

DEPARTMENT OF ENVIRONMENTAL AFFAIRS
ANNUAL REPORT | 2016/17



environmental affairs

Department:
Environmental Affairs
REPUBLIC OF SOUTH AFRICA



DEPARTMENT OF ENVIRONMENTAL AFFAIRS
Annual Report 2016/17

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PART A: GENERAL INFORMATION



1. DEPARTMENT'S GENERAL INFORMATION

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2. LIST OF ABBREVIATIONS/ACRONYMS

LIST OF ACRONYMS		LIST OF ACRONYMS	
AEWA	African-Eurasian Migratory Waterbird Agreement	GEO	Geographic
AG	Auditor General	GHG	Green House Gas
AGSA	Auditor General South Africa	GIS	Geographical Information System
AQMP	Air Quality and Management Planning	GMO	Genetically Modified Organisms
BABS	Bioprospecting, Access and Benefit sharing	HLPF	High Level Political Forum
BEE	Black Economic Empowerment	HOD	Head of Department
BMP	Biodiversity Management Plan	HR	Human Resources
CFO	Chief Financial Officer	HRD	Human Resources Development
CITES	Convention on International Trade in Endangered Species of Wild Fauna and Flora	ICT	Information and Communication Technologies
CO2	Carbon Dioxide	IEM	Integrated Environmental Management
COP	Congress of the Parties	IGCCC	Intergovernmental Committee on Climate Change
CSIR	Council for Scientific and Industrial Research	INCEIS	Integrated National Compliance and Enforcement Information System
DAFF	Department of Agriculture, Forestry and Fisheries	InWMP	Industry Waste Management Plans
DEA	Department of Environmental Affairs	IPCC	Intergovernmental Panel on Climate Change
DMR	Department of Minerals and Resources	KZN	KwaZulu Natal
DPME	Department of Performance Monitoring and Evaluation	MAB	Man and Biosphere
DOT	Department of Transport	M&E	Monitoring and Evaluation
DST	Department of Science and Technology	MCS	Modified Cash Standard
EEZ	Exclusive Economic Zone	METT	Management Effectiveness Tracking Tool
EIA	Environmental Impact Assessment	MINMEC	Minister and Members of Executive Council
EMF	Environmental Management Framework	MINTECH	Meeting of Director-General and Provincial Head of Department
EPWP	Expanded Public Works Programme	MoU	Memorandum of Understanding
ETDP	Education, training and development practices	MPA	Marine Protected Area
FTE	Full Time Equivalent	MPRDA	Mineral and Petroleum Resources Development Act
		MSP	Master Systems Plan

2. LIST OF ABBREVIATIONS/ACRONYMS (CONTINUED)

LIST OF ACRONYMS		LIST OF ACRONYMS	
MTEF	Medium Term Expenditure Framework	SANEIM	South African National Environmental Information Meta-Database
MTSF	Medium Term Strategic Framework	SANParks	South African National Parks
NAP	National Action Plan	SAAQIS	South African Air Quality Information System
NAEIS	National Atmospheric Emissions Inventory System	SAEO	South Africa Environment Outlook
NAQI	National Air Quality Indicator	SAEON	South African Environmental Observation Network
NBF	National Biodiversity Framework	SANBI	South African National Biodiversity Institute
NBMCC	Nation Border Management Coordination Committee	SASSETA	Safety and Security Sector Education and training Authority
NBSAP	National Biodiversity Strategy Action Plan	SAWS	South African Weather Services
NCMP	National Coastal Management Programme	SEIA	Socio-Economic Impact Assessment
NEMBA	National Environmental Management Biodiversity Act	SETA	Sector Education and Training Authority
NEMA	National Environmental Management Act	SDIP	Service Delivery Improvement Plan
NPA	National Prosecuting Authority	SIP	Strategic Infrastructure Programmes
NSSD	National Strategy for Sustainable Development	SMME	Small Micro and Medium Enterprises
OAG	Office of Accountent-General	SMS	Senior Management Services
OHG	Occupational Health and Safety	TFCA	Trans Frontier Conservation Area
PAIA	Promotion of Access to Information Act	TOPS	Threatened or protected species
PDG	Palmer Development Group	TOR	Terms of Reference
PFMA	Public Finance Management Act	UNEA	United Nations Environment Assembly
PMDS	Performance Management Development System	UNCCD	United Nations Convention to Combat Desertification
PPP	Public Private Partnership	UNFCCC	United Nations Framework Convention on Climate Change
PPP	Public Participation Programme	URS	User Requirement Specification
PPP	Pollution Prevention Plan	WHC	World Heritage Committee
R, D & E	Research, Development and Evidence	WSP	Workplace Ski
S26	Section 26	W&RSETA	Whole Sale & Retail – Sector Education and Training Authority
SA	South Africa		

3. FOREWORD BY THE MINISTER



It is a pleasure to submit the 2016/17 Annual Report of the Department of Environmental Affairs.

The report, which is presented to Parliament, outlines the achievements of the Department over the past financial year. This is in line with our constitutional mandate to ensure the right of every citizen to an environment that is not harmful to their health and well-being.

South Africa's longstanding track record for species conservation was given the spotlights in the 2016/17 financial year as we successfully hosted the 17th Conference of the Parties to the Convention on the International Trade in

Endangered Species of Wild Fauna and Flora.

Our decision to host CoP17 was informed by our intention to advance issues of sustainable use, community beneficiation, youth involvement and fighting the illegal trade.

It was also an opportunity to showcase our successful conservation history and leadership utilising diverse conservation management modes such as national parks, provincial conservation areas, game ranches and community parks.

We are proud of the fact that despite the rigorous and ambitious agenda of CoP17, we were able to complete our work a day ahead of schedule. It was an inclusive conference where the voices of the people from all walks of life were heeded, and for which South Africa was congratulated by the international community.

COP17 was a victory for science-based decision-making in the interests of species conservation. Besides agreeing to measures and actions to be implemented within the mandate of the Convention to ensure legal international trade remains sustainable and illegal trade is eradicated, Parties had agreed to recognise that communities need to benefit from the sustainable utilisation of natural resources, including from legal international trade.

A month since concluding CoP17, the first conference on CITES and livelihoods was held in George in the Western Cape. Its aim was to find ways of ensuring that communities' rights and the natural resource base (which underpins and supports the livelihoods and economic opportunities) are both protected.

2016/17 was also a year in which the world celebrated the early entry into force of the Paris Agreement to combat climate change.

South Africa, as the then chair of the G77 plus China, and as a prominent member of the Africa Group, played a leadership role in reaching the legally binding climate change agreement at the 21st Conference of the Parties (CoP21) to the United Nations Framework Convention on Climate Change in Paris in December 2015.

The agreement, adopted by 195 nations, was to have come into effect from 2020. It, however, came into force on 4 November 2016, 30 days after the date on which at least 5 Parties to the Convention, accounting for an estimated 55% of the total global greenhouse gas emissions, had deposited their instruments of ratification with the United Nations Secretary-General.

This was followed by CoP22 in Marrakech, Morocco, which was regarded as a turning point in the international climate action given that it was the first meeting of the Parties to the Paris Agreement since its adoption and entering into force. The Marrakech Climate Change negotiations marked the first meeting of the Paris Agreement's governing body, the Conference of the Parties serving as the Meeting of the Parties to the Paris Agreement (CMA1).

As part of our contribution to the global climate effort, South Africa committed to limiting its emissions in 2025 and 2030 to 614 Mt, and to reduce emissions to 2050.

We are making progress in the implementation of our Nationally Determined Contributions (NDC's). These were submitted to the UNFCCC ahead of the 2015 climate talks.

South Africa's NDC encompasses three distinct components, namely mitigation, adaptation and the means of implementation.

With regards to mitigation, the NDC includes a long-term goal in the form of national emissions trajectory range to 2050; a medium term goal which will be within the range 398-614 Mt CO₂ eq in the years 2025 and 2030; and provides flexibility in the form of a range, which will require periodic review in the medium/longer term in the light of science and national circumstances.

The current national emissions profile falls well within mitigation NDC target of the country.

The Department of Environmental Affairs is the lead department for climate change response in South Africa. However, climate change is cross cutting and requires coordination with other government departments and institutions.

Key national policies drive the country's mitigation effort. These policies and measures are championed by various government departments and drive numerous interventions undertaken by the public and private sectors that catalyse or result in the reduction of GHGs.

We are making progress in working with other government departments/organisations, particularly in relation to green energy and the development of the Green and Blue (Oceans) economic sectors.

The Long Term Adaptation Scenarios conducted by the Department of Environmental Affairs indicate that climate change impacts on South Africa are likely to be felt primarily via their effect on water resources.

Considering the increase in droughts and flooding, especially in KwaZulu-Natal, parts of Mpumalanga and the Eastern Cape, as well as the fact that South Africa is a water scarce country, development aspirations will likely be negatively influenced.

The Department of Environmental Affairs is working towards the development of the National Adaptation Strategy which will facilitate coherent coordination of adaptation interventions in the country. The strategy is aimed towards a reduction in the vulnerability of society, the economy and the environment to the effects of climate change; strengthening resilience of the socio-economic and environmental system and enhancing the adaptive capacity of the national environment and economy to the impacts of climate change.

Conserving the natural environment for the benefit of all people, and in a manner that ensures people are able to benefit from our bountiful natural resources by means of sustainable development remains a Departmental priority.

The 17 Sustainable Development Goals (SDG's), also known as the Global Goals, were adopted at the United Nations in 2015. In the 2016/17 financial year South Africa participated in a number of local, regional and international platforms around the effective implementation of the SDGs.

The SDGs are aligned to South Africa's National Development Plan (NDP) Vision 2030, which has sustainable development at its core as we strive to address the triple challenges of poverty, unemployment and inequality.

During 2016, we attended the 6th special Session of the African Ministerial Conference on the Environment (AMCEN) in Egypt where delegates deliberated on the implications of the SDG's and the 2030 Agenda for Sustainable Development.

South Africa also participated in the UN General Assembly's High Level Event on the SDGs – where countries reconfirmed their collective political resolve to ensure more sustainable livelihoods for billions of people, particularly for women and youth (who continue to carry a disproportionate burden brought about by poverty, unemployment and inequality).

South Africa's rich natural resources contribute to economic growth through tourism, enhanced food security, job creation and our general well-being.

