Prevention Plan (PPP) processed in line with the regulations	Planned target exceeded by 10%. A small number of plans received made it possible to process them speedily with available human resources.	None

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed		<ul style="list-style-type: none"> · A Report "Alternative Greenhouse Gas Emission Pathways for South Africa" has been developed and finalised · Draft Report of the GHG emission reduction potential of policies and measures (PAMS) has been produced · Draft Long-term Low GHG Emissions Development Strategy developed 	100% of carbon budgets applications processed and finalised within 6 weeks	100% (9/9) of Phase 1 carbon budgets applications processed and finalised within 6 weeks	None	None
	Number of air quality monitoring stations reporting to SAAQIS	120 government-owned air quality monitoring stations are reporting on SAAQIS	110 government owned air quality monitoring stations reporting to SAAQIS	76 government-owned stations reporting to SAAQIS.	Equipment failures as a result of numerous power outages/ load shedding and burglaries in some of the stations affected the performance of most instruments.	Additional security measures will implemented in the affected monitoring stations
Enhanced sector monitoring and evaluation	Framework for reporting on greenhouse gas emissions by industry developed and reports compiled/ published	Draft 2000 – 2015 GHG Inventory in place but not finalised. Independent review outstanding	2000 – 2017 GHG Inventory report finalised	2000-2017 GHG Inventory report finalised and ready for publication.	None	None
Effective Information and knowledge management for the sector	Number of environmental information and knowledge management systems developed and implemented	Web-based platform of the climate change M&E system developed	2 environmental management systems developed: Climate Change Information Management System (CCIMS) operational	Climate Change Information Management System (CCIMS) operational	None	None
		Oceans and Coasts Information Management system refined and 3rd set of decision support tool developed: <ul style="list-style-type: none"> · Harmful Algal Blooms (HABs) DST; · Integrated Vessel Tracking (IVT) DST; and · Search and Rescue DST (Coastal Operation Viewer 	Readiness to migrate Ocean and Coastal Information Management System (OCIMS) components to DEA platform evaluated	Migration of the Ocean and Coastal Information Management System core to Department platform evaluated and report compiled	None	None

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Enhanced international cooperation supportive of SA environmental / sustainable development priorities	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	13 position papers developed and approved:	12 positions approved:	1 Sustainable development Positions (HLPF)	None	None
		2 Sustainable Development	1 Sustainable development Positions (HLPF)			
		2 Climate change positions	2 Climate change positions:	2 Climate change positions developed:	None	None
			UNFCCC, IPCC	UNFCCC, IPCC		
		4 Biodiversity positions	5 Biodiversity positions approved: (UNCCD COP 14; World Heritage Convention to 43rd World Heritage Committee; IPBES7, CMS COP13, CITES)	5 Biodiversity positions approved:	None	None
				<ul style="list-style-type: none"> UNCCD COP 14 World Heritage Convention to 43rd World Heritage Committee IPBES7 CMS COP13 CITES 		
		5 Chemical/Waste Management positions	4 Chemical/ Waste Management positions	4 Chemical/ Waste Management positions developed for the following conventions:	None	None
			<ul style="list-style-type: none"> Basel Rotterdam Stockholm Montreal MOP 	<ul style="list-style-type: none"> Basel Rotterdam Stockholm Montreal MOP 		

SUMMARY OF PROGRAMME PERFORMANCE: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 4 for the 2019/20 financial year had a total of 13 annual targets. Twelve (12) of the planned 13 targets were achieved (92%). The remaining 1 target was partially achieved (8%).

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

The purpose of the Programme is to ensure the regulation and management of biodiversity, heritage and conservation matters in a manner that facilitates sustainable economic growth and development. The programme is made-up of eight sub-programmes which are as follows: (1) Biodiversity and Conservation Management (2) Biodiversity Planning and Management (3) Protected Areas Systems Management (4) iSimangaliso Wetland Park Authority (5) South African National Parks (6) South African National Biodiversity Institute (7) Biodiversity Monitoring Specialist Services (8) Biodiversity Economy and Sustainable Use

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Percentage of land under conservation	12.96% (15,797,120.74 / 121,909,000.00)	13.7% of land under conservation (16,732,468 / 121,991,200ha)	15.74% of land under conservation (19,209,923 ha / 121,991,200ha)	Planned target of 0.5% increase was exceeded by 1.54 % making it 2.04% (2,717,403 ha) of total increase. This constitutes 0.14%(170,579ha) expansion of land under conservation facilitated by the Department and the South African National Parks(139,157ha), by Provincial authorities (31,422 hectares) and 2,546,824 hectares of land which is part of the Gouritz Cluster Biosphere Reserve which was added on the register in 2019/20 following a verification process. The overall percentage and number of hectares achieved has been calculated by adding on what was the baseline progress of 13.2%(16,492,882) at the end of the 2018/19 financial year, the hectares declared in the reporting year and the carryover from the previous years after a through verification process.	None
	Number of hectares of state managed protected area assessed with a METT score above 67%	75% of area of state managed protected areas assessed with a METT score above 67%	5,764,346 hectares of area of state managed protected areas assessed with a METT score above 67%	6,557,640 of state managed protected areas assessed with a METT score above 67%.	Additional 793,294 hectares assessed by Management Authorities. The assessment is not conducted using resources of the Department.	None
	Number of interventions to ensure conservation and sustainable use of biodiversity developed and implemented	1 NAP priority implemented: National Land Degradation Neutrality target and indicators developed	2 NAP Priority interventions implemented: UNCCD drought initiative plan developed	UNCCD Drought Management Plan developed.	None	None
			Draft DLDD advocacy and awareness raising strategy developed	Draft DLDD advocacy and awareness raising strategy developed	None	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved access, fair and equitable sharing of benefits	Number of biodiversity economy initiatives implemented	3 biodiversity economy initiatives implemented: 500 ha land identified for cultivation of indigenous species across the country 294.52 ha of land has been cultivated in the regulated Sector	5 biodiversity economy initiatives implemented: 500 hectares of land for indigenous species identified and cultivated	855.5 ha identified for cultivation and 259.5 ha cultivated.	Delays with allocation of funding for planned projects.	Facilitate funding approval and prioritise project planning and implementation
		N/A	400 Biodiversity entrepreneurs trained	446 Biodiversity entrepreneurs trained	Planned annual target exceeded with 46 additional beneficiaries trained. No resources earmarked for other priorities were used.	None
		N/A	3 Biopanza initiatives implemented: National awareness workshop	National awareness workshop conducted.	None	None
			Market access model for the Bioprospecting/Biotrade sector conducted	Market access model for the Bioprospecting/Biotrade sector conducted	None	None
			Capacity building plan for industry associations developed	Capacity building plan for industry associations developed	None	None
		Draft game donation guidelines developed and submitted to MINMEC	500 Heads of Game for PDI's and communities secured	556 Heads of Game for PDI's and communities secured: <ul style="list-style-type: none"> • South African National Parks: 204 heads of game • Mpumalanga Tourism and Parks Agency: 18 heads of game • Eastern Cape Parks and Tourism Agency: 201 heads of game • Industry: 133 heads of game 	Planned annual target exceeded with 56 additional heads of game donated. This is as a result of the additional contribution of other partners in the programme.	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved access, fair and equitable sharing of benefits	Number of biodiversity economy initiatives implemented	16 National Biodiversity Economy Nodes approved	Interventions for Biodiversity Economy Nodes implemented:	Champions for 3 Biodiversity Economy Nodes appointed	None	None
			Champions for 3 Nodes appointed			
			Socio-economy impact studies for 3 Nodes conducted	Socio-economy impact studies for 3 Nodes conducted for the following Biodiversity Economy Nodes : <ul style="list-style-type: none"> · Great Fish Node · Drakensburg-Maloti Node (QwaQwa section) · Lekgalametsi Node 	None	None
			Operational plans for 3 Nodes developed	Operational Plans for the following Nodes developed: <ul style="list-style-type: none"> · Great Fish Node · Drakensburg-Maloti Node (QwaQwa section) · Lekgalametsi Node 	None	None

SUMMARY OF PROGRAMME PERFORMANCE: BIODIVERSITY AND CONSERVATION

Summary of Programme Performance: The Annual Performance Plan of Programme 5 for the 2019/20 financial year had a total of 13 annual targets. Twelve (12) of the planned 13 targets were achieved (92%) and the remaining 1 annual target was partially achieved (8%).

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

The purpose of the Programme is to implement the expanded public works programme and green economy projects in the environmental sector. The programme is made-up of Five (5) sub-programmes which are as follows: (1) Environmental Protection and Infrastructure Programme (2) Natural Resource Management (3) Green Fund (4) Environmental Programmes Management (5) Information Management and Sector Coordination.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved socio-economic benefits	Number of Full Time Equivalents (FTEs) created	28 343 of Full Time Equivalents (FTEs) were created	35 888	28 746 Full Time Equivalents (FTEs).	Late start of projects due to the adjustments to contracts to allow work/payment to proceed, and problems in the securing of necessary data for the Commitment Reports	Implementation of projects to continue and additional FTEs will be created in 2020/21 financial year.
	Number of Work Opportunities created	71 945 work opportunities created Women - 38 670 (53.75% of work opportunities)	67 953 Women: 37 374 (55% of work opportunities)	73 568 of Work Opportunities created Women 40 896 (55,59%) of work opportunities	Planned annual target exceeded by 5 615. No resources earmarked for other priorities were used.	None
	Number of youth benefiting from implementation of Environmental programmes	Youth - 47 052 (65.40% of work opportunities)	44 169 (65% of work opportunities created)	53 192 youth benefited from implementation of Environmental programmes	Planned annual target exceeded by 9 023 as more young people were recruited in the programmes.	None
	Number of accredited training participants declared competent	71 731 accredited training person days were created	14 036	11 453 accredited training participants declared competent.	Late start of projects due to the adjustments to contracts to allow work/payment to proceed, and problems in the securing of necessary data for the Commitment Reports	The outstanding work will be prioritised in the first two quarters of the 2020/21 financial year
	Number of overnight visitor, and staff accommodation units established and renovated	21 overnight visitor and staff accommodation units were established and renovated	39	34 overnight visitor and staff accommodation units established and renovated (20 Staff accommodation units established, 10 overnight visitor accommodation established and 4 Staff accommodation units renovated)	A project which was meant to deliver on the outstanding units experienced delays due to poor workmanship by the implementer which resulted in work having to be corrected.	Notices issued to the implementer to correct and complete the project.
Threats to environment quality and integrity managed	Number of wetlands under rehabilitation	190 wetlands under rehabilitation	165	124 wetlands under rehabilitation	A contract of one implementer was suspended as the implementer was under investigation for fraud and corruption by the Nelson Mandela Bay Metro.	The remaining 25 wetlands will be completed in 2020/21 financial year.
	Number of Hectares of land under rehabilitation/ restoration	101 760 hectares of land under rehabilitation/ restoration	48 931	33 475,51 hectares of land under rehabilitation/restoration	Projects started late due to delays in approval of agreements	The outstanding work will be prioritised in the first two quarters of the 2020/21 financial year

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environment quality and integrity managed	Number of Kilometers of accessible coastline cleaned	2 116 kilometres of accessible coastline were cleaned	2 116	1 806 Kilometres of accessible coastline cleaned	Delays in appointment of service provider impacted on the achievement of planned target.	Expedition of the appointment of implementers.
	Number of emerging invasive alien species targeted for early detection	85 emerging invasive alien species have been targeted for early detection	250	334 emerging invasive alien species targeted for early detection	Planned annual target exceeded by 84. This work is carried out using internal human resources capacity	None
	Number of initial hectares of invasive alien plants treated	167 017.36 initial hectares of invasive alien plants were treated	195 444	93 196.23 initial hectares of invasive alien plants treated.	Projects started late due to delays in approval of agreements	The outstanding work will be prioritised in the first two quarters of the 2020/21 financial year
	Number of follow-up hectares of invasive alien plants treated	761 714.08 follow-up hectares of invasive alien plants were treated	659 733	415 765.39 follow-up hectares of invasive alien plants treated		
	Percentage of wild fires suppressed (provided there are not more than 2400)	100% (1 974/1 974) wild fires were suppressed	90%	100 % (1 852 /1 852) wild fires suppressed	Planned target exceeded with a variance of 11% with no impact on resources earmarked for other priorities.	None
Improved access, fair and equitable sharing of benefits	Number of schools in which toilet blocks are constructed	N/A	60	Toilet blocks constructed in 28 schools	The budget from the Department of Basic Education to fund the completion of the remainder units could not be secured, due to the delays in receiving the applicable Treasury approval.	Measures will be put in place to ensure that project budget is transferred on time and that construction work start the first quarter of 2020/21 financial year.
	Number of community parks created or refurbished	N/A	4	One community park refurbished in Zwelethemba Community park in Worcester and is awaiting the signing of the handover certificate. Two of the parks were functionally completed and the implementers were finalizing the snags in March	Refurbishment of one park not done due to delays in appointment of the service provider.	The outstanding work will be prioritised in the first two quarters of the 2020/21 financial year

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved access, fair and equitable sharing of benefits	Number of Buy Back Centres developed and/or transfer stations constructed or refurbished	N/A	6	1 buy-back centre developed and 3 transfer stations constructed	Two outstanding centres are 95% finished, but could not be completed due to the snags that were raised after completion and some work still needed to be done.	The outstanding work will be prioritised in the first two quarters of the 2020/21 financial year.

SUMMARY OF PROGRAMME PERFORMANCE: ENVIRONMENTAL PROGRAMMES

Summary of Programme Performance: The Annual Performance Plan of Programme 6 for the 2019/20 financial year had a total of 15 annual targets. Four (4) of the planned 15 targets were achieved (27%), nine (9) were partially achieved (60%) and remaining two (2) targets were not achieved (13%).

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

The purpose of the Programme is to formulate policies and administer legislation regarding the use of chemicals and waste management to improve regulation, monitoring, compliance and enforcement. The programme is made-up of six sub-programmes which are as follows: (1) Chemicals and Waste Management (2) Hazardous Waste Management and Licensing (3) Integrated Waste Management and Strategic Support (4) Chemicals and Waste Policy, Evaluation and Monitoring (5) Chemicals Management (6) Waste Bureau.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	Number of chemicals and waste management instruments developed and implemented	Minamata Convention Impact Study approved by Cabinet and submitted to Parliament for ratification	Mercury Management National Action Plan for the Minamata Convention finalised	Mercury Management National Action Plan finalised.	None	None
		N/A	Draft policy instrument on the phasing out of plastic straws developed	Draft policy instrument of the phasing out of plastic straws developed	None	None
		N/A	Policy instrument for plastic bag management published for comments	Draft policy instrument for plastic bag management developed but not yet published for comments.	The Plastic Industry recommended that the recycle content be capped at a regulated maximum of 75% due to concerns of scarcity of recycle and potential spike in price whereas the Department has requested recycle content to be capped at 100% by 1 January 2027.	Investigation to be conducted to prevent resistance from Industry.
	Number of environmental performance assessments conducted at waste management facilities	24 waste management facilities were audited	25 environmental performance assessments conducted	30 Environmental Performance Assessments conducted	Planned target exceeded with a variance of 11% with no impact on resources earmarked for other priorities as work is done with existing human resources capacity.	None
	Percentage of waste tyres diverted from landfill sites (recycled or reused)	24% of waste tyres (40 282 tons of 170 266 estimated waste tyres arising)	50% of waste tyres recycled (85 133 tons of 170 266 estimated waste tyres arising)	21,20% (36 090/170 266) waste tyres diverted from landfill sites.	Air emissions license for 1 processor expired in April 2019. No processing took place since then and the company has implemented plant upgrades and are awaiting licensing. One processor closed down as it was not profitable. Tyre supply to one other processor was suspended, pending the resolution of a dispute. Three companies that were successful in the tender and awarded contracts effective 1 October 2018 have still not finalised funding and are still to set up their plants	Follow ups with companies that were awarded contracts so they can finalise funding and set up their processing plants. A call for companies to register for tyre supply was issued to boost processing capacity in the country, and this will be continued into 2020/21. Resolution of disputes and licensing issues.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE 2017/18	ANNUAL TARGET 2019/20	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Negative impacts on health and wellbeing minimised	Percentage decrease on HCFC consumption (5140.2 tons baseline)	A decrease of 38% Hydro chlorofluorocarbons (HCFC) consumption (i.e. 1976.35/5140.2 x 100) tons allowable consumption (4112.16 Tonnes) was consumed	HCFC consumption reduced by 30% - 1542.06 tons from baseline of 5140.20 tons (not exceed allowable 3598.14 tons)	Annual progress: The baseline has been reduced by (Import =2897,2 export=426,87) = Consumption 2744,99/ 5140,2 x 100 = 53,4%	Planned target exceeded with a variance of 23.4% with no impact on resources earmarked for other priorities	None
Growth in industries that depend on environmental services	Number of jobs created in the waste management sector	952 jobs	500 jobs created	416 Jobs created in the waste management sector	Less jobs created as implementation of the the planned programme (RESP) was delayed. Internal Auditors recommended that since the Recycling Enterprise Support Programme (RESP) is not a purely procurement process, process must be changed from SCM tender process. National Treasury had to be notified of the changes in SCM process and feedback is still pending.	RESP tender advertised late in the financial year (November 2019) and evaluation has been done. Draft guidelines on RESP implementation has been developed and is being considered for approval.
	Number of waste management enterprises established (SMMEs, Cooperatives)	N/A	20	13 Enterprises were supported and not established		

SUMMARY OF PROGRAMME PERFORMANCE: CHEMICALS AND WASTE MANAGEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 7 for the 2019/20 financial year had a total of 8 annual targets. Four (4) of the planned 8 targets were achieved (50%), three (3) were partially achieved (38%) and the remaining 1 target was not achieved (12%).

STRATEGY TO OVERCOME AREAS ON UNDER PERFORMANCE

In each area where the planned target has not been achieved, Management has reflected on the factors causing the delays (challenges) and identified the corrective measures to be implemented as detailed in the audited performance information report. This is a standard management practice aimed at ensuring effective implementation and monitoring of the Department's approved Annual Performance Plan. Progress on these areas will be reviewed in the first and following quarters of the next financial year (2019/20) to assess the effectiveness of the identified corrective measures and to determine the need for further management intervention where required.

Changes to planned targets

Below are the details of a submission that was made mid-year to amend some of the deliverables in the department's 2019/20 Annual Performance Plan. Approval for these amendments was obtained.

PROGRAMME 3: OCEANS AND COASTS

Strategic Objective: Threats to environmental integrity managed

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Ocean and coastal management strategies and plans developed and implemented	Draft strategy presented at Economic, Sectors, Employment and Infrastructure Development Cluster (ESEID), MINTECH and Global and at the Continental Affairs Committee (GCAC)	Antarctic strategy submitted to Cabinet for gazetting for implementation	Draft Antarctic and Southern Ocean Strategy submitted to Cabinet for approval for public comments	<ul style="list-style-type: none"> There were proposed significant revisions to the Draft Antarctic and Southern Oceans Strategy (ASOS) that had been approved and recommended by the ICTS Cluster for Cabinet Committee and Cabinet. The changes have been incorporated and agreed at OPS (with Branch OC), and these changes will now be communicated to key stakeholders. The changes included value proposition statement, alignment of the strategy to the SDGs, NDP and MTSF, and revision of the strategy objectives and sub-objectives. The strategy can thus only be submitted at the ICTS Cabinet Committee and Cabinet in the 4th Quarter depending on Cabinet process provisioning

Strategic Objective: Ecosystems conserved, managed and sustainably used

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of Management Plans for declared Marine Protected Areas developed and approved	18 Marine Protected Areas not declared	4 Management plans for declared MPAs approved	4 Draft Management plans for declared MPAs developed	<ul style="list-style-type: none"> Management Plan process take up to 4 years to be developed and approved. The process of developing the draft management plans for 4 MPAs will be undertaken in the last quarter of 2019/20 following approval of the regulations that came to effect on the 01 August 2019. In addition, the budget constraints we have for the management of the MPAs, MPA entities were reluctant to take responsibility of the new MPAs on available budget vs the budget they proposed for management of these MPAs. As a result DDG Oceans and Coast had a meeting on the 30 July 2019 with the CEOs of the MPA entities to present the available budget for MPAs. Subsequent to the meeting of the CEOs DDG had one to one meeting with the CEOs convincing the CEOs to commit managing the MPAs with available little budget department have. As a result the little budget and management meetings for MPAs resulted to the delay in kick-starting the process of developing management plans for 4 MPAs. We were looking at taking one MPA Management plan per each coastal province. Those Plans won't be approved nor finalised in this financial year. We will only produce the draft plans end of the financial year. The plan need to follow NEMPAA guidelines for the development of the management plans. Topics of the management plans will be covered on the draft plans.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

Strategic Objective: Threats to environmental quality and integrity managed

PERFORMANCE INDICATOR	BASELINE	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of climate change response policy interventions implemented	<p>4 interventions implemented:</p> <ul style="list-style-type: none"> · Pollution Prevention Plans (PPPs) received from 33 companies but not processed within regulated timeframe · A Report "Alternative Greenhouse Gas Emission Pathways for South Africa" has been developed and finalised · Draft Report of the GHG emission reduction potential of policies and measures (PAMS) has been produced · Draft Long-term Low GHG Emissions Development Strategy developed 	Carbon budget and mitigation plans regulations developed.	Remove target from DEA APP	The Carbon budget and mitigation plans regulations can only be published after the promulgation of the Climate Change Bill. The Climate Change Bill is still being discussed at the NEDLAC and there is uncertainty about the timelines for the finalisation of the Climate Change Bill.

Strategic Objective: Negative impacts on health & wellbeing minimised

PERFORMANCE INDICATOR	BASELINE	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
National Air Quality Indicator	The NAQI is 1.04	NAQI: Equal to or less than 1.10	Remove target from DEA APP	It is recommended that the performance indicator be removed from the 2019/20 Annual Performance Plan of the Department. Many of the earmarked air quality monitoring stations from which air quality data must be collected for the purpose of calculating the National Air Quality Indicator (NAQI) are poorly maintained and dysfunctional. In 2018 many of these monitoring stations did not report data consistently on the SAAQIS and they did not meet the required annual minimum data recovery standard for data to be included in the calculation of the NAQI. The data limitations resulted in the Department being unable to calculate and report a reliable NAQI as planned. The Department will develop and implement a plan with various interventions to address all the data challenges with regard to the national air quality indicator before the performance indicator can be included in the Annual Performance Plan.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

Strategic Objective: Ecosystems conserved, managed and sustainably used

PERFORMANCE INDICATOR	BASELINE	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
<p>Percentage of area of state managed protected areas assessed with a METT score above 67%</p> <p>Revised performance indicator: Number of hectares of state managed protected area assessed with a METT score above 67%</p>	75% of area of state managed protected areas assessed with a METT score above 67%	79% of area of state managed protected areas assessed with a METT score above 67%	5,764,346 hectares of state managed protected areas assessed with a METT score above 67%	The 2018/19 Auditor General opinion recommended that the department must reflect the actual number of hectares of state managed protected areas which is planned to be assessed.

Strategic Objective: Improved access, fair and equitable sharing of benefits of biodiversity

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of biodiversity economy initiatives implemented	Draft game donation guidelines developed and submitted to MINMEC	2500 Heads of Game for PDI's and communities secured	500 Heads of Game for PDI's and communities secured	<p>The process of game donation is managed at provincial level and is done through a process which involves a call for expression of interest by communities and individuals, evaluation and approval prior to game capture and translocation, which is the final stage of the process. Through these stages, there are various regulations and policies such as Treasury PFMA and Provincial ordinances that must be complied with before animals can be received by approved beneficiaries. In addition the process of game capture and translocation is seasonal (April-September) and costly.</p> <p>In this regard, provinces and entities had made commitments to donate game to PDIs and communities however due to challenges at various phases of the process, such as budget constraints, approval from Treasury or Legislature, majority of the provinces were unable to donate game during the capture and translocation season which ended in September 2019.</p> <p>Currently, 397 heads of game have been donated across all provinces and entities. Due to this seasonality, game can only be donated in the next financial year, 2020-2021. Commitment letters are currently being solicited from provinces and entities to achieve the targets for the outer years.</p>

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

Strategic Objective: Improved socio-economic benefits

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of SMMEs used (wildlife economy, ocean economy, environment programmes)	2 006 SMMEs	1 789	Remove target from DEA APP	To remove the indicator as this is duplicated in BBBEE Statistics as reflected in Programme 1.

Strategic Objective: Improved access, fair and equitable sharing of benefits

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of schools in which toilet blocks are constructed	N/A	None	60	The Minister has indicated this to be a focus/priority area for the Branch, due to the great need for proper toilets in schools. This will contribute immensely to our strategic goal of equitable sharing of benefits, as we improve school children's access to decent toilets.
Number of community parks created or refurbished	N/A	None	4	The Office Auditor-General indicated in the Departmental audit that the Branch should include Infrastructure KPIs in their APPs, to align this to the large budget allocated to this area of work.

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of Buy Back Centres developed and/or transfer stations constructed or refurbished	N/A	None	6	The Office Auditor-General indicated in the Departmental audit that the Branch should include infrastructure KPIs in their APPs, to align this to the large budget allocated to this area of work.

Strategic Objective: Threats to environment quality and integrity managed

PERFORMANCE INDICATOR	BASELINE (2017/18)	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of hectares of firebreaks prepared to prevent ecological damaging fires	N/A	41 763	Remove targets from DEA APP	It is recommended that the performance indicators be removed from the Annual Performance Plan. The programme has no reliable system and means of producing reliable supporting evidence which will meet audit requirements standard to substantiate reported progress. The absence of reliable supporting evidence will result in the Department not being able to verify the annual progress to confirm accuracy and completeness and this will further lead to audit challenges and possible findings. There is already another performance indicator (Percentage of wild fires suppressed) on fire management/suppression on which the Department can provide reliable progress and supporting information which will remain of the APP
Number of hectares burnt under a prescribed fire regime to enhance ecological integrity	N/A	64 965		
Number of emerging invasive alien species targeted for early detection	85 emerging invasive alien species have been targeted for early detection	70	250	In the previous financial years, there was no capacity within the regions to undertake this work. Since the last financial year, all the regions (7) have been capacitated, through the appointments of Regional Coordinators. Thus far the branch has already achieved 194 of emerging invasive alien species targeted for early detection. Also, we are now looking at geographically separated populations rather than just the emerging species collectively.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

Strategic Objective: Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strategic Plan)

PERFORMANCE INDICATOR	BASELINE	CURRENT 2019/20 APP TARGET	PROPOSED AMENDMENT	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of chemicals and waste management instruments developed and implemented	Draft Policy finalised and recommended to Cabinet for approval to publish for public consultation/comments	National Waste Management Strategy submitted to Cabinet for approval	National Waste Management Strategy published for public comments	The National Waste Management Strategy was presented to the Cluster and it was recommended that it be published for public comments before taking it forward.

LINKING PERFORMANCE WITH BUDGETS

PROGRAMME 1: ADMINISTRATION

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE(R'000)
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Management	56 007	56 007	-	62 822	59 574	3 248
Corporate Affairs	511 096	511 096	-	471 774	471 774	-
Financial Management Services	93 175	93 175	-	81 352	81 352	-
Office Accommodation	251 604	249 955	1 649	235 752	235 499	253
TOTAL	911 882	910 233	1 649	851 700	848 199	3 501

PROGRAMME 2: LEGAL, AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Legal, Authorisations, Compliance and Enforcement Management	15 336	15 336	-	6 556	6 556	-
Compliance Monitoring	43 434	43 434	-	31 488	31 488	-
Integrated Environmental Authorisations	61 665	61 665	-	37 180	37 180	-
Enforcement	64 838	64 445	393	67 354	59 530	7 824
Corporate Legal Support and Litigation	12 554	12 554	-	18 263	18 263	-
Law Reform and Appeals	22 668	22 668	-	22 910	22 910	-
TOTAL	220 495	220 102	393	183 751	175 927	7 824

PROGRAMME 3: OCEANS AND COASTS

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Oceans and Coasts Management	23 448	23 448	-	13 687	13 687	-
Integrated Coastal Management and Coastal Conservation	27 381	27 381	-	31 341	31 341	-
Oceans and Coastal Research	105 402	100 902	4 500	95 073	92 224	2 849
Oceans Economy and Project Management	94 186	62 662	31 524	61 897	45 075	16 822
Specialist Monitoring Services	244 228	244 228	-	254 576	254 576	-
TOTAL	494 645	458 621	36 024	456 574	436 903	67119

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY & SUSTAINABLE DEVELOPMENT

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Climate Change, Air Quality and Sustainable Development Management	10 410	10 410	-	6 838	6 838	-
Climate Change Mitigation	9 594	9 594	-	9 860	9 860	-
Climate Change Adaptation	10 356	10 356	-	7 622	7 622	-
Air Quality Management	49 959	49 959	-	63 923	63 685	238
South African Weather Service	204 074	204 074	-	199 975	199 975	-
International Climate Change Relations and Negotiations	12 918	12 918	-	11 807	11 807	-
Climate Change Monitoring and Evaluation	5 961	5 961	-	6 559	6 559	-
Sustainable Development	146 979	146 979	-	113 465	113 465	-
TOTAL	450 251	450 251	-	420 049	419 811	238

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Biodiversity and Conservation Management	24 192	24 192	-	19 049	19 049	-
Biodiversity Planning and Management	31 856	31 856	-	23 690	23 690	-
Protected Areas Systems Management	26 659	26 610	49	42 846	42 846	-
iSimangaliso Wetland Park Authority	36 076	36 076	-	32 821	32 821	-
South African National Parks	277 224	277 224	-	294 007	294 007	-
South African National Biodiversity Institute	344 079	344 079	-	325 781	325 781	-
Biodiversity Monitoring Specialist Services	22 778	22 778	-	11 340	11 340	-
Biodiversity Economy and Sustainable Use	34 032	34 032	-	42 114	42 114	-
TOTAL	796 896	796 847	49	791 648	791 648	-

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Environmental Protection and Infrastructure Programme	1 536 799	1 536 799	-	1 338 276	991 458	346 818
Natural Resource Management	2 131 149	2 115 577	15 572	2 398 732	2 170 015	228 717
Green Fund	61 000	21 325	39 675	95 000	8 255	86 745
Environmental Programmes Management	201 291	201 291	-	267 424	267 424	-
Information Management and Sector Coordination	66 539	66 539	-	73 251	73 251	-
TOTAL	3 996 778	3 941 531	55 247	4 172 683	3 510 403	662 280

PROGRAMME 7: CHEMICALS & WASTE MANAGEMENT

SUB- PROGRAMME NAME	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	ACTUAL EXPENDITURE	FINAL APPROPRIATION	(OVER)/UNDER EXPENDITURE
	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)	(R'000)
Chemicals and Waste Management	16 742	16 742	-	11 962	11 962	-
Hazardous Waste Management and Licensing	73 863	73 863	-	91 284	91 284	-
Integrated Waste Management and Strategic Support	20 288	20 288	-	21 293	21 293	-
Chemicals and Waste Policy, Evaluation and Monitoring	16 619	16 619	-	18 380	18 380	-
Chemicals Management	24 241	24 241	-	16 538	16 538	-
Waste Bureau	460 971	437 309	23 662	394 670	394 623	47
TOTAL	612 724	589 062	23 662	554 127	554 080	47

5. TRANSFER PAYMENTS

Transfer payments to Public Entities

NAME OF PUBLIC ENTITY	SERVICES RENDERED BY THE PUBLIC ENTITY	AMOUNT TRANSFERRED TO THE PUBLIC ENTITY R'000	AMOUNT SPENT BY THE PUBLIC ENTITY R'000
South African Weather Service (SAWS)	Weather services, climate and related products (disseminating weather services product).	287 589	287 589
iSimangaliso Wetland Park Authority	heritage site management	249 052	249 052
South African National Parks (SANParks)	Conservation management through the national parks system.	827 493	827 493
South African National Biodiversity Institute (SANBI)	Biodiversity Management	502 450	502 450

TRANSFER PAYMENTS TO ALL ORGANISATIONS OTHER THAN PUBLIC ENTITIES

The table below reflects the transfer payments made for the period 1 April 2019 to 31 March 2020

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE USED	DID THE DEPT. COMPLY WITH S 38 (1) (J) OF THE PFMA	AMOUNT TRANSFERRED (R'000)	AMOUNT SPENT BY THE ENTITY	REASONS FOR THE FUNDS UNSPENT BY THE ENTITY
Development Bank of South Africa	Public Corporation	Implementation of Green Fund projects	Yes	4 810	4 810	
Various Private enterprises	Private enterprises	Implementation of RESP	Yes	28 172	28 172	
Global Environmental Fund	Foreign Government	International membership fee	Exempted	23 500	23 500	
Various International Bodies	Foreign Government	International membership fee	Exempted	11 272	11 272	
National Regulator for Compulsory Specifications	Departmental Agency	Financial Contribution	Yes	12 020	12 020	
Compensation Fund of South Africa	Departmental Agency	Contribution to Fund in respect of project staff	Yes	5 053	5 053	
Social benefit	Household	Leave gratuity and severance package	Yes	8 140	8 140	
National Association for Clean Air	Non-profit Institution	Financial Contribution	Yes	1 400	1 400	
Kwazulu-Natal Conservation Board	Non-profit Institution	Financial Contribution	Yes	1 287	1 287	
African World Heritage Fund	Non-profit Institution	Financial Contribution	Yes	1 000	1 000	
Environmental Assessment Practitioner Association of South Africa	Non-profit Institution	Financial Contribution	Yes	4 809	4 809	
Various municipalities	Municipality	Vehicle licences	Yes	152	152	
Other transfer	Household	Bursaries, claims against the state and donations	Yes	47 857	47 857	

The table below reflects the transfer payments which were budgeted for in the period 1 April 2019 to 31 March 2020, but no transfer payments were made.