In the past year we have focused on bringing communities, particularly the youth and women, closer to the environment through the implementation of our National Biodiversity Economy Strategy. This strategy encourages communities to utilise their traditional knowledge of plant species to derive an income and create much-needed employment. Through the Biodiversity Economy Strategy we are also working to facilitate more opportunities for our people in the lucrative wildlife economy.

The Department's Environmental Programmes, working in tandem with government's Expanded Public Works Programme (EPWP), continue to be a source of skills development and job creation. During the 2016/17 financial year we were able to create more than 61 000 work opportunities through the Working for Water, Working for Wetlands, Working on Fire and Working for Ecosystems. More than 60% of the beneficiaries were young and women. Our socio-economic targeting has improved significantly in this regard.

Fires have extremely negative economic and environmental consequences, and Working on Fire continues to proactively develop sufficient capacity to help reduce the risk on the urban edge. Working on Fire was extensively involved in battling disastrous fires in the Western Cape in the 2016/17 financial year.

In 2016, we launch the sector's Strategy towards Gender Mainstreaming in Environment Sector 2016 – 2021. This Strategy serves as a tool to enhance compliance with national gender priorities. It aims to provide a framework and strategic direction for gender mainstreaming as well as outlining funding opportunities in the environment sector.

With the poaching of species such as the rhino continuing to receive attention, the Department of Environmental Affairs, in collaboration with the Departments of Police, Defence and Military Veterans and Justice and Correctional Services, the State Security Agency, as well as SANParks and SARS, has been able to record a number of successes in the implementation of the Integrated Strategic Management of Rhinoceros approach.

In the year under review a number of legislative amendments were published – either for public comment or implementation. In February 2017, three notices related to the management of the domestic rhino horn trade were published for public comment. These were the draft regulations to regulate / control the domestic trade in rhino horn; the prohibition of the intentional powdering of shavings of rhino horn and the domestic sale and export thereof; and the listing of the Eastern Black Rhino as a protected species in South Africa.

These draft regulations are aimed at tightening provisions linked to the domestic trade in rhino horn, and include provisions relating to the regulation and requirements for the domestic trade in rhino horn, as well as export under very specific circumstances in line with CITES requirements.

Combating rhino poaching and wildlife crime cannot be done in isolation, thus the need for partnerships with communities, stakeholders, civil society and sister Departments.

The Department also hosted the 7th People and Parks Conference where the Midrand Declaration was adopted. It commits partners to, amongst others, working together to harmonise relations between people and nature; thereby unlocking the economic potential of protected areas for the benefit of adjacent communities in South Africa.

At the Conference, the Chief Land Claims Commissioner pledged to accelerate the land claims settlement process by concluding 50 of the outstanding 77 land claims against protected areas by 2018.

With regard to the development of the Green Economy, focus has been directed at the growth of the waste sector which is considered the greatest new contributor to growing our economy. The Department will, in its efforts to contribute to the development of a sector that holds huge potential, continue to hand over tools of trade to some of the registered waste collectors/Waste Pickers so that their operations can become more efficient.

In taking the industry waste management plans forward, we invited inputs from various sectors on Industry Waste Management Plans (IWMP's) for the Paper and Packaging, Electrical and Electronic and Lighting industries. In line with the Pricing Strategy for waste and the SARS Waste Tyre Levy collection system, the Industry Waste Management Plans will set in motion a new economic paradigm for the management of these waste streams in South Africa.

The birth of our democratic dispensation led to the development of a new legislative and policy framework in the environmental field. In support of sustainable development a number of new tools were developed, including the Strategic Environment Assessment (SEA) process and the One Environmental System.

In line with the principle of cooperative governance, which emphasises the need for cooperation and consultation within and between the various spheres of government – we are promoting sustainable development principles across the sector in line with the Department's stewardship role.

Infrastructure investment is a key priority of the National Development Plan, New Growth Path and the Nine Point Plan, and the Environmental Impact Assessment process plays a key role.

Legislative amendments to streamline the Environmental Impact Assessment (EIA) application process to bring them in line with the Infrastructure Development Act, has yielded major successes in terms of turnaround times and finalisation.

Extensive work was undertaken during the 2016/17 financial year to list #Khomani Cultural Landscape as a World Heritage Site in the new financial year. South Africa also hosted the International Conservation Union (IUCN) and International Council on Monuments and Sites meeting in #Khomani as part of the evolution process, and to showcase an area that may be listed as a formal World Heritage Site in the coming financial year.

We look forward to the new financial year with more optimism and anticipation, while also looking back at the 2016/17 year with pride for the sterling work we were able to deliver on as a Department.

I am pleased to present the 2016/17 report which complies with all statutory reporting requirements, particularly section 40(1) of the Public Finance Management Act (PFMA), 1999, and paragraph 18 of the National Treasury Regulations.



MS EDNA MOLEWA, MP

MINISTER OF ENVIRONMENTAL AFFAIRS

4. STATEMENT BY THE DEPUTY MINISTER



The South African Constitution guides the work of the Department of Environmental Affairs, requiring compliance with Section 24 which ensures the right to an environment that is not harmful to the health and well-being of our people.

The Constitutional provision supports the need for a balance between sustainable utilisation of resources and the need for economic growth. This means ensuring that species are not driven to extinction and the environment is not destroyed as we utilise natural resources to meet the country's National Development Goal of developing an environmentally sustainable, climate change resilient, low-carbon economy and just society by 2030.

In a world where the effects of climate change are becoming more evident, the Department has been working consistently to implement measures to assist in adapting to climate change, mitigating the effects thereof, and ensuring the country meets its international commitment to reduce greenhouse gas emissions and thus limit the temperature increase to less than 2 degree Celsius.

Because the Department is aware of the fact that it is poor communities, women, children and the elderly who are most exposed to the negative impacts of climate change, officials have been working to cushion particularly these vulnerable sectors of society against these negative impacts.

The Department's focus in mitigating the effects of climate change and related environmental impacts such as droughts, flooding, desertification and so forth, has been to involve the youth in efforts to conserve the environment while developing the Green Economy, Oceans Economy through Operation Phakisa, and the wildlife and biodiversity economies.

Job creation and empowerment programmes are being funded through the Environmental Protection and Infrastructure Programme (EPIP) as part of our contribution to the Expanded Public Works Programme (EPWP).

Through investment under the Working for Wetlands programme, the Department has improved or secured the health of more than 80 000 hectares of wetland area and provided 17575 employment opportunities. Working for Wetlands, which comprised 60% women and 20% youth, also provided training in both vocational and life skills.

However, wetlands remain the most threatened of all South Africa's ecosystems, with 48% of wetland ecosystems being critically endangered and we will, therefore, continue to fund interventions under this programme.

The creation of partnerships with key stakeholders are critical in building a united front against the negative impacts of environmental neglect and degradation. In the year under review, in partnership with the Northern Cape Government, World Ozone Day was celebrated in Upington, to raise awareness on the dangers of being exposed to the sun, and the impacts the environment suffers from depleted ozone. This helped to increase our people's understanding of what that they can do to help protect the ozone layer.

Working with entities such as SANBI and SAWS we continued to interact with learners during the last financial year to raise environmental awareness.

The Department's Environmental Monitors programme is combatting poaching and wildlife crimes in general and has proven to be a success.

South Africa, as a Party to the United Nations Convention to Combat Desertification (UNCCD), in 2016/17 reviewed the 2004 National Action Programme (NAP) to combat desertification, land degradation and to mitigate the effects of drought for South Africa and developed a new NAP for the period 2017 to 2027.

This is an important achievement, especially because approximately 91% of South Africa's landscape is drylands, and this makes it susceptible to desertification. Both desertification and land degradation are intricately linked to food security, poverty, urbanization, climate change, and biodiversity thus, are among the most critical environmental challenges in South Africa. The NAP will be a key tool in addressing these threats.

Adapting and mitigating, the effect of climate change is something that requires a commitment from all of us.

In the past year, the Department recognised the important role of local government in meeting national environmental goals through the Greenest Municipality Competition – a significant contribute towards a collective response to the challenges posed by climate change and our course towards a sustainable future.

Municipalities are central to the success of any initiative that seeks to address the challenges of climate change. The Greenest Municipality Competition is part of the Department's climate change response strategy and an important contributor to a cleaners greener environment while boosting economic growth in local communities.

The Thembilise Local Municipality, which is an important part of the Department's extensive protected areas network as it supports four red data species in the confines of the Loskop Dam

Nature Reserve. It was here where the Department undertook the MP-Loskop dam nature reserve infrastructure upgrade and development project worth R30 million that was completed in November 2016.

This project was also used at the first pilot project for Environmental Programmes and Infrastructure Programme (EPIP) of the Department on Non-Motorised Transport (NMT) to explore the feasibility of alternative means of transport, such as walking and cycling. The pilot was launched with the handover of 24 bicycles to voluntary participants.

In the Umsunduzi Local Municipality, Alexandra Park revitalisation project was completed through the EPIP's Greening and Open Space Management Focus Area, which centres on the establishment of community parks and rehabilitation of open spaces.

In 2016, a Waste Buyback Centre and Recreational Play Parks were handed to the Newcastle Community. The buyback facility is assisting the Municipality to address the challenge of waste management and promote waste recycling in the area, and is stimulating diversion of recyclable waste from landfill sites, thereby creating economic opportunities for waste collectors. Four community recreational parks were built to benefit disadvantaged area, with a focus on sustainable development in the greening and open space management areas.

In the efforts to address the effects of alien invasive plant species on the environment, the Department is narrowly involved with an Eco-furniture programme in partnership with the Department of Education. The programme, which is implemented by South African National Parks (SANParks), has seen school desks donated to numerous schools in the past year and is but one example of how the utilisation of resources can contribute to job creation and economic growth while benefiting those in need.

I am pleased to present the 2016/17 Annual Report.



BARBARA THOMSON, MP

DEPUTY MINISTER OF ENVIRONMENTAL AFFAIRS

5. REPORT OF THE ACCOUNTING OFFICER



OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT

The legal mandate and core business of the Department of Environmental Affairs is to manage, protect and conserve South Africa's environment and natural resources. The mandate is informed by Section 24 of the Constitution of South Africa, which affords everyone the right to (a) an environment that is not harmful to their health or well-being; and (b) to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures.

To give effect to these Constitutional environmental right and the need for sound environmental management and sustainable development, the Department has over a period of time developed a comprehensive environmental management legislative/regulatory framework. These regulatory framework consists of acts of parliament (environmental laws), regulations, policies, norms and standards and other regulatory tools which are aimed at promoting sound environmental management practices in order to protect and conserve the environment for the benefit of current and future generations.

In addition to the development, implementation and on-going review of a progressive regulatory framework for sound environmental management, other critical environmental management interventions by the Department includes raising awareness on key environment issues and promoting a culture of environmental activism among ordinary citizens, building capacity within the sector and establishing and strengthening national, regional and global partnerships to address common environmental challenges.

The long term vision of the Department is to have "a prosperous and equitable society living in harmony with our natural resources" as outlined in our medium term strategic plan. To support the achievement of this vision, the department has identified five strategic goals which are as follows:

- Environmental economic contribution optimised
- Environmental/ ecological integrity safeguarded and enhanced
- Social transformation within our communities
- Global agenda influenced and obligations met
- A capable and efficient department

The Department is structured into seven Programmes as outlined in the performance progress included in Part B of these annual report. The seven different programmes and their purposes are reflective of the different focus areas and subsectors of environment management. The objective of the current programme structure is to ensure that specific attention is given to each focus area of our mandate whilst acknowledging the interrelationship and ensuring an integrated approach.

Brief overview of the performance of the Department against pre-determined objectives and the challenges

The 2016/17 financial year marked the third year of the current five year Medium Term Strategic Framework (MTSF) period which started in 2014 and is due to end in 2019. Management is generally satisfied with the overall progress made to date by the Department, both in pursuing the medium term objectives as outlined in the five Strategic Plan of the Department and also in relation to the achievement against the specific annual targets for the 2016/17 financial year. During the 2016/17 financial year and in the past few recent years the Department has had to operate under an increasingly challenging and constrained resource environment owing to the negative economic climate and the resulting budgetary cuts from the national fiscus.

The Annual Performance Plan of the Department for the 2016/17 financial year outlined a total of 135 annual targets across our seven Programmes. By the end of the financial the Department had achieved 110 of the planned annual targets. This represent just over 81% (110/135) overall achievement of the predetermined objectives for the year. Management is satisfied with this level of progress given the current financial constraints and the resulting resource limitations under which the Department has had to operate during the year. 41 (37%) out of the 110 achieved annual targets were exceeded.

The remaining 24 annual targets out of the planned 135 were not achieved. In each area where the annual targets have not been achieved as planned, the specific challenges and reasons explaining the cause of the delays on the planned work have been highlighted in the detailed progress report, including an indication of the interventions and corrective steps that Management will implement in the 2017/18 financial year to address the challenges and delays were applicable. In 16 areas of work out the 24 missed targets, the annual targets were missed by a minimal margin/partially achieved (between 50-99% of planned output was achieved) and in about 9 areas of work the targets were missed with a significant margin as progress at the end of the financial year on these targets was far from the planned outputs.

A detailed performance progress report against all the planned targets for the year is contained in the audited report in Part B of this annual report (page 20 to 63):

MANAGEMENT RESPONSE TO THE ADVERSE AUDIT OPINION

Environmental Programme (Programme 6) is responsible for transfer projects based on requests for assistance from owning entities in terms of the Expanded Public Works Programme funding. Due to the purpose and nature of the fund it has been classified as Transfers and Subsidies and the related projects has been planned and based accordingly.

According to the Auditor-General the department presented the financial statements to include the EPWP projects under transfer payments on the following grounds:

- The transactions with implementing agents are non-exchange transactions
- The amounts allocated for the EPWP programme and the Green Fund were budgeted for as transfer payments and therefore should be reported in line with the manner in which it was budgeted.
- The contracts with implementing agents did not result in a principle agent relationship and they provided a legal opinion in support of their view.

The AGSA did not accept the view of management for the following reasons:

- The AGSA disagrees with the view of management that the implementing agent contracts result in non-exchange transactions. The department controls and directs the implementing agents appointed even though the goods and services may be acquired for an entity that is not a party to the contract with the implementing agent. Where assets are acquired or created, these assets belong to the department in terms of the contractual arrangements with implementing agents and therefore must be recorded in the financial statements of the department.
- Chapter 8 of the MCS states that where a department determines that the classification of the expenditure is inconsistent with the nature thereof, the department must reflect the expenditure correctly in the statement of financial performance and appropriation statement regardless of where the funds are appropriated.
- The legal specialist unit of the AGSA reviewed the opinion and concluded that the clauses within the contract do create an agency relationship.

The disagreement resulted in an adverse audit opinion and a regression in audit outcomes.

BACKGROUND AND DISCUSSION

During the 2015/16 financial year, The Auditor General (AG), indicated that the department does not comply with Modified Cash Standards with respect to transfer payments for EPWP projects.

The AG also advised the department to re-classify EPWP transfer payments to goods and services as agreed between themselves and the Office of the Accountant General. The AG also indicated there would be further engagements between themselves and the Office of the Accountant General. To date no feedback has been given to the department.

In principle the department did not agree with the reclassification of EPWP transfer payments to Goods and Services as directed by the Auditor General for the 2015/16 financial year.

The Department therefore initiated further engagements and communication with the Office of the Accountant General for the whole of 2016/17 financial year.

COMPLIANCE WITH MODIFIED CASH STANDARDS, ENE ALLOCATION AS TRANSFER PAYMENTS FOR EPWP

On the 2nd of May 2017, the Office of the Accountant General presented Modified Cash Standards and different expenditure classifications to the Portfolio Committee.

- The OAG Modified Cash Standards presentation does confirm that Expanded Public Works Programs, Subsidies, Social grants and Conditional Grants, are non-exchange transactions, and can therefore NOT be classified as goods and services of the department.
- Based on the OAG presentation, the EPWP budget is therefore correctly appropriated in the ENE and MTEF of the department as transfers and subsidies.
- In addition, for 2016/17 transfer payments for EPWP projects have not been classified as goods and services.

The OAG presentation also confirms that transfers to implementing agents, for the delivery of EPWP projects, does not form a Principal-Agent arrangement. The reason is that the department (Principal) is NOT a beneficiary of EPWP projects.

However, implementing agents, undertake transactions with (third parties) suppliers, for the benefit of (third parties) unemployed workers or municipalities. Therefore, there is no- Agent arrangement.

A Principal- Agent arrangement requires that Assets, Liabilities, Revenue and Expenditure of an Agent, be recorded in the books of the department (Principal) or (Beneficiary).

As the department is NOT a beneficiary of EPWP projects, these are correctly classified as transfers and subsidies and (NOT goods and services), in the ENE. The department manages the EPWP per Treasury Regulation 8.4.

The department does comply with Modified Cash Standards in respect to transfer payments for Expanded Public Works Projects. This is also confirmed by the OAG presentation to the Portfolio Committee dated 2nd May 2017. In addition, the department's budget for Expanded Public Works Programs is correctly classified as Transfers and Subsidies in the ENE and MTEF of the department.

COMPLIANCE WITH TREASURY REGULATIONS: CHAPTER 8.4: TRANSFERS AND SUBSIDIES

The prescribed Treasury Regulations (8.4.1: 8.4.2: PFMA Sec 38 (1) (j)), that is being correctly applied by the department to account for all funds that are transferred to non-state organisations- and which are not audited by the Auditor General.

The enhanced classification of transfer payments, disclosure notes, and annexures, as benchmarked against other National Departments whose funds are classified as, Conditional Grants, EPWP, Jobs fund, Social Grants, and other Social Development Grants.

That the Auditor General indicated during engagements with the department that the department's financial statements would still be qualified, based on their independent technical advice and legal opinion, which states that EPWP transfer payments should be classified as goods and services.

In terms of Section 8.4.1 an Accounting Officer must maintain appropriate measures to ensure that transfers and subsidies to entities are applied for their intended purposes. Such measure may include-

Regular reporting procedures:

The department has an online project management system where all project's deliverables and budget is loaded, namely: plan, project deliverables, time frames, committed budget, and actual transfer payments.

- PMS2: An web based system for monitoring EPWP project on line.
- WIMS: Water information management system.
- FIMS: Fire information system.
- WETIS: Wetland information system under development.

Internal and external audit requirements and where appropriate submission of audited financial statements

Per signed contracts, implementing agents are to appoint independent external auditors for projects that they are implementing.

By the 31 May 2017, 94%, (202) of standardized annual financial statements were submitted to the department by implementing agents. The total number of implementing agents is 215. (Two hundred fifteen)

The department is following up on the 6% (13 implementing agents). Reasons for submitting financial statement at different intervals are as a result of a later financial year-end for example municipalities or other non- government entities, different project start dates, contract end dates, project end date, or completed project date.

Regular monitoring procedures

Scheduled or unscheduled inspection visits or reviews of performance; and any other control deemed necessary

All projects have regional project leaders (RPL) to verify and monitor projects deliverables by the implementing agents.

Per signed contracts, implementing agents are to submit on monthly bases, beneficiary information list, site information register, socio economic data, beneficiary payment register, performance information register, including recording of project assets in the books of the project.

Sec 8.4.2

Withholding transfer and subsidy:

An Accounting Officer may withhold transfers and subsidies to any entity if he or she is satisfied that: -

- Conditions attached to the transfer and subsidy have not been complied with.
- Financial assistance is no longer required
- The agreed objectives have not been attained and
- The transfer and subsidy does not provide value for money in relation to its purpose or objectives
- Where there is non-compliance with the signed contract, corrective, including appropriate legal action is taken against the implementing agent, either to recover the costs or give the implementer time to put the project back to its agreed deliverables.

ENHANCED CLASSIFICATION OF TRANSFER PAYMENTS AND DISCLOSURE NOTES IN THE ANNUAL FINANCIAL STATEMENTS.

The reclassification is based on the meeting held with the OAG on 18 July 2016, where the OAG indicated that MCS is more of a technical compliance tool, and that without changing the accounting process, the department would in future need to enhance disclosure notes of the financial statement, for the benefit of the user of AFS

In the same meeting, the OAG had also indicated that they would give the department a sector specific guide for EPWP transfers. To date, the guide was never received.