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE TO BE USED	AMOUNT BUDGETED FOR (R'000)	AMOUNT TRANSFERRED (R'000)	REASONS WHY FUNDS WERE NOT TRANSFERRED
NONE					

6. CONDITIONAL GRANTS

None

7. DONOR FUNDS

DONOR FUNDS RECEIVED

Donor Fund: United Nations Development Programme: Benguela Coastal Current Large Marine Ecosystem Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R319 283.38
Period of the commitment	Ongoing
Purpose of the funding	To give effect to the Strategic Action Programme by establishing a Benguela Current Commission in order to establish a formal institutional structure for co-operation between the Contracting Parties that will facilitate the understanding, protection, conservation and sustainable use of the Benguela Current Large Marine Ecosystem by the Contracting Parties; and to further the objectives recorded in the Strategic Action Programme
Expected outputs	Established Benguela Current Commission
Actual outputs achieved	Project completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species in South Africa: target: Rhinoceros

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R30 304 738
Period of the commitment	May 2014 - April 2019 (extended till 31 December 2019)
Purpose of the funding	Improve the effectiveness of efforts to combat wild life crime in South Africa's protected arrears system, focussed on rhinoceros, through improved forensic technologies and capacity, strengthened data catering, sharing and analysis systems at national level, and enhance corporation structures and mechanisms at international level to support law efforts along the whole trafficking chain
Expected outputs	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species In South Africa: target: Rhinoceros
Actual outputs achieved	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime.
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R27 473
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds to close the project
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: South Africa: Enabling Activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R21 768 282
Period of the commitment	August 2014 – June 2019
Purpose of the funding	Undertake national stocktaking and stockholder consultations to review work carried out under previous climate change enabling activities and identify gaps and propose relevant activities to be undertaken within the framework of preparing the TNC under the UNFCCC
Expected outputs	Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)
Actual outputs achieved	Implemented activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R21 768
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds to close the project
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R789 502.07
Period of the commitment	Ongoing
Purpose of the funding	Facilitating a national dialogue involving relevant stakeholders on the Rotterdam Convention for South Africa.
Expected outputs	Hosting Rotterdam Convention
Actual outputs achieved	Rotterdam Convention hosted
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R790
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds
Monitoring mechanism by the donor	Report on the Rotterdam Convention

Donor Fund: United Nations Development Programme: 6th Session of the AEWA Meeting of Parties

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R1 293 000
Period of the commitment	Ongoing
Purpose of the funding	Facilitating a nation dialogue of the AEWA meeting of parties.
Expected outputs	Hosting the 6th Session of the AEWA Meeting of Parties
Actual outputs achieved	6th Session of the AEWA Meeting of Parties hosted

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R1 289
Reasons for the funds unspent	An amount of R4000 to be returned to the RDP fund to close the project
Monitoring mechanism by the donor	Reporting on Progress to Donor

Donor Fund: United Nations Development Programme: Hazardous Material Management Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R2 192 464.56
Period of the commitment	Not specified
Purpose of the funding	Hazardous Material Management Programme
Expected outputs	Development of the hazardous material management programme
Actual outputs achieved	Project completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R2 193
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds to close the project
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Payment for Watershed Services

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R646 718.30
Period of the commitment	Not specified
Purpose of the funding	Payment for Watershed Services
Expected outputs	Watershed Services
Actual outputs achieved	Achieved and Finalised
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R624
Reasons for the funds unspent	Balance of R24 to be returned to Donor RDP Funds to close the project
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Australia: Funding Agreement in Relation to South Africa Land Sector

NAME OF DONOR	AUSTRALIA
Full amount of the funding	R7 262 000
Period of the commitment	March 2013 to February 2015 (extended to 31 March 2017)
Purpose of the funding	Land sector measurements, reporting and verification capacity building project
Expected outputs	Established MRV capacity building project
Actual outputs achieved	Established MRV capacity building project
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R6 581
Reasons for the funds unspent	Balance of R681 to be reimbursed to the department
Monitoring mechanism by the donor	Audited financial statements each year to Donor

Donor Fund: Botswana: Transfrontier Conservation Areas (TFCA Strategy)

NAME OF DONOR	BOTSWANA
Full amount of the funding	R376 000
Period of the commitment	Not specified
Purpose of the funding	Investment and Infrastructure Development Projects under the Transfrontier Conservation Area Development Programme
Expected outputs	Development and/or packaging of cross-border tourism products in TFCA's increasing worldwide knowledge of tourism activities in TFCA's thereby generating interest to visit; and developing a pipeline of investment opportunities in TFCA's and facilitating their development in order to enhance the attractiveness of these destinations
Actual outputs achieved	Programme under implementation
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Awaiting claims for payment
Monitoring mechanism by the donor	Reporting on Progress to Donor

Donor Fund: Flanders: Adaptive Capacity Facility

NAME OF DONOR	FLANDERS
Full amount of the funding	€3 999 942
Period of the commitment	2017 - 2021
Purpose of the funding	Implementing the Department's adaptive capacity facility
Expected outputs	Adaptive capacity facility implemented
Actual outputs achieved	Project in progress

NAME OF DONOR	FLANDERS
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R59
Reasons for the funds unspent	Project started late due to processes delayed
Monitoring mechanism by the donor	Project Progress Reports to Donor

Donor Fund: Germany: Climate Support Programme

NAME OF DONOR	GERMANY
Full amount of the funding	R2 662 135, 94
Period of the commitment	1 April 2017 - 29 March 2019
Purpose of the funding	Finance the near-term priority flagship programme unit and an IT- expert for the implementation of the climate change web-based monitoring and evaluation system
Expected outputs	Implementation of the climate change web-based monitoring and evaluation system
Actual outputs achieved	Project Completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R2018
Reasons for the funds unspent	Project finalised, await funds transfer from RDP Fund to close account
Monitoring mechanism by the donor	Project Progress Reports to Donor

Donor Fund: Norway: Capacity Development within the South African National Greenhouse Gas Inventory Unit

NAME OF DONOR	NORWAY
Full amount of the funding	R2 986 786
Period of the commitment	1 March 2016 - 31 March 2020
Purpose of the funding	Building capacity of (GHG) inventory unit to establish a National System of data collection and monitoring & evaluation system
Expected outputs	Capacity Development within the South African National Greenhouse Gas Inventory Unit
Actual outputs achieved	Project Completed
Amount received in current period (R'000)	R000
Amount spent by the department (R'000)	R2 986 786
Reasons for the funds unspent	Project finalised, await funds transfer from RDP Fund to close account
Monitoring mechanism by the donor	Project Progress Reports to Donor

Donor Fund: United Nations Development Programme: Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa

NAME OF DONOR	UNDP
Full amount of the funding	\$4 886 009
Period of the commitment	7 February 2019 - 31 August 2024
Purpose of the funding	Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa
Expected outputs	Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa
Actual outputs achieved	Project in progress
Amount received in current period (R'000)	R000
Amount spent by the department (R'000)	R150
Reasons for the funds unspent	Awaiting claims for payment
Monitoring mechanism by the donor	Reporting on Progress to Donor

Donor Fund: United Nations Development Programme: Capacity Building Initiative for Transparency (CBIT) Project

NAME OF DONOR	UNDP
Full amount of the funding	\$1 100 000
Period of the commitment	4 March 2019 - 31 January 2023
Purpose of the funding	Building capacity Initiative for Transparency (CBIT) Project
Expected outputs	Capacity Building Initiative for Transparency (CBIT) Project
Actual outputs achieved	Project in progress
Amount received in current period (R'000)	R000
Amount spent by the department (R'000)	R248
Reasons for the funds unspent	Awaiting claims for payment
Monitoring mechanism by the donor	Reporting on Progress to Donor



PART C

GOVERNANCE

PART C: GOVERNANCE

1. INTRODUCTION

The Department is Committed to maintain the highest standards of governance as this is fundamental to the management of public finances and resources. As required in terms of the provisions of the management of Public Finances Management Act, the department has developed and implemented effective, efficient and transparent systems of financial, risk management, internal control and maintains a system of internal audit under the control and direction of an audit committee complying with and operating in accordance with relevant regulations and instructions.

2. RISK MANAGEMENT

The department follows an integrated approach towards Enterprise Risk Management and has adopted the Public Service Risk Management Framework in developing the approved risk management policy and strategy. During the planning process, strategic and operational risks are identified. Risks are continuously monitored to determine, amongst other things, new and emerging risks for the department. The Risk Management and Ethics Committee provides assurance to the Director-General and Audit and Risk Committee that risks are managed effectively. The Audit and Risk Committee provides independent and objective assurance on the effectiveness of the Enterprise Risk Management. Progress on risk action plans is monitored quarterly by the Risk Management Unit in line with the Risk Appetite statement of the Department. The analysis and status of the risks are presented to the Risk Management and Ethics Committee, Audit and Risk Committee and Senior Managers of the department. Risk management surveys are conducted annually to determine the progress/ understanding of risk management in the organisation. There is progress in the management of risks as some of the high risk areas have moved to a medium/ low impact. Business units have made risk management as part of their reporting.

The table below provide details on the 2019/20 strategic risks, mitigation plans and progress

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
1	Environmental sustainability and resilience compromised	Inadequate implementation of the National Climate Change Response	Finalization of the National Climate Change Response Act.	Climate Change bill under discussion at NEDLAC
		Inadequate implementation of the legislative and regulatory tools for conservation of Biodiversity	National Environmental Management Biodiversity Act (NEMBA) review	The Biodiversity Bill was re-submitted for tabling with Cabinet Committee. The Biodiversity Bill was re-submitted to DPME for updated sign-off of the final SEIA. The Biodiversity Bill was re-submitted to the Office of the Chief State Law Advisors for an updated legal opinion.
		Inadequate management of hazardous chemicals	Improvement of coordination and implementation through stakeholder engagement forums	2 x Stakeholder engagements were held as following: <ul style="list-style-type: none"> Multi Stakeholder Committee for Chemicals Management (MCCM), Methylbromide stakeholder Tariff heading Task Team Meeting
		Inadequate and ineffectiveness of Oceans and Coast interventions	Amended National Estuarine Management Protocol gazetted for public comments	LR&A vetting was completed. After usual workflow processes, the signed amended NEMP by Minister was sent on 17 March 2020. However due to the lock down, GPW communicated no new notices will be accepted till the end of lock down

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
2	Failure of corporate governance and adverse audit opinions	<p>Non Compliance to the Accounting Standards/Framework Inadequate / limited internal controls for organisational performance</p> <p>Poor cooperation and implementation of controls by stakeholders (Branches) Capacity of responsible officials to implement the controls</p> <p>Failure of systems of performance management controls Increased numbers of sustained audit findings</p>	Implementation of the turnaround strategy	<p>Internal controls on planning and performance improved on a continuous basis. Draft plans and quarterly performance reports submitted to Internal Audit for auditing and findings considered and implemented</p> <p>The Audit Action Plan developed in 2019/20 included 27 areas on Planning and Performance Management, all of which have since been implemented and finalised</p>
3	Inability to achieve DEA's goals/priorities due to economic challenges	<p>Budget cuts introduced by National Treasury Decisions not aligned with the availability of funds</p> <p>National Treasury not supporting additional funding proposals</p> <p>Additional funding proposals not adequately motivated by management</p> <p>Non-filling of vacant posts</p>	Monitoring of percentage budget spent against percentage performance achieved	<p>Expenditure Annual target achieved with 98% (7 358 154/7 483 671) as at 31 March 2020</p> <p>Expenditure monitored on a daily base and ensuring that standing payments are paid within the current financial year</p> <p>Procurement Plans progress to date:</p> <ul style="list-style-type: none"> · Above R500k achieved 56% · Below R500k achieved 39% (draft)
4	Information Communication Technology (ICT) services disrupted	<p>Planned maintenance and upgrades (hardware and software)</p> <p>Unplanned system outages</p> <p>Service Level Agree (SLA's) with service providers (office hours) not in line with 24/7 availability required by business.</p> <p>Non-compliance to approved ICT standards and policies</p>	Implement the dashboard for Service Level Agree(SLA's) and license management	Dashboard for Service Level Agreement (SLA's) and licenses implemented
5	Inadequate adherence to Occupational Health and Safety Act	<p>Fragmented OHS processes in the department (Unclear roles and responsibilities)</p> <p>Inadequate compliance by the Private Party</p> <p>Inadequate support from DPW in terms of accommodation requirements (Regional offices)</p> <p>Lack of standardisation and training</p> <p>Absence of a dedicated qualified OHS person</p> <p>Inadequate compliance to obligations by external partners</p> <p>Inadequate capacity, knowledge and skills to implement and monitor OHS norms & standards</p> <p>Inadequate cooperation and commitment</p> <p>Inadequate accountability</p>	<p>Develop and implement the OHS action plan</p> <p>100% Implementation of OHS recommendations</p>	<p>Task not completed due to extended scope. The provinces visited included the Forestry and Fisheries business units' sites which were not part of the plan. But to save the cost while in the visited provinces, the two Branches sites were included for hazard identification:</p> <ul style="list-style-type: none"> · 100% Monitored (36) · 28% Implemented (7) · 21% Partially Implemented (09) · 51% Not Implemented (20)

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
6	Inadequate socio-economic opportunities created	Inadequate awareness, access and sharing of benefits arising from Biodiversity Inadequate project management	Events to be held to raise awareness and increase community benefits derived from Biodiversity (TFCAs, People and Parks) Measure impact on socio-economic benefits (Women and Youth)	5 Biodiversity Economy awareness <ul style="list-style-type: none"> · KZN iSimangaliso, Amangwane Community · Vhembe Biosphere Reserve · Skukuza KNP · Eastern Cape, Dwesa Cwebe · Limpopo Women:8 998 (47%) For the year 2019/20-Cumulative WO:55 061 (81%) Youth :6 231 (50%) For the year 2019/20-Cumulative :39 337 (89%)

3. FRAUD AND CORRUPTION

The Department has an approved Fraud and Corruption Policy and Prevention Plan which is implemented effectively through awareness campaigns. The Department supports the National Anti-Corruption Hotline (NACH) which is administered by the Public Service Commission and employees are encouraged to make confidential disclosures in relation to suspected fraud and corruption to the Hotline as an alternative to the internal reporting facilities. The Environmental Hotline administered by the Department, is used for the reporting of allegations of environmental crimes. Extensive awareness drives to communicate the various mechanisms to report allegations of fraud and corruption have been conducted with employees and other stakeholders including the installation of posters at strategic entry points to the department and the inclusion of the National Anti-Corruption Hotline number on Supply Chain Management Order Forms. Awareness campaigns have proved to be effective and have improved the participation of employees in uncovering and dealing with fraud and/or corruption. All allegations of fraud and corruption are either investigated, or have been referred to the external organisations responsible for further investigation. The Department has internal investigative capacity and has investigated allegations, with some assistance from external service providers in complex cases. In order to provide assurance on the handling of allegations, the outcomes of investigations are communicated to the Public Service Commission, where applicable, and information regarding the outcomes of the investigations are appropriately disseminated, subject to legal constraints.

4. MINIMISING CONFLICT OF INTEREST

As part of Human Resource Management practice during the recruitment and selection process, members of the selection panel are also required to declare their relationship or any potential conflict of interest, which may arise from knowing or previous association with candidates being assessed. Furthermore, the department uses the e-disclosure system which is linked to the Companies And Intellectual Property Commission (CIPC) to verify the submitted financial declarations by employees.

Submission of financial disclosure by DEA officials

SMS MEMBERS	SMS MEMBERS	DEPUTY DIRECTOR & OSD LEVEL	SUPPLY CHAIN MANAGEMENT
188		346	79

SCM process implemented to minimise conflict of interest are as follows:

- SCM practitioners and role players sign code of conduct on an annual basis, records are kept in this regard.
- Bid Committees Sign disclosure of interest during bid specifications/evaluations and adjudication meetings.
- SCM practitioners are to declare any business, commercial and financial interests and or activities undertaken for financial gain that may raise a possible conflict of interest.

5. CODE OF CONDUCT

The Department has for purposes of interpretation and (or) application adopted in line with appropriate framework, the Public Service Code of Conduct for implementation. Newly recruited employees in the Department orientated through the Code during induction sessions with the aim of enhancing awareness and compliance thereof. Periodic information sharing sessions are conducted throughout for senior manager, middle managers and lower level employees. Normal disciplinary measures and procedures are followed in accordance with the disciplinary code to address any contravention of the Code of Conduct including any other policies. Ethical conduct under all circumstances and at all times remain underpinned by the Department's Values as is also recognised in the Departmental Batho Pele Awards Scheme

6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

The Department has an approved internal Occupational Health and Safety Policy which is aimed at safeguarding employees and all visitors/clients through providing and maintaining as far as reasonably practical, a working environment that is safe and without risks. In order to ensure such an environment, the department continues to monitor compliance and implement preventative measures in order to maintain safe offices and equipment that pose no risk to the health of employees and visitors/clients. Health and safety standard operating procedures have been developed and safety notices displayed in the workplace.

Health and Safety Representatives, Fire Marshals and First Aiders were trained and officially appointed as emergency team for the Department in the Head Office as well as Regional Offices. Continuous training is provided to employees in this regard.

Compliance Inspections are conducted in the workplace to identify and minimise hazards that will affect and expose employees and visitors/clients to health risks. Fire equipment is serviced annually and routine inspections are conducted on all the equipment to ensure that it is in working order. Continuous testing of systems is conducted to ensure its effectiveness during evacuation.

Departmental Health and Safety Committees were established and are coordinated in various Branches to ensure proper implementation and compliance to the Act and regulations.

Emergency evacuation drills were conducted to ensure that all employees are aware of the exit routes and to test the state of readiness in case of emergencies that will warrant the department to evacuate all occupants. Capacity has been put in place to enforce and to monitor the implementation of the Health and Safety in the workplace. Incidents and injuries are recorded and reported to the Health and Safety Committee.

8. SCOPA RESOLUTIONS

RESOLUTION NO.	SUBJECT	DETAILS	RESPONSE BY THE DEPARTMENT	RESOLVED (YES/NO)
None				

9. PRIOR MODIFICATIONS TO AUDIT REPORTS

NATURE OF QUALIFICATION AND MATTERS OF NON-COMPLIANCE	FINANCIAL YEAR IN WHICH IT FIRST AROSE	PROGRESS MADE IN CLEARING / RESOLVING THE MATTER*
Goods and services: insufficient appropriate audit evidence for the amounts disclosed in the financial statements, as goods and services – outsourced services, as the department did not retain transactional evidence for the utilisation of funds that were paid to implementing agents for expanded public works projects within the environmental programme	2017/18 and 2018/19	Implementing Agents were alerted to provide the AG original supporting documentation during their site visits as well as to retain these as evidence to support transactions.
Expenditure for capital assets The department did not adequately separate capital expenditure and current expenditure in accordance with chapter 11 of the MCS, Capital Assets. The department incorrectly accounted for the construction of immovable tangible capital assets as goods and services, instead of expenditure for capital assets	2018/19	The Department corrected the errors identified by the AG in 2018-19 by means of journal entries and disclosure requirements and also revisited the population in terms of the principle of immovable capital assets expensed under goods and services.
Immovable tangible capital assets: insufficient appropriate audit evidence for the opening balance of immovable tangible assets that related to capital work-in-progress	2017/18 and 2018/19	A control sheet was introduced to track all transactions relating to immovable tangible capital assets, incorporating all principles in terms of the MCS requirements.

7. PORTFOLIO COMMITTEE SITTINGS

The Portfolio Committee exercises oversight over the service delivery performance of departments. Dates of meetings scheduled with Portfolio Committee on Environmental Affairs in 2017/18 as follows: A total of all 37 meetings were honoured. 2 (Two) oversight visits completed in addition to 9 Public Hearings which were held in the coastal provinces (Western Cape, Eastern Cape, KwaZulu Natal & Northern Cape) for the Marine Spatial Planning Bill.

FIRST TERM	SECOND TERM	THIRD TERM	FOURTH TERM
	July 03	October 08, 09, 10	
	August 27	November 12, 19, 25, 26	February 18, 25
	September 03, 04, 10, 13, 17		March 03, 10

The department has a management system which ensures that all matters raised by the Portfolio Committee are attended to and addressed within the agreed timeframe following the meeting in which they are raised. All matters raised by the committee's during the financial year under review were addressed. The department addresses matters raised in a number of ways depending on the nature of the issues.

The intervention by management includes the following:

- Providing clarity on issues raised during briefings or providing feedback/progress during the next meeting with the committee e.g. levels of management for vacancy and turnover rates; as well as those with disabilities.
- Submission of progress reports to address matters raised during committee briefings e.g. on the strategic plan of the department, Global Climate Fund, policy and legislation.

NATURE OF QUALIFICATION AND MATTERS OF NON-COMPLIANCE	FINANCIAL YEAR IN WHICH IT FIRST AROSE	PROGRESS MADE IN CLEARING / RESOLVING THE MATTER*
Irregular And Fruitless And Wasteful Expenditure: Inadequate controls to detect and record irregular and fruitless and wasteful expenditure. Lack of implementation of the recommendations from Risk Management Directorate	2017/18 and 2018/19	The Department revisited the population to identify and report on all cases of fruitless and wasteful expenditure (projects and risk management Directorate as well as other Departmental transactions that fall within the ambit of fruitless and wasteful expenditure)
Irregular And Fruitless And Wasteful Expenditure: insufficient appropriate audit evidence for the amounts separately disclosed as prepayments and advances expensed	2017/18 and 2018/19	The Department revisited the population to identify and report on all cases of irregular expenditure within the framework.
Accruals: The department recognised items as accruals where it did not meet the recording requirements as prescribed by chapter 9 of the MCS, General Departmental Assets and Liabilities	2018/19	A control document was introduced to track all transactions relating to accruals and payables not recognised, corroborated by accurate and reliable evidence as well as incorporating all principles in terms of the MCS requirements.
Commitments: The department did not implement appropriate systems and controls to properly account for commitments	2017/18 and 2018/19	The MCS was changed as it not only required capital commitments. This change was addressed. Further all capital commitments were identified and reported on.
Non-compliance		
Procurement and contract management: The preference point system was not applied in all procurement of goods and services above R30 000, Contracts were awarded to bidders based on functionality criteria that were not stipulated in the original invitation for bidding and A construction contract was awarded to a contractor that was not registered with the Construction Industry Development Board (CIDB)	2018/19	<p>1) Forms and templates were for procurement below R500K and above R500K were modified to ensure that evaluation results include preference points.</p> <p>2) The functionality criteria has been amended to ensure that it is both objective and transparent. BEC reports are checked to ensure that scoring is in line with the criteria as advertised.</p> <p>3) New construction contracts advertised by the stipulate the CIDB grading requirements. The challenge remain with the contractors appointed by the Implementing Entities in the case of turn key projects. The 2021/22 Action will ensure effective enforcement of this requirement.</p>
Strategic planning and performance management: Specific information systems were not implemented to enable the monitoring of progress made towards achieving targets, core objectives and service delivery as required by public service regulation	2018/19	The Department developed an action plan to address all audit findings and recommendations. All the planned corrective measures which related to performance indicators and targets in the annual performance plan were effected in the 2020/21 APP. Management continues to work with the AGSA Team to ensure that the identified corrective measures are sufficient and adequately address the relevant audit findings. Performance Monitoring systems on areas of work which had audit findings have been enhanced. The METT Assessment process has since been migrated to an online reporting system which ensures consistency of the process across all Management Authorities.
Consequence management: insufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular expenditure as required by section 38(1)(h)(iii) of the PFMA	2018/19	Forensic investigation instituted on tenders. Consequence management effected.

10. INTERNAL CONTROL UNIT

The Department does not have an internal control unit. However, a section performing the minimum internal financial controls has been established.

11. INTERNAL AUDIT AND AUDIT COMMITTEES

We are pleased to present our report for the financial year ended 31 March 2020.

AUDIT & RISK COMMITTEE MEMBERS AND ATTENDANCE

The Audit and Risk Committee consists of four (4) external and two (2) ex-officio members listed hereunder. During the year under review Four (4) meetings were held.

NAME	QUALIFICATIONS	INTERNAL OR EXTERNAL MEMBER	IF INTERNAL POSITION IN THE DEPARTMENT	DATE APPOINTED	NUMBER OF MEETINGS ATTENDED
Prof DP vd Nest: (Chairperson)	<ul style="list-style-type: none"> · Doctor of Technologiae –Internal Auditing · M COM –Economics · B COM –Accountancy · B COM Honours- Economics 	External		01 November 2016	4
Dr P Hanekom	<ul style="list-style-type: none"> · MSC in Financial Economics · Postgraduate Diploma in Economic Principles · BCMCH –Veterinarian BSC · Non- degree purpose studies in Botany,Zoology,Chemistry 	External		01 November 2016	3
Ms S Padayachy	<ul style="list-style-type: none"> · Certified Information System Auditor (CISA) · Masters in the Computer Auditing · Certified in the Governance of Enterprise IT · Bachelor of Commerce Honours in Marketing · Bachelor of Commerce in Accounting and Business Economics. 	External		01 November 2016	3
Ms F Tshikhudo	<ul style="list-style-type: none"> · Master in Business Administration (EXECUTIVE) · Honours Bcom (Financial Management) · Postgraduate Diploma in Accounting · Postgraduate Diploma Risk Management · B. Com Accounting 	External		01 November 2016	2
Ms L Makotoko	<ul style="list-style-type: none"> · Bachelor of arts · Post graduate Diploma in Management (Human Resource Management) 	Internal	Ex- Officio: COO	16 May 2016	3
Mr R Sudomo	<ul style="list-style-type: none"> · Bachelor of Commerce · Certificate in Risk Management · Executive Management programme 	Internal	CFO	17 February 2020	3

The Auditor-General of South Africa, National Treasury, Internal Audit and the Accounting Officer were also invited to the meetings.

12. AUDIT COMMITTEE REPORT

Audit & Risk Committee Responsibility

The Audit and Risk Committee reports that it has discharged its responsibilities arising from section 38(1) (a) of the PFMA and Treasury Regulations 3.1. The Audit and Risk Committee has adopted an appropriate formal term of reference as its Audit & Risk Committee Charter, has regulated its affairs in compliance with this Charter and has discharged all of its responsibilities as contained therein.

The effectiveness of internal control

The results of the internal audit process indicated that controls have been operating as intended in some of the areas in the Department. Some areas exist where the internal control system is adequate but not effective, as identified during the internal audits conducted. The Auditor-General has also identified a number of control deficiencies/non-adherence to controls during their audit. During the financial year the Audit and Risk Committee met with management on a quarterly basis to track their progress in resolving outstanding internal control issues previously raised by the Auditor-General and Internal Audit.

The Audit and Risk Committee has considered and evaluated the Internal Audit reports and is satisfied with management's responses and action plans to address the internal control matters identified through Internal Audit.

THE QUALITY OF IN-YEAR MANAGEMENT AND MONTHLY/QUARTERLY REPORTS SUBMITTED IN TERMS OF THE PFMA

The Audit and Risk Committee is satisfied with the content and quality of monthly and quarterly reports prepared and issued by the Department during the year under review. These reports enabled the Audit and Risk Committee to adequately exercise oversight in terms of its Charter.

The Audit and Risk Committee has ensured, principally through the Internal Audit Directorate, that the systems of performance measurement and reporting, as well as the systems of internal control that underpin the performance management framework of the Department, remain robust and are addressed routinely in the audit plans. In the final audit, deficiencies in the system of control over performance information were identified.

EVALUATION OF ANNUAL FINANCIAL STATEMENTS

The Audit and Risk Committee has:

- Reviewed and discussed the annual financial statements to be included in the annual report, with the Auditor-General South Africa and the Accounting Officer;
- Reviewed the Department's compliance with legal and regulatory provisions;
- Reviewed the information on pre-determined objectives to be included in the annual report; and
- Reviewed the quality and timeliness of the financial information availed to the Audit and Risk Committee for oversight purposes during the year.

The Department's performance in terms of finances and pre-determined objectives has been adequately reported at each Audit and Risk Committee meeting. The annual financial statements

were timeously submitted to the Auditor-General after review by management and the Audit and Risk Committee. After an initial review of the financial statements, a decision was taken to request an extension for the submission to allow for additional time to clear outstanding matters and to allow for the audit of the changes to the financial statements. The department experienced capacity constraints during the compilation of the financial statements. This resulted to numerous adjustments being done to the financial statements that were submitted to the Auditor-General.

The Department consulted with National Treasury and the Auditor-General on the correct disclosure of the payments to environmental projects in the financial statements. Although there has been improvement in the disclosure of environmental projects, management was still not able to clear the qualified opinion on the financial statements by the AG. The number of qualification areas were however substantially reduced. The Audit Committee will continue to monitor the improvement in the disclosure of expenditure on environmental projects according to MCS.

Internal Audit

The Internal Audit Directorate conducts its affairs in terms of an approved Internal Audit Charter and Methodology. During the year under review, a reasonable amount of internal audit work was performed in the Department. A risk-based internal audit plan was developed and implemented by the Internal Audit Function for 2019/20. Progress on the execution of the plan was monitored and reported at each Audit and Risk Committee meeting.

Our review of the findings of the internal audit work revealed certain weaknesses which were then raised with management. A system to track the implementation of internal audit recommendations is in place to ensure that the internal control environment is reviewed and enhanced to improve executing processes.

The Audit and Risk Committee is therefore satisfied that the Internal Audit Directorate is operating effectively and that it has provided reasonable assurance on controls around the risks pertinent to the Department in its final audit reports.

Risk Strategy

The Audit and Risk Committee confirmed that the Department has a Risk Management and Ethics Committee which serves as the risk committee for the Department. The Department has a risk management strategy and fraud prevention plan that is implemented and reported to the Audit and Risk Committee at each meeting. Risk assessments in the Department are conducted annually and strategies are formulated to mitigate the identified risks, which are also reported to the Audit and Risk Committee. The Department has developed a combined assurance plan to assist in the monitoring of the mitigation of key strategic and operational risks.

Auditor-General South Africa

Although there has been an improvement in the audit outcome, the Audit and Risk Committee noted the Auditor-General's report and opinion with concern. The major findings were as a result of insufficient evidence to support restatements in the financial statements.

Conclusion

The Auditor-General's opinion is concerning and management is in process of further adapting systems and processes to be able to comply with the Modified Cash Standards as to achieve an unqualified audit opinion from the Auditor-General's. A standard operating procedure is being developed to implement and monitor environmental projects. The Audit and Risk Committee is involved in the process and is monitoring the progress made in this regard.

Despite the qualified opinion of the Auditor-General, the Department has still performed well in all other aspects and the Audit and Risk Committee wishes to congratulate the Director-General, the Chief Operating Officer, Chief Financial Officer, Finance staff, management and all officials who have contributed to the success of the Department. The Committee would also like to appreciate the support from the AG in improving systems in the Department and the assurance provided to the Audit Committee.



Prof. D.P. Van Der Nest

CHAIRPERSON OF THE AUDIT & RISK COMMITTEE

DATE: 23 December 2020

13. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

HAS THE DEPARTMENT APPLIED ANY RELEVANT CODE OF GOOD PRACTICE (B-BBEE CERTIFICATE LEVELS 1 – 8) WITH REGARDS TO THE FOLLOWING:		
CRITERIA	RESPONSE YES/NO	DISCUSSION (INCLUDE A DISCUSSION ON YOUR RESPONSE AND INDICATE WHAT MEASURES HAVE BEEN TAKEN TO COMPLY)
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	NO	
Determining qualification criteria for the sale of stateowned enterprises?	NO	
Developing criteria for entering into partnerships with the private sector?	YES	<p>The department entered into Public Private Partnership (PPP) on 26 June 2012 following the bid that was advertised in terms of the new SCM regulations.</p> <ul style="list-style-type: none"> · Project: DEA PPP Head office Project · Successful Bidder: Imvelo Concessions Company (RF) (PTY) LTD · Unitary Payment: R95.5m per annum (Vat inclusive) · Government Capital Contribution: R366m · Contract Period: 25 Years(excluding construction period of two years) <p>As per above, the building is managed by a private party, known as Imvelo Concessions (RF) PTY LTD, providing a full service, inclusive of furniture and other assets as well as maintenance of such assets for a period of 25 years in terms of the Public Private Partnership Agreement signed on 26 June 2012.</p> <p>In light of the above, the private party bears the sole responsibility to procure, supply and maintain the assets (including provision of furniture) for the DEA head office during the contract period. Such assets will then revert to government at the end of the contract. The entire expenditure for the service to be rendered by the Private Party is catered for in the unitary payment as agreed in the Public Private Partnership Agreement. Therefore no other assets (including furniture) will be procured by the department outside the parameters of the Public Private Partnership Agreement for the DEA new head office.</p>

HAS THE DEPARTMENT APPLIED ANY RELEVANT CODE OF GOOD PRACTICE (B-BBEE CERTIFICATE LEVELS 1 - 8) WITH REGARDS TO THE FOLLOWING:

CRITERIA	RESPONSE YES/NO	DISCUSSION (INCLUDE A DISCUSSION ON YOUR RESPONSE AND INDICATE WHAT MEASURES HAVE BEEN TAKEN TO COMPLY)
Developing and implementing a preferential procurement policy?	YES	<p>The department has developed and implemented the Preferential Procurement Policy since 2001. This policy is derived from the Preferential Procurement Policy Framework Act 5 of 2001 as well as its regulations. The Preference System requires the protection and advancement of persons or categories of persons historically disadvantaged. To this end, the department introduced a BEE policy, which was so designed to create entry levels, as a point of departure, for the targeted groups, i.e. HDI, Women and physically challenged persons to enter the mainstream economy.</p> <p>This policy cut across all Business Units of the department, more especially those, whose operations are dependent upon procurement requirements.</p> <p>The policy further enables DEA to support its shareholder's social and constitutional responsibilities, by facilitating the following in the Terms of Reference (TOR):</p> <ul style="list-style-type: none"> · Reducing complexities for prospective BEE suppliers · Creating critical mass for Black Economic Empowerment · Positioning Historically Disadvantaged Enterprises and individuals to be able to compete · Support BEE joint venture initiatives · Support partnerships and linkages · Formulating innovative strategies for fast track BEE execution <p>Over and above this, DEA decided to target at least 65% of the available department budget for goods/services to be spend on BEE. The department utilizes the 80/20 and 90/10 principle also to empower the HDI, Women and people living with disabilities. In this policy, the preference also include black ownership, set asides etc.</p>
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	YES	<p>The Department of Environment, Fisheries and Forestry (DEA) has launched the Recycling Enterprise Support Programme (RESP) as an incentive programme, giving expression to the 22nd August 2015, MinMec resolution to support the establishment of at least 2 recycling companies per province over an initial two-year period. The RESP was to provide financial support in the form of grants payments of up to R5 million (five million rands) per project, to 51% black owned emerging enterprises in the waste recycling sector that represent historically disadvantaged communities with the intent of encouraging their growth and expansion in the recycling economy.</p> <p>This programme was effectively the first project of its kind for the Department and has been tasked to the Branch: Chemicals and Waste Management for implementation through the existing supply chain processes. More than R50 million was allocated to more than 20 BEE companies in this regard.</p>



PART D

HUMAN RESOURCE
MANAGEMENT

PART D: HUMAN RESOURCE MANAGEMENT

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

1. INTRODUCTION

The need to attract and retain the best Human Resource talent in the labour market in an important element in enabling DEA to achieve its strategic goals and long term vision of a prosperous and equitable society living in harmony with the natural environment. The Department is committed to implementing effective Human Resources Management strategies and interventions to position itself as an employer of choice and enable us to attract and retain the best available talent in the market. The Department implements a number of key programmes to build capacity and enhances skills development, with a particular focus on the youth. In 2019/20 a total of sixty (30) deserving youth students received funding for full-time bursaries to study at Universities in various fields of environmental management, and hundred and twenty-six (126) young graduates were recruited in the Department's annual internship programme for a period of 24 months. These are important annual interventions aimed at sustaining our talent base.

2. HUMAN RESOURCES OVERVIEW

The Department has a comprehensive Human Resource Plan which is implemented on an ongoing basis and reviewed regularly to ensure alignment with the overall strategy of the organization and changes in the environment. The key Human Resource Management interventions also include

implementation of a Performance Management and Development System (PMDS) which is aimed at the development of our

employees and ensuring sustained organisation performance. Monitoring of reports on implementation of the PMDS shows an overall good standing of compliance by employees with key requirements. The Department also has a comprehensive Employee Wellness Programme which offers on-going support to employees in effectively dealing with the demands of the work environment and other personal/societal factors which may have an impact on their performance. Ethical conduct is also promoted and underpinned by the department's Values and the related Batho-Pele Award Programme

3. HUMAN RESOURCES OVERSIGHT STATISTICS

3.1 Personnel related expenditure

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- amount spent on personnel
- amount spent on salaries, overtime, homeowner's allowances and medical aid.