The Department decided to benchmark against seven (7) other national departments whose transfers are classified as Conditional grants, Social Grants, EPWP, Development Grants etc.

The 2016/17 EPWP transfer classifications and disclosure notes have been enhanced as follows:

Note 7		2016/17	2015/16
Notes		R' 000	R'000
Provinces and Municipalities	31	33 799	24 960
Departmental Agencies and other public agencies	Annex 1A	2 198 785	1 678 463
Higher Education Institutions	Annex 1B	15 666	20 614
Foreign Governments and International Organisations (GEF)	Annex 1D	16 000	16 000
Public corporations / Private enterprises:	Annex 1C		
Green Fund (DBSA)	Annex1C	180 000	300 000
Working on Fire (FFA Pty Ltd)	Annex 1C	620 611	621 262
Other private enterprises	Annex 1C	918 415	1 046 691
Non-Profit institutions	Annex 1E	122 689	151 967
Households*	Annex 1F	4 264	3 553
TOTAL		4 110 229	3 863 510

Included in the above are the following EPWP expenditure:

Expanded Public Work Programme	2016/17	2015/16
	R'000	R'000
Working for Water	1 023 203	857 838
Working on Fire	620 611	615 684
Working for Waste	370 155	395 031
People and Parks	192 927	83 312
Wildlife Economy	150 908	50 739
Greening & Open Space Management	100 425	219 284
Working for the Coast	93 158	99 781
Beneficiary Training	20 230	28 335
Working for Land Operation	19 040	48 060
Youth Environmental Service	4 734	29 703
TOTAL EPWP EXPENDITURE	2 595 391	2 427 767

Update on status related to the 2016/2017 Annual Financial Statements after non-tabling

Auditor-General opinion:

- The Auditor-General is of the opinion that the EPWP transactions should be Principal/Agent arrangements;
- accordingly all project expenditure should have been accounted for in the books of the department according to the use of each transaction made by the service provider; and
- project assets and inventory be included as assets and inventory of the department.

Department's opinion:

- The department did not accept the Audit Opinion as the financial statements were prepared in line with the Office of the Accountant-General's presentation to the Portfolio Committee, Treasury Regulation 8.4 and the MCS as interpreted; and
- the Minister decided not to table the Annual Report until clarity could be obtained from the Office of the Accountant-General.

Actions followed after decision not to table:

- Both the Auditor-General and the department kept on requesting guidance from the Office of the Accountant-General with the understanding that once clarity has been officially obtained, the AFS could be finalised and audited accordingly;
- the Accountant-General responded with a Position Paper issued to the department (17 November 2017 on which amended AFS were submitted to the AG on 5 December 2017);
- the Auditor-General communicated that they first need to discuss the Position Paper with the Accountant-General before any consideration whether the amended AFS will be reviewed;
- due to the delay on finalising the 2016/17 AFS and tabling of the Annual Report the 2017/18 audit could not start;
- only after agreement between the Auditor-General and the department to nevertheless start the audit process, the Engagement Letter was finalised and signed by the DG on 15 March 2018;
- soon after the meeting between the Auditor-General and the Accountant-General the first Position Paper was recalled and a new Position Paper issued, dated 30 April 2018;
- on receipt of the revised Position Paper issued to the department by the Office of the Accountant-General, the department finalised both the 2016/17 and 2017/18 financial statements accordingly and submitted for auditing (31 May 2018);
- a meeting was convened on 29 May 2018 between the Auditor-General, Accountant-General and the department to address all parties on the interpretation and application of the Position Paper and its implication;

- staff from the Office of the Accountant-General visited the department on 30 May 2018 and guided the changes required from the final Position Paper before it being submitted on 31 May 2018;
- the Auditor-General, on submission of the 2017/18 AFS issued a letter to the department indicating that the 2016/17 AFS will not be re-opened for audit and the opening balances differ from the audited 2016/17 AFS without prior period errors being declared; and
- the department tried to convince the Auditor-General to reconsider through requesting a meeting between the Auditor-General and the Minister.

Status up to finalisation of Annual Report for tabling:

- The Auditor-General indicated no changes submitted in line with the guidance will be considered for the 2016/2017 financial year;
- as a result the opening balances of the 2017/18 AFS which were aligned to the amended 2016/17 AFS will have to be reverted back and changes then to be treated as prior year errors; and
- the Auditor-General continued the 2017/18 annual financial statements audit in a manner still in line with their 2016/17 opinion that the EPWP transactions are Principle/Agent arrangements, notwithstanding the clarification by the Accountant-General that although it needs to be treated as Goods and Services, it does not relate to Principal/Agent arrangements and require only an allocation as Agency Support: Outsourced services.

The significant projects and/or events for the 2016/17 financial year:

The department hosted the following national events to celebrate/commemorate key international environment days raise environmental awareness and engage stakeholders within the environment sector.

Celebration of international environment days:

World Environment Day

As part of the Environment Month calendar of events, the Department hosted and celebrated the 2016 World Environment Day on 03 June 2016 at Andover, Bushbuckridge, Mpumalanga. DEA partnered with the United Nation Environment Programme (UNEP) to celebrate the day – marked annually on June 5 – under the theme ‘Go wild for Wildlife: Zero Tolerance for Illegal Wildlife Trade.’ World Environment Day encourages the celebration of all species under threat and for people to take action in order to help safeguard species for future generations.

World Oceans Day

The 2016 World Oceans Day event was held on the 08 June 2016 in Durban, KwaZulu-Natal.

The purpose of this event is to raise awareness about the significance of the marine environment, to promote the role of the oceans and the importance of conserving and protecting the marine environment. World Oceans Day (WOD) was celebrated under the UNEP’s theme: “Healthy Oceans, Healthy Planet”. The Department of Environmental Affairs has strategically customised the theme to “Healthy Oceans, Healthy Planet: Enabling Sustainable Ocean Economy Development,” as a way to highlight Government’s commitment to sustainable ocean economy through Operation Phakisa.

National Marine Week

The National Marine Week awareness campaign was held during the second week of October 2016 in Kimberley, Northern Cape. The campaign was celebrated under the theme: “Oceans 70/20: Decoding Mysteries, Maximising Opportunities.” Oceans are often mysterious, unexplored and full of wonder.

World Ozone Day

World Ozone Day was celebrated on 21 September 2016 at the Robert Gunda Stadium in Upington in the Northern Cape under the theme “Ozone and Climate Restored by a World United”. The Department of Environmental Affairs partnered with the United Nation Environment Programme (UNEP) to celebrate the day marked annual on 16 September.

Hosting of conferences / strategic multi stakeholder engagements:

Waste Khoro Conference

The conference was hosted in Durban from 31 May 2016 - 01 June 2016 with the theme: “Promoting Innovation and Upscaling Enterprise Development” - Delivering the tools of the trade.

Women in Environment Conference

The conference was held in Kimberly on 25-26 August 2016. The theme of the dialogue was “Role of Women in Accelerating a Transition towards a green economy”.

People and Parks Conference

The event took place in Midrand, Gauteng on 20-22 September 2016 with the theme: “Unlocking Protected Areas Economic Potential”.

17th Conference of Parties to the Convention on International Trade in Endangered Species on Wild Fauna and Flora (CITES CoP17)

The conference was hosted by South Africa at the Sandton Convention Centre in Gauteng from 24 September 2016 to 05 October 2016.

Asbestos Conference

The conference was held in Limpopo on 23-24 March 2017 with the focus on "Promoting Sustainable Management of Asbestos through Collaboration and Innovation".

OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT

Department receipts

DEPARTMENTAL RECEIPTS	2016/17			2015/16		
	ESTIMATE	ACTUAL AMOUNT COLLECTED	(OVER)/UNDER COLLECTION	ESTIMATE ACTUAL	AMOUNT COLLECTED	(OVER)/UNDER COLLECTION
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	2 790	3 520	(730)	3 775	3 790	(15)
Fines, penalties and forfeits	2 180	2 240	(60)	1 400	2 080	(680)
Interest, dividends and rent on land	91	122	(31)	80	124	(44)
Sale of capital assets	59	86	(27)	300	114	186
Financial transactions in assets and liabilities	12 061	15 212	(3 151)	21 199	5 378	15 821
TOTAL	17 181	21 180	(3 999)	26 754	11 486	15 268

Included in Financial transactions in assets and liabilities is an amount of R8, 271m for National Research Foundation (NRF) Salary claim for South African Antarctica Programme (SANAP) scientist's personnel appointed.

TARIFF POLICY

Control of vehicles in the coastal zone

These regulations are promulgated under the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008) – (ICM Act).

Dumping at Sea

These Regulations were saved under the repealed Dumping at Sea Act Control Act, 1980 (Act No. 73 of 1980). However, they are to be promulgated under the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008) – (ICM Act) in due course.

Oceans and Coastal Environmental Management - Scuba Diving, boat-based whale watching and white shark-cage diving (BBWW and WSCD)

The department issued permits in respect of the control of vehicles in the coastal zone, dumping at sea, recreational scuba diving, BBWW and WSCD in terms of the Marine Living Resources Act, 1998 (Act No. 18 of 1988) for the reporting period. However, for the period 2017/18 these permits have been promulgated under the Threatened and Protected Species Regulations in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No.10 of 2004). Fees have been set in terms of these regulations and will be collected in terms thereof commencing 2017/18.

Alien Invasive Species Management

Application Fees for permits for the transport or being in possession of Alien Invasive Species are set and collected in terms of the Alien Invasive Species Regulations promulgated in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No.10 of 2004).

PROGRAMME EXPENDITURE

PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	828 874	827 902	972	832 487	832 487	-
Legal, Authorization & Compliance	154 303	154 303	-	131 717	131 417	300
Oceans and Coasts	502 681	502 681	-	372 021	368 659	3 362
Climate Change & Air Quality	296 403	295 484	919	246 114	246 114	-
Biodiversity and Conservation	738 721	738 721	-	700 648	699 863	785
Environmental Programmes	3 805 726	3 766 912	38 814	3 580 570	3 579 640	930
Chemicals & Waste Management	98 393	94 968	3 425	79 740	79 740	-
TOTAL	6 425 101	6 380 971	44 130	5 943 297	5 937 920	5 377

The Department spent R6 380 971 000 of the total allocation of R6 425 101 000 which presents a 99.3% total spending. The 0.7% not spent during the 2016/17 financial year is mainly due to the following:

- Compensation of Employees funds not spent due to posts not filled for an entire year.
- Projects that progressed slower than anticipated.
- EPWP projects paid at year end 2016/2017 financial year that was wrongly posted in the 2017/18 financial year.
- The establishment of the Waste Bureau progressed slower than anticipated

VIREMENTS

PROGRAMME FROM	PROGRAMME TO	AMOUNT R'000	% VIREMENT	REASON FOR VIREMENT
2 Legal, Authorization & Compliance	1 Administration	10 504	6.4	Funding office accommodation, property expenditure, facilities support expenditure and Information Technology Support System
4 Climate Change & Air Quality		1 681	0.6	
7 Chemicals & Waste Management		7 764	7.1	
6 Environmental Programmes		17 998	0.5	
6 Environmental Programmes	3 Ocean and Coast	25 280	0.7	Funding the laboratories for Ocean Research and development of an Ocean and Coast Information Management System
6 Environmental Programmes	5 Biodiversity and Conservation	19 254	0.5	Funding the hosting of the CITES COP expenditure

All virements were applied within the 8% limitations of the PFMA

Fruitless and wasteful expenditure – relating to prior year

None.

FUTURE PLANS OF THE DEPARTMENT

None.

PUBLIC PRIVATE PARTNERSHIPS

The Department entered into a Public Private Partnership (PPP) for the construction of its Green Building head office to which it relocated on 1 August 2014. The relocation signified a major milestone and marked the beginning of the Operations Phase of the project which is currently underway.

The official operations performance monitoring process started from February 2015, resulting in the full implementation of the payment mechanism as provided for in terms of Schedule 6 to the PPP Agreement. Gross unitary monthly instalment per clause 2.3 has been paid amounting to R136, 864m, Pass-through costs and additional payment amounting to R9, 659m as per Clause 6.24 of Schedule 6 of the PPP Agreement as at 31 March 2017. The new DEA Green Building creates a pleasant and conducive working environment that promote productivity across the organization.

The Imvelo Concession Company (Pty) Ltd comprise of the following entities:

- Grinakar/Aveng LTD 30%
- Old Mutual PLC 30%
- Wiphold LTD; and 20%
- Kagiso/Tiso Holdings 20%

DISCONTINUED ACTIVITIES/ACTIVITIES TO BE DISCONTINUED

None

NEW OR PROPOSED ACTIVITIES

None

SUPPLY CHAIN MANAGEMENT

No unsolicited bid proposals concluded for the year under review

Indicate whether SCM processes and systems are in place to prevent irregular expenditure

- SCM Processes and systems are in place such as financial delegations, policies, practice notes and relevant legislations.

- Bid Committees (Specification, Evaluation and Adjudication) are in place and members are appointed in writing
- When invoices are received, they are verified against the checklist to establish irregular expenditure.
- When irregular expenditure is discovered, it is recorded and reported to the relevant authority for formal responses as per the National Treasury practise notes.
- Updated Asset register in place
- Disposal committee in place

Challenges experienced in SCM and how they were resolved

- Market research not done regularly, resulting in deviations from the normal procurement processes, e.g. Single Source/Sole Providers/Urgent.
- Solution: National Treasury SCM Instruction note 3 of 2016/17 addressed the challenge.
- Inaccurate lease commitment report (photocopy machines).
- Solution: Quarterly verification (photocopy machines) to be conducted. Source documents to be verified against the commitment report.
- Delays in initiation of projects, Bid Evaluation and Adjudication Committees.
- Solutions: Terms of Reference to be submitted with the Procurement Plan to fast-track the procurement process.
- Project managers not submitting closeout reports and the renewal of contract on time regarding expired contract.
- Solutions: Contract management system has been developed. End Users to be trained and implemented.

Gifts and Donations received in kind from non-related parties

None

EXEMPTIONS AND DEVIATIONS RECEIVED FROM THE NATIONAL TREASURY

In the previous and the current financial year the National Treasury in terms of Section 79 of the PFMA exempted the department from applying the Modified Cash Standard (MCS) in respect of transfer payments to environment projects and to allow reporting as per the approved economic structure and appropriation.

The EPWP projects are appropriated by National Treasury in the Estimates of National Expenditure as transfer payments, since inception 2001. The Department of Environmental Affairs (DEA) accordingly complies with Treasury Regulations 8.4.1; 8.4.2 in the accounting and recording of

transfers to EPWP projects. The Treasury Regulation 8.4.1(e) has specifically been implemented to provide means for additional controls in management of EPWP projects and the department processes and systems are in full compliance with section 38 (1)(j) of the PFMA.

Per the Office of the Accountant-General it was requested that the Chief Procurement Officer (CPO) test compliance to Supply Chain Process. The CPO confirmed compliance with Supply Chain Processes and the treatment thereof as applicable to transfer payments.

However, since the inception of the Modified Cash Standard (MCS) in 2013, the Auditor-General has indicated, based on the 2013/14 financial year audit that the DEA does not comply with MCS treatment. The department enhanced disclosure of work in progress and assets related to the Expanded Public Works Programme projects included in transfer payments in the previous financial year's annual financial statements as agreed with the Office of the Accountant-General. It was never clear what is meant by the non-compliance in respect of transfer payments to EPWP projects and what was the department required to do in providing further disclosure in its financial statements. The department has requested the clarity and further guidance from the Office of the Accountant-General since 2014 without progress. The Minister of Environmental Affairs subsequently wrote a letter to the Minister of Finance requesting his intervention, since July 2016, in order to resolve this long outstanding matter, hence the delayed tabling of department's annual report.

Subsequent to further engagements between the Office of the Auditor-General and Office of Accountant-General, the department has met with the Auditor-General, and received clear guidance on how at best to further elaborate the enhancement of disclosure notes to the financial statements. Regardless of how funds are appropriated, MCS requires the department to account for the use of funds under goods and services, and capital.

In conclusion the Annual Financial Statements and disclosure notes have been amended to reclassify the transfers to households to respectively Goods and Services and Expenditure for Capital Assets in line with the immediate use of the funds.

EVENTS AFTER THE REPORTING DATE

None

Other

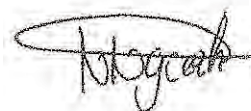
None

ACKNOWLEDGEMENTS

I wish to express my appreciation to the Minister and the Deputy Minister for their support and leadership. I am grateful to all of our employees of the Department for their hard work and ongoing commitment which enables the organisation to make significant progress and achieve our commitments and planned targets.

CONCLUSION

In a number of areas of our work the Department work together with many other stakeholders both within the public service and outside Government in the different sectors of our society. I further wish to thank all our partners and stakeholders for their support and contribution in our work. In the current economic climate partnerships and collaborations are a very critical element in enabling all of us to share knowledge and maximize use of resources in order to achieve our common objectives and long term goal of protecting our environment and preserving our natural assets and heritage.



MS NOSIPHO NGCABA

DIRECTOR-GENERAL

DATE: 31 JULY 2017

6. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the Annual Report are consistent.

The Annual Report is complete, accurate and is free from any omissions.

The Annual Report has been prepared in accordance with the guidelines on the Annual Report as issued by the National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the Annual Financial Statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the Annual Financial Statements.

The external auditors are engaged to express an independent opinion on the Annual Financial Statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the Department for the financial year ended 31 March 2017.

Yours faithfully



MS NOSIPHO NGCABA

DIRECTOR-GENERAL

DATE: 31 JULY 2017

7. STRATEGIC OVERVIEW

VISION

A prosperous and equitable society living in harmony with our natural resources.

MISSION

Providing leadership in environmental management, conservation and protection towards sustainability for the benefit of South Africans and the global community.

DEPARTMENTAL VALUES

We are driven by our **Passion** as custodians and ambassadors of the environment, we have to be **Proactive** and foster Innovative thinking and solutions to environmental management premised on a **People centric** approach that recognises the centrality of Batho-Pele, for it is when we put our people first that we will serve with **Integrity**, an important ingredient for high **Performance** driven organisation such as ours.

8. LEGISLATIVE AND OTHER MANDATES

The mandate and core business of the Department of Environmental Affairs is underpinned by the Constitution of the Republic of South Africa and all other relevant legislation and policies applicable to the government, including the Batho Pele White Paper. In addressing the mandate for sound environmental management, the following policies, legislation and regulations have been enacted to give effect to the constitutional environmental rights of all South Africans in its Section 24, which provides a specific definition of the term sustainable development, namely that: Everyone has the right to an environment that is not harmful to their health or well-being; and to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that:

- i. Prevent pollution and ecological degradation;
- ii. Promote conservation; and
- iii. Secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.

In the context of this constitutional definition, the policies, legislation and regulations have been enacted in the form of overarching and enabling Integrated Environmental Management legislation which provides for subsidiary issue specific legislation on biodiversity and heritage resources; oceans and coasts; climate change and air quality management; and waste and chemicals management. There have not been any relevant court rulings that had a significant ongoing impact on the mandate of the department and service delivery obligations.

INTEGRATED ENVIRONMENTAL MANAGEMENT

Policies

- White Paper on Environmental Management, 1998.
- National Framework Strategy for Sustainable Development, 2009.
- National Strategy for Sustainable Development 1, 2011.

Acts of Parliament

The National Environmental Management Act (NEMA), 1998, (Act No. 107 of 1998), which establishes the concepts of participatory, cooperative and developmental governance in environmental management. It establishes principles for environmental management and provides for structures to facilitate these.

The National Environmental Management Amendment Act, 2003 (Act No. 46 of 2003), which DEALs with compliance and enforcement and provides for Environmental Management Inspectors (EMIs). The National Environmental Management Amendment Act, 2004 (Act No. 8 of 2004), which streamlines the process of regulating and administering the impact assessment process. Chapter 5 of the act lays down procedures with which the Minister or MEC, as the case may be, must comply before listing or delisting an activity.

The National Environment Laws Amendment Act, 2008 (Act No. 44 of 2008), which amends the National Environmental Management Act, 1998, so as to clarify any uncertainty in the act; authorises the Minister of Water Affairs and Forestry to designate persons as environmental management inspectors; provides for environmental management inspectors to be regarded as peace officers as contemplated in the Criminal Procedure Act, 1977; and amends the National Environmental Management: Air Quality Act, 2004, so as to substitute Schedule 1 of that act.