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2019 and 31 March 2020

PROGRAMME	TOTAL EXPENDITURE	PERSONNEL EXPENDITURE	TRAINING EXPENDITURE	PROFESSIONAL AND SPECIAL SERVICES EXPENDITURE	PERSONNEL EXPENDITURE AS A % OF TOTAL EXPENDITURE	AVERAGE PERSONNEL COST PER EMPLOYEE
	(R'000)	(R'000)	(R'000)	(R'000)		(R' 000)
Administration	910 233	376 446	5 758	16 871	41,40	454,00
Legal, Authorisations, Compliance and Enforcement	220 102	146 495	1 597	13 598	66,60	758,00
Oceans and Coasts	458 621	135 215	5 225	213 075	29,50	735,00
Climate Change, Air Quality and Sustainable Development	450 251	150 660	1 461	15 350	33,50	543,00
Biodiversity and Conservation	796 847	82 610	1 807	5 594	10,40	590,00
Environmental Programmes	3 941 531	260 809	391 561	1 530 196	6,60	653,00
Chemicals and Waste Management	589 062	111 713	9 979	269 102	19,00	748,00
Total as on financial systems (BAS)	7 366 647	1 263 948	417 388	2 063 786	17,20	571,00

Table 3.1.2 Personnel costs by salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	PERSONNEL EX-PENDITURE	% OF TOTAL PERSONNEL COST	NO. OF EMPLOYEES	AVERAGE PERSONNEL COST PER EMPLOYEE
	(R'000)			(R' 000)
Skilled (Levels 3-5)	19 649	1,50	77	255 182
Highly skilled production (Levels 6-8)	251 423	19,30	617	407 493
Highly skilled supervision (Levels 9-12)	585 785	44,90	748	783 135
Senior management (Levels >= 13)	195 518	15,00	154	1 269 597
Contract (Levels 3-5)	12 262	0,90	200	61 310
Contract (Levels 6-8)	86 861	6,70	288	301 601
Contract (Levels 9-12)	33 366	2,60	43	775 953
Contract (Levels >= 13)	44 433	3,40	33	1 346 455
Contract Other	34 651	2,70	55	630 018
TOTAL	1 263 948	96,80	2216	570 374

Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2019 and 31 March 2020

PROGRAMME	SALARIES		OVERTIME		HOME OWNERS ALLOWANCE		MEDICAL AID	
	AMOUNT	SALARIES AS A % OF PERSONNEL COSTS	AMOUNT	OVERTIME AS A % OF PERSONNEL COSTS	AMOUNT	HOA AS A % OF PERSONNEL COSTS	AMOUNT	MEDICAL AID AS A % OF PERSONNEL COSTS
	(R'000)		(R'000)		(R'000)		(R' 000)	
Administration	336 572	89,40	9 073	2,40	6 618	1,80	11 970	3,20
Legal, Authorisations, Compliance and Enforcement	128 166	87,50	230	0,20	2 699	1,80	5 124	3,50
Oceans and Coasts	123 054	91,00	3 503	2,60	1 465	1,10	3 095	2,30
Climate Change, Air Quality and Sustainable Development	133 261	88,50	30	-	2 663	1,80	4 203	2,80
Biodiversity and Conservation	73 907	89,50	15	-	1 142	1,40	2 058	2,50
Environmental Programmes	228 310	87,50	170	0,10	4 902	1,90	9 215	3,50
Chemicals and Waste Management	100 353	89,80	723	0,60	1 951	1,70	2 363	2,10
TOTAL	1 123 623	88,90	13 744	1,10	21 440	1,70	38 028	3,00

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	SALARIES		OVERTIME		HOME OWNERS ALLOWANCE		MEDICAL AID	
	AMOUNT	SALARIES AS A % OF PERSONNEL COSTS	AMOUNT	OVERTIME AS A % OF PERSONNEL COSTS	AMOUNT	HOA AS A % OF PERSONNEL COSTS	AMOUNT	MEDICAL AID AS A % OF PERSONNEL COSTS
	(R'000)		(R'000)		(R'000)		(R' 000)	
Skilled (Levels 3-5)	14 088	70,70	422	2,10	1 238	6,20	2 094	10,50
Highly skilled production (Levels 6-8)	204 756	81,40	7 462	3,00	8 809	3,50	16 428	6,50
Highly skilled supervision (Levels 9-12)	509 585	85,10	4 966	0,80	8 648	1,40	16 905	2,80
Senior management (Levels >= 13)	192 758	108,40	-	0,00	2 267	1,30	2 119	1,20
Contract (Levels 3-5)	11 646	86,30	531	3,90	-	0,00	-	0,00
Contract (Levels 6-8)	86 053	97,40	247	0,30	32	0,00	107	0,10
Contract (Levels 9-12)	31 492	92,60	13	0,00	21	0,10	59	0,20
Contract (Levels >= 13)	41 913	92,90	-	0,00	107	0,20	228	0,50
Contract Other	31 332	88,80	103	0,30	298	0,80	120	0,30
TOTAL	1 123 623	88,90	13 744	1,10	21 420	1,70	38 060	3,00

3.2 Employment and Vacancies

Table 3.2.1 Employment and vacancies by programme as on 31 March 2020

PROGRAMME	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
PROG1/ADMIN, Permanent	568	512	13	421
PROG2/LACE, Permanent	217	194	10,7	3
PROG3/OC, Permanent	169	144	14,2	61
PROG4/CC&AQ, Permanent	196	173	9,7	16
PROG5/B&C, Permanent	110	95	12,7	14
PROG6/ENVPROG, Permanent	457	386	12	39
PROG7/CHEM&WASTE MNG, Permanent	94	86	7,4	63
TOTAL	1 812	1 590	12,2	618

Table 3.2.2 Employment and vacancies by salary band as on 31 March 2020

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Lower Skilled (Levels 1-2), Permanent	2	-	100	-
Skilled (Levels 3-5), Permanent	86	77	10,5	-
Highly Skilled Production (Levels 6-8), Permanent	723	617	14,7	10
Highly Skilled Supervision (Levels 9-12), Permanent	835	748	10,4	1
Senior Management (Levels >= 13), Permanent	180	154	14,4	2
Other, Permanent	56	56	-	54
Contract (Levels 3-5), Permanent	200	200	-	198
Contract (Levels 6-8), Permanent	288	288	-	286
Contract (Levels 9-12), Permanent	43	43	-	41
Contract (Levels >= 13), Permanent	33	33	-	26
TOTAL	2 446	2 216	9,4	618

Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2020

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
ADMINISTRATIVE RELATED, Permanent	316	290	8,2	48
AGRICUL ANIMAL OCEANOGRAPHY FORESTRY & OTHER SCIEN, Permanent	84	76	9,5	11
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC., Permanent	8	7	12,5	4
ARCHITECTS TOWN AND TRAFFIC PLANNERS, Permanent	3	3	-	-
ARCHIVISTS CURATORS AND RELATED PROFESSIONALS, Permanent	2	2	-	-
AUXILIARY AND RELATED WORKERS, Permanent	36	31	13,9	2
CHEMICAL AND PHYSICAL SCIENCE TECHNICIANS, Permanent	11	10	9,1	10
CLIENT INFORM CLERKS(SWITCHB RECEIPT INFORM CLERKS), Permanent	1	1	-	-
COMMUNICATION AND INFORMATION RELATED, Permanent	1	1	-	-
COMMUNITY DEVELOPMENT WORKERS, Permanent	27	21	22,2	-
COMPUTER PROGRAMMERS, Permanent	1	1	-	1

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
COMPUTER SYSTEM DESIGNERS AND ANALYSTS, Permanent	6	5	16,7	-
ELECTRICAL AND ELECTRONICS ENGINEERING TECHNICIANS, Permanent	1	1	-	1
ENGINEERING SCIENCES RELATED, Permanent	4	1	75	-
ENGINEERS AND RELATED PROFESSIONALS, Permanent	7	7	-	-
FARMING FORESTRY ADVISORS AND FARM MANAGERS, Permanent	8	4	50	4
FINANCE AND ECONOMICS RELATED, Permanent	22	19	13,6	-
FINANCIAL AND RELATED PROFESSIONALS, Permanent	19	19	-	1
FINANCIAL CLERKS AND CREDIT CONTROLLERS, Permanent	33	30	9,1	-
FOOD SERVICES AIDS AND WAITERS, Permanent	15	12	20	2
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS, Permanent	22	14	36,4	-
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER, Permanent	1	1	-	-
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF, Permanent	69	59	14,5	3
HUMAN RESOURCES CLERKS, Permanent	1	1	-	-
INFORMATION TECHNOLOGY RELATED, Permanent	33	31	6,1	-
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN, Permanent	42	41	2,4	3
LIBRARIANS AND RELATED PROFESSIONALS, Permanent	1	1	-	-
LIBRARY MAIL AND RELATED CLERKS, Permanent	12	12	-	-
LIGHT VEHICLE DRIVERS, Permanent	3	3	-	1
LOGISTICAL SUPPORT PERSONNEL, Permanent	56	49	12,5	-
MATERIAL-RECORDING AND TRANSPORT CLERKS, Permanent	9	8	11,1	-
MECHANICAL ENGINEERING THECHNICIANS, Permanent	3	3	-	3
MEDICAL SPECIALISTS, Permanent	2	2	-	2
MESSENGERS PORTERS AND DELIVERERS, Permanent	10	8	20	-
MOTOR VEHICLE DRIVERS, Permanent	10	10	-	10
NATURAL SCIENCES RELATED, Permanent	413	364	11,9	8
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS, Permanent	691	663	4,1	432
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS, Permanent	15	12	20	-

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
OTHER INFORMATION TECHNOLOGY PERSONNEL, Permanent	6	6	-	-
OTHER OCCUPATIONS, Permanent	4	4	-	1
PROFESSIONAL NURSE, Permanent	2	1	50	1
QUANTITY SURVEYORS & RELA PROF NOT CLASS ELSEWHERE, Permanent	1	1	-	-
REGULATORY INSPECTORS, Permanent	28	26	7,1	24
SAFETY HEALTH AND QUALITY INSPECTORS, Permanent	14	11	21,4	-
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS, Permanent	128	107	16,4	6
SECURITY OFFICERS, Permanent	45	43	4,4	-
SENIOR MANAGERS, Permanent	218	192	11,9	40
TRADE LABOURERS, Permanent	2	2	-	-
TOTAL	2 446	2 216	9,4	618

3.3 Filling of SMS posts

Table 3.3.1 SMS post information as on 31 March 2020

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department-16	1	1	100,00	-	-
Salary Level 16	2	2	100,00	-	-
Salary Level 15	8	8	100,00	-	-
Salary Level 14	39	38	97,44	1	2,56
Salary Level 13	134	110	82,09	24	17,91
TOTAL	184	159	86,41	25	13,59

Table 3.3.2 SMS post information as on 30 September 2019

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department-16	1	1	100,00	-	-
Salary Level 16	2	2	100,00	-	-
Salary Level 15	8	8	100,00	-	-
Salary Level 14	39	38	82,09	1	2,56
Salary Level 13	134	106	79,10	28	20,90
TOTAL	184	155	86,41	29	15,76

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2019 and 31 March 2020

SMS LEVEL	ADVERTISING	FILLING OF POSTS	
	NUMBER OF VACANCIES PER LEVEL ADVERTISED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL FILLED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL NOT FILLED IN 6 MONTHS BUT FILLED IN 12 MONTHS
Director-General/ Head of Department-16	-	-	-
Salary Level 15	-	-	-
Salary Level 14	1	1	-
Salary Level 13	15	9	8
TOTAL	16	10	8

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS posts - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2019 and 31 March 2020

REASONS FOR VACANCIES NOT ADVERTISED WITHIN SIX MONTHS

Following the reconfiguration of the Department resulting from the National Macro-Organisation of Government and financial limitations with which is faced, filling of vacancies had been impacted where mostly key and scarce posts were advertised.

REASONS FOR VACANCIES NOT FILLED WITHIN TWELVE MONTHS

- Re-advertisement of strategic and rare positions due to limited application pool
- Certain posts are put on hold due to Labour Relations process

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2019 and 31 March 2020

REASONS FOR VACANCIES NOT ADVERTISED WITHIN SIX MONTHS
Following the reconfiguration of the Department resulting from the National Macro-Organisation of Government and financial limitations with which is faced, filling of vacancies had been impacted where mostly key and scarce posts were advertised.

REASONS FOR VACANCIES NOT FILLED WITHIN TWELVE MONTHS
<ul style="list-style-type: none"> Re-advertisement of strategic and rare positions due to limited application pool Certain posts are put on hold due to Labour Relations process

3.4 Job evaluation

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF JOBS EVALUATED	% OF POSTS EVALUATED BY SALARY BANDS	POSTS UPGRADED		POSTS DOWNGRADED	
				NUMBER	% OF POSTS EVALUATED	NUMBER	% OF POSTS EVALUATED
Lower Skilled (Levels 1-2)	11	2	0.11	-	-	-	-
Skilled (Levels 3-5)	86	79	4.35	-	-	-	-
Highly Skilled Production (Levels 6-8)	711	707	39.01	1	0.5	-	100
Highly Skilled Supervision (Levels 9-12)	822	818	45.14	1	100	-	-
Senior Management Service Band A	133	133	7.34	-	-	-	-
Senior Management Service Band B	37	37	2.04	-	-	-	-
Senior Management Service Band C	11	11	0.61	-	-	-	-
Senior Management Service Band D	1	1	0.05	-	-	-	-
TOTAL	1 812	12	0,7	14	100	4	75

Note: Permanent Posts

Posts not evaluated during this year did not require evaluation as they were still within the 5 year validity period since the previous evaluation. The Minister and Deputy Minister posts are not included in this category even though are classified as permanent posts on the establishment

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2019 and 31 March 2020

GENDER	AFRICAN	ASIAN	COLOURED	WHITE	TOTAL
Female	-	-	-	-	-
Male	1	-	-	-	1
TOTAL	1	-	-	-	1
Employees with a disability	-	-	-	-	-

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2019 and 31 March 2020

OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION LEVEL	REASON FOR DEVIATION
Chief Director L14	4	14	15	Retention
Director L13	2	13	14	Retention
Deputy Director: ADMIN L11	2	11	12	Retention
Provisioning Admin Clerk L6	1	6	7	Grade Progression
Accounting Clerk III L 6	1	6	7	Grade Progression
Admin Officer L7	3	7	8	Grade Progression
Office Administrator I L6	1	6	7	Grade Progression
Telecom Operator: Principal L7	1	7	8	Grade Progression
Human Resource Practitioner L7	1	7	8	Grade Progression
Environmental Officer: Grade B	1	12	13	Retention
Total number of employees whose salaries exceeded the level determined by job evaluation				17
Percentage of total employed				1.00

Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2019 and 31 March 2020

GENDER	AFRICAN	ASIAN	COLOURED	WHITE	TOTAL
Female	4	-	1	5	10
Male	4	-	1	2	7
TOTAL	8	-	2	7	17
Employees with a disability					-
Total number of employees whose salaries exceeded the grades determine by job evaluation					17

3.5 Employment changes

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2019	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
Skilled (Levels 3-5) Permanent	80	2	3	3,8
Highly Skilled Production (Levels 6-8) Permanent	632	18	25	4
Highly Skilled Supervision (Levels 9-12) Permanent	744	19	30	4
Senior Management Service Band A Permanent	105	4	7	6,7
Senior Management Service Band B Permanent	33	2	2	6,1
Senior Management Service Band C Permanent	10	-	-	-
Senior Management Service Band D Permanent	2	-	2	100
Other Permanent	234	33	107	45,7
Contract (Levels 3-5) Permanent	32	132	46	143,8
Contract (Levels 6-8) Permanent	269	79	30	11,2
Contract (Levels 9-12) Permanent	41	32	26	63,4
Contract Band A Permanent	12	11	7	58,3
Contract Band B Permanent	9	5	3	33,3
Contract Band C Permanent	8	2	4	50
Contract Band D Permanent	2	-	1	50
TOTAL	2 213	339	293	13,2

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2019 and 31 March 2020

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2019	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
ADMINISTRATIVE RELATED Permanent	257	31	21	8,2
AGRICUL ANIMAL OCEANOGRAPHY FORESTRY & OTHER SCIEN Permanent	75	3	1	1,3
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC. Permanent	10	4	5	50
ARCHITECTS TOWN AND TRAFFIC PLANNERS Permanent	3	-	-	-
AUXILIARY AND RELATED WORKERS Permanent	33	3	6	18,2

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2019	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
CHEMICAL AND PHYSICAL SCIENCE TECHNICIANS Permanent	10	9	7	70
CLIENT INFORM CLERKS(SWITCHB RECEIPT INFORM CLERKS) Permanent	1	-	-	-
COMMUNICATION AND INFORMATION RELATED Permanent	1	-	-	-
COMMUNITY DEVELOPMENT WORKERS Permanent	21	-	1	4,8
COMPUTER SYSTEM DESIGNERS AND ANALYSTS. Permanent	7	-	-	-
ELECTRICAL AND ELECTRONICS ENGINEERING TECHNICIANS Permanent	1	1	1	100
ENGINEERING SCIENCES RELATED Permanent	2	-	1	50
ENGINEERS AND RELATED PROFESSIONALS Permanent	8	-	1	12,5
FARMING FORESTRY ADVISORS AND FARM MANAGERS Permanent	8	4	4	50
FINANCE AND ECONOMICS RELATED Permanent	17	2	1	5,9
FINANCIAL AND RELATED PROFESSIONALS Permanent	17	1	-	-
FINANCIAL CLERKS AND CREDIT CONTROLLERS Permanent	31	1	-	-
FOOD SERVICES AIDS AND WAITERS Permanent	9	4	1	11,1
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS Permanent	18	-	3	16,7
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER Permanent	1	-	-	-
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF Permanent	59	7	9	15,3
INFORMATION TECHNOLOGY RELATED Permanent	30	1	-	-
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN Permanent	41	2	1	2,4
LIBRARIANS AND RELATED PROFESSIONALS Permanent	1	-	-	-
LIBRARY MAIL AND RELATED CLERKS Permanent	12	1	1	8,3
LIGHT VEHICLE DRIVERS Permanent	1	1	-	-
LOGISTICAL SUPPORT PERSONNEL Permanent	46	2	3	6,5
MATERIAL-RECORDING AND TRANSPORT CLERKS Permanent	8	-	-	-
MECHANICAL ENGINEERING THECHNICIANS Permanent	6	2	5	83,3
MEDICAL SPECIALISTS Permanent	2	1	-	-
MESSENGERS PORTERS AND DELIVERERS Permanent	9	-	1	11,1
NATURAL SCIENCES RELATED Permanent	369	15	20	5,4

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2019	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS Permanent	693	193	161	23,2
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS Permanent	12	-	-	-
OTHER INFORMATION TECHNOLOGY PERSONNEL Permanent	5	-	-	-
OTHER OCCUPATIONS Permanent	2	1	2	100
PROFESSIONAL NURSE Permanent	3	1	2	66,7
QUANTITY SURVEYORS & RELA PROF NOT CLASS ELSEWHERE Permanent	1	-	-	-
REGULATORY INSPECTORS Permanent	27	1	1	3,7
SAFETY HEALTH AND QUALITY INSPECTORS Permanent	10	3	-	-
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS Permanent	113	7	6	5,3
SECURITY OFFICERS Permanent	43	-	-	-
SENIOR MANAGERS Permanent	189	26	27	14,3
TRADE LABOURERS Permanent	1	2	1	100
TOTAL	2 213	339	293	13,2

Table 3.5.3 Reasons why staff left the department for the period 1 April 2019 and 31 March 2020

TERMINATION TYPE	NUMBER	% OF TOTAL RESIGNATIONS
Death, Permanent	8	2,7
Resignation, Permanent	94	32,1
Initiated severance package	185	63,1
Dismissal-misconduct, Permanent	2	0,7
Retirement, Permanent	4	1,4
TOTAL	293	100
Total number of employees who left as a % of total employment		4.80

Table 3.5.4 Promotions by critical occupation for the period 1 April 2019 and 31 March 2020

OCCUPATION	EMPLOYEES 1 APRIL 2019	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY LEVEL PROMOTIONS AS A % OF EMPLOYEES BY OCCUPATION	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A % OF EMPLOYEES BY OCCUPATION
ADMINISTRATIVE RELATED	257	8	3,1	215	83,7
AGRICUL ANIMAL OCEANOGRAPHY FORESTRY & OTHER SCIEN	75	2	2,7	51	68
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	10	-	-	2	20
ARCHITECTS TOWN AND TRAFFIC PLANNERS	3	-	-	3	100
AUXILIARY AND RELATED WORKERS	33	1	3	20	60,6
CHEMICAL AND PHYSICAL SCIENCE TECHNICIANS	10	-	-	-	-
CLIENT INFORM CLERKS(SWITCHB RECEIPT INFORM CLERKS)	1	-	-	1	100
COMMUNICATION AND INFORMATION RELATED	1	-	-	1	100
COMMUNITY DEVELOPMENT WORKERS	21	-	-	14	66,7
COMPUTER SYSTEM DESIGNERS AND ANALYSTS.	7	-	-	6	85,7
ELECTRICAL AND ELECTRONICS ENGINEERING TECHNICIANS	1	-	-	-	-
ENGINEERING SCIENCES RELATED	2	-	-	2	100
ENGINEERS AND RELATED PROFESSIONALS	8	-	-	5	62,5
FARMING FORESTRY ADVISORS AND FARM MANAGERS	8	-	-	-	-
FINANCE AND ECONOMICS RELATED	17	-	-	16	94,1
FINANCIAL AND RELATED PROFESSIONALS	17	1	5,9	17	100
FINANCIAL CLERKS AND CREDIT CONTROLLERS	31	1	3,2	24	77,4
FOOD SERVICES AIDS AND WAITERS	9	-	-	9	100
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	18	2	11,1	13	72,2
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	1	-	-	-	-
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	59	2	3,4	43	72,9
INFORMATION TECHNOLOGY RELATED	30	-	-	25	83,3
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	41	-	-	31	75,6
LIBRARIANS AND RELATED PROFESSIONALS	1	-	-	1	100
LIBRARY MAIL AND RELATED CLERKS	12	-	-	10	83,3
LIGHT VEHICLE DRIVERS	1	-	-	-	-

OCCUPATION	EMPLOYEES 1 APRIL 2019	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY LEVEL PROMOTIONS AS A % OF EMPLOYEES BY OCCUPATION	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A % OF EMPLOYEES BY OCCUPATION
LOGISTICAL SUPPORT PERSONNEL	46	3	6,5	36	78,3
MATERIAL-RECORDING AND TRANSPORT CLERKS	8	-	-	6	75
MECHANICAL ENGINEERING THECHNICIANS	6	-	-	-	-
MEDICAL SPECIALISTS	2	-	-	-	-
MESSENGERS PORTERS AND DELIVERERS	9	-	-	8	88,9
NATURAL SCIENCES RELATED	369	4	1,1	302	81,8
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	693	1	0,1	221	31,9
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	12	-	-	10	83,3
OTHER INFORMATION TECHNOLOGY PERSONNEL	5	1	20	3	60
OTHER OCCUPATIONS	2	1	50	1	50
PROFESSIONAL NURSE	3	-	-	-	-
QUANTITY SURVEYORS & RELA PROF NOT CLASS ELSEWHERE	1	-	-	1	100
REGULATORY INSPECTORS	27	-	-	27	100
SAFETY HEALTH AND QUALITY INSPECTORS	10	-	-	8	80
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	113	-	-	98	86,7
SECURITY OFFICERS	43	-	-	37	86
SENIOR MANAGERS	189	6	3,2	122	64,6
TRADE LABOURERS	1	-	-	-	-
UNKNOWN	-	-	-	1	-
TOTAL	2 213	33	1,5	1 390	62,8

Table 3.5.5 Promotions by salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	EMPLOYEES 1 APRIL 2019	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY BANDS PROMOTIONS AS A % OF EMPLOYEES BY SALARY LEVEL	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A % OF EMPLOYEES BY SALARY BANDS
Skilled (Levels 3-5), Permanent	80	-	-	72	90
Highly Skilled Production (Levels 6-8), Permanent	632	7	1,1	525	83, 1
Highly Skilled Supervision (Levels 9-12), Permanent	744	15	2	625	84
Senior Management (Levels >= 13), Permanent	150	9	6	110	73, 3
Other, Permanent	234	2	0,9	6	2, 6
Contract (Levels 3-5), Permanent	32	-	-	8	25
Contract (Levels 6-8), Permanent	269	-	-	25	9, 3
Contract (Levels 9-12), Permanent	41	-	-	11	26, 8
Contract (Levels >= 13), Permanent	31	-	-	8	25, 8
TOTAL	2 213	33	1,5	1 390	62, 8

3.6 Employment equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2020

OCCUPATIONAL CATEGORY	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Senior Officials And Managers	71	14	14	15	55	3	9	14	195
Professionals	103	17	5	11	133	15	7	18	309
echnicians And Associate Professionals	288	20	6	35	354	20	13	40	776
Clerks	260	25	4	5	482	33	4	9	822
Service Shop And Market Sales Workers	45	2	-	-	22	-	-	-	69
Craft And Related Trade Workers	4	2	-	-	-	1	-	-	7
Plant And Machine Operators And Assemblers	13	-	-	-	-	-	-	-	13
Labourers And Related Workers	8	3	-	-	11	1	-	1	24
Unknown	-	-	-	-	1	-	-	-	1
TOTAL	792	83	29	66	1 058	73	33	82	2 216
Employees with disabilities	10	1	1	4	18	2	1	11	48

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2020

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management, Permanent	2	1	2	1	5	-	-	2	13
Senior Management, Permanent	54	11	10	7	38	3	7	11	141
Professionally qualified and experienced specialists and mid-management, Permanent	276	23	10	34	322	23	17	43	748
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	183	23	3	11	342	32	6	17	617
Semi-skilled and discretionary decision making, Permanent	34	5	-	-	33	5	-	-	77
Not Available, Permanent	32	-	1	1	21	-	-	1	56
Contract (Top Management), Permanent	2	1	-	2	2	-	-	-	7
Contract (Senior Management), Permanent	6	2	1	5	7	-	2	3	26
Contract (Professionally Qualified), Permanent	19	1	-	2	18	-	1	2	43
Contract (Skilled Technical), Permanent	118	13	1	2	146	6	-	2	288
Contract (Semi-Skilled), Permanent	66	3	1	1	124	4	-	1	200
TOTAL	792	83	29	66	1 058	73	33	82	2 216

Table 3.6.3 Recruitment for the period 1 April 2019 to 31 March 2020

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Senior Management, Permanent	4	-	-	-	1	-	-	1	6
Professionally qualified and experienced specialists and mid-management, Permanent	9	-	1	-	7	1	-	1	19
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	6	-	-	-	11	-	1	-	18
Semi-skilled and discretionary decision making, Permanent	1	1	-	-	-	-	-	-	2
Not Available, Permanent	20	-	1	1	11	-	-	-	33
Contract (Top Management), Permanent	1	-	-	-	-	1	-	-	2
Contract (Senior Management), Permanent	3	1	1	5	2	-	2	2	16
Contract (Professionally qualified), Permanent	11	1	-	3	16	-	1	-	32
Contract (Skilled technical), Permanent	36	3	-	1	36	2	-	1	79
Contract (Semi-skilled), Permanent	37	1	1	1	90	2	-	-	132
TOTAL	128	7	4	11	174	6	4	5	339
Employees with disabilities	-	-	-	-	-	-	-	-	-

Table 3.6.4 Promotions for the period 1 April 2019 to 31 March 2020

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management, Permanent	-	-	1	1	-	-	-	1	3
Senior Management, Permanent	40	9	8	7	34	4	5	9	116
Professionally qualified and experienced specialists and mid-management, Permanent	232	20	7	28	282	18	19	34	640
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	157	18	2	5	304	30	4	12	532
Semi-skilled and discretionary decision making, Permanent	30	4	-	-	33	5	-	-	72
Not Available, Permanent	5	-	-	-	3	-	-	-	8
Contract (Senior Management), Permanent	2	1	-	1	3	-	-	1	8
Contract (Professionally qualified), Permanent	5	-	-	-	4	1	-	1	11
Contract (Skilled technical), Permanent	14	-	-	-	11	-	-	-	25
Contract (Semi-skilled), Permanent	4	1	-	-	3	-	-	-	8
TOTAL	489	53	18	42	677	58	28	58	1 423
EMPLOYEES WITH DISABILITIES	8	1	-	3	17	2	1	7	39

Table 3.6.5 Terminations for the period 1 April 2019 to 31 March 2020

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management, Permanent	-	-	-	-	2	-	-	-	2
Senior Management, Permanent	4	-	1	-	3	-	-	1	9
Professionally qualified and experienced specialists and mid-management, Permanent	13	-	1	2	11	-	2	1	30
Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	15	-	-	-	9	-	-	1	25
Semi-skilled and discretionary decision making, Permanent	1	1	-	-	1	-	-	-	3
Not Available, Permanent	41	2	-	1	63	-	-	-	107
Contract (Top Management), Permanent	2	-	-	-	1	2	-	-	5
Contract (Senior Management), Permanent	4	2	-	1	2	1	-	-	10
Contract (Professionally qualified), Permanent	11	1	-	3	9	1	-	1	26
Contract (Skilled technical), Permanent	13	1	1	1	12	-	1	1	30
Contract (Semi-skilled), Permanent	8	-	-	-	37	-	-	1	46
TOTAL	112	7	3	8	150	4	3	6	293
EMPLOYEES WITH DISABILITIES	1	-	-	1	-	-	-	1	3

Table 3.6.6 Disciplinary action for the period 1 April 2019 to 31 March 2020

DISCIPLINARY ACTION	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Correctional counselling	-	-	-	-	-	-	-	-	-
Verbal warning	-	-	-	-	1	-	-	-	1
Written warning	-	-	-	-	1	-	-	-	1
Final written warning	3	-	-	-	3	-	-	-	6
Suspended without pay	2	-	-	-	1	-	-	-	3
Fine	-	-	-	-	-	-	-	-	-
Demotion	-	-	-	-	-	-	-	-	-
Dismissal	2	-	-	-	-	-	-	-	2
Case withdrawn	-	-	-	-	-	-	-	-	-
Resignation	1	-	-	-	-	-	-	-	1

Table 3.6.7 Skills development for the period 1 April 2019 to 31 March 2020

OCCUPATIONAL CATEGORY	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Legislators, senior officials and managers	32	2	6	2	19	1	5	2	69
Professionals	47	1	-	1	28	1	1	4	83
Technicians and associate professionals	75	4	4	3	80	6	2	6	180
Clerks	97	1	1	2	138	3	-	1	243
Service and sales workers	7	-	-	-	5	-	-	-	12
Skilled agriculture and fishery workers	-	-	-	-	-	-	-	-	-
Craft and related trades workers	-	-	-	-	-	-	-	-	-
Plant and machine operators and assemblers	-	-	-	-	-	-	-	-	-
Elementary occupations	1	-	-	-	-	-	-	-	1
TOTAL	259	8	11	8	270	11	8	13	588
Employees with disabilities	-	-	-	-	-	-	-	-	588

3.7 Signing of performance agreements by SMS members

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2020

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS MEMBERS	TOTAL NUMBER OF SIGNED PERFORMANCE AGREEMENTS	SIGNED PERFORMANCE AGREEMENTS AS % OF TOTAL NUMBER OF SMS MEMBERS
Director-General/ Head of Department				
Salary Level 16	1	1	1	100 %
Salary Level 15	9	9	8	89 %
Salary Level 14	40	38	37	97 %
Salary Level 13	134	109	107	98 %
TOTAL	184	159	153	96 %

Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2020

REASONS
Disagreement between supervisor and supervisee

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2020

REASONS
None

3.8 Performance rewards

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2019 to 31 March 2020

RACE AND GENDER	BENEFICIARY PROFILE			COST	
	NUMBER OF EMPLOYEES	NUMBER OF BENEFICIARIES	% OF TOTAL WITHIN GROUP	COST (R'000)	AVERAGE COST PER EMPLOYEE
African Female	1 040	738	70,96%	7616,30	10 320,00
African Male	782	555	70,97%	5710,60	10 289,00
Asian Female	32	28	87,50%	300,10	10 718,00
Asian Male	28	22	78,57%	244,50	11 114,00
Coloured Female	71	59	83,10%	566,90	9 608,00
Coloured Male	82	63	76,83%	635,90	10 094,00
White Female	71	62	87,32%	670,00	10 806,00
White Male	62	53	85,48%	588,40	11 102,00
Employees with a disability	48	45	93,75%	466,80	10 373,00
TOTAL	2 216	1 625	73,33%	16 799,50	94 424,00

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2019 to 31 March 2020

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A % OF THE TOTAL PERSONNEL EXPENDITURE
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN SALARY BANDS	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE	
Skilled (Levels 3-5)	77	78	101,30%	668,40	8 569,00	4,51%
Highly Skilled Production (Levels 6-8)	617	604	97,89%	5 782,90	9 574,00	39,02%
Highly Skilled Supervision (Levels 9-12)	748	721	96,39%	7 929,40	10 998,00	53,50%
Other	56	-	0,00%	-	-	0,00%
Contract (Levels 3-5)	200	3	1,50%	22,80	7 600,00	0,15%
Contract (Levels 6-8)	288	27	9,38%	251,70	9 322,00	1,70%
Contract (Levels 9-12)	43	15	34,88%	166,20	11 080,00	1,12%
TOTAL	2 029	1 448	71,37%	14 821,40	5 7143,00	100,00 %

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2019 to 31 March 2020

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE
FINANCIAL CLERKS AND CREDIT CONTROLLERS	28	30	93,33%	298,30	10 654,00
HUMAN RESOURCES CLERKS	-	1	0,00%	-	-
SECURITY OFFICERS	43	43	100,00%	362,80	8 437,00
HUMAN RESOURCES & ORGANISAT DEVELOPM & RELATE PROF	56	59	94,92%	567,80	10 139,00
ALL ARTISANS IN THE BUILDING METAL MACHINERY ETC.	3	7	42,86%	24,30	8 100,00
MESSENGERS PORTERS AND DELIVERERS	9	8	112,50%	83,70	9 300,00
SAFETY HEALTH AND QUALITY INSPECTORS	8	11	72,73%	84,20	10 525,00
LOGISTICAL SUPPORT PERSONNEL	42	49	85,71%	423,30	10 079,00
FINANCE AND ECONOMICS RELATED	17	19	89,47%	203,30	11 959,00
NATURAL SCIENCES RELATED	358	364	98,35%	3 958,60	11 058,00
OTHER ADMINISTRAT & RELATED CLERKS AND ORGANISERS	250	663	37,71%	2 325,40	9 302,00
AUXILIARY AND RELATED WORKERS	30	31	96,77%	241,50	8 050,00
OTHER OCCUPATIONS	-	4	0,00%	-	-
AGRICUL ANIMAL OCEANOGRAPHY FORESTRY & OTHER SCIEN	72	76	94,74%	719,70	9 996,00
FINANCIAL AND RELATED PROFESSIONALS	17	19	89,47%	189,80	11 165,00
ARCHITECTS TOWN AND TRAFFIC PLANNERS	3	3	100,00%	31,80	10 600,00
ADMINISTRATIVE RELATED	236	290	81,38%	2 513,90	10 652,00
COMMUNICATION AND INFORMATION RELATED	1	1	100,00%	12,70	12 700,00
SECRETARIES & OTHER KEYBOARD OPERATING CLERKS	105	107	98,13%	1 043,40	9 937,00
LIBRARY MAIL AND RELATED CLERKS	11	12	91,67%	96,80	8 800,00
HEAD OF DEPARTMENT/CHIEF EXECUTIVE OFFICER	-	1	0,00%	-	-
CHEMICAL AND PHYSICAL SCIENCE TECHNICIANS	-	10	0,00%	-	-
COMPUTER PROGRAMMERS	-	1	0,00%	-	-
TRADE LABOURERS	1	2	50,00%	7,60	7 600,00
LANGUAGE PRACTITIONERS INTERPRETERS & OTHER COMMUN	40	41	97,56%	435,40	10 885,00
GENERAL LEGAL ADMINISTRATION & REL. PROFESSIONALS	16	14	114,29%	188,20	11 763,00