The National Environmental Management Amendment Act, 2008 (Act No. 62 of 2008), which empowers the Minister of Minerals and Energy to implement environmental matters in terms of the National Environmental Management Act, 1998, in so far as it relates to prospecting, mining, exploration or related activities; aligns environmental requirements in the Mineral and Petroleum Resources Development Act (MPRDA), Act 28 2002, with NEMA (1998), by providing for the use of one environmental system and by providing for environmental management programmes; and further regulates environmental authorisations.

The National Environment Laws Amendment Act, 2009 (Act No. 14 of 2009), which amends the Atmospheric Pollution Prevention Act, 1965, so as to adjust the penalties provided for in the said act, the Environment Conservation Act, 1989, so as to adjust the penalties provided for in the said act, the National Environmental Management: Air Quality Act, 2004, so as to provide for a processing fee to review a licence, and to include directors or senior managers in a juristic person for the criteria for a fit and proper person.

BIODIVERSITY AND HERITAGE RESOURCES

Policies

White paper on conservation and sustainable use of biodiversity, 1997.

Acts of Parliament

The World Heritage Convention Act, 1999 (Act No. 49 of 1999), which provides for the cultural and environmental protection and sustainable development of, and related activities in a world heritage site.

The National Environmental Management: Protected Areas Amendment Act, 2009 (Act 15 of 2009), which provides for the assignment of national parks, special parks and heritage sites to South African National Parks; makes provision for flight corridors and permission of the management authority to fly over a special national park, national park or heritage site; and provides for the winding up and dissolution of South African National Parks.

The National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004), which significantly reforms South Africa's laws regulating biodiversity. Its sets out the mechanisms for managing and conserving South Africa's biodiversity and its components; protecting species and ecosystems that warrant national protection; the sustainable use of indigenous biological resources; the fair and equitable sharing of benefits arising from bioprospecting, including indigenous biological resources; and the establishment of the South African National Biodiversity Institute.

National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003) which provides for the protection and conservation of ecologically viable areas. It further provides for the establishment of a national register of protected areas and the proclamation and management of these areas.

The National Environmental Management: Protected Areas Amendment Act, 2004 (Act No. 31 of 2004), which provides for a national system of protected areas in South Africa as part of a strategy to manage and conserve the country's biodiversity. A significant part of this act is that the state is appointed as the trustee of protected areas in the country.

OCEANS AND COASTAL ENVIRONMENTAL MANAGEMENT

Policies

White Paper for Sustainable Coastal Development in South Africa, 2000

Acts of Parliament

The National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008), which establishes a system of integrated coastal and estuarine management in the Republic; ensures that development and the use of natural resources within the coastal zone is socially and economically justifiable and ecologically sustainable; determines the responsibilities of organs of state in relation to coastal areas; controls dumping at sea and pollution in the coastal

zone; and gives effect to South Africa's international obligations in relation to coastal matters.

Sea Shores Act, 1935, (Act No. 21 of 1935), which declares the President to be the owner of the seashore and the sea within South Africa's territorial water and regulate the granting of rights and alienation thereof.

Sea Birds and Seals Protection Act, 1973 (Act No. 46 of 1973), which provides for control over certain islands and rocks for the protection and conservation of seabirds and seals.

Dumping at Sea Control Act, 1980 (Act No. 73 of 1980), which regulates the control of dumping substances at sea.

Sea Fishery Act, 1988 (Act No. 12 of 1988), most of the powers in terms of this Act were transferred to the Minister of Agriculture, Forestry and Fisheries. The Minister only retains powers in terms of section 38 of the Act.

Antartic Treaties Act, 1996 (Act No. 60 of 1996), which provides for the implementation of certain treaties relating to Antarctica. The treaty is primarily concerned with the regulation of activities in Antarctica, including territorial claims, research and strict environmental protection in general and the protection of certain identified species such as seals.

Marine Living Resources Act, 1998 (Act No. 18 of 1998), which deals with the long-term sustainable utilisation of marine living resources. Most of the powers and functions in terms of this Act were transferred to the Minister of Agriculture Forestry and Fisheries. The Minister of Water and Environmental Affairs only retained functions pertaining to the Marine Protected Areas, regulatory powers that relates to the protection of the marine environment.

Prince Edward Islands Act, 1948 (Act No. 43 of 1948), which provides for the confirmation of the annexation to the Union of South Africa of the Prince Edward Islands, and for the administration, government and control of the said islands.

CLIMATE CHANGE AND AIR QUALITY MANAGEMENT

Policies

White Paper on National Climate Change Response, 2011.

Acts of Parliament

The National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004), which reforms the law regulating air quality in order to protect the environment by providing reasonable measures for the prevention of pollution and ecological degradation and for securing ecologically sustainable development; and provides for national norms and standards regulating air quality monitoring.

South African Weather Service Act, 2001 (Act No. 8 of 2001), which established the South African Weather Service; determined its objects, functions and method of work, the manner in which it is to be managed. It governs and regulates its staff matters and financial affairs.

CHEMICALS AND WASTE MANAGEMENT

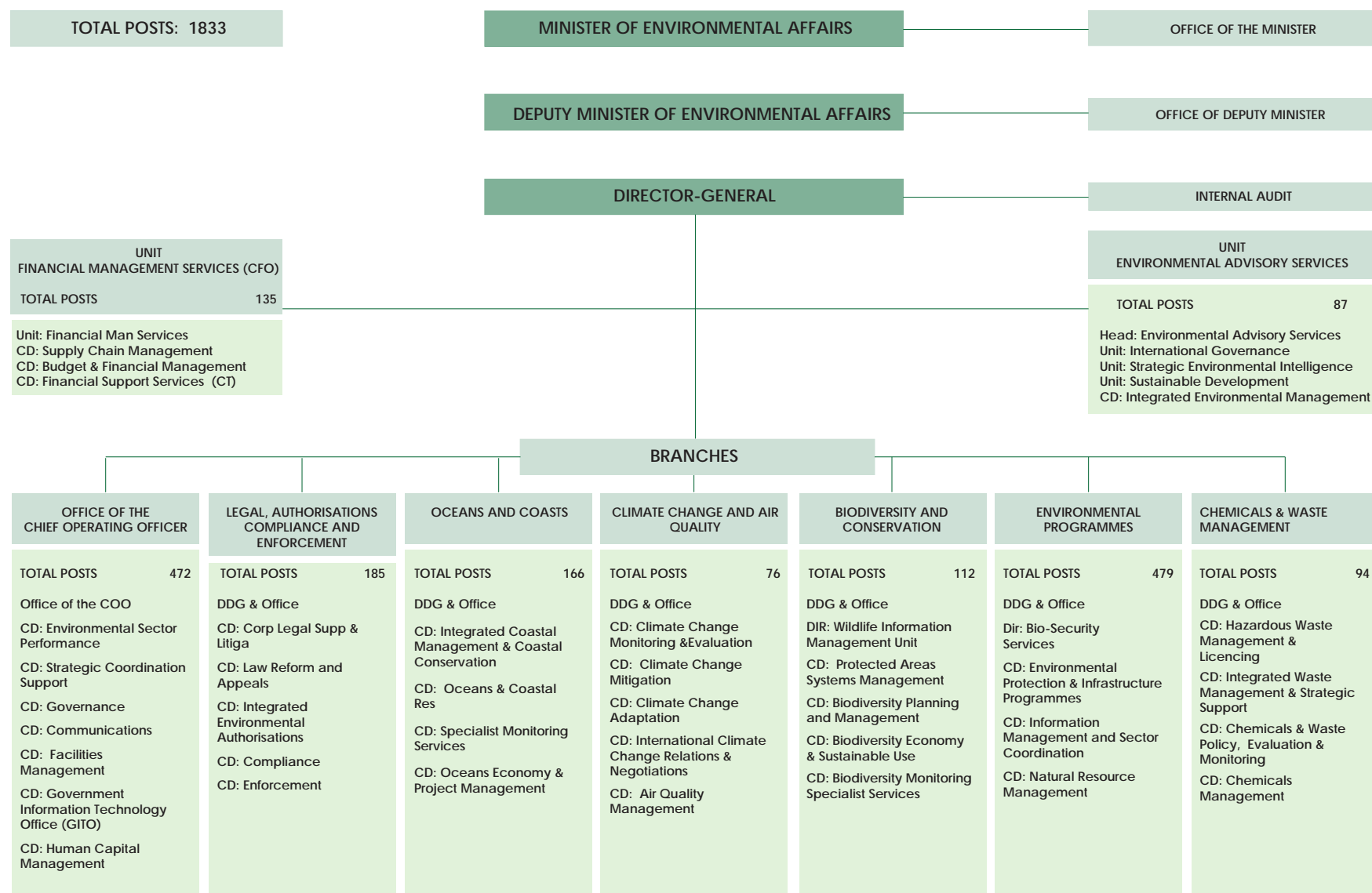
Policies

White Paper on Integrated Pollution and Waste Management, 2000.

Acts of Parliament

The National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008), which reforms the law regulating waste management in order to protect health and the environment by providing reasonable measures for the prevention of pollution; provides for national norms and standards for regulating the management of waste by all spheres of government; and provides for the licensing and control of waste management activities.