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE
REGULATORY INSPECTORS	23	26	88,46%	201,80	8 774,00
ARCHIVISTS CURATORS AND RELATED PROFESSIONALS	-	2	0,00%	-	-
MATERIAL-RECORDING AND TRANSPORT CLERKS	7	8	87,50%	60,10	8 586,00
OTHER ADMINISTRATIVE POLICY AND RELATED OFFICERS	11	12	91,67%	111,50	10 136,00
PROFESSIONAL NURSE	-	1	0,00%	-	-
SENIOR MANAGERS	159	192	82,81%	1 815,20	11 416,00
FARMING FORESTRY ADVISORS AND FARM MANAGERS	-	4	0,00%	-	-
CLIENT INFORM CLERKS(SWITCHB RECEIPT INFORM CLERKS)	1	1	100,00%	11,50	11 500,00
COMPUTER SYSTEM DESIGNERS AND ANALYSTS	5	5	100,00%	57,20	11 440,00
ENGINEERS AND RELATED PROFESSIONALS	5	7	71,43%	48,80	9 760,00
OTHER INFORMATION TECHNOLOGY PERSONNEL	5	6	83,33%	54,80	10 960,00
LIGHT VEHICLE DRIVERS	1	3	33,33%	10,90	10 900,00
ELECTRICAL AND ELECTRONICS ENGINEERING TECHNICIANS	-	1	0,00%	-	-
ENGINEERING SCIENCES RELATED	2	1	200,00%	22,40	11 200,00
MEDICAL SPECIALISTS	-	2	0,00%	-	-
MOTOR VEHICLE DRIVERS	-	10	0,00%	-	-
FOOD SERVICES AIDS AND WAITERS	9	12	75,00%	74,70	8 300,00
QUANTITY SURVEYORS & RELA PROF NOT CLASS ELSEWHERE	1	1	100,00%	9,70	9 700,00
MECHANICAL ENGINEERING THECHNICIANS	-	3	0,00%	-	-
COMMUNITY DEVELOPMENT WORKERS	19	21	90,48%	157,90	8 311,00
INFORMATION TECHNOLOGY RELATED	30	31	96,77%	342,00	11 400,00
LIBRARIANS AND RELATED PROFESSIONALS	1	1	100,00%	8,50	8 500,00
TOTAL	1 625	2 216	73,33%	16 799,50	362 584,00

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2019 to 31 March 2020

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A % OF THE TOTAL PERSONNEL EXPENDITURE
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN SALARY BANDS	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE	
Band A	110	124	88,71%	1242,60	11 296,40	71,81%
Band B	30	43	69,77%	366,90	12 230,00	21,20%
Band C	11	17	64,71%	120,90	10 990,90	6,99%
Band D	-	3	0,00%	-	-	0,00%
TOTAL	151	187	80,75%	1 730,40	34 517,30	100,00 %

3.9 Foreign workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

Table 3.9.1 Foreign workers by salary band for the period 1 April 2019 and 31 March 2020

SALARY BAND	01 APRIL 2019		31 MARCH 2020		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% CHANGE
Highly skilled production (Levels 6-8)	1	11,10	-	0,00	- 1	- 25,00
Highly skilled supervision (Levels 9-12)	6	66,70	6	46,20	-	-
Other	1	11,10	1	7,70	-	-
Senior management (Levels 13-16)	1	11,10	2	15,40	1	25,00
Skilled (Levels 3-5)	-	0,00	4	30,80	4	100,00
TOTAL	9	100,00	13	100,00	4	100,00

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2019 and 31 March 2020

MAJOR OCCUPATION	01 APRIL 2019		31 MARCH 2020		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	%CHANGE
Administrative office workers	-	0,00	4	30,80	4	100,00
Professionals and managers	8	88,90	9	69,20	1	25,00
Technicians and associated professionals	1	11,10	-	0,00	- 1	- 25,00
TOTAL	9	100,00	13	100,00	4	100,00

3.10 Leave utilisation 2

Table 3.10.1 Sick leave for the period 1 January 2019 to 31 December 2020

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING SICK LEAVE	% OF TOTAL EMPLOYEES USING SICK LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
Contract (Levels 13-16)	62	82,3	10	0,8	6	280
Contract (Levels 3-5)	186	44,6	51	3,9	4	70
Contract (Levels 6-8)	232	69,8	50	3,8	5	290
Contract (Levels 9-12)	98	83,7	12	0,9	8	291
Contract Other	237	72,2	57	4,4	4	667
Highly skilled production (Levels 6-8)	3 512	72	453	34,7	8	4 901
Highly skilled supervision (Levels 9-12)	3 508,5	71,3	510	39,1	7	9 798
Senior management (Levels 13-16)	588	73,3	99	7,6	6	2 723
Skilled (Levels 3-5)	573	80,3	62	4,8	9	470
TOTAL	8 996,5	71,9	1304	100	7	19 491

Note: Includes contracts

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2019 to 31 December 2019

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING DISABILITY LEAVE	% OF TOTAL EMPLOYEES USING DISABILITY LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
Contract (Levels 6-8)	15	100	1	5,9	15	20
Contract (Levels 9-12)	84	100	1	5,9	84	226
Contract Other	25	100	1	5,9	25	41
Highly skilled production (Levels 6-8)	629	100	5	29,4	126	820
Highly skilled supervision (Levels 9-12)	338	100	6	35,3	56	870
Senior management (Levels 13-16)	28	100	1	5,9	28	119
Skilled (Levels 3-5)	27	100	2	11,8	14	24
TOTAL	1 146	100	17	100	67	2 120

Table 3.10.3 Annual Leave for the period 1 January 2019 to 31 December 2019

SALARY BAND	TOTAL DAYS TAKEN	NUMBER OF EMPLOYEES USING ANNUAL LEAVE	AVERAGE PER EMPLOYEE
Contract (Levels 13-16)	491	14	34
Contract (Levels 3-5)	1 547	7	226
Contract (Levels 6-8)	2 346	9	272
Contract (Levels 9-12)	461	13	36
Contract Other	1 315	8	157
Highly skilled production (Levels 6-8)	14 026	22	639
Highly skilled supervision (Levels 9-12)	17 778,25	23	776
Senior management (Levels 13-16)	3 785,5	23	162
Skilled (Levels 3-5)	1 766	22	82
TOTAL	43 515,75	18	2 384

Table 3.10.4 Capped leave for the period 1 January 2019 to 31 December 2019

SALARY BAND	TOTAL DAYS OF CAPPED LEAVE TAKEN	NUMBER OF EMPLOYEES USING CAPPED LEAVE	AVERAGE NUMBER OF DAYS TAKEN PER EMPLOYEE	AVERAGE CAPPED LEAVE PER EMPLOYEE AS AT 31 MARCH 2020
Contract (Levels 13-16)	-	-	-	18
Contract (Levels 3-5)	-	-	-	-
Contract (Levels 6-8)	-	-	-	-
Contract (Levels 9-12)	-	-	-	-
Contract Other	-	-	-	-
Highly skilled production (Levels 6-8)	4	1	4	30
Highly skilled supervision (Levels 9-12)	16	4	4	35
Senior management (Levels 13-16)	5	1	5	22
Skilled (Levels 3-5)	-	-	-	37
TOTAL	25	6	4	31

Table 3.10.5 Leave payouts for the period 1 April 2019 and 31 March 2020

REASON	TOTAL AMOUNT (R'000)	NUMBER OF EMPLOYEES	AVERAGE PER EMPLOYEE (R'000)
Leave pay out for 2019/20 due to non-utilisation of leave for the previous cycle	-	-	-
Capped leave pay-outs on termination of service for 2019/20	231	4	58
Current leave pay out on termination of service for 2019/20	3 470	116	30
TOTAL	3 701	120	31

3.11 Hiv/aids & health promotion programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

UNITS/CATEGORIES OF EMPLOYEES IDENTIFIED TO BE AT HIGH RISK OF CONTRACTING HIV & RELATED DISEASES (IF ANY)	KEY STEPS TAKEN TO REDUCE THE RISK
None	<p>Conduct HTC quarterly distribution of condoms and information pamphlets</p> <p>Conduct gender dialogues on HIV issues</p> <p>Observe HIV awareness and provide counselling</p>

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

QUESTION	YES	NO	DETAILS, IF YES
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	x		Mr Sandy Nyathi -Dir. Transformation, Employee Health and Wellness
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	x		There are five (5) dedicated staff members to promote Health and Wellbeing of employees. Wellbeing of Employees. The annual budget available is R1085 000.
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	x		Wellness management <ul style="list-style-type: none"> Health and Productivity Management. HIV and TB Management. Occupational Health and Safety Management
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	x		OHS committee and Wellness Champions
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	X		THE Department has 4 approved Wellness policies Namely: HIV and TB Management Policy; Health and Productivity Management Policy, Wellness Management Policy and OHS/SHERQ policy
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	X		Care and support services for HIV infected and affected employees are available Counselling services are in place, and condoms are distributed in bathroom on a regular bases HIV and TB Management Policy in the workplace in place

QUESTION	YES	NO	DETAILS, IF YES
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have you achieved.	X		· HCT done on quarterly basis
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so list these measures/indicators	X		<ul style="list-style-type: none"> Operational plans on the 4 pillars of EHW programmes are submitted to DPSA annually Monthly and quarterly reports are provided to management on the implementation of EHW programmes Quarterly implementation reports on HCT and EHW submitted to DPSA for MPAT purposes

3.12 Labour relations

Table 3.12.1 Collective agreements for the period 1 April 2019 and 31 March 2020

Total number of collective agreements	None
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The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2019 and 31 March 2020

OUTCOMES OF DISCIPLINARY HEARINGS	NUMBER	% OF TOTAL
Correctional counselling	-	-
Verbal warning	1	1
Written warning	1	1
Final written warning	6	6
Suspended without pay	3	3
Fine	-	-
Demotion	-	-
Dismissal	2	2
Not guilty	4	4
Case withdrawn	-	-
Resignation	1	1
TOTAL	18	18

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2019 and 31 March 2020

TYPE OF MISCONDUCT	NUMBER	% OF TOTAL
Wilfully or negligently mismanages finances	5	100
TOTAL	5	100

Table 3.12.4 Grievances logged for the period 1 April 2019 and 31 March 2020

GRIEVANCES	NUMBER	% OF TOTAL
Number of grievances substantiated (Upheld)	8	28
Number of grievances unsubstantiated (dismissed)	21	72
TOTAL	29	100

Table 3.12.5 Disputes logged with Councils for the period 1 April 2019 and 31 March 2020

DISPUTES	NUMBER	% OF TOTAL
Number of disputes upheld	1	10
Number of disputes dismissed	9	90
Total number of disputes lodged	10	100

Table 3.12.6 Strike actions for the period 1 April 2019 and 31 March 2020

Total number of persons working days lost	-
Total costs working days lost	-
Amount recovered as a result of no work no pay (R'000)	-

Table 3.12.7 Precautionary suspensions for the period 1 April 2019 and 31 March 2020

Number of people suspended	1
Number of people who's suspension exceeded 30 days	1
Average number of days suspended	90
Cost of suspension (R'000)	R215 885.43

3.13 Skills development

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2019 and 31 March 2020

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2019	TRAINING NEEDS IDENTIFIED AT START OF THE REPORTING PERIOD			
			LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	78	-	17	-	17
Legislators, senior officials and managers	Male	110	-	8	-	8
Professionals	Female	172	-	56	-	56
Professionals	Male	139	-	38	-	38
Technicians and associate professionals	Female	405	-	168	-	168
Technicians and associate professionals	Male	348	-	127	-	127
Clerks	Female	524	-	226	-	226
Clerks	Male	292	-	123	-	123
Service and sales workers	Female	22	-	15	-	15
Service and sales workers	Male	50	-	34	-	34
Skilled agriculture and fishery workers	Female	-	-	-	-	-
Skilled agriculture and fishery workers	Male	-	-	-	-	-
Craft and related trades workers	Female	2	-	1	-	1
Craft and related trades workers	Male	9	-	1	-	1
Plant and machine operators and assemblers	Female	-	-	-	-	-
Plant and machine operators and assemblers	Male	1	-	-	-	-
Elementary occupations	Female	8	-	-	-	-
Elementary occupations	Male	11	-	3	-	3
Gender sub totals	Female	1 211	-	483	-	483
Gender sub totals	Male	960	-	334	-	334
TOTAL		2 171	-	817	-	817

Table 3.13.2 Training provided for the period 1 April 2019 and 31 March 2020

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2019	TRAINING PROVIDED WITHIN THE REPORTING PERIOD			
			LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	78	-	27	-	27
Legislators, senior officials and managers	Male	110	-	42	-	42
Professionals	Female	172	-	34	-	34
Professionals	Male	139	-	49	-	49
Technicians and associate professionals	Female	405	-	94	-	94
Technicians and associate professionals	Male	348	-	86	-	86
Clerks	Female	524	-	142	-	142
Clerks	Male	292	-	101	-	101
Service and sales workers	Female	22	-	5	-	5
Service and sales workers	Male	50	-	7	-	7
Skilled agriculture and fishery workers	Female	-	-	-	-	-
Skilled agriculture and fishery workers	Male	-	-	-	-	-
Craft and related trades workers	Female	2	-	-	-	-
Craft and related trades workers	Male	9	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
Plant and machine operators and assemblers	Male	1	-	-	-	-
Elementary occupations	Female	8	-	-	-	-
Elementary occupations	Male	11	-	-	-	-
Gender sub totals	Female	1 211	-	302	-	302
Gender sub totals	Male	960	-	286	-	286
TOTAL		2 171		588	-	588

3.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2019 and 31 March 2020

NATURE OF INJURY ON DUTY	NUMBER	% OF TOTAL
Required basic medical attention only	-	-
Temporary Total Disablement	-	-
Permanent Disablement	-	-
Fatal	-	-
TOTAL	-	-

3.15 Utilisation of consultants

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2019 and 31 March 2020

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKXED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND
E1468: The Appointment Of A Service Provider To Undertake The Hazard Identification And Risk Assessments (Hira) And The Review Of Existing As Well As Development Of Appropriate Risk - Responsive Control Measures At The Branch: Oceans & Coasts	1	24 months	R2 967 800,00
E1473: The Appointment Of A Service Provider To Conduct A Systematic Assessment And Verification On Areas That Have Been Previously Worked On By The Working For Water (Wfw) Programme And Other Related Environmental Programmes In Order To Determine Their Current Status	2	12 months	R1 137 845,00
E1482: The Appointment Of A Service Provider To Develop A National Buffering Mechanism Policy For World Heritage Sites In South Africa For The Period Of Eight (8) Months	1	8 months	R841 455,00
E1489: The Appointment Of The Service Provider To Develop An Environmental Management Framework (Emf) For The Cape Floral Region Protected Areas World Heritagge Site (Cfrpa Wfs) For The Period Of Eighteen Months	3	18 months	R1 231 512,00
E1491: The Appointment Of The Service Provider To Render Media Monitoring Service For The Department of Environmental Affairs.	2	36 months	R754 028,31
E1492: The Appointment Of The Service Provider To Conduct Skills Audit For The Department	6	6 months	R2 652 287,00
E1495: The Appointment Of A Service Provider To Conduct Planning For Potential Coastal Infrastructure Projects At Identified Sites In The Western Cape	4	9 months	R2 346 707,90
E1497: The Appointment Of The Service Provider To Conduct An Implementation Evaluation Of The Environment And Culture Sector Within The EpWp.	8	8 months	R847 837,50
E1502: The Appointment Of An Independent Service Provider To Assist With The Chairing Of The Multi Stakeholder Reference Group Meeting In The National Air Quality Priority Areas For A Period Of Thirty Six (36) Months	14	36 months	R2 122 900,00

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND
E1504: The Appointment Of Services Of An Audit Firm To Support Supply Chain Management Unit With The Provision Of Accounting, Capturing, Quality Assurance And Governance Support On All Scm Bids For A Period Of 12 Months With An Option To Renew For A Further 12 Months Subject To Satisfactory Performance	6	12 months	R3 708 000,00
E1507: The Appointment Of A Service Provider To Render Media Analysis Service For The Department of Environmental Affairs For A Period Of Three (3) Years	1	36 months	R2 300 000,00
E1508: The Appointment Of The Service Provider To Develop An Inventory And Management Strategy For Lead And Cadmium	1	24 months	R3 365 575,00
E1512: The Procurement Of A Professional Conference Organiser (Pco) For The Hosting Of The African Regional Coordination Meeting Of The United Nations Convention To Combat Desertification (Unccd) From 16 To 18 August 2019 And The 17th Ordinary Session Of The African Ministerial Conference On The Environment (Amcen) From 19 To 23 August-2019.	15	7 days	R9 295 741,26
E1513: The Appointmnet Of A Service Provider For Provision, Maintenance And Updating Of An Online E-Legislation (Legislation Reference) Portal On The Department of Environmental Affairs ' Website For A Period Of Three Years.	8	36 months	R739 427,00
E1514: The Appointing A Suitable Independent Service Provider To Develop The Required Sector-Specific Planning Documents And Guidelines For The Expanded Public Works Programme (Ewpw): The Environment And Culture Sector For A Period Of 12 Months.	4	12 months	R2 430 835,50
E1515: The Appointment Of A Science Communication Service Provider To Assist With The Development Of Text And Design Of Cartoon Based Posters For The Environmental Programmes Of The Department of Environmental Affairs .	11	10 months	R749 640,75
E1516: The Appointment Of The Service Provider To Undertake An Assessment Of The Socio-Economic Impacts Of The Implementation Of The Waste Classification And Management Regulations And The Associated Waste Norms And Standards.	2	7 months	R970 600,00
E1517: Call For Proposals: The Establishment Of A Panel Of Service Providers For The Development Of Provincial Integrated Waste Management Plans (Piwmps) For A Period Of 3 Years.	25	36 months	R3 900 000,00
E1519: The Appointment Of A Service Provider To Develop The National Asbestos Management Strategy.	1	24 months	R5 201 820,00
E1529: The Appointment Of The Service Provider(S) To Conduct Feasibility Studies To Determine The Optimal Economic Benefits That Communities Can Derive From The Three (3) Identified Biodiversity Economy Nodes, And The Development Of Associated Business Plans	6	6 months	R2 373 762,50
E1535: The Appointment Of The Service Provider To Manage Seven (7) Waste Management Licence Applications For Unlicensed Municipal Waste Disposal Facilities As Follows: 2 In The North West, 3 In Mpumalanga And 2 In The Eastern Cape Province (South Africa) For A Period Of 18 Months	1	18 months	R3 168 429,77
TOTAL			R79 342 716,26

Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020

NO.	PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT
1	E1468: The Appointment Of A Service Provider To Undertake The Hazard Identification And Risk Assessments (Hira) And The Review Of Existing As Well As Development Of Appropriate Risk - Responsive Control Measures At The Branch: Oceans & Coasts.	100%	100%	1
2	E1473: The Appointment Of A Service Provider To Conduct A Systematic Assessment And Verification On Areas That Have Been Previously Worked On By The Working For Water (Wfw) Programme And Other Related Environmental Programmes In Order To Detemine Their Current Status	51%	51%	-
3	E1482: The Appointment Of A Service Provider To Develop A National Buffering Mechanism Policy For World Heritage Sites In South Africa For The Period Of Eight (8) Months.	0.00%	0.00%	1
4	E1489: The Appointment Of The Service Provider To Develop An Environmental Management Framework (Emf) For The Cape Floral Region Protected Areas World Heritagage Site (Cfrpa Whs) For The Period Of Eighteen Months.	26,98%	26,98%	3
5	E1491: The Appointment Of The Service Provider To Render Media Monitoring Service For The Department Of Environmental Affairs.	0.00%	0.00%	-
6	E1492: The Appointment Of The Service Provider To Conduct Skills Audit For The Department.	70.00%	70.00%	6
7	E1495: The Appointment Of A Service Provider To Conduct Planning For Potential Coastal Infrastructure Projects At Identified Sites In The Western Cape.	10.69%	10.69%	4
8	E1497: The Appointment Of The Service Provider To Conduct An Implementation Evaluation Of The Environment And Culture Sector Within The Epwp.	100%	100%	8
9	E1502: The Appointment Of An Independent Service Provider To Assist With The Chairing Of The Multi Stakeholder Reference Group Meeting In The National Air Quality Priority Areas For A Period Of Thirty Six (36) Months.	51,00%	51,00%	14
10	E1504: The Appointment Of Services Of An Audit Firm To Support Supply Chain Management Unit With The Provision Of Accounting, Capturing, Quality Assurance And Governance Support On All Scm Bids For A Period Of 12 Months With An Option To Renew For A Further 12 Months Subject To Satisfactory Performance	100%	100%	6
11	E1507: The Appointment Of A Service Provider To Render Media Analysis Service For The Department Of Environmental Affairs For A Period Of Three (3) Years	100%	100%	1
12	E1508: The Appointment Of The Service Provider To Develop An Inventory And Management Strategy For Lead And Cadmium	100%	100%	1
13	E1512: The Procurement Of A Professional Conference Organiser (Pco) For The Hosting Of The African Regional Coordination Meeting Of The United Nations Convention To Combat Desertification (Unccd) From 16 To 18 August 2019 And The 17th Ordinary Session Of The African Ministerial Conference On The Environment (Amcen) From 19 To 23 August-2019.	51,00%	51,00%	15
14	E1513: The Appointmnet Of A Service Provider For Provision, Maintenance And Updating Of An Online E-Legislation (Legislation Reference) Portal On The Department Of Environmental Affairs' Website For A Period Of Three Years.	10%	12.60%	8

NO.	PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT
15	E1514: The Appointing A Suitable Independent Service Provider To Develop The Required Sector-Specific Planning Documents And Guidelines For The Expanded Public Works Programme (Ewpw): The Environment And Culture Sector For A Period Of 12 Months.	90.50%	90.50%	4
16	E1515: The Appointment Of A Science Communication Service Provider To Assist With The Development Of Text And Design Of Cartoon Based Posters For The Environmental Programmes Of The Department Of Environmental Affairs.	34%	34%	11
17	E1516: The Appointment Of The Service Provider To Undertake An Assessment Of The Socio-Economic Impacts Of The Implementation Of The Waste Classification And Management Regulations And The Associated Waste Norms And Standards.	52%	52%	2
18	E1517: Call For Proposals: The Establishment Of A Panel Of Service Providers For The Development Of Provincial Integrated Waste Management Plans (Piwmps) For A Period Of 3 Years.	"BOSCH MUNITECH - 33,33% DELTA ENV CON - 52,00% GIBB PTY - 33,47% GOLDER ASSOC - 32,33% JG AFRICA PTY - 51,06% LETSOLO CON - 100% LINKED PTY - 100% MAMADI SUSTAINABILITY - 100% NEMAI CONS - 100%"	"BOSCH MUNITECH - 33,33% DELTA ENV CON - 52,00% GIBB PTY - 33,47% GOLDER ASSOC - 32,33% JG AFRICA PTY - 51,06% LETSOLO CON - 100% LINKED PTY - 100% MAMADI SUSTAINABILITY - 100% NEMAI CONS - 100%"	25
19	E1519: The Appointment Of A Service Provider To Develop The National Asbestos Management Strategy.	100%	100%	1
20	E1529: The Appointment Of The Service Provider(S) To Conduct Feasibility Studies To Determine The Optimal Economic Benefits That Communities Can Derive From The Three (3) Identified Biodiversity Economy Nodes, And The Development Of Associated Business Plans	BIKOLOGIX ENTERPRISE - 100%	100%	6
		COLGENE GROUP HOLDING - 100%	100%	
		UMHLABA CONSULTING GROUP PTY LTD - 51,50%	51,50%	
21	E1535: The Appointment Of The Service Provider To Manage Seven (7) Waste Management Licence Applications For Unlicensed Municipal Waste Disposal Facilities As Follows: 2 In The North West, 3 In Mpumalanga And 2 In The Eastern Cape Province (South Africa) For A Period Of 18 Months	33.47%	33.47%	1

Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2019 and 31 March 2020

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	DONOR AND CONTRACT VALUE IN RAND
None	None	None	None

TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	TOTAL DURATION: WORK DAYS	TOTAL CONTRACT VALUE IN RAND
None	None	None	None

Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020

TABLE 14.4 - ANALYSIS OF CONSULTANT APPOINTMENTS USING DONOR FUNDS, I.T.O. HDIS			
PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
None	None	None	None

3.16 Severance packages

Table 3.16.1 Granting of employee initiated severance packages for the period 1 April 2019 and 31 March 2020

SALARY BAND	NUMBER OF APPLICATIONS RECEIVED	NUMBER OF APPLICATIONS REFERRED TO THE MPSA	NUMBER OF APPLICATIONS SUPPORTED BY MPSA	NUMBER OF PACKAGES APPROVED BY DEPARTMENT
Lower skilled (Levels 1-2)	-	-	-	-
Skilled Levels 3-5)	-	-	-	-
Highly skilled production (Levels 6-8)	-	-	-	-
Highly skilled supervision (Levels 9-12)	-	-	-	-
Senior management (Levels 13-16)	-	-	-	-
TOTAL	-	-	-	-

A close-up photograph of a toucan's face. The bird has a large, prominent eye with a red, wrinkled iris and a black pupil. The surrounding skin is bright red and textured. The beak is black and pointed downwards. The background is a soft, out-of-focus green.

PART E

FINANCIAL INFORMATION

PART E: FINANCIAL INFORMATION

REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO. 27 DEPARTMENT OF ENVIRONMENTAL AFFAIRS

REPORT ON THE AUDIT OF THE FINANCIAL STATEMENTS

QUALIFIED OPINION

1. I have audited the financial statements of the Department of Environmental Affairs set out on pages 111 to 210, which comprise the appropriation statement, statement of financial position as at 31 March 2020, statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, except for the effects of the matters described in the basis for qualified opinion section of this auditor's report, the financial statements present fairly, in all material respects, the financial position of the Department of Environmental Affairs as at 31 March 2020, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) and the requirements of the Public Finance Management Act of South Africa 1999 (Act no. 1 of 1999) (PFMA) and the Division of Revenue Act of South Africa 2019 (Act no. 16 of 2019) (DoRA).

BASIS FOR QUALIFIED OPINION

Irregular expenditure

3. I was unable to obtain the extent of payments made to contractors which has been identified as irregularly appointed by the department. The department did not maintain accurate and complete records of the related expenditure incurred on separate contractual agreements with contractors to determine the irregular expenditure disclosed in the financial statements. I could not confirm the amounts by alternative means. Consequently, I was unable to determine whether any adjustment was necessary to irregular expenditure stated at R 2,668 billion in note 27 to the financial statements.
4. The department did not include the particulars of all irregular expenditure in the notes to the financial statements as required by section 40(3)(b)(i) of the Public Finance Management Act of South Africa 1999 (Act No. 1 of 1999) (PFMA). Furthermore, the department did not implement adequate internal control systems to identify and record all instances of irregular expenditure in both the current and prior years. This resulted in the irregular expenditure disclosure being understated. The full extent of the misstatement of irregular expenditure to be disclosed could not be quantified as it was impracticable to do so. Consequently, I was unable to determine the extent of adjustments necessary to the balance of irregular expenditure disclosure stated at R 2,668 billion (2019: R 496,3 million) in note 27 to the financial statements.

Immovable tangible capital assets

5. I was unable to obtain sufficient appropriate audit evidence to confirm the disposals of immovable tangible capital assets that was constructed through the expanded public works programme (EPWP) as reported in note 36 to the financial statements. The department and recipient entities did not complete asset handover certificates as required and prescribed by section 42 of the PFMA. I was unable to confirm the disposals and its related impact on the current and previous year by alternative means. Consequently, I was unable to determine whether any adjustments were necessary to disposal of immovable tangible capital assets stated at R 208,748 million (2019: R 395,708 million) in note 36 to the financial statement.

Context for the opinion

6. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of this auditor's report.
7. I am independent of the department in accordance with sections 290 and 291 of the Code of ethics for professional accountants and parts 1 and 3 of the International Code of Ethics for Professional Accountants (including International Independence Standards) of the International Ethics Standards Board for Accountants (IESBA codes) as well as the ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA codes.
8. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

Emphasis of matters

9. I draw attention to the matters below. My opinion is not modified in respect of these matters

Restatement of corresponding figures

10. As disclosed in note 39 to the financial statements, the corresponding figures for 31 March 2019 were restated as a result of errors in the financial statements of the department at, and for the year ended, 31 March 2020.

Material impairments

11. As disclosed in note 14.1 to the financial statements, material impairments to the extent of R 138,591 million were estimated on loans advanced by the Green Fund to commercial projects.

Subsequent events

12. I draw attention to note 33 in the financial statements, which deals with subsequent events and specifically the possible effects of the restructuring of government departments, Covid-19 and the transfer of the Green Fund on the department's future prospects, performance and cash flows.

Other matters

13. I draw attention to the matter below. My opinion is not modified in respect of this matter.

Unaudited supplementary schedules

14. The supplementary information set out on pages 198 to 210 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

Responsibilities of the accounting officer for the financial statements

15. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with MCS and the requirements of the PFMA and DoRA, and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
16. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or has no realistic alternative but to do so.

Auditor-general's responsibilities for the audit of the financial statements

17. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
18. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

REPORT ON THE AUDIT OF THE ANNUAL PERFORMANCE REPORT

Introduction and scope

19. In accordance with the Public Audit Act of South Africa 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
20. My procedures address the usefulness and reliability of the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures also do not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.

21. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the annual performance report of the department for the year ended 30 March 2020:

PROGRAMMES	PAGES IN ANNUAL PERFORMANCE REPORT
Programme 5: Biodiversity and conservation	37 - 39

22. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
23. The material findings in respect of the usefulness and reliability of the selected programme are as follows:

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

Number of hectares of state managed protected area assessed with a METT score above 67%

24. The indicator and target approved in the strategic plan was percentage of area of state managed protected areas assessed with a METT score above 67%. However, the indicator and target reported in the annual performance report was the number of hectares of state managed protected area assessed with a METT score above 67% only.
25. The achievement for the target of 5 764 346 ha of state managed protected areas assessed with a METT score above 67% reported in the annual performance report was 6 557 640 ha. However, the approved METT assessment reports did not agree to the reported achievement and indicated an achievement of 5 185 103 ha. This was due to the inconsistencies in the internal control environment that was required to ensure that METT assessment reports contain the approval of responsible officials of the respective Management Authorities.

Other matters

26. I draw attention to the matters below.

ACHIEVEMENT OF PLANNED TARGETS

27. Refer to the annual performance report on pages 24 to 44 for information on the achievement of planned targets for the year and explanations provided for the achievement of a number of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraph 24 to 25 of this report.

ADJUSTMENT OF MATERIAL MISSTATEMENTS

28. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were in the reported performance information of Programme 5 Biodiversity and Conservation. As management subsequently corrected only some of the misstatements, I raised material findings on the usefulness and reliability of the reported performance information. Those that were not corrected are reported above.

REPORT ON THE AUDIT OF COMPLIANCE WITH LEGISLATION

INTRODUCTION AND SCOPE

29. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.
30. The material findings on compliance with specific matters in key legislation are as follows:

Annual Financial Statements, performance and annual reports

31. The annual financial statements were not submitted for auditing within the prescribed timeframe after the end of the financial year, as required by section 40(1)(c)(i) of the PFMA.
32. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework, as required by section 40(1)(a) and (b) of the PFMA.
33. Material misstatements of transfer payments, goods and services and disclosure items identified by the auditors in the submitted financial statements were corrected, but the uncorrected material misstatements and supporting records that could not be provided resulted in the financial statements receiving a qualified opinion.

Expenditure management

34. Effective and appropriate steps were not taken to prevent unauthorised expenditure amounting to R 149,273 million, as disclosed in note 10 of the annual financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1.
35. Effective and appropriate steps were not taken to prevent irregular expenditure amounting to R 2,668 billion, as required by section 38(1)(c)(iii) of the PFMA and treasury regulation 9.1.1. As reported in the basis for qualified opinion the full extent of the irregular expenditure could not be quantified. The majority of the irregular expenditure disclosed in the financial statements was due to the departments' incorrect application of the objective assessment against predetermined functionality criteria as prescribed by the Preferential Procurement Policy Framework Act (Act no. 5 of 2000) (PPPFA) during the evaluation of competitive bids.
36. Effective steps were not taken to prevent fruitless and wasteful expenditure amounting to R 86,729 million as disclosed in note 28 of the annual financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. The majority of the fruitless and wasteful expenditure disclosed in the financial statements was caused by funds allocated to implementing agents not spent in accordance with the approved memorandum of understanding.
37. Effective internal controls were not in place for approval and processing of payments, as required by treasury regulation 8.1.1.
38. Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3.
39. Payments were made before goods and services were received, in contravention of treasury regulation 15.10.1.2(c).

Procurement and contract management

40. The preference point system was not applied in some of the procurement of goods and services above R30 000 as required by section 2(a) of the PPPFA and Treasury Regulations 16A6.3(b).
41. Some of the contracts and quotations were awarded to bidders based on preference points that were not calculated in accordance with the requirements of the Preferential Procurement Policy Framework Act and its regulations.

Consequence management

42. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular, fruitless and wasteful expenditure as required by section 38(1)(h)(iii) of the PFMA. This was due to proper and complete records that were not maintained as evidence to support the investigations into irregular, fruitless and wasteful expenditure.

Strategic planning and performance management

43. Specific information systems were not implemented to enable the monitoring of progress made towards achieving targets, core objectives and service delivery as required by public service regulation 25(1)(e)(i) and (iii).

Other information

44. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and those selected programmes presented in the annual performance report that have been specifically reported in this auditor's report.
45. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion thereon.
46. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
47. I did not receive the other information prior to the date of this auditor's report. When I do receive and read this information, if I conclude that there is a material misstatement therein, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

Internal control deficiencies

48. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant internal control deficiencies that resulted in the basis for the qualified opinion, the findings on the annual performance report and the findings on compliance with legislation included in this report.

49. The department developed a plan to address internal and external audit findings, but the appropriate level of management did not monitor adherence to all components of the plan in a timely manner. Implementation of the plan was also initiated very late in the financial year which resulted in only some of the prior year misstatements being addressed.
50. Management did not always ensure that the reported financial and performance information was informed by reliable supporting evidence. Similarly, the financial statements were not prepared through processes that allowed interpretation of transactional evidence. As a result, material misstatements were identified and corrected and those that remain inform the modified opinion.
51. The department did not align its internal processes to allow for the daily and monthly reconciling of transactions with implementing agents. Requiring of prepayments were required to inform the nature of what was bought by the department and should've been accounted for according to the requirements of the MCS.
52. As indicated in paragraph 33 of this report, the financial statements contained numerous misstatements that were corrected. This was mainly due to complex transactions that the department had entered into during the preceding years without the staff obtaining a full understanding of the requirements of the financial reporting framework to account for these transactions.
53. Management did not review and monitor compliance to ensure adherence to applicable legislation, resulting in the department transgressing against key legislative requirements.
54. Some of the policies and procedure manuals in place were not regularly reviewed to ensure that they were consistent with changes in legislation and support the departmental strategic objectives. This was similarly reported to the department in the previous year.

ANNEXURE – AUDITOR-GENERAL'S RESPONSIBILITY FOR THE AUDIT

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements and the procedures performed on reported performance information for selected programmes and on the department's compliance with respect to the selected subject matters.

Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in this auditor's report, I also:
 - identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks; and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control
 - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department's internal control
 - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer
 - conclude on the appropriateness of the accounting officer's use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists relating to events or conditions that may cast significant doubt on the ability of the Department of Environmental Affairs to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor's report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify my opinion on the financial statements. My conclusions are based on the information available to me at the date of this auditor's report. However, future events or conditions may cause a department to cease operating as a going concern
 - evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and determine whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation

Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also confirm to the accounting officer that I have complied with relevant ethical requirements regarding independence, and communicate all relationships and other matters that may reasonably be thought to have a bearing on my independence and, where applicable, actions taken to eliminate threats or safeguards applied.



Pretoria
23 December 2020

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

APPROPRIATION PER PROGRAMME									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
PROGRAMME	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Administration	891 872	-	20 010	911 882	910 233	1 649	99.8%	851 700	848 199
2. Legal, Authorisations, Compliance and Enforcement	207 527	-	12 968	220 495	220 102	393	99.8%	183 751	175 927
3. Oceans and Coasts	507 228	-	(12 583)	494 645	458 621	36 024	92.7%	456 574	436 903
4. Climate Change, Air Quality and Sustainable Development	445 939	-	4 312	450 251	450 251	-	100.0%	420 049	419 811
5. Biodiversity and Conservation	801 320	-	(4 424)	796 896	796 847	49	100.0%	791 648	791 648
6. Environmental Programmes	4 035 469	-	(38 691)	3 996 778	3 941 531	55 247	98.6%	4 172 683	3 510 403
7. Chemicals and Waste Management	594 316	-	18 408	612 724	589 062	23 662	96.1%	554 127	554 080
TOTAL	7 483 671	-	-	7 483 671	7 366 647	117 024	98.4%	7 430 532	6 736 971

	FINAL APPROPRIATION	ACTUAL EXPENDITURE			FINAL APPROPRIATION	ACTUAL EXPENDITURE
Reconciliation with statement of financial performance						
ADD						
Departmental receipts	108 517				29 387	
NRF Receipts	-				-	
Aid assistance	-				29 262	
Actual amounts per statement of financial performance (total revenue)	7 592 188				7 489 181	
ADD						
Aid assistance		2 710				15 078
Prior year unauthorised expenditure approved without funding						
Actual amounts per statement of financial performance (total expenditure)		7 369 357				6 752 049

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

APPROPRIATION PER ECONOMIC CLASSIFICATION									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	5 954 254	(988 127)	(166 205)	4 799 922	4 750 668	49 254	99.0%	4 241 768	4 202 215
Compensation of employees	1 237 539	-	-	1 237 539	1 263 948	(26 409)	102.1%	1 141 371	1 138 123
Salaries and wages	1 154 712	(47 428)	(7 398)	1 099 886	1 123 623	(23 737)	102.2%	1 008 728	1 005 480
Social contributions	82 827	47 428	7 398	137 653	140 325	(2 672)	101.9%	132 643	132 643
Goods and services	4 707 248	(1 011 753)	(166 205)	3 529 917	3 454 254	75 663	97.9%	3 076 782	3 040 477
Administrative fees	41 419	162 649	3 703	207 771	207 771	-	100.0%	129 470	129 470
Advertising	22 265	(4 177)	8 637	26 725	24 538	2 187	91.8%	44 444	44 444
Minor assets	1 866	(47)	1 205	3 024	3 024	-	100.0%	1 552	1 552
Audit costs: External	10 498	3 671	-	14 169	14 169	-	100.0%	11 870	11 870
Bursaries: Employees	2 026	623	-	2 649	2 649	-	100.0%	1 987	1 987
Catering: Departmental activities	5 544	5 013	-	10 557	10 557	-	100.0%	8 118	8 118
Communication	18 503	(5 220)	6 168	19 451	19 451	-	100.0%	19 459	19 459
Computer services	99 627	(16 010)	-	83 617	83 224	393	99.5%	59 769	59 769
Consultants: Business and advisory services	206 772	51 246	-	258 018	228 632	29 386	88.6%	286 892	270 070
Infrastructure and planning services	-	-	-	-	-	-	-	5	5
Laboratory services	1 880	(1 767)	-	113	113	-	100.0%	31	31
Legal services	13 733	(1 047)	(719)	11 967	11 967	-	100.0%	20 274	20 274
Contractors	198 981	66 481	-	265 462	265 462	-	100.0%	226 865	209 800
Agency and support / out-sourced services	3 506 658	(1 701 323)	(191 946)	1 613 389	1 569 692	43 697	97.3%	1 354 814	1 352 396
Entertainment	45	(26)	-	19	19	-	100.0%	24	24

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

APPROPRIATION PER ECONOMIC CLASSIFICATION									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Fleet services	6 953	(555)	-	6 398	6 398	-	100.0%	7 475	7 475
Inventory: Clothing material and supplies	1 316	436	-	1 752	1 752	-	100.0%	875	875
Inventory: Food and food sup-plies	252	(252)	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	23 855	(6 286)	-	17 569	17 569	-	100.0%	14 241	14 241
Inventory: Materials and sup-plies	103 593	(103 593)	-	-	-	-	-	-	-
Inventory: Other supplies	756	110 210	-	110 966	110 966	-	100.0%	74 151	74 151
Consumable supplies	26 413	1 512	4 271	32 196	32 196	-	100.0%	28 718	28 718
Consumable: Stationery, print-ing and office supplies	10 833	(3 268)	-	7 565	7 565	-	100.0%	5 035	5 035
Operating leases	92 978	1 052	-	94 030	94 030	-	100.0%	96 705	96 705
Property payments	9 284	(2 348)	1 652	8 588	8 588	-	100.0%	19 574	19 574
Transport provided: Depart-mental activity	163	91	-	254	254	-	100.0%	71	71
Travel and subsistence	185 813	16 065	-	201 878	201 878	-	100.0%	199 883	199 883
Training and development	26 668	390 720	-	417 388	417 388	-	100.0%	356 596	356 596
Operating payments	53 734	13 926	-	67 660	67 660	-	100.0%	62 435	62 435
Venues and facilities	30 720	6 783	824	38 327	38 327	-	100.0%	40 902	40 902
Rental and hiring	4 100	4 315	-	8 415	8 415	-	100.0%	4 547	4 547
Interest and rent on land	9 467	22 999	-	32 466	32 466	-	100.0%	23 615	23 615
Interest	-	9	-	9	9	-	100.0%	-	-
Rent on land	9 467	22 990	-	32 457	32 457	-	100.0%	23 615	23 615
Transfers and subsidies	1 343 294	692 582	156 551	2 192 427	2 126 306	66 121	97.0%	2 354 046	1 700 291
Provinces and municipalities	-	152	-	152	152	-	100.0%	159	159
Provinces	-	3	-	3	3	-	100.0%	4	4

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

APPROPRIATION PER ECONOMIC CLASSIFICATION									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Provincial Revenue Funds	-	3	-	3	3	-	100.0%	4	4
Municipalities	-	149	-	149	149	-	100.0%	155	155
Municipal agencies and funds	-	149	-	149	149	-	100.0%	155	155
Departmental agencies and ac-counts	1 218 211	620 806	155 798	1 994 815	1 994 362	453	100.0%	1 524 138	1 524 091
Social security funds	-	5 053	-	5 053	5 053	-	100.0%	-	-
Departmental agencies and accounts	1 201 169	(37 357)	6 982	1 170 794	1 170 794	-	100.0%	1 309 960	1 309 960
Social security funds	-	2 643	-	2 643	2 643	-	100.0%	8 794	8 794
Departmental agencies and accounts	1 218 211	615 753	155 798	1 989 762	1 989 309	453	100.0%	1 524 138	1 524 091
Foreign governments and interna-tional organisations	23 500	11 272	-	34 772	34 772	-	100.0%	29 613	29 613
Public corporations and private en-terprises	93 840	4 810	-	98 650	32 982	65 668	33.4%	140 441	45 441
Public corporations	24 840	4 810	-	29 650	4 810	24 840	16.2%	95 000	-
Other transfers to public corporations	24 840	4 810	-	29 650	4 810	24 840	16.2%	95 000	-
Private enterprises	69 000	-	-	69 000	28 172	40 828	40.8%	45 441	45 441
Other transfers to private enterprises	69 000	-	-	69 000	28 172	40 828	40.8%	45 441	45 441
Non-profit institutions	7 743	-	753	8 496	8 496	-	100.0%	4 925	4 687
Households	-	55 542	-	55 542	55 542	-	100.0%	654 770	96 300
Social benefits	-	8 141	-	8 141	8 141	-	100.0%	10 187	10 187
Other transfers to households	-	47 401	-	47 401	47 401	-	100.0%	644 583	86 113
Payments for capital assets	186 123	281 777	9 654	477 554	475 905	1 649	99.6%	518 286	518 033
Buildings and other fixed struc-tures	159 138	182 645	-	341 783	340 134	1 649	99.5%	339 636	339 383
Buildings	159 138	-	-	159 138	157 489	1 649	99.0%	139 123	138 870

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

APPROPRIATION PER ECONOMIC CLASSIFICATION									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Other fixed structures	-	182 645	-	182 645	182 645	-	100.0%	200 513	200 513
Machinery and equipment	18 785	73 494	9 654	101 933	101 933	-	100.0%	149 749	149 749
Transport equipment	2 581	55 789	-	58 370	58 370	-	100.0%	49 700	49 700
Other machinery and equip-ment	16 204	17 705	9 654	43 563	43 563	-	100.0%	100 049	100 049
Software and other intangible as-sets	8 200	25 638	-	33 838	33 838	-	100.0%	28 901	28 901
Payments for financial assets	-	13 768	-	13 768	13 768	-	100.0%	316 432	316 432
TOTAL	7 483 671	-	-	7 483 671	7 366 647	117 024	98.4%	7 430 532	6 736 971

PROGRAMME 1: ADMINISTRATION									
	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
SUB-PROGRAMME	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Management	193 264	(124 308)	(12 949)	56 007	56 007	-	100.0%	62 822	59 574
2. Corporate Affairs	372 154	111 472	27 470	511 096	511 096	-	100.0%	471 774	471 774
3. Financial Management Services	83 950	5 388	3 837	93 175	93 175	-	100.0%	81 352	81 352
4. Office Accommodation	242 504	7 448	1 652	251 604	249 955	1 649	99.3%	235 752	235 499
TOTAL	891 872	-	20 010	911 882	910 233	1 649	99.8%	851 700	848 199

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 1: ADMINISTRATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Economic classification									
Current payments	725 642	(6 171)	10 356	729 827	729 827	-	100.0%	695 226	691 978
Compensation of employees	391 760	(1)	(15 313)	376 446	376 446	-	100.0%	361 558	358 310
Salaries and wages	360 489	(8 604)	(15 313)	336 572	336 572	-	100.0%	319 115	315 867
Social contributions	31 271	8 603	-	39 874	39 874	-	100.0%	42 443	42 443
Goods and services	333 882	(6 170)	25 669	353 381	353 381	-	100.0%	333 668	333 668
Administrative fees	6 450	41	3 703	10 194	10 194	-	100.0%	10 431	10 431
Advertising	11 967	(699)	8 637	19 905	19 905	-	100.0%	35 987	35 987
Minor assets	833	-	1 205	2 038	2 038	-	100.0%	547	547
Audit costs: External	10 498	3 671	-	14 169	14 169	-	100.0%	11 870	11 870
Bursaries: Employees	1 858	269	-	2 127	2 127	-	100.0%	1 632	1 632
Catering: Departmental ac-tivities	2 345	3 718	-	6 063	6 063	-	100.0%	4 583	4 583
Communication	8 048	(1)	6 201	14 248	14 248	-	100.0%	11 567	11 567
Computer services	73 529	(3 910)	-	69 619	69 619	-	100.0%	39 916	39 916
Consultants: Business and advisory services	22 006	(9 072)	-	12 934	12 934	-	100.0%	9 634	9 634
Infrastructure and planning services	-	-	-	-	-	-	-	5	5
Laboratory services	26	(9)	-	17	17	-	100.0%	21	21
Legal services	787	90	-	877	877	-	100.0%	373	373
Contractors	1 151	1 801	-	2 952	2 952	-	100.0%	3 013	3 013
Agency and support / out-sourced services	172	813	-	985	985	-	100.0%	237	237
Entertainment	7	(3)	-	4	4	-	100.0%	8	8

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 1: ADMINISTRATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Fleet services	3 182	1 113	-	4 295	4 295	-	100.0%	3 832	3 832
Consumable supplies	4 179	307	4 271	8 757	8 757	-	100.0%	4 190	4 190
Consumable: Stationery, printing and office supplies	4 335	(760)	-	3 575	3 575	-	100.0%	3 067	3 067
Operating leases	87 116	3 737	-	90 853	90 853	-	100.0%	91 782	91 782
Property payments	7 128	(384)	1 652	8 396	8 396	-	100.0%	19 291	19 291
Transport provided: De-partmental activity	155	(11)	-	144	144	-	100.0%	10	10
Travel and subsistence	48 526	1 190	-	49 716	49 716	-	100.0%	59 009	59 009
Training and development	17 238	(11 480)	-	5 758	5 758	-	100.0%	5 257	5 257
Operating payments	4 508	3 035	-	7 543	7 543	-	100.0%	4 092	4 092
Venues and facilities	14 577	(1 847)	-	12 730	12 730	-	100.0%	10 691	10 691
Rental and hiring	3 261	2 221	-	5 482	5 482	-	100.0%	2 623	2 623
Transfers and subsidies	-	6 120	-	6 120	6 120	-	100.0%	5 663	5 663
Provinces and municipalities	-	70	-	70	70	-	100.0%	75	75
Provinces	-	3	-	3	3	-	100.0%	4	4
Provincial Revenue Funds	-	3	-	3	3	-	100.0%	4	4
Municipalities	-	67	-	67	67	-	100.0%	71	71
Municipal agencies and funds	-	67	-	67	67	-	100.0%	71	71
Departmental agencies and ac-counts	-	-	-	-	-	-	-	55	55
Departmental agencies	-	-	-	-	-	-	-	55	55
Households	-	6 050	-	6 050	6 050	-	100.0%	5 533	5 533
Social benefits	-	5 151	-	5 151	5 151	-	100.0%	3 660	3 660
Other transfers to house-holds	-	899	-	899	899	-	100.0%	1 873	1 873

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 1: ADMINISTRATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Payments for capital assets	166 230	14	9 654	175 898	174 249	1 649	99.1%	150 773	150 520
Buildings and other fixed structures	159 138	-	-	159 138	157 489	1 649	99.0%	139 123	138 870
Buildings	159 138	-	-	159 138	157 489	1 649	99.0%	139 123	138 870
Machinery and equipment	7 092	-	9 654	16 746	16 746	-	100.0%	11 650	11 650
Transport equipment	1 901	(1 901)	-	-	-	-	-	1 951	1 951
Other machinery and equipment	5 191	1 901	9 654	16 746	16 746	-	100.0%	9 699	9 699
Software and other intangible assets	-	14	-	14	14	-	100.0%	-	-
Payments for financial assets	-	37	-	37	37	-	100.0%	38	38
TOTAL	891 872	-	20 010	911 882	910 233	1 649	99.8%	851 700	848 199

PROGRAMME 2: LEGAL, AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Legal, Authorisations, Compliance and Enforcement Management	7 618	2 952	4 766	15 336	15 336	-	100.0%	6 556	6 556
2. Compliance Monitoring	35 375	3 619	4 440	43 434	43 434	-	100.0%	31 488	31 488
3. Integrated Environmental Authorisations	57 165	4 082	418	61 665	61 665	-	100.0%	37 180	37 180
4. Enforcement	76 111	(12 981)	1 708	64 838	64 445	393	99.4%	67 354	59 530
5. Corporate Legal Support and Litigation	11 492	(6)	1 068	12 554	12 554	-	100.0%	18 263	18 263
6. Law Reform and Appeals	19 766	2 334	568	22 668	22 668	-	100.0%	22 910	22 910
TOTAL	207 527	-	12 968	220 495	220 102	393	99.8%	183 751	175 927

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 2: LEGAL, AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Economic classification									
Current payments	199 877	(1 341)	12 215	210 751	210 358	393	99.8%	178 782	170 958
Compensation of employees	133 528	-	12 967	146 495	146 495	-	100.0%	124 765	124 765
Salaries and wages	122 330	-	5 836	128 166	128 166	-	100.0%	109 149	109 149
Social contributions	11 198	-	7 131	18 329	18 329	-	100.0%	15 616	15 616
Goods and services	66 349	(1 341)	(752)	64 256	63 863	393	99.4%	54 017	46 193
Administrative fees	136	382	-	518	518	-	100.0%	697	697
Advertising	1 816	(1 003)	-	813	813	-	100.0%	794	794
Minor assets	112	188	-	300	300	-	100.0%	184	184
Bursaries: Employees	37	13	-	50	50	-	100.0%	48	48
Catering: Departmental activities	293	345	-	638	638	-	100.0%	425	425
Communication	1 013	(554)	(33)	426	426	-	100.0%	702	702
Computer services	19 543	(10 818)	-	8 725	8 332	393	95.5%	5 785	5 785
Consultants: Business and advisory services	2 836	10 307	-	13 143	13 143	-	100.0%	1 521	1 521
Laboratory services	1 854	(1 758)	-	96	96	-	100.0%	10	10
Legal services	7 906	(1 116)	(719)	6 071	6 071	-	100.0%	6 946	6 946
Contractors	377	78	-	455	455	-	100.0%	93	93
Agency and support / out-sourced services	5	(5)	-	-	-	-	-	7 826	2
Entertainment	8	(5)	-	3	3	-	100.0%	3	3
Fleet services	39	(30)	-	9	9	-	100.0%	55	55
Consumable supplies	1 435	567	-	2 002	2 002	-	100.0%	2 945	2 945
Consumable: Stationery, printing and office supplies	1 155	(661)	-	494	494	-	100.0%	350	350

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 2: LEGAL, AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating leases	359	462	-	821	821	-	100.0%	384	384
Travel and subsistence	20 243	798	-	21 041	21 041	-	100.0%	18 393	18 393
Training and development	1 764	(167)	-	1 597	1 597	-	100.0%	1 106	1 106
Operating payments	1 972	(657)	-	1 315	1 315	-	100.0%	1 540	1 540
Venues and facilities	3 424	2 214	-	5 638	5 638	-	100.0%	4 201	4 201
Rental and hiring	22	79	-	101	101	-	100.0%	9	9
Transfers and subsidies	4 056	412	753	5 221	5 221	-	100.0%	271	271
Provinces and municipalities	-	5	-	5	5	-	100.0%	12	12
Municipalities	-	5	-	5	5	-	100.0%	12	12
Municipal agencies and funds	-	5	-	5	5	-	100.0%	12	12
Non-profit institutions	4 056	-	753	4 809	4 809	-	100.0%	-	-
Households	-	407	-	407	407	-	100.0%	259	259
Social benefits	-	399	-	399	399	-	100.0%	259	259
Payments for capital assets	3 594	929	-	4 523	4 523	-	100.0%	4 698	4 698
Machinery and equipment	3 594	(2 747)	-	847	847	-	100.0%	4 070	4 070
Transport equipment	680	(680)	-	-	-	-	-	660	660
Other machinery and equip-ment	2 914	(2 067)	-	847	847	-	100.0%	3 410	3 410
Software and other intangible as-sets	-	3 676	-	3 676	3 676	-	100.0%	628	628
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	207 527	-	12 968	220 495	220 102	393	99.8%	183 751	175 927

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 3: OCEANS AND COASTS									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Oceans and Coasts Management	17 643	5 805	-	23 448	23 448	-	100.0%	13 687	13 687
2. Integrated Coastal Management and Coastal Conservation	34 330	(6 260)	(689)	27 381	27 381	-	100.0%	31 341	31 341
3. Ocean and Coastal Research	119 556	(2 260)	(11 894)	105 402	100 902	4 500	95.7%	95 073	92 224
4. Oceans Economy and Project Management	104 116	(9 930)	-	94 186	62 662	31 524	66.5%	61 897	45 075
5. Specialist Monitoring Services	231 583	12 645	-	244 228	244 228	-	100.0%	254 576	254 576
TOTAL	507 228	-	(12 583)	494 645	458 621	36 024	92.7%	456 574	436 903
Economic classification									
Current payments	86 615	1 631	(52)	88 194	87 257	937	98.9%	89 554	88 635
Compensation of employees	140 043	-	(4 828)	135 215	135 215	-	100.0%	121 093	121 093
Salaries and wages	131 955	(4 073)	(4 828)	123 054	123 054	-	100.0%	108 953	108 953
Social contributions	8 088	4 073	-	12 161	12 161	-	100.0%	12 140	12 140
Goods and services	356 785	(14 448)	(7 755)	334 582	298 558	36 024	89.2%	307 158	287 487
Administrative fees	211	563	-	774	774	-	100.0%	908	908
Advertising	4 084	(1 516)	-	2 568	381	2 187	14.8%	123	123
Minor assets	422	(138)	-	284	284	-	100.0%	404	404
Bursaries: Employees	46	50	-	96	96	-	100.0%	247	247
Catering: Departmental activities	265	867	-	1 132	1 132	-	100.0%	966	966
Communication	2 064	(1 550)	-	514	514	-	100.0%	1 559	1 559
Computer services	516	1 603	-	2 119	2 119	-	100.0%	2 083	2 083
Consultants: Business and advisory services	84 352	(7 235)	-	77 117	47 780	29 337	62.0%	49 733	32 911
Legal services	926	(437)	-	489	489	-	100.0%	1 029	1 029

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 3: OCEANS AND COASTS									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Contractors	4 745	(689)	-	4 056	4 056	-	100.0%	4 723	4 723
Agency and support / outsourced services	184 359	(10 865)	(7 755)	165 739	161 239	4 500	97.3%	162 801	159 952
Entertainment	4	3	-	7	7	-	100.0%	10	10
Fleet services	1 032	(291)	-	741	741	-	100.0%	849	849
Inventory: Clothing material and supplies	1 310	442	-	1 752	1 752	-	100.0%	875	875
Inventory: Food and food supplies	252	(252)	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	94	(94)	-	-	-	-	-	-	-
Inventory: Other supplies	620	(620)	-	-	-	-	-	-	-
Consumable supplies	17 941	1 093	-	19 034	19 034	-	100.0%	19 078	19 078
Consumable: Stationery, printing and office supplies	1 508	298	-	1 806	1 806	-	100.0%	706	706
Operating leases	333	65	-	398	398	-	100.0%	316	316
Property payments	190	(190)	-	-	-	-	-	36	36
Transport provided: Departmental activity	-	110	-	110	110	-	100.0%	61	61
Travel and subsistence	15 900	2 807	-	18 707	18 707	-	100.0%	19 451	19 451
Training and development	907	4 318	-	5 225	5 225	-	100.0%	1 250	1 250
Operating payments	32 053	(2 622)	-	29 431	29 431	-	100.0%	38 693	38 693
Venues and facilities	2 635	(1 013)	-	1 622	1 622	-	100.0%	888	888
Rental and hiring	16	845	-	861	861	-	100.0%	369	369
Interest and rent on land	-	9	-	9	9	-	100.0%	-	-
Interest	-	9	-	9	9	-	100.0%	-	-
Transfers and subsidies	-	12 840	-	12 840	12 840	-	100.0%	9 136	9 136
Provinces and municipalities	-	14	-	14	14	-	100.0%	12	12

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 3: OCEANS AND COASTS									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Municipalities	-	14	-	14	14	-	100.0%	12	12
Municipal bank accounts	-	-	-	-	-	-	-	-	-
Municipal agencies and funds	-	14	-	14	14	-	100.0%	12	12
Departmental agencies and accounts	-	-	-	-	-	-	-	1	1
Departmental agencies	-	-	-	-	-	-	-	1	1
Foreign governments and interna-tional organisations	-	11 272	-	11 272	11 272	-	100.0%	6 113	6 113
Households	-	1 554	-	1 554	1 554	-	100.0%	3 010	3 010
Social benefits	-	1 324	-	1 324	1 324	-	100.0%	3 004	3 004
Other transfers to households	-	230	-	230	230	-	100.0%	6	6
Payments for capital assets	10 400	1 595	-	11 995	11 995	-	100.0%	19 187	19 187
Machinery and equipment	2 200	2 560	-	4 760	4 760	-	100.0%	2 719	2 719
Transport equipment	-	-	-	-	-	-	-	30	30
Other machinery and equipment	2 200	2 560	-	4 760	4 760	-	100.0%	2 689	2 689
Software and other intangible assets	8 200	(965)	-	7 235	7 235	-	-	16 468	16 468
Payments for financial assets	-	4	-	4	4	-	100.0%	-	-
TOTAL	507 228	-	(12 583)	494 645	458 621	36 024	92.7%	456 574	436 903

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Climate Change, Air Quality and Sustainable Development Management	9 614	796	-	10 410	10 410	-	100.0%	6 838	6 838
2. Climate Change Mitigation	12 188	(2 594)	-	9 594	9 594	-	100.0%	9 860	9 860
3. Climate Change Adaptation	9 687	669	-	10 356	10 356	-	100.0%	7 622	7 622
4. Air Quality Management	46 670	3 289	-	49 959	49 959	-	100.0%	63 923	63 685
5. South African Weather Service	204 074	-	-	204 074	204 074	-	100.0%	199 975	199 975
6. International Climate Change Relations and Negotiations	14 375	(1 457)	-	12 918	12 918	-	100.0%	11 807	11 807
7. Climate Change Monitoring and Evaluation	13 634	(7 673)	-	5 961	5 961	-	100.0%	6 559	6 559
8. Sustainable Development	135 697	6 970	4 312	146 979	146 979	-	100.0%	113 465	113 465
TOTAL	445 939	-	4 312	450 251	450 251	-	100.0%	420 049	419 811
Economic classification									
Current payments	216 124	(6 617)	4 312	213 819	213 819	-	100.0%	179 507	179 507
Compensation of employees	147 171	1	3 488	150 660	150 660	-	100.0%	113 944	113 944
Salaries and wages	140 678	(10 905)	3 488	133 261	133 261	-	100.0%	101 232	101 232
Social contributions	6 493	10 906	-	17 399	17 399	-	100.0%	12 712	12 712
Goods and services	68 953	(6 618)	824	63 159	63 159	-	100.0%	65 563	65 563
Administrative fees	140	517	-	657	657	-	100.0%	40	40
Advertising	1 256	(333)	-	923	923	-	100.0%	871	871
Minor assets	41	43	-	84	84	-	100.0%	52	52
Bursaries: Employees	-	223	-	223	223	-	100.0%	-	-
Catering: Departmental activities	750	(282)	-	468	468	-	100.0%	338	338
Communication	958	(552)	-	406	406	-	100.0%	545	545
Computer services	796	1 984	-	2 780	2 780	-	100.0%	6 956	6 956

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consultants: Business and advisory services	37 076	(22 538)	-	14 538	14 538	-	100.0%	26 168	26 168
Legal services	405	(350)	-	55	55	-	100.0%	776	776
Contractors	32	270	-	302	302	-	100.0%	255	255
Agency and support / outsourced services	-	510	-	510	510	-	100.0%	-	-
Entertainment	13	(10)	-	3	3	-	100.0%	-	-
Consumable supplies	154	614	-	768	768	-	100.0%	160	160
Consumable: Stationery, printing and office supplies	480	(307)	-	173	173	-	100.0%	62	62
Operating leases	256	41	-	297	297	-	100.0%	231	231
Travel and subsistence	18 407	8 555	-	26 962	26 962	-	100.0%	18 152	18 152
Training and development	1 018	443	-	1 461	1 461	-	100.0%	1 894	1 894
Operating payments	3 874	(979)	-	2 895	2 895	-	100.0%	2 304	2 304
Venues and facilities	3 155	4 759	824	8 738	8 738	-	100.0%	6 759	6 759
Rental and hiring	142	774	-	916	916	-	100.0%	-	-
Transfers and subsidies	228 974	259	-	229 233	229 233	-	100.0%	225 317	225 079
Departmental agencies and accounts	204 074	-	-	204 074	204 074	-	100.0%	199 975	199 975
Departmental agencies	204 074	-	-	204 074	204 074	-	100.0%	199 975	199 975
Foreign governments and international organisations	23 500	-	-	23 500	23 500	-	100.0%	23 500	23 500
Non-profit institutions	1 400	-	-	1 400	1 400	-	100.0%	1 638	1 400
Households	-	259	-	259	259	-	100.0%	204	204
Social benefits	-	259	-	259	259	-	100.0%	154	154
Other transfers to households	-	-	-	-	-	-	-	50	50
Payments for capital assets	841	6 338	-	7 179	7 179	-	100.0%	15 225	15 225
Machinery and equipment	841	1 163	-	2 004	2 004	-	100.0%	15 225	15 225

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Other machinery and equipment	841	1 163	-	2 004	2 004	-	100.0%	15 225	15 225
Software and other intangible assets	-	5 175	-	5 175	5 175	-	100.0%	-	-
Payments for financial assets	-	20	-	20	20	-	100.0%	-	-
TOTAL	445 939	-	4 312	450 251	450 251	-	100.0%	420 049	419 811

PROGRAMME 5: BIODIVERSITY AND CONSERVATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Biodiversity and Conservation Management	21 591	2 601	-	24 192	24 192	-	100.0%	19 049	19 049
2. Biodiversity Planning and Management	36 752	(4 896)	-	31 856	31 856	-	100.0%	23 690	23 690
3. Protected Areas Systems Management	49 042	(17 959)	(4 424)	26 659	26 610	49	99.8%	42 846	42 846
4. Isimangaliso Wetland Park Authority	36 076	-	-	36 076	36 076	-	100.0%	32 821	32 821
5. South African National Parks	277 224	-	-	277 224	277 224	-	100.0%	294 007	294 007
6. South African National Biodiversity Institute	344 079	-	-	344 079	344 079	-	100.0%	325 781	325 781
7. Biodiversity Monitoring Specialist Services	13 015	9 763	-	22 778	22 778	-	100.0%	11 340	11 340
8. Biodiversity Economy and Sustainable Use	23 541	10 491	-	34 032	34 032	-	100.0%	42 114	42 114
TOTAL	801 320	-	(4 424)	796 896	796 847	49	100.0%	791 648	791 648

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 5: BIODIVERSITY AND CONSERVATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Economic classification									
Current payments	140 484	(941)	(4 424)	135 119	135 070	49	100.0%	134 287	134 287
Compensation of employees	87 034	-	(4 424)	82 610	82 610	-	100.0%	74 023	74 023
Salaries and wages	83 610	(5 279)	(4 424)	73 907	73 907	-	100.0%	65 702	65 702
Social contributions	3 424	5 279	-	8 703	8 703	-	100.0%	8 321	8 321
Goods and services	53 450	(941)	-	52 509	52 460	49	99.9%	60 264	60 264
Administrative fees	126	(45)	-	81	81	-	100.0%	529	529
Advertising	918	733	-	1 651	1 651	-	100.0%	1 623	1 623
Minor assets	169	(118)	-	51	51	-	100.0%	15	15
Bursaries: Employees	-	36	-	36	36	-	100.0%	-	-
Catering: Departmental activities	705	218	-	923	923	-	100.0%	563	563
Communication	595	(410)	-	185	185	-	100.0%	412	412
Computer services	37	(37)	-	-	-	-	-	1 472	1 472
Consultants: Business and advisory services	13 107	(7 610)	-	5 497	5 448	49	99.1%	7 496	7 496
Legal services	597	1 912	-	2 509	2 509	-	100.0%	3 937	3 937
Contractors	5 313	(5 167)	-	146	146	-	100.0%	360	360
Agency and support / outsourced services	-	-	-	-	-	-	-	6	6
Agency and support / outsourced services	11 113	2 576 009	-	2 587 122	2 434 391	152 731	94.1%	2 206 843	2 168 262
Entertainment	-	1	-	1	1	-	100.0%	-	-
Consumable supplies	176	187	-	363	363	-	100.0%	738	738
Consumable: Stationery, printing and office supplies	289	4	-	293	293	-	100.0%	100	100
Operating leases	248	(24)	-	224	224	-	100.0%	278	278
Property payments	-	-	-	-	-	-	-	-	-

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 5: BIODIVERSITY AND CONSERVATION									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transport provided: Departmental activity	8	(8)	-	-	-	-	-	-	-
Travel and subsistence	23 330	(57)	-	23 273	23 273	-	100.0%	26 338	26 338
Training and development	424	1 383	-	1 807	1 807	-	100.0%	398	398
Operating payments	4 109	5 168	-	9 277	9 277	-	100.0%	2 068	2 068
Venues and facilities	2 942	2 763	-	5 705	5 705	-	100.0%	13 242	13 242
Rental and hiring	357	130	-	487	487	-	100.0%	689	689
Transfers and subsidies	659 666	1 439	-	661 105	661 105	-	100.0%	656 322	656 322
Departmental agencies and accounts	657 379	-	-	657 379	657 379	-	100.0%	650 609	650 609
Departmental agencies	657 379	-	-	657 379	657 379	-	100.0%	650 609	650 609
Non-profit institutions	2 287	-	-	2 287	2 287	-	100.0%	3 287	3 287
Households	-	1 439	-	1 439	1 439	-	100.0%	2 426	2 426
Social benefits	-	339	-	339	339	-	100.0%	301	301
Other transfers to households	-	1 100	-	1 100	1 100	-	100.0%	2 125	2 125
Payments for capital assets	1 170	(498)	-	672	672	-	100.0%	1 039	1 039
Machinery and equipment	1 170	(498)	-	672	672	-	100.0%	394	394
Other machinery and equipment	1 170	(498)	-	672	672	-	100.0%	394	394
Software and other intangible assets	-	-	-	-	-	-	-	645	645
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	801 320	-	(4 424)	796 896	796 847	49	100.0%	791 648	791 648

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Environmental Protection and Infrastructure Programme	1 462 489	101 835	(27 525)	1 536 799	1 536 799	-	100.0%	1 338 276	991 458
2. Natural Resource Management	2 234 752	(92 437)	(11 166)	2 131 149	2 115 577	15 572	99.3%	2 398 732	2 170 015
3. Green Fund	61 000	-	-	61 000	21 325	39 675	35.0%	95 000	8 255
4. Environmental Programmes Management	210 284	(8 993)	-	201 291	201 291	-	100.0%	267 424	267 424
5. Information Management and Sector Coordination	66 944	(405)	-	66 539	66 539	-	100.0%	73 251	73 251
TOTAL	4 035 469	-	(38 691)	3 996 778	3 941 531	55 247	98.6%	4 172 683	3 510 403
Economic classification									
Current payments	3 663 317	(856 900)	(194 489)	2 611 928	2 581 521	30 407	98.8%	2 266 599	2 257 789
Compensation of employees	271 107	-	(10 298)	260 809	260 809	-	100.0%	251 519	251 519
Salaries and wages	253 504	(14 896)	(10 298)	228 310	228 310	-	100.0%	220 267	220 267
Social contributions	17 603	14 896	-	32 499	32 499	-	100.0%	31 252	31 252
Goods and services	3 392 210	(856 900)	(184 191)	2 351 119	2 320 712	30 407	98.7%	2 015 080	2 006 270
Administrative fees	34 072	161 309	-	195 381	195 381	-	100.0%	116 692	116 692
Advertising	1 565	(1 563)	-	2	2	-	100.0%	2 728	2 728
Minor assets	241	(35)	-	206	206	-	100.0%	297	297
Bursaries: Employees	85	32	-	117	117	-	100.0%	60	60
Catering: Departmental activities	823	119	-	942	942	-	100.0%	696	696
Communication	5 296	(1 976)	-	3 320	3 320	-	100.0%	4 216	4 216
Computer services	5 206	(4 832)	-	374	374	-	100.0%	3 557	3 557
Consultants: Business and advisory services	25 712	93 618	-	119 330	119 330	-	100.0%	182 147	182 147
Legal services	11	730	-	741	741	-	100.0%	427	427
Contractors	35 228	(26 397)	-	8 831	8 831	-	100.0%	17 215	150
Agency and support / outsourced services	3 086 807	(1 470 174)	(184 191)	1 432 442	1 402 035	30 407	97.9%	1 183 944	1 192 199

NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Entertainment	4	(3)	-	1	1	-	100.0%	3	3
Fleet services	2 700	(1 445)	-	1 255	1 255	-	100.0%	2 739	2 739
Inventory: Clothing material and supplies	6	(6)	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	23 761	(6 192)	-	17 569	17 569	-	100.0%	14 241	14 241
Inventory: Materials and supplies	103 593	(103 593)	-	-	-	-	-	-	-
Inventory: Other supplies	136	110 830	-	110 966	110 966	-	100.0%	74 134	74 134
Consumable supplies	1 629	(732)	-	897	897	-	100.0%	1 027	1 027
Consumable: Stationery, printing and office supplies	1 986	(1 030)	-	956	956	-	100.0%	611	611
Operating leases	4 580	(3 246)	-	1 334	1 334	-	100.0%	3 608	3 608
Property payments	1 966	(1 774)	-	192	192	-	100.0%	247	247
Travel and subsistence	45 077	1 487	-	46 564	46 564	-	100.0%	45 655	45 655
Training and development	4 646	386 915	-	391 561	391 561	-	100.0%	345 726	245 726
Operating payments	4 041	11 050	-	15 091	15 091	-	100.0%	11 598	11 598
Venues and facilities	3 039	(290)	-	2 749	2 749	-	100.0%	3 107	3 107
Rental and hiring	-	298	-	298	298	-	100.0%	405	405
Transfers and subsidies	369 125	626 204	155 798	1 151 127	1 126 287	24 840	97.9%	1 399 880	746 410
Provinces and municipalities	-	63	-	63	63	-	100.0%	60	60
Municipalities	-	63	-	63	63	-	100.0%	60	60
Municipal agencies and funds	-	63	-	63	63	-	100.0%	60	60
Departmental agencies and accounts	344 285	620 806	155 798	1 120 889	1 120 889	-	100.0%	661 619	661 619
Social security funds	-	5 053	-	5 053	5 053	-	100.0%	-	-
Departmental agencies	344 285	615 753	155 798	1 115 836	1 115 836	-	100.0%	661 619	661 619
Public corporations and private enterprises	24 840	4 810	-	29 650	4 810	24 840	16.2%	95 000	-

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations	24 840	4 810	-	29 650	4 810	24 840	16.2%	95 000	-
Other transfers to public corporations	24 840	4 810	-	29 650	4 810	24 840	16.2%	95 000	-
Households	-	525	-	525	525	-	100.0%	643 201	84 731
Social benefits	-	361	-	361	361	-	100.0%	2 672	2 672
Other transfers to households	-	164	-	164	164	-	100.0%	640 529	82 059
Payments for capital assets	3 027	216 989	-	220 016	220 016	-	100.0%	189 810	189 810
Buildings and other fixed structures	-	140 209	-	140 209	140 209	-	100.0%	137 786	137 786
Other fixed structures	-	140 209	-	140 209	140 209	-	100.0%	137 786	137 786
Machinery and equipment	3 027	61 507	-	64 534	64 534	-	100.0%	41 799	41 799
Transport equipment	-	46 401	-	46 401	46 401	-	100.0%	40 004	40 004
Other machinery and equipment	3 027	15 106	-	18 133	18 133	-	100.0%	1 795	1 795
Software and other intangible assets	-	15 273	-	15 273	15 273	-	100.0%	10 225	10 225
Payments for financial assets	-	13 707	-	13 707	13 707	-	100.0%	316 394	316 394
TOTAL	4 035 469	-	(38 691)	3 996 778	3 941 531	55 247	98.6%	4 172 683	3 510 403

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Chemicals and Waste Management	12 359	(2 183)	6 566	16 742	16 742	-	100.0%	11 962	11 962
2. Hazardous Waste Management and Licensing	115 058	(40 359)	(836)	73 863	73 863	-	100.0%	91 284	91 284
3. Integrated Waste Management and Strategic Support	22 680	(1 803)	(589)	20 288	20 288	-	100.0%	21 293	21 293

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
4. Chemicals and Waste Policy, Evaluation and Monitoring	16 528	(3 034)	3 125	16 619	16 619	-	100.0%	18 380	18 380
5. Chemicals Management	16 723	5 120	2 398	24 241	24 241	-	100.0%	16 538	16 538
6. Waste Bureau	410 968	42 259	7 744	460 971	437 309	23 662	94.9%	394 670	394 623
TOTAL	594 316	-	18 408	612 724	589 062	23 662	96.1%	554 127	554 080
Economic classification									
Current payments	511 982	(101 718)	18 408	428 672	446 291	(17 619)	104.1%	359 116	359 116
Compensation of employees	66 896	-	18 408	85 304	111 713	(26 409)	131.0%	94 469	94 469
Salaries and wages	62 146	(3 671)	18 141	76 616	100 353	(23 737)	131.0%	84 310	84 310
Social contributions	4 750	3 671	267	8 688	11 360	(2 672)	130.8%	10 159	10 159
Goods and services	435 619	(124 708)	-	310 911	302 121	8 790	97.2%	241 032	241 032
Administrative fees	284	(118)	-	166	166	-	100.0%	173	173
Advertising	659	204	-	863	863	-	100.0%	2 318	2 318
Minor assets	48	13	-	61	61	-	100.0%	53	53
Catering: Departmental activities	363	28	-	391	391	-	100.0%	547	547
Communication	529	(177)	-	352	352	-	100.0%	458	458
Consultants: Business and advisory services	21 683	(6 224)	-	15 459	15 459	-	100.0%	10 193	10 193
Legal services	3 101	(1 876)	-	1 225	1 225	-	100.0%	6 786	6 786
Contractors	152 135	96 585	-	248 720	248 720	-	100.0%	201 206	201 206
Agency and support / outsourced services	235 315	(221 602)	-	13 713	4 923	8 790	35.9%	-	-
Entertainment	9	(9)	-	-	-	-	-	-	-
Fleet services	-	98	-	98	98	-	100.0%	-	-
Inventory: Other supplies	-	-	-	-	-	-	-	17	17

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable supplies	899	(524)	-	375	375	-	100.0%	580	580
Consumable: Stationery, printing and office supplies	1 080	(812)	-	268	268	-	100.0%	139	139
Operating leases	86	17	-	103	103	-	100.0%	106	106
Travel and subsistence	14 330	1 285	-	15 615	15 615	-	100.0%	12 885	12 885
Training and development	671	9 308	-	9 979	9 979	-	100.0%	965	965
Operating payments	3 177	(1 069)	-	2 108	2 108	-	100.0%	2 140	2 140
Venues and facilities	948	197	-	1 145	1 145	-	100.0%	2 014	2 014
Rental and hiring	302	(32)	-	270	270	-	100.0%	452	452
Interest and rent on land	9 467	22 990	-	32 457	32 457	-	100.0%	23 615	23 615
Rent on land	9 467	22 990	-	32 457	32 457	-	100.0%	23 615	23 615
Transfers and subsidies	81 473	45 308	-	126 781	85 500	41 281	67.4%	57 457	57 410
Departmental agencies and accounts	12 473	-	-	12 473	12 020	453	96.4%	11 879	11 832
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	12 473	-	-	12 473	12 020	453	96.4%	11 879	11 832
Public corporations and private enterprises	69 000	-	-	69 000	28 172	40 828	40.8%	45 441	45 441
Private enterprises	69 000	-	-	69 000	28 172	40 828	40.8%	45 441	45 441
Other transfers to private enterprises	69 000	-	-	69 000	28 172	40 828	40.8%	45 441	45 441
Households	-	45 308	-	45 308	45 308	-	100.0%	137	137
Social benefits	-	308	-	308	308	-	100.0%	137	137
Other transfers to households	-	45 000	-	45 000	45 000	-	100.0%	-	-
Payments for capital assets	861	56 410	-	57 271	57 271	-	100.0%	137 554	137 554
Buildings and other fixed structures	-	42 436	-	42 436	42 436	-	100.0%	62 727	62 727
Other fixed structures	-	42 436	-	42 436	42 436	-	100.0%	62 727	62 727

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT									
SUB-PROGRAMME	2019/20							2018/19	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Machinery and equipment	861	11 509	-	12 370	12 370	-	100.0%	73 892	73 892
Transport equipment	-	11 969	-	11 969	11 969	-	100.0%	7 055	7 055
Other machinery and equipment	861	(460)	-	401	401	-	100.0%	66 837	66 837
Software and other intangible assets	-	2 465	-	2 465	2 465	-	100.0%	935	935
Payments for financial assets	-	-	-	-	-	-	-	-	-
TOTAL	594 316	-	18 408	612 724	589 062	23 662	96.1%	554 127	554 080

1. DETAIL OF TRANSFERS AND SUBSIDIES AS PER APPROPRIATION ACT (AFTER VIREMENT):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-G) to the Annual Financial Statements.

2. DETAIL OF SPECIFICALLY AND EXCLUSIVELY APPROPRIATED AMOUNTS VOTED (AFTER VIREMENT):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

3. DETAIL ON PAYMENTS FOR FINANCIAL ASSETS

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. EXPLANATIONS OF MATERIAL VARIANCES FROM AMOUNTS VOTED (AFTER VIREMENT):

4.1 PER PROGRAMME	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE R'000	VARIANCE AS A % OF FINAL APPROPRIATION
1. Administration	911 882	910 233	1 649	-
2. Legal, Authorisations, Compliance and Enforcement	220 495	220 102	393	-
3. Oceans and Coasts	494 645	458 621	36 024	7
4. Climate Change, Air Quality and Sustainable Development	450 251	450 251	-	-
5. Biodiversity and Conservation	796 896	796 847	49	-
6. Environmental Programmes	3 996 778	3 941 531	55 247	1
7. Chemicals and Waste Management	612 724	589 062	23 662	4
TOTAL	7 483 671	7 366 647	117 024	2

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

An underspending of R117,024 million occurred in the financial year. The main reason for the underspending includes the Oceans Economy Programme not disbursing to projects which are also not being implemented as quickly as planned, EPWP projects being implemented slower than expected, the Green Fund managed by DBSA not disbursing existing funds under the green economy initiative, and the Recycling Enterprise Support Programme unable to award projects for the year.

PROGRAMME 3: OCEANS AND COASTS	494 645	458 621	36 024	7
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An underspending of R36,024 million occurred primarily due to the Oceans Economy Programme not able to make payments due to slow implementation of projects as well as verification of progress.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES	3 996 778	3 941 531	55 247	1
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An underspending of R55,247 million of which R15m is related to the delayed implementation of the Expanded Public Works Programme projects as well as R40m disbursements in terms of the DBSA Green Fund not approved under the green economy initiatives.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT	612 724	589 062	23 662	4
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An underspending of R23,662 million related to Recycling Enterprise Support Programme being unable to award projects due to delay in process of awarding projects.

4.2 PER ECONOMIC CLASSIFICATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	VARIANCE AS A % OF FINAL APPROPRIATION
	R'000	R'000	R'000	R'000
Current payments				
Compensation of employees	1 237 539	1 263 948	(26 409)	(2)
Goods and services	3 529 290	3 453 627	75 663	2
Interest and rent on land	32 466	32 466	-	-
Transfers and subsidies				
Provinces and municipalities	152	152	-	-
Departmental agencies and accounts	2 016 795	2 016 342	453	-
Public corporations and private enterprises	98 650	32 982	65 668	67
Foreign governments and international organisations	34 772	34 772	-	-

**NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2020**

4.2 PER ECONOMIC CLASSIFICATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	VARIANCE AS A % OF FINAL APPROPRIATION
	R'000	R'000	R'000	R'000
Non-profit institutions	8 496	8 496	-	-
Households	55 542	55 542	-	-
Payments for capital assets				
Buildings and other fixed structures	320 430	318 781	1 649	-
Machinery and equipment	101 933	101 933	-	-
Software and other intangible assets	33 838	33 838	-	-
Payments for financial assets	13 768	13 768	-	-
TOTAL	7 483 671	7 366 647	117 024	2

Compensation of Employees	1 237 539	1 263 948	(26 409)	(2)
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An overspending of R26,409 million due to payment of officials on contract to manage and control the operations of the Waste Management Bureau, (which was originally budgeted for as Transfer Payments) as well as the Waste Phakisa under Programme 7: Chemicals and Waste Management. The Youth Environmental Programme appointees also appointed on contract could not be covered within the compensation of employees ceiling during the 2019/20 financial year by managing the vacant posts in the department.

Goods and Services	3 529 290	3 453 627	75 663	2
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An underspending of R75, 7m due to EPWP projects implementation being delayed and the Oceans Economy Programme unable to make payments due to projects not progressed and verified as anticipated.

Public Corporations and Private Enterprises	98 650	32 982	65 668	67
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An underspending of R65, 7m due to disbursements of the DBSA Green Fund not approved under the green economy initiatives as well as the Recycling Enterprise Support Programme not able to award projects due to applications under review for awarding.

Buildings and Other Fixed Structures	320 430	318 781	1 649	1
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An underspending of R1, 6m due to the projected cost for the Environment House under the PPP agreement higher than the actual unitary payments due to the past through cost (i.e. operational costs) allocated under Goods and Services.

STATEMENT OF FINANCIAL PERFORMANCE
for the year ended 31 March 2020

	NOTE	2019/20	2018/19
		R'000	R'000
REVENUE			
Annual appropriation	1	7 483 671	7 430 532
Departmental revenue	2	108 517	29 387
Aid assistance	3	-	29 262
Total revenue		7 592 188	7 489 181
EXPENDITURE			
Current expenditure			
Compensation of employees	4	1 263 948	1 138 123
Goods and services	5	3 453 254	3 040 477
Interest and rent on land	6	32 466	23 615
Aid assistance	3	2 710	15 078
Total current expenditure		4 753 378	4 217 293
Transfers and subsidies	8	2 126 306	1 700 291
Total transfers and subsidies		2 126 306	1 700 291
Expenditure for capital assets			
Tangible assets	9	442 067	489 132
Intangible assets	9	33 838	28 901
Total expenditure for capital assets		475 905	518 033
Payments for financial assets	7	13 768	316 432
Total expenditure		7 369 357	6 752 049
Surplus for the year		222 831	737 132
Reconciliation of Net Surplus for the year			
Voted funds		117 024	693 561
Annual appropriation		117 024	693 561
Departmental revenue and NRF Receipts	16	108 517	29 387
Aid assistance	3	(2 710)	14 184
Surplus for the year		222 831	737 132

STATEMENT OF FINANCIAL POSITION
as at 31 March 2020

	NOTE	2019/20	2018/19
		R'000	R'000
ASSETS			
Current assets		805 321	753 607
Unauthorised expenditure		149 273	16 588
Cash and cash equivalents	11	149 049	20 220
Prepayments and advances	12	475	544
Receivables	13	473 423	685 864
Aid assistance receivable	3	33 101	30 391
Non-current assets		532 764	458 082
Receivables	13	33 925	31 931
Loans	14	205 731	204 037
Total assets		1 044 977	989 575
LIABILITIES			
Current liabilities		358 133	98 457
Voted funds to be surrendered to the Revenue Fund	15	224 325	(389 657)
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	16	56 832	42 939
Bank overdraft	17	-	393 957
Payables	18	76 976	51 218
Total liabilities		358 133	98 457
Net assets		686 844	891 118
Represented by:			
Recoverable revenue		686 844	891 118
TOTAL		686 844	891 118

STATEMENT CHANGES IN NET ASSESTS
for the year ended 31 March 2020

	NOTE	2019/20	2018/19
		R'000	R'000
Recoverable revenue			
Opening balance		891 118	545 825
Transfers:		(204 274)	345 293
	7.2	(1 627)	(114)
Debts recovered (included in departmental receipts)		(813)	(67)
Debts raised		(201 834)	345 474
Closing balance		686 844	891 118
TOTAL		686 844	891 118

CASH FLOW STATEMENT
for the year ended 31 March 2020

	NOTE	2019/20	2018/19
		R'000	R'000
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		7 592 068	6 422 868
Annual appropriated funds received	1.1	7 483 671	6 364 443
Departmental revenue received		100 987	28 196
Interest received	2.3	7 410	967
Aid assistance received	3	-	29 262
Net (increase)/decrease in working capital		105 583	(340 754)
Surrendered to Revenue Fund		269 649	(280 429)
Current payments		(4 620 684)	(4 200 705)
Interest paid	6	(9)	-
Payments for financial assets	7	(13 768)	(316 432)
Transfers and subsidies paid	8	(2 126 306)	(1 700 291)
Net cash flow available from operating activities	19	1 206 533	(415 743)
CASH FLOWS FROM INVESTING ACTIVITIES			
Payments for capital assets	9	(475 905)	(518 033)
Proceeds from sale of capital assets	2.4	120	224
(Increase)/decrease in loans		(1 694)	(14 024)
(Increase)/decrease in non-current receivables	13	(1 994)	-
Net cash flows from investing activities		(479 473)	(531 833)
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		(204 274)	345 293
Net cash flows from financing activities		(204 274)	345 293
Net increase/(decrease) in cash and cash equivalents		522 786	(602 283)
Cash and cash equivalents at beginning of period		(373 737)	228 546
Cash and cash equivalents at end of period	20	149 049	(373 737)

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1	<p>Basis of preparation</p> <p>The financial statements have been prepared in accordance with the Modified Cash Standard.</p>
2	<p>Going concern</p> <p>The financial statements have been prepared on a going concern basis.</p>
3	<p>Presentation currency</p> <p>Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.</p>
4	<p>Rounding</p> <p>Unless otherwise stated financial figures have been rounded to the nearest one thousand rand (R'000).</p>
5	<p>Foreign currency translation</p> <p>Cash flows arising from foreign currency transactions are translated into South African rand using the spot exchange rates prevailing at the date of payment / receipt.</p>
6	<p>Comparative information</p>
6.1	<p>Prior period comparative information</p> <p>Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.</p>
6.2	<p>Current year comparison with budget</p> <p>A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.</p>
7	<p>Revenue</p>
7.1	<p>Appropriated funds</p> <p>Appropriated funds comprise of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation).</p> <p>Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective.</p> <p>The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.</p>
7.2	<p>Departmental revenue</p> <p>Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise.</p> <p>Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

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7.3	<p>Accrued departmental revenue</p> <p>Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:</p> <ul style="list-style-type: none"> · it is probable that the economic benefits or service potential associated with the transaction will flow to the department; and · the amount of revenue can be measured reliably. <p>The accrued revenue is measured at the fair value of the consideration receivable.</p> <p>Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents.</p> <p>Write-offs are made according to the department's debt write-off policy.</p>
8	Expenditure
8.1	Compensation of employees
8.1.1	<p>Salaries and wages</p> <p>Salaries and wages are recognised in the statement of financial performance on the date of payment.</p>
8.1.2	<p>Social contributions</p> <p>Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment.</p> <p>Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.</p>
8.2	<p>Other expenditure</p> <p>Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.</p>
8.3	<p>Accruals and payables not recognised</p> <p>Accruals and payables not recognised are recorded in the notes to the financial statements at cost at the reporting date.</p>
8.4	Leases
8.4.1	<p>Operating leases</p> <p>Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment.</p> <p>The operating lease commitments are recorded in the notes to the financial statements.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

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8.4.2	<p>Finance leases</p> <p>Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment.</p> <p>The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions.</p> <p>Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:</p> <ul style="list-style-type: none"> · cost, being the fair value of the asset; or · the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest.
9	<p>Aid Assistance</p>
9.1	<p>Aid assistance received</p> <p>Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value.</p> <p>Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.</p>
9.2	<p>Aid assistance paid</p> <p>Aid assistance paid is recognised in the statement of financial performance on the date of payment. Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.</p>
10	<p>Cash and cash equivalents</p> <p>Cash and cash equivalents are stated at cost in the statement of financial position.</p> <p>Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.</p> <p>For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.</p>
11	<p>Prepayments and advances</p> <p>Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.</p> <p>Prepayments and advances are initially and subsequently measured at cost.</p> <p>Prepayments and advances are expensed in terms of the Expanded Public Works Programme when contractually agreed between the Department and the Implementing Entities to implement projects.</p>
12	<p>Loans and receivables</p> <p>Loans and receivables are recognised in the statement of financial position at cost plus accrued interest, where interest is charged, less amounts already settled or written-off. Write-offs are made according to the department's write-off policy.</p>
13	<p>Financial assets</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

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13.1	<p>Financial assets (not covered elsewhere)</p> <p>A financial asset is recognised initially at its cost plus transaction costs that are directly attributable to the acquisition or issue of the financial asset.</p> <p>At the reporting date, a department shall measure its financial assets at cost, less amounts already settled or written-off, except for recognised loans and receivables, which are measured at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.</p>
13.2	<p>Impairment of financial assets</p> <p>Where there is an indication of impairment of a financial asset, an estimation of the reduction in the recorded carrying value, to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset, is recorded in the notes to the financial statements.</p>
14	<p>Payables</p> <p>Payables recognised in the statement of financial position are recognised at cost.</p>
15	<p>Capital Assets</p>
15.1	<p>Immovable capital assets</p> <p>Immovable assets reflected in the asset register of the department are recorded in the notes to the financial statements at cost or fair value where the cost cannot be determined reliably. Immovable assets acquired in a non-exchange transaction are recorded at fair value at the date of acquisition. Immovable assets are subsequently carried in the asset register at cost and are not currently subject to depreciation or impairment.</p> <p>Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use.</p> <p>Additional information on immovable assets not reflected in the assets register is provided in the notes to financial statements.</p>
15.2	<p>Movable capital assets</p> <p>Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.</p> <p>Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined, the movable assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.</p> <p>Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature forms part of the cost of the existing asset when ready for use.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

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15.3	<p>Intangible assets</p> <p>Intangible assets are initially recorded in the notes to the financial statements at cost. Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition. Internally generated intangible assets are recorded in the notes to the financial statements when the department commences the development phase of the project.</p> <p>Where the cost of intangible assets cannot be determined reliably, the intangible capital assets are measured at fair value and where fair value cannot be determined, the intangible assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.</p> <p>Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use.</p>
15.4	<p>Project Costs: Work-in-progress</p> <p>Expenditure of a capital nature is initially recognised in the statement of financial performance at cost when paid.</p> <p>Amounts paid towards capital projects are separated from the amounts recognised and accumulated in work-in-progress until the underlying asset is ready for use. Once ready for use, the total accumulated payments are recorded in an asset register. Subsequent payments to complete the project are added to the capital asset in the asset register.</p> <p>Where the department is not the custodian of the completed project asset, the asset is transferred to the custodian subsequent to completion.</p>
16	<p>Provisions and Contingents</p>
16.1	<p>Provisions</p> <p>Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.</p>
16.2	<p>Contingent liabilities</p> <p>Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.</p>
16.3	<p>Contingent assets</p> <p>Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department.</p>
16.4	<p>Capital commitments</p> <p>Capital commitments are recorded at cost in the notes to the financial statements.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

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17	<p>Unauthorised expenditure</p> <p>Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:</p> <ul style="list-style-type: none"> · approved by Parliament or the Provincial Legislature with funding and the related funds are received; or · approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or · transferred to receivables for recovery. <p>Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.</p>
18	<p>Fruitless and wasteful expenditure</p> <p>Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.</p> <p>Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off.</p> <p>Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
19	<p>Irregular expenditure</p> <p>Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.</p> <p>Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off.</p> <p>Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
20	<p>Changes in accounting estimates and errors</p> <p>Changes in accounting estimates are applied prospectively in accordance with MCS requirements.</p> <p>Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.</p>
21	<p>Events after the reporting date</p> <p>Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.</p>
22	<p>Principal-Agent arrangements</p> <p>The department is party to a principal-agent arrangement for payments made to beneficiaries and service providers by the South African Post Office. In terms of the arrangement the department is the principal and is responsible for the validity, accuracy and completeness of amounts paid to service providers or beneficiaries. All related revenues, expenditures, assets and liabilities have been recognised or recorded in terms of the relevant policies listed herein. Additional disclosures have been provided in the notes to the financial statements where appropriate.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2020

Summary of significant accounting policies

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23	<p>Departures from the MCS requirements</p> <p>The department has not deviated from the Modified Cash Standards.</p>
24	<p>Capitalisation reserve</p> <p>The capitalisation reserve comprises of financial assets and/or liabilities originating in a prior reporting period but which are recognised in the statement of financial position for the first time in the current reporting period. Amounts are recognised in the capitalisation reserves when identified in the current period and are transferred to the National Revenue Fund when the underlying asset is disposed and the related funds are received.</p>
25	<p>Recoverable revenue</p> <p>Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.</p>
26	<p>Related party transactions</p> <p>Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.</p> <p>The number of individuals and the full compensation of key management personnel is recorded in the notes to the financial statements.</p>
27	<p>Inventories</p> <p>At the date of acquisition, inventories are recognised at cost in the statement of financial performance. Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition.</p> <p>Inventories are subsequently measured at the lower of cost and net realisable value or where intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value.</p> <p>The cost of inventories is assigned by using the weighted average cost basis.</p> <p>The inventory chapter of the Modified Cash Standard is not yet effective.</p>
28	<p>Public-Private Partnerships</p> <p>Public Private Partnerships are accounted for based on the nature and or the substance of the partnership. The transaction is accounted for in accordance with the relevant accounting policies.</p> <p>A summary of the significant terms of the PPP agreement, the parties to the agreement, and the date of commencement thereof together with the description and nature of the concession fees received, the unitary fees paid, rights and obligations of the department are recorded in the notes to the financial statements.</p>
29	<p>Employee benefits</p> <p>The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.</p>
30	<p>Transfers of functions</p> <p>Transfers of functions are accounted for by the acquirer by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of transfer.</p> <p>Transfers of functions are accounted for by the transferor by derecognising or removing assets and liabilities at their carrying amounts at the date of transfer.</p>

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

1. ANNUAL APPROPRIATION

1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds):

	2019/20			2018/19		
	FINAL APPROPRIATION	ACTUAL FUNDS RECEIVED	FUNDS NOT REQUESTED/ NOT RECEIVED	FINAL APPROPRIATION	APPROPRIATION RECEIVED	FUNDS NOT REQUESTED / NOT RECEIVED
	R'000	R'000	R'000		R'000	R'000
Administration	911 882	911 882	-	851 700	851 700	-
Legal, Authorisations, Compliance and Enforcement	220 495	220 495	-	183 751	183 751	-
Oceans and Coasts	494 645	494 645	-	456 574	456 574	-
Climate Change, Air Quality and sustainable Development	450 251	450 251	-	420 049	420 049	-
Biodiversity and Conservation	796 896	796 896	-	791 648	791 648	-
Environmental Programmes	3 996 778	3 996 778	-	4 172 683	3 106 594	1 066 089
Chemicals and Waste Management	612 724	612 724	-	554 127	554 127	-
TOTAL	7 483 671	7 483 671	-	7 430 532	6 364 443	1 066 089

The department did not request an amount of R1, 066 billion in the prior year due to lower than projected expenditure in the environmental programmes. For the current year, all amounts were requested and received

2. DEPARTMENTAL REVENUE

	NOTE	2019/20	2018/19
		R'000	R'000
Sales of goods and services other than capital assets	2.1	2 940	2 770
Fines, penalties and forfeits	2.2	4 033	25
Interest, dividends and rent on land	2.3	7 410	967
Sales of capital assets	2.4	120	224
Transactions in financial assets and liabilities	2.5	94 014	25 401
Departmental revenue collected		108 517	29 387

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

2.1 Sales of goods and services other than capital assets

	NOTE	2019/20	2018/19
	2	R'000	R'000
Sales of goods and services produced by the department		2 940	2 769
Sales by market establishment		252	276
Administrative fees		2 301	2 134
Other sales		387	359
Sales of scrap, waste and other used current goods		-	1
TOTAL		2 940	2 770

Administrative fees consist mainly of environmental and waste management authorisation license fees, fauna and flora licences, game licences, marine recreational fees and permits.

2.2 Fines, penalties and forfeits

	NOTE	2019/20	2018/19
	2	R'000	R'000
Fines		4 033	25
TOTAL		4 033	25

Current year amount includes administration fines of R4m, consisting of rectification fines issued in terms of Section 24G of the NEMA.

2.3 Interest, dividends and rent on land

	NOTE	2019/20	2018/19
	2	R'000	R'000
Interest		7 410	967
TOTAL		7 410	967

Interest received is predominantly made up of amounts paid by implementing agents on funds held by implementers prior to spending. (EPIP: R6, 327 million and NRM: R456 thousand).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

2.4 Sale of capital assets

	NOTE	2019/20	2018/19
	2	R'000	R'000
Tangible assets		120	224
Machinery and equipment	32	120	224
TOTAL		120	224

2.5 Transactions in financial assets and liabilities

	NOTE	2019/20	2018/19
	2	R'000	R'000
Receivables		10 892	8 409
Other Receipts including Recoverable Revenue		83 122	16 992
TOTAL		94 014	25 401

Current year amount includes R27, 7m for unspent funds of closed out projects being undertaken by implementing agents of the department, EFP factory sales eco furniture (R4, 7m), charter income from AMSOL Weddell sea expedition (R26, 1m) operation Phakisa (R5, 2m), Water and Sanitation (Harties) (R4m), operations white desert voyage (R3, 7 m) and Marion Island maintenance to the research base (R3, 8m).

2.5 .1 Reconciliation of receivables

	NOTE	2019/20	2018/19
	2	R'000	R'000
DEA Receivables		1 692	154
Green Fund receivables		9 200	8 255
TOTAL		10 892	8 409

3. AID ASSISTANCE

	NOTE	2019/20	2018/19
		R'000	R'000
Opening Balance		(30 391)	(44 575)
Transferred from statement of financial performance		(2 710)	14 184
Closing Balance		(33 101)	(30 391)

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

The figure "Transferred from statement of financial performance" is a net figure comprised of revenue for aid assistance of R nil for 2019/20 (R29, 3m: 2018/2019) and the related expenditure of R2, 7m for 2019/20 (R15, 1m: 2018/2019).

3.1 Analysis of balance by source

	NOTE	2019/20	2018/19
		R'000	R'000
Aid assistance from RDP	3	(33 101)	(30 391)
Closing balance		(33 101)	(30 391)

3.2 Analysis of balance

	NOTE	2019/20	2018/19
		R'000	R'000
Aid assistance receivable	3	(33 101)	(30 391)
Closing balance		(33 101)	(30 391)

3.3 Aid assistance expenditure per economic classification

	NOTE	2019/20	2018/19
		R'000	R'000
Current	3	2 710	15 078
Total aid assistance expenditure		2 710	15 078

4. COMPENSATION OF EMPLOYEES

4.1 Salaries and Wages

	NOTE	2019/20	2018/19
		R'000	R'000
Basic salary		885 133	796 893
Performance award		17 139	15 679
Service Based		492	383
Compensative/circumstantial		20 094	19 602
Other non-pensionable allowances		200 765	172 923
TOTAL		1 123 623	1 005 480

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

4.2 Social contributions

	NOTE	2019/20	2018/19
		R'000	R'000
Employer contributions			
Pension		101 940	96 993
Medical		38 028	35 484
Bargaining council		323	166
Insurance		34	-
TOTAL		140 325	132 643
Total compensation of employees		1 263 948	1 138 123
Average number of employees		1 817	1 615

5. GOODS AND SERVICES

	NOTE	2019/20	2018/19
		R'000	R'000
Administrative fees		207 771	129 470
Advertising		24 538	44 444
Minor assets	5.1	3 024	1 552
Bursaries (employees)		2 649	1 987
Catering		10 557	8 118
Communication		19 451	19 459
Computer services	5.2	83 224	59 769
Consultants: Business and advisory services		228 632	270 070
Infrastructure and planning services		-	5
Laboratory services		113	31
Legal services		11 967	20 274
Contractors		265 462	209 800
Agency and support / outsourced services		1 569 692	1 352 396

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

	NOTE	2019/20	2018/19
		R'000	R'000
Entertainment		19	24
Audit cost – external	5.3	14 169	11 870
Fleet services		6 398	7 475
Inventory	5.4	130 287	89 267
Consumables	5.5	39 761	33 753
Operating leases		94 030	96 705
Property payments	5.6	8 588	19 574
Rental and hiring		8 415	4 547
Transport provided as part of the departmental activities		254	71
Travel and subsistence	5.7	201 878	199 883
Venues and facilities		38 327	40 902
Training and development		417 388	356 596
Other operating expenditure	5.8	67 660	62 435
TOTAL		3 454 254	3 040 477

The majority of the Department's expenses relate to projects incurred by implementing entities classified according to the nature of the expenses broadly, administrative fees (management fees), outsourced services, inventory and training and development.

The increase in Agency and support/outsourced services figure to R1, 6 billion from the prior year is attributable to the increased number of projects undertaken in 2019/20.

Furthermore, amounts of R7 000 was incurred by the Department in relation to emergency COVID 19 expenditure for personal protective equipment, hand sanitizers and other consumables.

5.1 Minor assets

	NOTE	2019/20	2018/19
	5	R'000	R'000
Tangible assets		3 016	1 526
Machinery and equipment		3 016	1 526
Intangible assets		8	26
Software		8	26
TOTAL		3 024	1 552

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

Machinery and equipment comprise largely of expenses in respect of computer peripherals amounting to R1, 6 m for 2019/20 (R841 000; 2018/19).

5.2 Computer services

	NOTE	2019/20	2018/19
	5	R'000	R'000
SITA computer services		32 132	28 396
External computer service providers		51 092	31 373
TOTAL		83 224	59 769

External computer service providers consist mainly of Utility software licences amounting to R15, 5 m; 2019/20 (R4m; 2018/19).

Included in 2019/20 for External computer service providers is an amount of R4, 8m relating to mine cast email solution for the emergency procurement of ICT goods as a response to the Covid-19 threat in SA.

5.3 Audit cost - External

	NOTE	2019/20	2018/19
	5	R'000	R'000
Regularity audits		11 481	10 885
Investigations		2 688	985
TOTAL		14 169	11 870

5.4 Inventory

	NOTE	2019/20	2018/19
	5	R'000	R'000
Clothing material and accessories		1 752	1 334
Fuel, oil and gas		17 569	14 241
Other supplies	5.4.1	110 966	73 692
TOTAL		130 287	89 267

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

5.4.1 Other supplies

	NOTE	2019/20	2018/19
	5.4	R'000	R'000
Assets for distribution		73 654	73 692
· School furniture		61 148	63 997
· Other assets for distribution		12 506	9 695
Other		37 312	-
TOTAL		110 966	73 692

Inventory costs include amounts for the Eco Furniture project, including amounts incurred by implementing entities to deliver the processed raw materials to the factories. Inventory also includes amounts incurred for the school ablution blocks incurred in the Value Added Industries.