9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

NAME OF ENTITY	LEGISLATIVE MANDATE	FINANCIAL RELATIONSHIP	NATURE OF OPERATIONS
South African National Biodiversity Institute (SANBI)	SANBI was established in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004)	Transfer payment	The mandate of SANBI is to play a leading role in South Africa's national commitment to biodiversity management. In partnership with the Department of Environmental Affairs and the biodiversity sector, SANBI is tasked to lead the biodiversity research agenda; provide knowledge and information; give policy support and advice; manage gardens as windows to our biodiversity for leisure, enjoyment, spiritual upliftment and education; and engage in ecosystem restoration and rehabilitation programmes and best-practice models to manage biodiversity better
iSimangaliso Wetland Park Authority	iSimangaliso Wetland Park ("Park") was inscribed as South Africa's first world heritage site in December 1999 as an area of exceptional and outstanding universal heritage significance	Transfer payment	The iSimangaliso Wetland Park Authority in recognition of its exceptional natural beauty and unique global values, is responsible for managing the affairs of the Park. The 332 000 hectare Park contains three major lake systems, eight interlinking ecosystems, 700 year old fishing traditions, most of South Africa's remaining swamp forests, Africa's largest estuarine system, 526 bird species and 25 000 year-old coastal dunes – among the highest in the world. iSimangaliso also contains four wetlands of international importance under the Ramsar Convention
South African Weather Service (SAWS)	SAWS was established in terms of the South African Weather Service Act, 2001 (Act No. 8 of 2001)	Transfer payment	The mandate of SAWS is to provide useful and innovative weather, climate and related products and services for all South African and the African Continent through the following: Enhancing observational data and communications networks; Effectively developing and managing talent within the sector; Enhancing collaborative partnerships and effectively disseminating weather services products to the users; Utilising cutting edge technology to convert data into meaningful products and services for risk mitigation; Advancing the science of meteorology, research and relevant applications; and enhancing fiscal discipline and resource mobilisation to ensure sustainability
South African National Parks (SANParks)	SANParks was established in terms of the National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003)	Transfer payment	The mandate of SANParks is to oversee the conservation of South Africa's biodiversity, landscapes and associated heritage assets through a system of national parks. The core areas which provide a fundamental basis of the SANParks' mandate are; conservation management through the national parks system, constituency building and people focused eco-tourism management

PART B: PERFORMANCE INFORMATION



1. AUDITOR-GENERAL'S REPORT ON PREDETERMINED OBJECTIVES

Introduction and scope

1.1 In accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report material findings on the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify findings but not to gather evidence to express assurance.

1.2 My procedures address the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures also did not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.

1.3 I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programmes presented in the annual performance report of the department for the year ended 31 March 2017:

Programmes Pages in the annual performance report

Programme 3: oceans and coasts 40 – 44

Programme 4: climate change and air quality 45 – 48

Programme 6: environmental programmes 54 – 57

1.4 I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

1.5 I did not identify any material findings on the usefulness and reliability of the reported performance information for the following programmes:

- Oceans and coasts
- Climate change and air quality
- Environmental programmes

Other matter

1.6 I draw attention to the matter below:

Achievement of planned targets

1.7 Refer to the annual performance report on pages 40 to 44, 45 to 48 and 54 to 57 for information on the achievement of planned targets for the year and explanations provided for the under- or overachievement of a significant number of targets.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

SERVICE DELIVERY ENVIRONMENT

The prevailing economic challenges and the resulting fiscal constraints that the Government continues to experience has an on-going impact in funding the operations of DEA. These challenges require that the Department be more efficient and find innovative ways to deliver on its approved plans and constitutional mandate. The impact of these economic challenges has resulted in the National Treasury significantly reducing the personnel budget allocation over the past years and with further reductions expected in 2018/19.

As a result of this reality, the Department has over the years undertaken a process to review its personnel structure with the aim of gradually reducing the number of positions by restructuring functions and reprioritising the required capacity as and when positions become vacant. These process is aimed ensuring that the Department operates with an efficient structure which can be funded considering the reduction of the budget. These process has resulted in a significant reduction of the number of posts as it was necessary to abolish some of the vacant posts on the approved establishment and to reprioritise other posts as new vacancies arise. The focus is on prioritising critical human resources capacity required for the execution of the core mandate of the DEA and ensuring that we operate with the minimum required capacity for support and general administrative functions. The Department is also working on building and strengthening partnerships with different sectors of society and key stakeholders, including international donor organisation. These collaborations and partnerships are aimed at ensuring that in addition to working together towards common goals, we also ensure that the funding of environment and sustainable development programmes and intervention is not only reliant on public funds but other funding models are explored and optimised

SERVICE DELIVERY IMPROVEMENT PLAN

As required in terms of Part III.C.1-2 of the Public Service Regulations, the Department has a comprehensive Service Delivery Improvement Programme which is made up of an approved Service Delivery Charter and Service Delivery Improvement Plan (SDIP) supported by a service standard matrix that focuses on improving governance within the department. The Service Charter is placed at the entrance points in the department. The charter seeks to emphasise our commitment to serving the general public with humility, in line with the government principles of "Batho Pele", which amongst others include exercising courtesy in our Dealings with the public, consultation,

openness and transparency, access to information and proving value for public resources. A system or mechanism for lodging any complaints relating to the work of the Department is also outlined in the Charter. Compliance with approved service standards is monitored internally on a quarterly basis and reported to Parliament and the general public in the Department's Annual Report.

MAIN SERVICES AND STANDARDS

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT
Response to fraud and corruption allegation	Internal Client /Members of the Public	74% (17/23) reported fraud and corruption cases finalised within timeframes	100%	100% (21/21) reported fraud and corruption cases finalised within timeframes. 25 cases received 21 were finalised and 4 outstanding but within time frame
Responding to Presidential Hotline queries on time	Members of the Public	100% (7/7) queries received and all responded to on time	100%	100% (4/4) queries received and all responded to on time
Legitimate invoices from suppliers paid with prescribed timeframes (30 days)	Members of the public	99% of invoices paid within 30 days. 27 749 invoices paid on time.10 Invoices were paid outside timeframes.	100%	100% (26 597/26 597) invoices paid within 30 days.
Responding to Parliamentary questions on time	Members of Parliament	100% (111/111) of parliamentary questions and requirements responded to within the timeframes	100%	100% (79/79) of parliamentary questions and requirements responded to within the timeframes
Responding to complaints and incidents on time	Members of the public	94% (118/125) of DEA environmental complaints and incidents responded to in accordance with the set timeframe	92%	93% (211/227) of DEA environmental complaints and incidents responded to in accordance with the set timeframe
Issuing of Environmental Authorisations	<ul style="list-style-type: none"> • Developers • Environmental Assessment Practitioners • Industry • Interested and Affected Parties 	90% (251/279) EIA applications finalised within timeframes	98%	93% (270/292) EIA applications finalised within timeframes
Finalisation of appeals	Internal client	88% (51/58) appeals received finalised within timeframes	85%	84% (76/91) appeals received finalised within timeframes
Processing of Litigation matters	Internal Clients	100% Compliant (84/84 active matters (includes 12 new matters that were received)	95%	100% (302/302) litigation matters dealt with in terms of court rules or by agreement between litigating parties
Responding to PAIA requests on time	Members of the Public	100% (49/49) compliance with the implementation of PAIA	95%	100% (63/63) compliance with the implementation of PAIA
Issuing of Marine Research Permits	Industry/ Government Entities	99% (79/80) of permits issued within timeframe	100%	97% (64/66) of permits issued within timeframe
Issuing of Off-road Vehicle permits (ORV)	Researchers working on protected species and within Marine Protected Areas (MPA's)	98% (61 /62) of permits issued within timeframe	100%	98% (62 /63) of permits issued within timeframe

MAIN SERVICES AND STANDARDS (CONTINUED)

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT
Issuing of dumping permits	Applicants	100% (10/10) of permits issued within timeframe	100%	100% (9/9) of permits issued within timeframe
Issuing of permits for activities within marine protected areas	Applicants (film producers/scuba operators/research organisations)	75% (48/64) of permits issued within timeframe	100%	93% (90/97) of permits issued within timeframe
Issuing of Atmospheric Emission Licences	Applicants	N/A	100%	75% (3/4) Atmospheric Emission Licences with complete applications processed and issued within legislated timeframes
Issuing of GMO applications	<ul style="list-style-type: none"> • Traders • Exporters or importers of GMO listed Species • Scientific Institutions • Government Departments and Parastatals 	100% (39/39) of received GMO permits applications assessed for environmental compliance within prescribed time frame	100%	100% (33/33) of received GMO permits applications assessed for environmental compliance within prescribed time frame
Issuing of CITES permits	<ul style="list-style-type: none"> • Traders • Exporters or importers of CITES listed species • Scientific Institutions • Government Departments and Parastatals 	100% (140/140) CITES permit applications received and evaluated within prescribed timeframe	100%	100% (138/138) of received CITES applications assessed and permits issued within prescribed timeframe
Issuing of TOPS permits	<ul style="list-style-type: none"> • Traders • Exporters or importers of TOPS listed species • Scientific Institutions • Government Departments and Parastatals 	100% 93/93 TOPS permits applications received and evaluated within prescribed timeframe	100%	100% (83/83) of received TOPS applications assessed and permits issued within prescribed timeframe
Issuing of BABS permits	Applicants	100% (31/ 31) received BABS permit applications assessed for environmental compliance within prescribed timeframe	100%	100% of BABS applications assessed consisting of a total of 41/41 applications. 3 permits were issued within prescribed timeframe
Issuing of Waste Management Licences	Applicants	67% (26/39) Waste Management Licences were finalised within legislated timeframe	80%	64% (18/28) Waste Management Licences were issued within legislated timeframes
Issuing of Remediation orders	Areas (MPA's)	70% (14/20) processed within timeframes	80%	94% (134/143) processed within timeframes

BATHO PELE ARRANGEMENTS WITH BENEFICIARIES/STAKEHOLDERS (CONSULTATION ACCESS)

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<p>Regular stakeholder consultation events on work of DEA</p> <p>Public Participation events</p> <p>Gazetting of draft regulations, policies and legislation for public comments before Finalisation</p> <p>Facilitation of regular Environmental Campaigns</p>	Maintain current arrangements	<ul style="list-style-type: none"> • 19 Public Participation events hosted • 131 statements / speeches issued (109 statements and 22 speeches) • 3 Environmental awareness campaigns conducted

SERVICE DELIVERY INFORMATION TOOL

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<p>DEA Website (www.environment.gov.za)</p> <p>DEA Call centre (086 111 2468)</p> <p>Information centre (walk-in centre)</p> <p>Use of regional and national Media (print media, radio and television)</p> <p>Capacity to respond to media queries</p>	Maintain use of current tools	<p>131 statements / speeches issued (109 statements and 22 speeches)</p> <p>8 opinion pieces published</p> <p>18 Public Participation events were held during the year including Ministerial events</p> <p>4 Stakeholder publications were produced and published (Environment Quarterly)</p> <p>91% of media queries finalised. A total of 470 out of 517 media queries finalised within 48 hours.</p> <p>835 407 website visitors/visits as per google analytics statistics.</p> <p>34 391 Members of the public visited the information centre</p>

COMPLAINTS MECHANISM

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<p>Regular stakeholder consultation events on work of DEA</p> <p>Public Participation events</p> <p>Gazetting of draft regulations, policies and legislation for public comments before Finalisation</p> <p>Facilitation of regular Environmental Campaigns</p>	Maintain current mechanisms	<p>100% of queries were resolved as per service delivery standards. The total number of visitors to the Information Centre in this period amounted to 34 391.</p> <p>100% of matters received through the call centre were resolved within the set service standard (48 hours)</p> <p>3 597 requests were resolved in the Call Centre and consisted of 1 378 calls and 2 219 emails.</p>

ORGANISATIONAL ENVIRONMENT

The Department is committed to good corporate governance, accountability and implementation of effective and best management practices. These are important elements in ensuring that we carry out our constitutional mandate in line with good corporate values and principles, and we maintain the confidence and trust of South Africans and all our stakeholders. The Department consistently improves the integrity and reliability of internal systems and processes on the basis of lessons learnt from the outcomes and findings of the Management Performance Assessment Tool (MPAT), an initiative of the Department of Performance Monitoring and Evaluation in the Presidency, as well as internal and external audits.