Other assets for distribution are represented by costs for the manufacture of wood blankets from invasive biomass

Included in other is an amount of R37, 3 m for ablution blocks built at schools across the country to be transferred to respective provincial departments within the next year.

5.5 Consumables

	NOTE	2019/20	2018/19
	5	R'000	R'000
Consumable supplies		32 195	28 721
Uniform and clothing		5 452	5 210
Household supplies		8 425	5 679
Communication accessories		125	13
IT consumables		1 028	741
Other consumables		17 165	17 078
Stationery, printing and office supplies		7 566	5 032
TOTAL		39 761	33 753

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

Household supplies comprises largely of expenses in respect of groceries amounting to R5, 1m; 2019/20 (R4, 6m; 2018/19).

Some amounts were incurred in Other consumables amounting to R7 000 for the emergency procurement of gloves, masks and hand sanitizers purchased through petty cash as a response to the Covid-19 threat in SA.

Stationery, printing and office supplies comprises largely of expenses in respect of government printing amounting to R1,5m;2019/20 (R728 000; 2018/19), stationery amounting to R2,6m; 2019/20 (R1,8m; 2018/19) and printing paper amounting to R1,6m; 2019/20 (R1m; 2018/19).

5.6 Property payments

	NOTE	2019/20	2018/19
	5	R'000	R'000
Municipal services		5 871	7 919
Property management fees		308	6 909
Property maintenance and repairs		942	2 102
Other		1 467	2 644
TOTAL		8 588	19 574

5.7 Travel and subsistence

	NOTE	2019/20	2018/19
	5	R'000	R'000
Local		165 300	146 781
Foreign		36 578	53 102
TOTAL		201 878	199 883

5.8 Other operating expenditure

	NOTE	2019/20	2018/19
	5	R'000	R'000
Professional bodies, membership and subscription fees		8 793	2 965
Resettlement costs		618	1 636
Other		58 249	57 834
TOTAL		67 660	62 435

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

Professional bodies, membership and subscription fees for 2019/20 includes mainly membership fees for International Union for Conservation of Nature and Natural Resources and annual membership contribution: Benguela Current Convention Secretariat as a State Member to gain access to a network/get information in return for subscribing to the international organisation.

Other consists mainly of charter services and landing rights R43, 7m for 2019/20 (R48, 5m; 2018/19), printing and publications services R11, 8m for 2019/20 (R6, 7m; 2018/19) and courier and delivery services R2, 1m for 2019/20 (R1, 1m; 2018/19).

6. INTEREST AND RENT ON LAND

	NOTE	2019/20	2018/19
		R'000	R'000
Interest paid		9	-
Rent on land		32 457	23 615
TOTAL		32 466	23 615

An amount of R9 000 for interest paid was also recorded in Fruitless and wasteful expenditure. The rent on land is in respect of leases for depots for the storage and pre-processing of waste tyres under the Waste Management Bureau waste tyre initiative.

7. PAYMENTS FOR FINANCIAL ASSETS

	NOTE	2019/20	2018/19
		R'000	R'000
Extension of loans for policy purposes		12 124	316 318
Other material losses written off	7.1	18	-
Debts written off	7.2	1 626	114
TOTAL		13 768	316 432

7.1 Other material losses written off

	NOTE	2019/20	2018/19
	7	R'000	R'000
Nature of losses			
Pension fund		18	-
TOTAL		18	-

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

7.2 Debt written off

	NOTE	2019/20	2018/19
	7	R'000	R'000
Other debt written off		1 626	114
Total debt written off		1 626	114

8. TRANSFERS AND SUBSIDIES

	NOTE	2019/20	2018/19
		R'000	R'000
Provinces and municipalities	39	152	159
Departmental agencies and accounts	Annexure 1A	1 994 362	1 524 091
Foreign governments and international organisations	Annexure 1C	34 772	29 613
Public corporations and private enterprises	Annexure 1B	32 982	45 441
Non-profit institutions	Annexure 1D	8 496	4 687
Households	Annexure 1E	55 542	96 300
TOTAL		2 126 306	1 700 291

Foreign governments and international organisations includes mainly membership and subscription fees for International organisations for the purpose of financial supporting: Government contribution towards the trust fund for various conservation organisations.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

9. EXPENDITURE FOR CAPITAL ASSETS

	NOTE	2019/20	2018/19
		R'000	R'000
Tangible assets		442 067	489 132
Buildings and other fixed structures	35	340 134	339 383
Machinery and equipment	33	101 933	149 749
Intangible assets		33 838	28 901
Software	34	33 838	28 787
Patents, licences, copyright, brand names, trademarks	34	-	114
TOTAL		475 905	518 033

Buildings and other fixed structures comprise the Expanded Public Works Programme (EPWP) infrastructure projects. These are classified as capital work-in-progress during construction and will be transferred to the project beneficiaries when the construction is complete subject to the requisite processes and documentation in terms of legislation.

Included in 2019/20 for Machinery and equipment is an amount of R7m for the emergency procurement of Video and audio-conferencing equipment as well as an amount of R1,1m for computer equipment as a response to the Covid-19 threat in SA.

9.1 Analysis of funds utilised to acquire capital assets - 2017/18

	VOTED FUNDS	AID ASSISTANCE	TOTAL
	R'000	R'000	R'000
Tangible assets	442 067	-	442 067
Buildings and other fixed structures	340 134	-	340 134
Machinery and equipment	101 933	-	101 933
Intangible assets	33 838	-	33 838
Software	33 838	-	33 838
TOTAL	475 905	-	475 905

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

9.2 Analysis of funds utilised to acquire capital assets – 2016/17

	VOTED FUNDS	AID ASSISTANCE	TOTAL
	R'000	R'000	R'000
Tangible assets	489 132	-	489 132
Buildings and other fixed structures	339 383	-	339 383
Machinery and equipment	149 749	-	149 749
Intangible assets	28 901	-	28 901
Software	28 787	-	28 787
Patents, licences, copyright, brand names, trademarks	114	-	114
TOTAL	518 033	-	518 033

9.3 Finance lease expenditure included in Expenditure for capital assets

	NOTE	2019/20	2018/19
		R'000	R'000
Tangible assets			
Buildings and other fixed structures		157 489	138 870
TOTAL		157 489	138 870

10. UNAUTHORISED EXPENDITURE

10.1 Reconciliation of unauthorised expenditure

	NOTE	2019/20	2018/19
		R'000	R'000
Opening balance		16 588	-
Unauthorised expenditure – discovered in current year (as restated)		132 685	16 588
Closing balance		149 273	16 588
Analysis of closing balance			
Unauthorised expenditure awaiting authorisation		149 273	16 588
TOTAL		149 273	16 588

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

10.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	NOTE	2019/20	2018/19
		R'000	R'000
Transfers and subsidies		149 273	16 588
TOTAL		149 273	16 588

10.3 Analysis of unauthorised expenditure awaiting authorisation per type

	NOTE	2019/20	2018/19
		R'000	R'000
Unauthorised expenditure relating to overspending of the vote or a main division within a vote		149 273	16 588
Unauthorised expenditure incurred not in accordance with the purpose of the vote or main division		-	-
TOTAL		149 273	16 588

10.4 Details of unauthorised expenditure - current year

INCIDENT	DISCIPLINARY STEPS TAKEN/CRIMINAL PROCEEDINGS	2019/20
		R'000
Not obtained timely approval	Awaiting approval	132 685
TOTAL		132 685

10.5 Prior period error

NATURE OF PRIOR PERIOD ERROR	2019/20
	R'000
Relating 2018/19	16 588
	16 588
TOTAL	16 588

The amount has only been accounted for during the 2019/20 financial year as a result of prior year restatement on current year audit.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

11. CASH AND CASH EQUIVALENTS

		2019/20	2018/19
		R'000	R'000
Consolidated Paymaster General Account		134 327	-
Disbursements		48	-
Cash on hand		222	222
Cash with Commercial bank		14 452	19 998
TOTAL		149 049	20 220

The cash on hand is represented by petty cash of R222 000.

Cash with Commercial banks largely comprises of R6, 2m for Eco-furniture Project (EFP) factory sales eco-furniture and R7, 6 m for MLRF refund of anti- poaching while 2018/19 comprised of R5, 8m for interest refund on Working on Fire and R12, 2m from South African National Parks interest refund.

12. PREPAYMENTS AND ADVANCES

	NOTE	2019/20	2018/19
		R'000	R'000
Travel and subsistence		475	544
TOTAL		475	544

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

12.1 Prepayments (Expensed)

	NOTE	AMOUNT AS AT 1 APRIL 2019	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2020
		R'000	R'000	R'000	R'000	R'000
Goods and services		164 479	(571 154)	6 591	503 666	103 582
Capital assets		55 593	(104 563)	1 185	114 804	67 019
TOTAL		220 072	(675 717)	7 776	618 470	170 601

	NOTE	AMOUNT AS AT 1 APRIL 2018	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2019
		R'000	R'000	R'000	R'000	R'000
Prepayments (Expensed)						
Goods and services		23 917	(374 151)	(17 167)	531 880	164 479
Capital assets		114 191	(199 590)	961	140 031	55 593
TOTAL		138 108	(573 741)	(16 206)	671 911	220 072

These prepayments are made by the Department in terms of the contractual relationship entered into with implementing agents to ensure that there is sufficient liquidity for the projects. The expenditure was expensed in the current financial year in the statement of financial performance according to the nature of the expense as follows:

Expenditure for EPWP implementing agents;

- Management fees
- Outsourced services
- Training non-employees
- Attributable cost capital expenditure on infrastructure projects.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

12.2 Advances paid (Expensed) balance

	NOTE	AMOUNT AS AT 1 APRIL 2019	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR ADVANCES	AMOUNT AS AT 31 MARCH 2020
		R'000	R'000	R'000	R'000	R'000
National departments		15 881	(19 324)	16 438	3 843	16 838
Public entities		567 629	(779 807)	21 448	1 203 199	1 012 469
TOTAL		583 510	(799 131)	37 886	1 207 042	1 029 307

	NOTE	BALANCE AS AT 1 APRIL 2018	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR ADVANCES	AMOUNT AS AT 31 MARCH 2019
		R'000	R'000	R'000	R'000	R'000
National departments		13 707	(13 940)	(14 732)	30 846	15 881
Public entities		614 650	(721 663)	26 822	647 820	567 629
TOTAL		628 357	(735 603)	12 090	678 666	583 510

The advances comprise payments to DIRCO for travel-related bookings and to GCIS for facilitating media communication services for the Department as well as other Public Entities including Postbank and Other Institutions for multi-year projects.

Advances are made by the Department in terms of the contractual relationship entered into with implementing agents (public sector entities) to ensure that there is sufficient liquidity for the projects. The expenditure was expensed in the current financial year in the statement of financial performance according to the nature of the expense as follows:

Expenditure for EPWP implementing agents;

- Management fees
- Outsourced services
- Training non-employees
- Attributable cost capital expenditure on infrastructure projects

Advances are also reflective of amounts transferred to the Department's public entities for capital infrastructure which have not been spent in accordance with the conditions for the transfer or grant.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

13. RECEIVABLES

		2019/20			2018/19		
		CURRENT	NON-CURRENT	TOTAL	CURRENT	NON-CURRENT	TOTAL
	NOTE	R'000	R'000	R'000	R'000	R'000	R'000
Claims recoverable	13.1	4 397	15 427	19 824	2 805	13 048	15 853
Trade receivables	13.2	466 732	11 529	478 261	673 184	13 024	686 208
Recoverable expenditure	13.3	1 904	5 596	7 500	9 664	4 484	14 148
Staff debt	13.4	361	1 357	1 718	192	1 342	1 534
Fruitless and wasteful expenditure	13.6	17	-	17	-	-	-
Other receivables	13.5	12	16	28	19	33	52
TOTAL		473 423	33 925	507 348	685 864	31 931	717 795

13.1 Claims recoverable

	NOTE	2019/20	2018/19
	13 AND ANNEX 4	R'000	R'000
National departments		4 891	986
Provincial departments		2	-
Foreign governments		114	294
Public entities		9 638	9 394
Local governments		5 179	5 179
TOTAL		19 824	15 853

The figure for National department includes an amount of R4m (2019/20) largely for Department of Agriculture Forestry and Fisheries in respect of court order settlement claim.

The figure for public entities includes an amount of R9, 6m (2019/20) (R9 4m; 2018/19) for the National Research Foundation (NRF) in respect of scientists' salaries for the South African Antarctica Programme (SANAP).

The local governments figure includes an amount of R5, 1m for funds collected by PCO during COP17/CITES 2016.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

13.2 Trade receivables

	NOTE	2019/20	2018/19
	13	R'000	R'000
Implementing Entities		11 529	13 025
Green Fund		396 018	356 675
Post Bank		70 524	316 318
Recycling Projects (Waste Bureau)		190	190
TOTAL		478 261	686 208

Implementing Entities represent debt handed over for re-collection by legal section.

The Green Fund receivable is in respect of funds transferred to the DBSA Green Fund bank account which have not yet been disbursed. The receivable has post-year end been contractually agreed that the amounts will revert to the DBSA who will take responsibility and accountability for further managing the funds within the objectives of the Green Fund and the green economy.

Post bank receivables are amounts related to disbursements to be made to service providers or beneficiaries in terms of Environmental Programmes and Waste Management Programmes.

13.3 Recoverable expenditure (disallowance accounts)

	NOTE	2019/20	2018/19
	13	R'000	R'000
Departmental Suspense Account		7 482	14 111
Control Account		18	37
TOTAL		7 500	14 148

The Departmental suspense account includes an amount of R7, 4m in respect of damaged vehicles. Prior year largely includes R7, 9m in respect of a project refund claim and R6, 1m for damaged vehicles.

13.4 Staff debt

	NOTE	2019/20	2018/19
	13	R'000	R'000
Debt Accounts		1 718	1 534
TOTAL		1 718	1 534

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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13.5 Other receivables

	NOTE	2019/20	2018/19
	13	R'000	R'000
Salary related		28	52
TOTAL		28	52

13.6 Fruitless and wasteful expenditure

	NOTE	2019/20	2018/19
	13	R'000	R'000
Opening balance		-	-
Less amount recovered		(100)	-
Transfers from note 27 Fruitless and Wasteful expenditure		117	-
TOTAL		17	-

13.7 Impairment of receivables

	NOTE	2019/20	2018/19
		R'000	R'000
Estimate of impairment of receivables		18 012	52 286
TOTAL		18 012	52 286

Included in 2019/20 is an amount of R 12, 9 million for departmental debts impairments and R5, 1m for claims recoverable (City of Johannesburg).

Included in 2018/19 is impairment estimates of R33,2m for DBSA (Green Fund) related to the timing of the expected cash flows per the agreement, departmental debt impairments amounting to R13,8m and for claims recoverable amounting to R5,1m (City of Johannesburg).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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14. LOANS

	NOTE	2019/20	2018/19
		R'000	R'000
Private enterprises		205 731	204 037
TOTAL		205 731	204 037
Analysis of Balance			
Opening balance		204 037	190 013
New Issues		14 399	14 024
Repayments		(12 705)	-
Closing balance		205 731	204 037
Sectorial analysis			
Manufacturing Industry		20 239	18 901
Green economy		37 903	35 286
Energy (Biogas)		80 449	75 063
Green Housing		67 140	74 787
Closing balance		205 731	204 037

The gross loan book increased by 0.8% during the year mainly as a result of interest accrued for the year offset by the amounts received from beneficiaries in terms of loan repayments. The loans made by the Green Fund to its beneficiaries comprise the loan amount and accrued interest at 6.25% p.a for 2019/20 (2018/19:7% p.a).

14.1 Impairment of loans

	NOTE	2019/20	2018/19
		R'000	R'000
Estimate of impairment of loans		138 591	129 253
TOTAL		138 591	129 253

The impairment estimate comprises of loans to beneficiaries where either the fair value of the loan has decreased, or an impairment loss has been assessed.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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15. VOTED FUNDS TO BE SURRENDERED TO THE REVENUE FUND

	NOTE	2019/20	2018/19
		R'000	R'000
Opening balance		(389 657)	224 360
Transfer from statement of financial performance (as restated)		117 024	693 561
Add: Unauthorised expenditure for current year	10	132 685	16 588
Voted funds not requested/not received	1.1	-	(1 066 089)
Paid during the year		364 273	(258 077)
Closing balance		224 325	(389 657)

15.1 Reconciliation of Voted funds to be surrendered

	NOTE	2019/20	2018/19
		R'000	R'000
DEA Closing balance		126 225	(364 273)
Green Fund - amount utilised by GF		(41 972)	(33 717)
Green Fund - amount utilised by GF		(9 200)	(8 255)
Green Fund - amount utilised by GF		(12 124)	-
Green Fund - amount utilised by GF		12 124	-
Unauthorised expenditure for current year		149 273	16 588
Closing balance		224 325	(389 657)

16. DEPARTMENTAL REVENUE AND NRF RECEIPTS TO BE SURRENDERED TO THE REVENUE FUND

	NOTE	2019/20	2018/19
		R'000	R'000
Opening balance		42 939	35 904
Transfer from Statement of Financial Performance (as restated)		108 517	29 387
Paid during the year		(94 624)	(22 352)
Closing balance		56 832	42 939

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

16.1 Reconciliation of NRF receipts to be surrendered

	NOTE	2019/20	2018/19
		R'000	R'000
DEA closing balance		5 660	967
Amounts received from National Treasury for expenses incurred by DBSA for the Green Fund to be reimbursed to National Treasury - prior year		41 972	33 717
Amounts received from National Treasury for expenses incurred by DBSA for the Green Fund to be reimbursed to National Treasury - current year		9 200	8 255
Closing balance		56 832	42 939

17. BANK OVERDRAFT

	NOTE	2019/20	2018/19
		R'000	R'000
Consolidated Paymaster General Account		-	393 957
TOTAL		-	393 957

Funds were not requested from the National Revenue Fund at 31 March 2019 in anticipation of an under-spend on environmental programmes by the line function (refer Note 1.1). The actual underspend incurred was less than the anticipated underspend resulting in an overdraft. The overdraft was recovered from the NRF in the current financial year. Refer to note 14 for amounts paid during the year.

18. PAYABLES – CURRENT

	NOTE	2019/20	2018/19
		R'000	R'000
Other payables	18.1	76 976	51 218
TOTAL		76 976	51 218

Included in 2019/20 is an amount of R41, 9m received for operational payments made directly by DBSA. These amounts are not appropriated but expensed as DBSA incurs the expense for the Green Fund. National Treasury refunded the Department for the expense incurred and already paid.

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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18.1 Other payables

	NOTE	2019/20	2018/19
	18	R'000	R'000
Salary Related Payables		225	111
Departmental Suspense Account		76 678	50 980
Control Account		73	127
TOTAL		76 976	51 218

19. NET CASH FLOW AVAILABLE FROM OPERATING ACTIVITIES

	NOTE	2019/20	2018/19
		R'000	R'000
Net surplus/(deficit) as per Statement of Financial Performance		222 831	737 132
Add back non cash/cash movements not deemed operating activities		983 702	(1 152 875)
(Increase)/decrease in receivables		212 441	(343 574)
(Increase)/decrease in prepayments and advances		69	197
Increase/(decrease) in payables – current		25 758	19 211
Proceeds from sale of capital assets		(120)	(224)
Expenditure on capital assets		475 905	518 033
Surrenders to Revenue Fund		269 649	(280 429)
Voted funds not requested/not received		-	(1 066 089)
Net cash flow generated by operating activities		1 206 533	(415 743)

Surrenders to Revenue Fund consists of amounts from the previous year not requested, however received in the current year.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

20. RECONCILIATION OF CASH AND CASH EQUIVALENTS FOR CASH FLOW PURPOSES

	NOTE	2019/20	2018/19
		R'000	R'000
Consolidated Paymaster General account		134 327	(393 957)
Disbursements		48	-
Cash on hand		222	222
Cash with commercial banks (Local)		14 452	19 998
TOTAL		149 049	(373 737)

21. CONTINGENT LIABILITIES AND CONTINGENT ASSETS

21.1 Contingent liabilities

		NOTE	2019/20	2018/19
			R'000	R'000
Liable to	Nature			
Housing loan guarantees	Employees	Annex 3A	111	146
Claims against the department		Annex 3B	44 950	54 950
Intergovernmental payables (unconfirmed balances)		Annex 5	1 378	-
TOTAL			46 439	55 096

Claims against the Department include litigation instituted by Redefine Properties Limited relating to the termination of a lease agreement amounting to R34m, Garona Holdings relating to outstanding project management fee as well as the expenditure costs incurred in relation to Phase two (implementation) and alleged repudiation of a contract by the Department amounting to R7, 2m. The amounts have decreased due to the settlement of the Redisa amount in the current year.

21.2 Contingent assets

	NOTE	2019/20	2018/19
		R'000	R'000
Nature of contingent asset			
Section 24G Administration fines		2 200	1 145
Claims against a service provider/employees		5 861	5 861
TOTAL		8 061	7 006

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Section 24G Administration fines reflects rectification fines issued in terms the NEMA for the unlawful commencement of activities requiring an environmental authorisation.

Claims against a service provider/employees mainly consist of amounts paid but the service providers did not meet their contractual obligations viz., R3.8m and R2m for the Mamelodi buy-back centre and Buna constructing projects respectively.

22. CAPITAL COMMITMENTS

	NOTE	2019/20		2018/19
		R'000		R'000
Asset classification				
Transport assets		23 476		-
Computer equipment		174		91
Other machinery and equipment		2 468		400
Software		9 989		43 813
Dwellings		38 119		83 783
Non-residential buildings		45 435		74 666
Other fixed structures		53 059		143 459
TOTAL		172 720		346 212

The majority of the capital commitments relates to the infrastructure projects related to the Expanded Public Works Programme comprising of R136, 6 m for the current year classified as dwellings, non-residential buildings and other fixed structures and prior year relates to Expanded Public Works Programme comprising of R302m for dwellings, non-residential buildings and other fixed structures and R42, 4m for construction of asbestos rehabilitation roads.

Transport assets comprises largely of commitment in respect of vehicles procured for the pre-manufacturing building sites that will manufacture the green building components amounting to R23,4m. Software comprises of commitments in respect of Design, develop and implement systems amounting to R9,9m other machinery and equipment commitments comprises of R2, 2m in respect of emergency procurement related to Video and audio-conferencing equipment as a response to the Covid-19 threat in SA.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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23. ACCRUALS AND PAYABLES NOT RECOGNISED

23.1 Accruals

		NOTE		2019/20	2018/19
				R'000	R'000
Listed by economic classification					
	30 Days	30+ Days	TOTAL		TOTAL
Goods and services	109 307	1 819	111 126		40 182
Capital assets	-	-	-		12 690
Other	878	-	878		522
TOTAL	110 185	1 819	112 004		53 394
Listed by programme level					
		NOTE			
Administration			12 060		14 935
Legal, Authorisations, Compliance and Enforcement			4 314		128
Oceans and Coasts			1 118		2 278
Climate Change, Air Quality and Sustainable Development			1 750		41
Biodiversity and Conservation			3 692		106
Environmental Programmes			62 627		11 225
Chemicals and Waste Management			25 565		24 159
Other (Assets & Liabilities)			878		522
TOTAL			112 004		53 394

Administration: The current year accruals comprise mainly of lease accommodation of buildings: Public Works amounting to R3, m, payment to Auditor General for regulatory audit amounting to R1, 1m and payment to Media 24 news amounting to R1, 4m for the plastic colloquium media programme, R2.9m for travel services related expenditure. The prior year amounts comprise mainly of leasing of PPP building: Imvelo amounting to R13m.

Environmental Programmes: Mainly for EPWP project in respect of Youth Employment Programme and NRM for the implementation of wetland rehabilitation projects for both financial years.

Chemicals and Waste Management: comprise mainly the Waste Management Bureau tyre initiative programme and recycling enterprise support programme amounting to R23.9m

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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23.2 Payables not recognised

		NOTE	2019/20		2018/19
			R'000		R'000
Listed by economic classification					
	30 Days	30+ Days	TOTAL		TOTAL
Goods and services	11 622	7 134	18 756		7 006
Capital assets	152	13 194	13 346		2 141
Other	-	-	-		2 311
TOTAL	11 774	20 328	32 102		11 458
Listed by programme level					
		NOTE			
Administration			18 635		4 324
Legal, Authorisations, Compliance and Enforcement			4		413
Oceans and Coasts			108		74
Climate Change, Air Quality and Sustainable Development			606		2 441
Biodiversity and Conservation			266		249
Environmental Programmes			2 254		1 472
Chemicals and Waste Management			10 229		174
Other (Assets & Liabilities)			-		2 311
TOTAL			32 102		11 458

Administration: The current year payables not recognised comprise mainly of leasing of PPP building: Imvelo amounting to R13, 1m and R4, 7m for interdepartmental claims (payables) to public works, DIRCO, Gauteng Treasury and Gauteng e-government.

Environmental Programmes: Mainly for EPWP project in respect of Youth Employment Programme and NRM for the implementation of wetland rehabilitation projects.

Chemicals and Waste Management: comprise mainly the Waste Management Bureau tyre initiative programme and recycling enterprise support programme R10, 1m; 2019/20.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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	NOTE	2019/20		2018/19
		R'000		R'000
Included in the above totals are the following:				
Confirmed balances with other departments	Annex 5	9 307		5 220
TOTAL		9 307		5 220

24. EMPLOYEE BENEFITS

	NOTE	2019/20		2018/19
		R'000		R'000
Leave entitlement		26 560		24 142
Service bonus		30 550		29 081
Performance awards		15 451		18 563
Capped leave		10 808		10 436
Other		2 874		281
TOTAL		86 243		82 503

Other represents the portion of long service awards and long service recognition as follows:

1. 43 employees qualified for 20 years continued service cash award of R10 899 each (R469 000).
2. 101 employees qualified for 30 years continued service cash award of R21 797 each (R2, 2m).
3. 7 employees qualified for 40 years continued service cash award of R29 064 each (R203 000).

A negative amount of R878 000 was offset against leave entitlement. The amount was as a result of pro-rata calculation of leave taken by employees as at 31 March 2020 over and above their entitlement.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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25. LEASE COMMITMENTS

25.1 Operating leases

2019/20	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	35 660	11 771	3 895	51 326
Later than 1 year and not later than 5 years	-	91 611	12 348	2 547	106 506
Later than five years	-	-	-	-	-
Total lease commitments	-	127 271	24 119	6 442	157 832

2018/19	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	22 755	6 171	3 104	32 030
Later than 1 year and not later than 5 years	-	59 156	1 917	1 780	62 853
Later than five years	-	84	-	-	84
Total lease commitments	-	81 995	8 088	4 884	94 967

The escalation clauses of the 24 buildings leased by the department range from 5.5% to 10% and 5% to 11% for Temporary Storage and Pre-processing of waste Tyre Facilities.

The department continues on a month-to-month basis for 5 building leases, while Dept. Public Works is embarking on the process of renewing. The potential commitment amounts to R39, 7 million per year.

The department continues on a month-to-month basis for 9 photocopy machine leases, while the department is embarking on the process of renewing, however the process only started from 1 April due to COVID-19 challenges. The potential commitment amounts to R26 000 per month.

26. ACCRUED DEPARTMENTAL REVENUE

	NOTE	2019/20	2018/19
		R'000	R'000
Fines, penalties and forfeits		50	50
Interest, dividends and rent on land		7 917	8 693
TOTAL		7 967	8 743

Accrued departmental revenue comprises of amounts owed by implementing entities for interest earned on Departmental funds.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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26.1 Analysis of accrued departmental revenue

	NOTE	2019/20		2018/19
		R'000		R'000
Opening balance		8 743		6 138
Less: amounts received		6 895		2 336
Add: amounts recorded		6 119		4 941
Closing balance		7 967		8 743

27. IRREGULAR EXPENDITURE

27.1 Reconciliation of irregular expenditure

	NOTE	2019/20		2018/19
		R'000		R'000
Opening balance		496 323		77 584
Prior period error		-		345 907
As restated		496 323		423 491
Add: Irregular expenditure - relating to prior year		1 096 727		-
Add: Irregular expenditure - relating to current year		1 075 328		72 832
Closing balance		2 668 378		496 323
Analysis of closing balance				
Current year		1 075 328		72 832
Prior years		1 593 050		423 491
TOTAL		2 668 378		496 323

**NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

27.2 Details of current and prior year irregular expenditure - added current year (under determination and investigation)

INCIDENT	DISCIPLINARY STEPS TAKEN/CRIMINAL PROCEEDINGS	2019/20 R'000
Tenders were audited found to have been evaluated in the criteria that was not in compliant with the PPPFA	Under investigation	1 884 180
Non- compliance to Supply Chain Management procedures	Under investigation	6 556
The Recycling Enterprise Support Programme (RESP)	Under investigation	73 613
Petty Cash vouchers	Under investigation	819
Value added Industries	Under assessment	165 010
Foreign Govt. and International Organisation	Under assessment	17 385
EPIP (Implementing Agents) expenditure	Under assessment	24 492
TOTAL		2 172 055

It was identified in the prior year that the Department's policies, processes and procedures did not comply with the PPPFA and its regulations resulting in certain of the transactions being classified as irregular expenditure, included in R1, 905b is 13 cases investigated and concluded and matters referred to National Treasury for condonement amounting to R355, 9m and the remaining balance are under assessment by the appointed assessment committee.

The Recycling Enterprise Support Programme (RESP) is an SMME incentive programme developed and implemented by the DEFF which provides financial support in the form of non-repayable grants to a maximum of R 5 million (per project, to emerging enterprises in the waste recycling sector that represent historically disadvantaged communities with the intent of giving rise to large black industries in the waste sector. It was identified that not all of the processes and policies enabled compliance with the PFMA and other regulations. The Value Added Industry expenditure relates to amounts procured where the relevant processes and procedures were not followed nor approvals obtained for deviations from National Treasury. The Department is in the process of finalising its assessment and will request National Treasury for condonement.

The Department has commenced with investigations and other assessments in terms of the requirements of Irregular expenditure framework issued by National Treasury effective from 1 December 2018.

It was identified in the prior and current year that the department has no delegation of authority for transfers made to foreign government and international organisations, Finance and Supply Chain delegation of authority to be updated to include transfers to for foreign government and international organisations

27.3 Details of irregular expenditures under assessment (not included in the main note)

INCIDENT	2019/20 R'000
Implementing Entities: expenditure not in line with MOA/expenditure not supported by Implementing Entities.	21 409
TOTAL	21 409

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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27.4 Prior period error

	NOTE		2018/19
			R'000
Nature of prior period error			
Relating to 2017/18 (affecting the opening balance)			345 907
Tenders were audited found to have been evaluated in the criteria that was not in compliant with the PPPFA			321 131
RESPs			24 776
Relating to 2018/19			1 096 727
Tenders were audited found to have been evaluated in the criteria that was not in compliant with the PPPFA			914 515
Non-compliance to SCM procedures			190
RESPs, Petty Cash and Value Added Industries			182 022
TOTAL			1 442 634

28. FRUITLESS AND WASTEFUL EXPENDITURE

28.1 Reconciliation of fruitless and wasteful expenditure

	NOTE	2019/20	2018/19
		R'000	R'000
Opening balance		11 103	7 951
Fruitless and wasteful expenditure - relating to prior year		73 680	-
Fruitless and wasteful expenditure - relating to current year		2 561	3 152
Less: Amounts recoverable		(100)	-
Less: Amounts written off		(515)	-
Closing balance		86 729	11 103

Fruitless and wasteful relating to prior year is predominantly at project level with Implementing Entities to the value of R73, 6 m as reflected in note 27.2. Appropriate consequence management is being undertaken to evaluate further action.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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28.2 Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)

INCIDENT	DISCIPLINARY STEPS TAKEN/CRIMINAL PROCEEDINGS	2019/20
		R'000
Interest on overdue account	Recommended for disciplinary action	10
Implementing agents expenditure NRM	Under investigation	3 641
Implementing agents expenditure EPIP	Under investigation	70 008
Overpayments on supplier WB	Transferred to receivables for recovery	16
Implementing agents expenditure NRM	Transferred to receivables for recovery	2 029
No-show accommodation	Under investigation	125
VAT Charged: by non-VAT vendors	Transferred to receivables for recovery	138
Invalidated Project Expenditure (NRM & EPIP)	Under assessment/investigation	250
Misuse of State Vehicle	Recommended for disciplinary action	24
TOTAL		76 241

28.3 Details of fruitless and wasteful expenditure written off

INCIDENT	2019/20
	R'000
Implementing Entities expenditure	515
TOTAL	515

28.4 Prior period error

	NOTE	2018/19
		R'000
Relating to 2018/19		73 680
Implementing Entities NRM, EPIP		73 664
Overpayment on supplier Waste Bureau		16
Total prior period errors		73 680

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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28.5 Details of fruitless and wasteful expenditures under assessment (not included in the main note)

INCIDENT	2019/20
	R'000
No-show accommodation and air travel	12
Implementing Entities: expenditure not in line with MOA/expenditure not supported by Implementing Entities.	282
TOTAL	294

29. RELATED PARTY TRANSACTIONS

PAYMENTS MADE	NOTE	2019/20	2018/19
		R'000	R'000
Goods and services		457	775
TOTAL		457	775

The Department of Environmental Affairs portfolio consists of 4 public entities, although transfers, grants and goods and services transactions occur between the entities, only those transactions at less than market value should be disclosed in terms of MCS as described below:

Schedule 3A: South African Biodiversity Institute (SANBI) – Hiring of conference facilities & accommodation at discounted rates.

Schedule 3A: South African National Parks (SANParks) – None.

Schedule 3A: South African Weather Service (SAWS) – None.

Schedule 3A: iSimangaliso Wetland Park Authority – None.

30. KEY MANAGEMENT PERSONNEL

	NO. OF INDIVIDUALS	2019/20	2018/19
		R'000	R'000
Political office bearers (provide detail below)	4	8 113	6 000
Officials:			
15-16	20	29 341	27 479
14	63	78 271	70 762
TOTAL		115 725	104 241

Key management personnel includes the Ministers and Deputy Ministers responsible for the department, as well as the Director-General, the Deputy Director-Generals, the Chief Financial Officer (CFO) and all other officials who have the authority and responsibility for planning, directing and controlling the activities of the department from salary level 14 upwards.

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31. PUBLIC PRIVATE PARTNERSHIP

	NOTE	2019/20	2018/19
		R'000	R'000
Unitary fee paid		163 747	147 569
Fixed component		157 489	138 870
Indexed component		6 258	8 699
Analysis of indexed component		6 258	8 699
Goods and services (excluding lease payments)		6 258	8 699

31.1 Future obligation

	NOTE	2019/20	2018/19
		R'000	R'000
Buildings and other fixed structures			
Not later than 1 year		164 893	159 222
Later than 1 year and not later than 5 years		955 004	935 459
Later than five years		4 023 224	4 556 191
Total commitments		5 143 121	5 650 872

The Department entered into a Public Private Partnership with Imvelo Concession Company (Pty) Ltd (RF) (the company) commencing on 1 August 2012 to erect, manage and maintain a Green Building Campus.

The unitary payment in the first two years of the agreement amounted to R366 million. The unitary payment for the remaining 25 years is R124m with an annual escalation at the rate of CPI.

The fixed component of the unitary payment amounted to R157, 5m (R138, 9m; 2018/2019) and the variable to R6,3m (R8,7m; 2018/2019).

The Imvelo Concession Company (Pty) Ltd consists of:

<i>Grinakar/Aveng LTD</i>	<i>30%,</i>
<i>Old Mutual PLC</i>	<i>30%,</i>
<i>Wiphold LTD</i>	<i>20%, and</i>
<i>Kagiso/Tiso Holdings</i>	<i>20%.</i>

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

32. PROVISIONS

	NOTE	2019/20	2018/19
		R'000	R'000
Litigations		125	125
EPIP Retentions		23 614	35 380
TOTAL		23 739	35 505

32.1 Reconciliation of movement in provisions - 2019/20

	NALEDI OFFICE AUTOMATION	BHYAT MOTORS	H KUHN	IMPLEMENTING ENTITIES	TOTAL PROVISIONS
	R'000	R'000	R'000	R'000	R'000
Opening balance	53	40	32	35 380	35 505
Increase in provision	-	-	-	4 263	4 263
Settlement of provision	-	-	-	(12 125)	(12 125)
Unused amount reversed	-	-	-	(3 904)	(3 904)
Closing balance	53	40	32	23 614	23 739

Naledi Office Automation: A claim for outstanding rental on various Photostat machines (Letter of Demand). The Department has agreed to pay an amount of R52 928 in full and final settlement of the claim. The plaintiff has accepted. The plaintiff was requested to revise certain invoices. However, no response has been received from the claimant.

Bhyat Motors: Claim for outstanding rental. Plaintiff's Attorney acknowledged receipt of the settlement offer; however, no response has been forthcoming regarding either acceptance or rejection of offer. Matter has been dormant with no new developments and a provision has been raised.

H Kuhn: Claim for damages resulting from motor vehicle accident between a plaintiff and Government official. A consultation with the official was held and her written statement furnished. A memo is being prepared for settlement offer.

Implementing Entities: Project retentions in respect of Implementation Agents for Expanded Public Works Programme (EPWP) infrastructure projects, amounts are settled once the project is signed off as completed and accounted for as Payments for Capital Expenditure when settled.

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32.2 Reconciliation of movement in provisions - 2018/19

	NALEDI OFFICE AUTOMATION	BHYAT MOTORS	H KUHN	IMPLEMENTING ENTITIES	TOTAL PROVISIONS
	R'000	R'000	R'000	R'000	R'000
Opening balance	53	40	32	41 680	41 805
Increase in provision	-	-	-	7 803	7 803
Settlement of provision	-	-	-	(8 520)	(8 520)
Unused amount reversed	-	-	-	(5 583)	(5 583)
Closing balance	53	40	32	35 380	35 505

33. NON-ADJUSTING EVENTS AFTER REPORTING DATE

	2019/20
	R'000
<i>Restructuring of government departments</i>	-
<i>COVID-19 outbreak</i>	-
<i>Departmental assets and liabilities</i>	-
<i>DBSA</i>	-
TOTAL	-

Restructuring of government departments: On the 29th of May 2019, the President announced a restructuring of government departments, merging the portfolios of environment, forestry, and fisheries (post elections amendment to the mandate of the Department and transfer of functions). The financial implications of this cannot be quantified at this stage.

On the 15 March 2020, President Ramaphosa declared a national state of disaster following the World Health Organization declaring the COVID-19 outbreak a pandemic. On the 23 March 2020, The President declared a national lockdown of the country, allowing only the continued operations of essential services in order to flatten the curve of the COVID-19 infections.

The lockdown commenced from 27 March to 16 April 2020 for 21 days and was further extended to 30 April 2020. On the 23 April 2020, The President introduced the approach that determines the measures required on the direction of the pandemic. In this approach five corona virus alert levels were introduced in line with the risk adjusted strategy, resulting in the lockdown being reduced to level four effective from 1 May 2020, then to level three from 1 June 2020.

The Covid-19 Pandemic required Government to reprioritise budgets to support the health system. The Budget of departments were cut by 20% in order to facilitate this support. The budget of the Department relating to the 2020/21 financial year was cut by R766, 2m and the related targets reduced accordingly.

The Department has assessed the impact on recognised and recorded assets and liabilities to ensure that any impact is taken into account.

The Department and the DBSA have concluded an agreement in respect of the operations of the Green Fund, and as of 1 April 2020, the DBSA will take accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department.

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34. MOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	1 978 877	-	217 313	11 406	2 184 784
Transport assets	1 601 840	-	87 028	80	1 688 788
Computer equipment	90 798	-	21 075	11 306	100 567
Furniture and office equipment	14 761	-	2 229	6	16 984
Other machinery and equipment	271 478	-	106 981	14	378 445
Total movable tangible capital assets	1 978 877	-	217 313	11 406	2 184 784

34.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	CASH	NON-CASH	(CAPITAL WORK IN PROGRESS CURRENT COSTS AND FINANCE LEASE PAYMENTS)	RECEIVED CURRENT, NOT PAID (PAID CURRENT YEAR, RECEIVED PRIOR YEAR)	TOTAL
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	101 933	31 710	-	83 670	217 313
Transport assets	58 370	28 658	-	-	87 028
Computer equipment	17 982	2 941	-	152	21 075
Furniture and office equipment	2 142	87	-	-	2 229
Other machinery and equipment	23 439	24	-	83 518	106 981
Total additions to movable tangible capital assets	101 933	31 710	-	83 670	217 313

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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34.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	SOLD FOR CASH	NON-CASH DISPOSAL	TOTAL DISPOSALS		CASH RECEIVED ACTUAL
	R'000	R'000	R'000		R'000
MACHINERY AND EQUIPMENT	10 927	479	11 406		120
Transport assets	-	80	80		-
Computer equipment	10 927	379	11 306		120
Furniture and office equipment	-	6	6		-
Other machinery and equipment	-	14	14		-
Total disposal of movable tangible capital assets	10 927	479	11 406		120

34.3 Movement for 2018/19

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	1 840 806	-	149 801	11 730	1 978 877
Transport assets	1 552 149	-	49 699	8	1 601 840
Computer equipment	88 325	-	13 443	10 970	90 798
Furniture and office equipment	14 480	-	589	308	14 761
Other machinery and equipment	185 852	-	86 070	444	271 478
Total movable tangible capital assets	1 840 806	-	149 801	11 730	1 978 877

34.3.1 Prior period error

	NOTE	2018/19
		R'000
Relating to 2018/19		(3 533)
Cash and non-cash additions - Machinery and other equipment's		(8 230)
Cash additions - helicopter cost		4 697
TOTAL		(3 533)

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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34.4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2020						
	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	107	-	23 940	-	24 047
Additions	-	8	-	1 933	-	1 941
Disposals	-	-	-	540	-	540
Total minor assets	-	115	-	25 333	-	25 448

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
Number of R1 minor assets	-	-	-	286	-	286
Number of minor assets at cost	-	75	-	14 286	-	14 361
Total number of minor assets	-	75	-	14 572	-	14 647

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2019						
	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	107	-	23 705	-	23 812
Additions	-	-	-	1 304	-	1 304
Disposals	-	-	-	1 069	-	1 069
Total minor assets	-	107	-	23 940	-	24 047

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
Number of minor assets at cost	-	73	-	13 993	-	14 066
Total number of minor assets	-	73	-	13 993	-	14 066

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35. INTANGIBLE CAPITAL ASSETS

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	16 560		51 835	-	68 395
Patents, Licences, Copyright, Brand Names, Trademarks	114	-	-	-	114
Total intangible capital assets	16 674	-	51 835	-	68 509

35.1 Additions

ADDITIONS TO INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	CASH	NON-CASH	(DEVELOPMENT WORK IN PROGRESS - CURRENT COSTS)	RECEIVED CURRENT YEAR, NOT PAID (PAID CURRENT YEAR, RECEIVED PRIOR YEAR)	TOTAL
	R'000	R'000	R'000	R'000	R'000
Software	33 838	51 835	(33 838)	-	51 835
Total additions to intangible capital assets	33 838	51 835	(33 838)	-	51 835

35.2 Movement for 2018/19

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	15 447	-	1 113	-	16 560
Patents, Licences, Copyright, Brand Names, Trademarks	-	-	114	-	114
Total intangible capital assets	15 447	-	1 227	-	16 674

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35.2.1 Prior period error

	NOTE	2018/19
		R'000
Nature of prior period error		
Relating to 2018/19		(18 927)
Cash additions		8 861
Non - Cash additions		999
WIP		(28 787)
TOTAL		(18 927)

36. IMMOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000		R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	7	-	208 748	(208 748)	7
Dwellings	7	-	44 836	(44 836)	7
Non-residential buildings	-	-	3 546	(3 546)	-
Other fixed structures	-	-	160 366	(160 366)	-
Total immovable tangible capital assets	7	-	208 748	(208 748)	7

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

36.1 Additions

ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	CASH	NON-CASH	(CAPITAL WORK IN PROGRESS CURRENT COSTS AND FINANCE LEASE PAYMENTS)	RECEIVED CURRENT, NOT PAID (PAID CURRENT YEAR, RECEIVED PRIOR YEAR)	TOTAL
	R'000	R'000	R'000	R'000	R'000
BUILDING AND OTHER FIXED STRUCTURES	182 645	574 725	(548 622)	-	208 748
Dwellings	69 466	178 478	(203 108)		44 836
Non-residential buildings	26 279	99 688	(122 421)	-	3 546
Other fixed structures	86 900	296 559	(223 093)	-	160 366
Total additions to immovable tangible capital assets	182 645	574 725	(548 622)	-	208 748

36.2 Disposals

DISPOSALS OF IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	SOLD FOR CASH	NON-CASH DISPOSAL	TOTAL DISPOSALS		CASH RECEIVED ACTUAL
	R'000	R'000	R'000		R'000
BUILDINGS AND OTHER FIXED STRUCTURES	-	208 748	208 748		-
Dwellings	-	44 836	44 836		-
Non-residential buildings	-	3 546	3 546		-
Other fixed structures	-	160 366	160 366		-
Total disposals of immovable tangible capital assets	-	208 748	208 748		-

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

36.3 Movement for 2018/19

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	144 481	(98 846)	350 080	(395 708)	7
Dwellings	7	-	23 241	(23 241)	7
Non-residential buildings	-	17 800	50 562	(68 362)	-
Other fixed structures	144 474	(116 646)	276 277	(304 105)	-
Total immovable tangible capital assets	144 481	(98 846)	350 080	(395 708)	7

36.3.1 Prior period error

	NOTE	2018/19
		R'000
Nature of prior period error		
Relating to 2017/18 [affecting the opening balance]		(98 846)
Non-residential building		17 800
Other Fixed structures		(116 646)
Relating to 2018/19		(199 585)
Dwellings		-
Non-residential building		(17 800)
Other Fixed structures		(181 785)
TOTAL		(298 431)

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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36.4 Capital Work-in-progress

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2020					
	NOTE	OPENING BALANCE 1 APRIL 2019	CURRENT YEAR WIP	READY FOR USE (ASSETS TO THE AR) / CONTRACTS TERMINATED	CLOSING BALANCE 31 MARCH 2020
	ANNEXURE 7	R'000	R'000	R'000	R'000
Buildings and other fixed structures		578 421	181 880	(209 104)	551 197
Intangible assets		56 267	33 824	(51 835)	38 256
TOTAL		634 688	215 704	(260 939)	589 453

AGE ANALYSIS ON ONGOING PROJECTS	NUMBER OF PROJECTS		2019/20
	PLANNED, CONSTRUCTION NOT STARTED	PLANNED, CONSTRUCTION STARTED	TOTAL R'000
0 to 1 Year	11	6	52 084
1 to 3 Years	-	17	251 548
3 to 5 Years	-	9	133 530
Longer than 5 years	4	13	152 291
TOTAL	15	45	589 453

The projects under planning have been delayed due to the following: The two People and Parks projects were delayed due to the community feuds in relation to the project sites as well as beneficiation amongst the communities, continuous engagements with the provincial entities and communities are underway to resolve the impasse. The Waste Disposal Project was delayed due to the continuous changes on the suitable sites by the municipality as well as project scope changes, the matters have been resolved and currently the implementer has submitted a project Business Plan for evaluation.

The projects were delayed by a number of issues some of which can be summarised as follows: The community feuds, changes and delays in finalizing the project scope, challenges with the quality of works delivered by the implementer. Some of the projects are currently under implementation having resolved the issues and others are still in a process of being resolved with the stakeholders.

The projects were cancelled for a number of reasons amongst which were the following:

Non availability of land for the project, community feuds with regards to ownership of the projects. In the event of non-performance by the implementer the contract would be cancelled and replacement implementer would be appointed.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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36.5 Capital Work-in Progress

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2019						
	NOTE	OPENING BALANCE	PRIOR PERIOD ERROR	CURRENT YEAR WIP	READY FOR USE (ASSETS TO THE AR) / CONTRACTS TERMINATED	CLOSING BALANCE 31 MARCH 2019
	ANNEXURE 7	R'000	R'000	R'000	R'000	R'000
Buildings and other fixed structures		132 115	577 352	293 353	(424 399)	578 421
Intangible assets		21 400	11 004	24 862	(999)	56 267
TOTAL		153 515	588 356	318 215	(425 398)	634 688

AGE ANALYSIS ON ONGOING PROJECTS	NUMBER OF PROJECTS		2018/19
	PLANNED, CONSTRUCTION NOT STARTED	PLANNED, CONSTRUCTION STARTED	TOTAL R'000
0 to 1 Year	-	14	84 215
1 to 3 Years	-	22	314 715
3 to 5 Years	4	13	183 201
Longer than 5 years	2	8	52 557
TOTAL	6	57	634 688

37. PRINCIPAL-AGENT ARRANGEMENTS

Department acting as the principal

	2019/20	2018/19
	R'000	R'000
Postbank	3 895	3 272
TOTAL	3 895	3 272

The Department appointed Postbank as the financial institution that disburses funds to EPWP participants for 3 years, extended by 6 months in a form of wage allowance. In consideration for service to be rendered by Postbank the Department shall pay Postbank R30 per transition as commission which shall be reviewed and considered by both parties every 12 months depending on number of participants and inflation.

Department would be required to pay the EPWP persons individually or obtain a similar service provider who can pay these suppliers on our behalf at a similar cost, however should the Department fail to pay any charges to Postbank or fail to comply with any other obligation in terms of the agreement, Postbank have a right to claim all arrear payments due to them for services rendered.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

38. PRIOR PERIOD ERRORS

Correction of prior period errors

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2018/19	2018/19	2018/19
	R'000	R'000	R'000	
Appropriation	1			
Administration		941 820	(90 120)	851 700
Legal, Authorisations, Compliance and Enforcement		189 324	(5 573)	183 751
Oceans and Coasts		491 995	(35 421)	456 574
Climate Change, Air Quality and Sustainable Development		294 508	125 541	420 049
Biodiversity and Conservation		773 350	18 298	791 648
Environmental Programmes		4 189 281	(16 598)	4 172 683
Chemicals and Waste Management		550 254	3 873	554 127
Net effect		7 430 532	-	7 430 532
Expenditure:				
Goods and services:	5	3 026 848	13 629	3 040 477
Compensation	4	1 002 941	2 539	1 005 480
Expenditure for Capital assets	9	466 148	51 885	518 033
Transfers and Subsidies	8	1 768 344	(68 053)	1 700 291
Net effect		6 264 281	-	6 264 281

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2018/19	2018/19	2018/19
		R'000	R'000	R'000
Assets:				
Movable Tangible Capital Assets	34	1 982 411	(3 534)	1 978 877
Intangible Capital Assets	35	22 937	(6 263)	16 674
Immovable Tangible Capital Assets	36	298 438	(298 431)	7
Prepayments (expensed)	12.1	2 371 190	(2 151 118)	220 072
Advance(expensed)	12.2	55 003	528 507	583 510
Capital WIP, Buildings and Other fixed structures	36.5	319 574	315 114	634 688
Receivables	13	717 605	190	717 795
Impairment of Receivables	13.7	33 328	18 958	52 286
Contingent assets	21.2	6 886	120	7 006
Accrued departmental Revenue	26	6 215	2 528	8 743
Net effect		5 813 587	(1 593 929)	4 219 658

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2018/19	2018/19	2018/19
		R'000	R'000	R'000
Liabilities:				
Commitments Expenditure	22	497 478	(151 266)	346 212
Accruals	23.1	64 209	(10 815)	53 394
Payables not recognised	23.2	-	11 458	11 458
Provisions	32	125	35 380	35 505
Net effect		561 812	(115 243)	446 569

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2018/19	2018/19	2018/19
		R'000	R'000	R'000
Other:				
Irregular expenditure	27	150 416	1 442 634	1 593 050
Unauthorised Expenditure		-	16 588	16 588
Fruitless and Wasteful	28	11 103	73 680	84 783
Key Management Personnel	30	100 522	3 719	104 241
Net effect		262 041	1 536 621	1 798 662

39. STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES

NAME OF MUNICIPALITY	2019/20							2018/19	
	GRANT ALLOCATION				TRANSFER			DIVISION OF REVENUEACT	ACTUAL TRANSFER
	DORA AND OTHER TRANSFERS	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	FUNDS WITHHELD	RE-ALLOCATIONS BY NATIONAL TREASURY OR NATIONAL DEPARTMENT		
	R'000	R'000	R'000	R'000	R'000	R'000	%		
Various Municipalities - Licences	-	-	-	-	152	-		-	159
TOTAL	-	-	-	-	152	-		-	159

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

**ANNEXURE 1A
STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS**

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2018/19
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
South African Weather Service	282 589	-	5 000	287 589	287 589	100%	237 005
iSimangaliso Wetland Park Authority	110 592	-	36 780	147 372	147 372	100%	144 471
South African National Parks	385 954	-	91 997	477 951	477 951	100%	396 556
South African National Biodiversity Institute	426 603	-	22 020	448 623	448 623	100%	405 131
National Regulator for Compulsory Specifications	12 473	-	-	12 473	12 020	96%	11 832
SABC: Radio and TV Licences	-	-	-	-	-		57
Compensation fund	-	-	5 053	5 053	5 053	100%	-
EPWP Work: Own Entities							
iSimangaliso Wetland Park Authority	-	-	101 680	101 680	101 680	100%	26 624
South African National Parks	-	-	349 542	349 542	349 542	100%	226 306
South African National Biodiversity Institute	-	-	53 827	53 827	53 827	100%	59 521
EC Parks and Tourism Agency	-	-	95 705	95 705	95 705	72%	-
Chris Hani	-	-	-	-	-	100%	15 322
Ezemvelo	-	-	15 000	15 000	15 000	100%	-
Mpumalanga Tourism Park Agency	-	-	-	-	-	100%	1 266
TOTAL	1 218 211	-	776 604	1 994 815	1 994 362		1 524 091

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 1B
STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES**

NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2018/19
	ADJUSTED APPROPRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Public Corporations									
Transfers	24 840	-	-	24 840	4 810	19.4%	-	12 124	95 000
Green Fund DBSA	24 840	-	-	24 840	4 810	19.4%	-	12 124	95 000
SUB-TOTAL	24 840	-	-	24 840	4 810		-	12 124	95 000
Private Enterprises									
Transfers	69 000			69 000	28 172	40.8%	-	-	45 441
NOMABOTE PTY LTD T/A NEW GX ENVI	-	-	-	-	-	-	-	-	2 500
ECO CARE HOLDINGS PTY LTD	2 450	-	-	2 450	2 450	-	-	-	-
LANDFILL CONSULT	-	-	-	-	-	-	-	-	2 580
K1 RECYCLING	-	-	-	-	-	-	-	-	2 500
PPNG TRADING	2 322	-	-	2 322	2 322	-	-	-	-
WASTE RESPONSE	-	-	-	-	-	-	-	-	2 508
MANDINI WEALTH	-	-	-	-	-	-	-	-	2 500
PHAMBILI SERVICES	-	-	-	-	-	-	-	-	1 950
CLIMASOL WASTE AND ENVIRONMENTAL	-	-	-	-	-	-	-	-	1 150
BAGODUMO TRADING ENTERPRISE CC	1 177	-	-	1 177	1 177	-	-	-	3 824
DETROBASE PTY LTD	-	-	-	-	-	-	-	-	5 000
OKUHLE WASTE MANAGEMENT PTY LTD	2 615	-	-	2 615	2 615	-	-	-	2 345
ORE2VALUE PTY LTD	1 729	-	-	1 729	1 729	-	-	-	3 271
W37 GROUP PTY LTD	1 899	-	-	1 899	1 899	-	-	-	2 638
BACLAN ENERGY T/A EWASTE AFRICA	1 595	-	-	1 595	1 595	-	-	-	3 355

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2018/19
	ADJUSTED APPROPRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
DYMANCAP PTY LTD	1 944	-	-	1 944	1 944	-	-	-	3 056
TULSASPARK PTY LTD	1 471	-	-	1 471	1 471	-	-	-	3 179
ZABA WASTE SOLUTIONS PTY LTD	1 315	-	-	1 315	1 315	-	-	-	3 085
ECOCOMPLIANCE PTY LTD	2 055	-	-	2 055	2 055	-	-	-	-
WASTE ASIDE CC	3 654	-	-	3 654	3 654	100%	-	-	-
NEW EARTH RECYCLING (PTY) LTD	44 774	-	-	44 774	3 946	8.8%	-	-	-
Subtotal: Private enterprises	69 000	-	-	69 000	28 172	40.8%		-	45 441
TOTAL	93 840	-	-	93 840	32 982	35.1%	-	12 124	140 441

**ANNEXURE 1C
STATEMENT OF TRANSFERS TO FOREIGN GOVERNMENT AND INTERNATIONAL ORGANISATIONS**

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE			2018/19
	ADJUSTED APPROPRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION	
	R'000	R'000	R'000	R'000	R'000	%	R'000	
Transfers								
Global Environmental Fund (GEF)	23 500	-	-	23 500	23 500	100%	23 500	
International organisations (Membership Fees)	-	-	11 272	11 272	11 272	100%	6 113	
TOTAL	23 500	-	11 272	34 772	34 772		29 613	

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 1D
STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS**

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2018/19
	ADJUSTED APPROPRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
National Association for Clean Air	1 400	-	-	1 400	1 400	100%	1 400
KwaZulu-Natal Conservation Board	1 287	-	-	1 287	1 287	100%	1 287
African World Heritage Fund	1 000	-	-	1 000	1 000	100%	2 000
Environmental Assessment Practitioner Association of South Africa	4 056	-	753	4 809	4 809	100%	-
TOTAL	7 743	-	753	8 496	8 496		4 687

**ANNEXURE 1E
STATEMENT OF TRANSFERS TO HOUSEHOLDS**

HOUSEHOLDS	TRANSFER ALLOCATION				EXPENDITURE		2018/19
	ADJUSTED APPROPRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
Social Benefit	-	-	8 140	8 140	8 140	100%	14 438
Skills Development	-	-	-	-	-		81 862
Other transfers	-	-	47 402	47 402	47 402	100%	-
TOTAL	-	-	55 542	55 542	55 542		96 300

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 1F
STATEMENT OF AID ASSISTANCE RECEIVED**

NAME OF DONOR	PURPOSE	OPENING BALANCE	REVENUE	EXPENDITURE	PAID BACK ON/BY 31 MARCH	CLOSING BALANCE
		R'000	R'000	R'000	R'000	R'000
Received in cash						
Botswana	Trans frontier Conservation Areas (TFCA Strategy)	(376)	-	-		(376)
Germany	Climate Support Programme	(6 444)	-	1 856		(8 300)
Australia	Funding Agreement in Relation to South Africa Land Sector Measurement	(24)	-	-	-	(24)
United Nations (UNEP)	Hazardous Material Management Programme	(654)	-	-		(654)
United Nations (UNEP)	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species in South Africa: target: Rhinoceros	(716)	-	456	-	(1 172)
United Nations (UNEP)	Benguela Current Large Marine Ecosystem Programme	(1 142)	-			(1 142)
United Nations (UNEP)	Payment for Watershed Services	24	-	-		24
United Nations (UNEP)	Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions	(790)	-	-		(790)
United Nations (UNEP)	South Africa: Enabling Activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR	(18 597)	-	-		(18 597)
United Nations (UNEP)	6th Session of the AEWA Meeting of Parties	4	-	-		4
United Nations (UNEP)	Climate Change Support Programme	(30)	-	-		(30)
Norway	Capacity Development within the South African National Greenhouse Gas Inventory Unit	(1 587)	-	-		(1 587)
Flanders	Adaptive Capacity Facility	(59)	-			(59)
United Nations (UNEP)	UNEP: Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa	-	-	150	-	(150)
United Nations (UNEP)	UNEP: Capacity Building Initiative for Transparency (CBIT) Project	-	-	248	-	(248)
TOTAL		(30 391)	-	2 710	-	(33 101)

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 1G
STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE**

NATURE OF GIFT, DONATION OR SPONSORSHIP	2019/20	2018/19
	R'000	R'000
Made in kind		
SEEDS SYMPOSIUM	60	50
MINIMAG	-	25
Bereaved family of Natural Resource Management projects	-	10
Bereaved family of Natural Resource Management projects	10	10
Land Rehabilitation Society of Southern Africa	95	95
11th International Conference on Cycad Biology	-	100
National Wetlands Indaba	-	65
Bereaved family of Natural Resource Management projects	-	10
Festive season clean up and outreach campaign in Gauteng	-	100
Festive season clean up and outreach campaign in KZN	-	63
Garden Equipment for schools during outreach programme	-	25
Awareness Material for Environmental Awareness Campaign (2000 x school bags)	-	349
Back to school and Environmental Awareness campaigns	-	100
Community outreach programmes	30	-
Hosting of World Environment Day	100	-
Community outreach programmes	60	-
Co-hosting 5th NBBN Indaba 2020	100	-
TOTAL	455	1 002

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 3A
STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH 2020 – LOCAL**

GUARANTOR INSTITUTION	GUARANTEE IN RESPECT OF	ORIGINAL GUARANTEED CAPITAL AMOUNT	OPENING BALANCE 1 APRIL 2019	GUARANTEES DRAW DOWNS DURING THE YEAR	GUARANTEES REPAYMENTS/ CANCELLED/ REDUCED DURING THE YEAR	REVALUATION DUE TO FOREIGN CURRENCY MOVEMENTS	CLOSING BALANCE 31 MARCH 2020	REVALUATIONS DUE TO INFLATION RATE MOVEMENTS	ACCRUED GUARANTEED INTEREST FOR YEAR ENDED 31 MARCH 2020
		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
Standard Bank			24		-		24		
ABSA Bank			87		-		87		
Green Start H/loans			35		35		-		
	TOTAL	-	146	-	35	-	111	-	-

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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**ANNEXURE 3B
STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2020**

NATURE OF LIABILITY	OPENING BALANCE 1 APRIL 2019	LIABILITIES INCURRED DURING THE YEAR	LIABILITIES PAID/CANCELLED/ REDUCED DURING THE YEAR	LIABILITIES RECOVERABLE (PROVIDE DETAILS HEREUNDER)	CLOSING BALANCE 31 MARCH 2020
	R'000	R'000	R'000	R'000	R'000
Claims against the department					
C Vermeulen	22	-	-	-	22
Motoradio Air Touch Cellular	95	-	-	-	95
High Point Trading 1001CC	896	-	-	-	896
H&H Consultation CC	372	-	-	-	372
Garona Holdings (Pty) Ltd (510072017)	5 895	-	-	-	5 895
Garona Holdings (Pty) Ltd (51551/2017)	1 259	-	-	-	1 259
Nonhlanhla Portia Lubisi	113	-	-	-	113
Redefine Properties Limited	34 219	-	-	-	34 219
Blac Guru	2 079	-	-	-	2 079
REDISA	5 000	-	-	(5 000)	-
Product Testing Institute	5 000	-	-	(5 000)	-
TOTAL	54 950	-	-	(10 000)	44 950

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
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ANNEXURE 3B (CONTINUED)

NATURE OF LIABILITIES RECOVERABLE	OPENING BALANCE 1 APRIL 2019	DETAILS OF LIABILITY AND RECOVERABILITY	MOVEMENT DURING YEAR	CLOSING BALANCE 31 MARCH 2020
	R'000		R'000	R'000
LS 134192 C Vermeulen (Brolink)	22	Claim for damages resulting from motor collision. Combined summonses have been issued against Mr C Vermeulen (defendant) on 23 September 2014. DEA provided the discovery affidavit. Feedback from state attorney awaited.		22
Motoradio Air Touch Cellular	95	This is a summons matter from the plaintiff claiming damages for a motor-vehicle accident on 4 December 2014, with a vehicle allegedly driven by an official of the Department named. The amount being claimed as damages is R95 034.00.		95
LS164081 High Point Trading 1001CC	896	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two of the KZN Nkandla Land Rehabilitation project ("project").		896
LS164639 H&H Consultation CC	372	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two of the NC-Joe Morolong Greening and Open Space Management Project ("project").		372
Garona Holdings (Pty) Ltd (51007/2017)	5 895	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two (implementation) of the Barberton Nature Reserve Conservation Programme.		5 895
Garona Holdings (Pty) Ltd (51551/2017)	1 259	Claim is a result of the alleged repudiation of a contract by the Department, the loss of expended capital amount, loss incurred due to repudiation and further seek reimbursement of the Sterkfontein Project payment used to remedy Ngwathe Project.		1 259
Nonhlanhla Portia Lubisi	113	Combined summons received for service rendered to the Department for clearing Alien Invasive Plants under the MPU Barberton Working for Water Project.		113
Redefine Properties Limited	34 219	Claim to the Lessee as a result of items damaged or defective on termination of lease agreement and loss of revenue due to delay to conduct the exit inspection as well as the time it took for the building to be marketable		34 219
Blac Guru	2 079	Claim against the Department for the outstanding project management fee in relation to phase two (implementation) of the Mhinga Crocodile capture and Breeding Programme.		2 079
REDISA	5 000	The matter was appealed by REDISA and in January 2019 judgment was handed down in favour of REDISA. An award was made for costs against the department.	(5 000)	-
Product Testing Institute	5 000	An order was handed down in June 2017, placing the PTI under provisional liquidation. This matter was also appealed. The SCA issued judgement in January 2019 in favour of PTI and awarded costs to it	(5 000)	-
TOTAL	54 950		(10 000)	44 950

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

**ANNEXURE 4
CLAIMS RECOVERABLE**

GOVERNMENT ENTITY	CONFIRMED BALANCE OUSTANDING		UNCONFIRMED BALANCE OUSTANDING		TOTAL		CASH IN TRANSIT AT YEAR END 2019/20	
	31/03/2020	31/03/2019	31/03/2020	31/03/2019	31/03/2020	31/03/2019	RECEIPT DATE UP TO SIX (6) WORKING DAYS AFTER YEAR END	AMOUNT
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
Department								
Department of International Relations and Cooperation	-	-	853	853	853	853	-	-
Department of Public Works	-	-	-	1	-	1	-	-
Department of Rural Dev and Land Reform	-	84	-	-	-	84	-	-
Department of Sports and Recreation	-	-	-	48	-	48	-	-
Department of Agriculture Forestry and Fisheries	-	-	3 966	-	3 966	-	-	-
WC Provincial Government	-	-	2	-	2	-	-	-
Office of the Public Service Commission	-	-	72	-	72	-	-	-
Sub-total	-	84	4 893	902	4 893	986	-	-
Other Government Entities								
National Research Foundation	-	-	9 638	9 394	9 638	9 394	-	-
City of JHB	-	-	5 179	5 179	5 179	5 179	-	-
UNDP	-	-	114	294	114	294	-	-
	-	-	14 931	14 867	14 931	14 867	-	-
TOTAL	-	84	19 824	15 769	19 824	15 853	-	-

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

**ANNEXURE 5
INTER-GOVERNMENT PAYABLES**

GOVERNMENT ENTITY	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL		CASH IN TRANSIT AT YEAR END 2019/20 *	
	31/03/2020	31/03/2019	31/03/2020	31/03/2019	31/03/2020	31/03/2019	PAYMENT DATE UP TO SIX (6) WORKING DAYS BEFORE YEAR END	AMOUNT
	R'000	R'000	R'000	R'000	R'000	R'000		
DEPARTMENTS								
Current								
Department of Justice and Constitutional Development	6 467	4 795	-	-	6 467	4 795	-	-
Department: Government Printing Works	41	139	-	-	41	139	-	-
DIRCO	2 379	286	-	-	2 379	286	-	-
Gauteng: e-government	420	-	-	-	420	-	-	-
Gauteng Provincial Treasury	-	-	1 378	-	1 378	-	-	-
Total intergovernment payables	9 307	5 220	1 378	-	10 685	5 220	-	-

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

**ANNEXURE 6
INVENTORIES**

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2020		CHEMICALS	CLOTHING: UNIFORMS & PROTECTIVE CLOTHING	INSERT MAJOR CATEGORY OF INVENTORY	INSERT MAJOR CATEGORY OF INVENTORY	TOTAL
		R'000	R'000	R'000	R'000	R'000
Opening balance		14 373	1 981	-	-	16 354
Add: Additions/Purchases - Cash		17 569	1 752	-	-	19 321
(Less): Issues		(17 102)	(3 301)	-	-	(20 403)
Add/(Less): Received current, not paid (Paid current year, received prior year)		1 861	-	-	-	1 861
Add/(Less): Adjustments		(308)	-	-	-	(308)
Closing balance		16 393	432	-	-	16 825

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2019		CHEMICALS	CLOTHING: UNIFORMS & PROTECTIVE CLOTHING	INSERT MAJOR CATEGORY OF INVENTORY	INSERT MAJOR CATEGORY OF INVENTORY	TOTAL
		R'000	R'000	R'000	R'000	R'000
Opening balance		15 185	685	-	-	15 870
Add: Additions/Purchases - Cash		14 241	1 334	-	-	15 575
(Less): Issues		(18 319)	(38)	-	-	(18 357)
Add/(Less): Received current, not paid (Paid current year, received prior year)		1 562	-	-	-	1 562
Add/(Less): Adjustments		1 704	-	-	-	1 704
Closing balance		14 373	1 981	-	-	16 354

**ANNEXURE TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2020**

**ANNEXURE 7
MOVEMENT IN CAPITAL WORK IN PROGRESS**

MOVEMENT IN CAPITAL WORK IN PROGRESS FOR THE YEAR ENDED 31 MARCH 2020				
	OPENING BALANCE	CURRENT YEAR CAPITAL WIP	READY FOR USE (ASSET REGISTER) / CONTRACT TERMINATED	CLOSING BALANCE
	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	578 421	182 645	(209 869)	551 197
Dwellings	178 478	69 466	(44 836)	203 108
Non-residential buildings	100 045	26 279	(3 903)	122 421
Other fixed structures	299 898	86 900	(161 130)	225 668
COMPUTER SOFTWARE	56 267	33 824	(51 835)	38 256
Software	56 267	33 824	(51 835)	38 256
TOTAL	634 688	216 469	(261 704)	589 453

MOVEMENT IN CAPITAL WORK IN PROGRESS FOR THE YEAR ENDED 31 MARCH 2019					
	OPENING BALANCE	PRIOR PERIOD ERROR	CURRENT YEAR CAPITAL WIP	READY FOR USE (ASSET REGISTER) / CONTRACT TERMINATED	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	153 515	555 953	293 352	(424 399)	578 421
Dwellings	-	147 326	64 491	(33 339)	178 478
Non-residential buildings	-	106 956	55 261	(62 172)	100 045
Other fixed structures	153 515	301 671	173 600	(328 888)	299 898
SOFTWARE	21 400	11 004	24 862	(999)	56 267
Software	21 400	11 004	24 862	(999)	56 267
TOTAL	174 915	566 957	318 214	(425 398)	634 688

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