KEY POLICY DEVELOPMENTS AND LEGISLATIVE CHANGES

There were no major Policy developments and legislative changes finalised for the period under review, however the following regulations were developed and policies drafted:

- Integrated Rhino Management Strategy developed
- National guideline for coastal management Lines has been finalised
- National Framework on Spatial Planning finalised for Cabinet approval
- Draft climate Change regulatory framework which included legal options on the regulation of climate change was developed and consulted with key stakeholders
- 3 Provincial Climate Change response Strategies have been developed: Gauteng, Northern Cape and Free State
- National Biodiversity Offsets Policy approved
- Draft National Chemicals Management Policy developed

3. STRATEGIC OUTCOME ORIENTED GOALS

- Environmental Economic Contribution Optimised
- Socially Transformed & Transitioned Communities
- Environmental/ Ecological Integrity Safeguarded & Enhanced
- Global Agenda Influenced & Obligations Met
- A Capable and efficient department

The Department is directly responsible for delivering on, and coordinating the work and priorities outlined in Outcome 10 (Environmental Assets and Natural Resources that are Valued, Protected and Continually Enhanced) of the 12 Government Outcomes, and also make a contribution to other outcomes, mainly Outcome 4 (Decent Employment through Inclusive Economic Growth).

The two outcome oriented goals of the department (1. Environmental assets conserved, valued, sustainably used, protected and continually enhanced; and 2. Enhanced socio-economic benefits and employment creation for the present and future generations from a healthy environment) are aligned to the outcome 10 and 4 priorities. Below is a summary of progress made in relation to the two mentioned Outcomes:

OUTCOME 10: ENVIRONMENTAL ASSETS AND NATURAL RESOURCES THAT ARE VALUED, PROTECTED AND CONTINUALLY ENHANCED

SUB OUTPUT 2: REDUCED GREENHOUSE GAS EMISSIONS, CLIMATE CHANGE AND IMPROVED AIR/ ATMOSPHERIC QUALITY:

The Outcome 10 Delivery Agreement addresses the following key sub outcomes from the National Development Plan (NDP) 2030 Vision namely:

ACTION: ECOSYSTEMS ARE SUSTAINED AND NATURAL RESOURCES ARE USED EFFICIENTLY;

On the action to expand the conservation area estate through declaration of state owned protected areas 12.51% land under conservation was achieved (from 11.73%) in the previous financial year.

72% of area of state managed protected areas assessed had a METT score above 67%. With regards to the land under rehabilitation Department of Environmental Affairs (DEA) has achieved 66 698.37ha out of the planned annual target of 26 354ha.

ACTION: AN EFFECTIVE CLIMATE CHANGE MITIGATION AND ADAPTATION RESPONSE;

Final South Africa's Mitigation System Report which includes the Design and approach phase 2 DEROs (Desired Emission Reduction Outcomes) and Carbon Budgets (2021 – 2025 and 2026 – 2030) has been finalised.

Provincial Climate Change response Strategies have been developed for Gauteng, Northern Cape & Free State, and annual plans for 5 Climate Change Adaptation Sector plans (i.e. Agriculture, Water, Health, Rural Settlement and Biodiversity) have been implemented.

Design of the Climate Change Monitoring and Evaluation web-based platform has been finalised.

SUB OUTCOME 2: THE PRODUCTIVE SECTORS ACCOUNT FOR A GROWING SHARE OF PRODUCTION AND EMPLOYMENT

ACTION: ENHANCED ENVIRONMENTAL EDUCATION; EMPOWERMENT AND JOB CREATION (INCLUDING SKILLS DEVELOPMENT)

28 633 Full Time Equivalents (FTEs) were created, 98 566 Work opportunities were created and 1 783 SMMEs were used in environmental programmes

SUB OUTCOME 4: WORKERS' EDUCATION AND SKILLS INCREASINGLY MEET ECONOMIC NEEDS

ACTION: IMPLEMENTATION OF THE ENVIRONMENT SECTOR SKILLS PLAN TO ADDRESS CAPACITY REQUIREMENTS

100 young learners recruited to participate in the environmental Learnership programme



4. PERFORMANCE INFORMATION BY PROGRAMME

STRATEGIC OBJECTIVES, PERFORMANCE INDICATORS, PLANNED TARGETS AND ACTUAL ACHIEVEMENTS

PROGRAMME 1: ADMINISTRATION

The purpose of the Programme is to provide leadership, strategic, centralised administration, executive support, corporate services and facilitate effective cooperative governance, international relations and environmental education and awareness. The programme is made-up of six subprogrammes which are as follows: (1) Management (2) Corporate Affairs (3) Environmental Advisory Services (4) Financial Management (5) Office Accommodation (6) Environmental Sector Coordination.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Equitable and sound corporate governance	Percentage compliance with key legislation and corporate governance requirements	Annual Report Progress (2014/15): Audited Performance	100 % compliance (as per schedule)	<p>100% compliance with key legislative / policy requirements achieved as per annual schedule for 2016/2017:</p> <ul style="list-style-type: none"> • DEA Annual Performance Plan for 2017/18 tabled in Parliament on time • DEA Monthly financial management reports submitted to National Treasury • Performance Agreement of the Director-General finalised and submitted to Presidency (DPME) and the Office of the Public Service Commission on time • All DEA Performance Management Quarterly reports to National Treasury and the Presidency (DPME) submitted on time • DEA 2015/16 Annual report tabled in Parliament on the 18th November 2016 (within the requested extension date) 	None	None
	External audit opinion	2013/14 unqualified audit report/opinion	Unqualified audit opinion without any matter	Unqualified audit report on 2015/2016 received from Auditor-General of SA	None	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Equitable and sound corporate governance	Percentage expenditure	99.91% expenditure (5 680 386 / 5 675 470 billion of allocation spent by 31 March 2015)	98 %	99.3% (6 380 971/6 425 101)	Planned target exceeded with a slight variance of 1% with no impact on resources earmarked for other priorities	None
	Percentage of expenditure on affirmative procurement	83% of expenditure on affirmative procurement (R 893 million of R1 081 billion)	65 %	86% (1207 646 748/ 1402 394 877)	Planned target exceeded with a variance of 32% with no impact on resources earmarked for other priorities	None
Value focused funding and resourcing (leveraged public and private sector investments)	Financial value of resources raised from international donors to support SA and African environment programmes	Total resources mobilized for the year: US\$ 80,513,812 (US\$ 30.616,964 approved and US\$ 49,896,848 Endorsed)	US\$ 20 million	Total Resources Mobilised USD 24.12 GEF (USD 11.9 million; GCF = USD 12.22 million).	Planned target exceeded by 21% USD. Exceeding the target did not have any impact on resources earmarked for other priorities. Mobilisation of more funds that estimated is a desired performance	None
	Number of investor projects funded	1 project implemented (Sehlabathebe Lodge operational)	1 project in the TFCA investment catalogue funded	1 project in the Transfrontier Conservation Area (TFCA) investment catalogue funded. The (Orange River Canoe Trails in the /Ai/Ais-Richtersveld Transfrontier Park) has secured an investor	None	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Adequate and appropriately skilled, transformed and diverse workforce	Percentage vacancy rate	10.4% (191/ 1845) vacancy rate	9.5%	Vacancy rate : 4.5% (82 vacant posts out of a structure of 1824 posts)	Progress achieved is below the 9.5% target and this is a desired performance for the department. A lower vacancy rate is a desirable performance and has no impact of resources as only funded and prioritised posts are filled. This is in line with the strategic objective to have a sufficiently resourced Department to enable successful strategy execution	None
	Number of Human Resources Development (HRD) interventions implemented	3 HRD interventions implemented: 100 Interns appointed 100% of WSP implemented (1002 planned WSP interventions undertaken) 84 bursaries awarded (49 part time and 35 full time bursaries)	3 Interventions implemented: (100 Interns recruited, 70 bursaries issued; 85% of WSP implemented)	3 Interventions implemented as follows: <ul style="list-style-type: none"> • Workplace Skill Plan : 87% of the plan implemented (985/1138 X 100) • 146 interns appointed • 30 full-time and 55 part-time bursaries awarded 	Planned target exceeded with a slight margin/variance with no impact on resources earmarked for other priorities. Local Government Sector Education Training Authority (SETA) awarded discretionary grants to the Department which enabled recruitment of additional interns	

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Adequate and appropriately skilled, transformed and diverse workforce	Percentage compliance to the Employment Equity targets	56% Women (926/1654)	50 % Women	56% (962/1 733)	Planned target exceeded with a variance of 12%. Progress which is higher than the planned target is ideal as it is in line with DEA transformation objectives. Exceeding the target had no implications on resources	None
		N/A	50 % Women in Senior Management positions(SMS)	43% (75/174)	Planned target missed by a variance of 7%. There were limited vacancies at SMS level to enable achievement of planned annual target of 50% women in Senior Management positions	DEA will continue to fill new available SMS vacancies with female candidates
		90% Blacks (1497/1654)	90 % Blacks	91% (1583/1733)	Planned target exceeded with a slight variance of 1% with no impact on resources earmarked for other priorities. The performance is in line with the DEA transformation objectives	None
		2.8% People with disabilities (47/1654)	2% People with disabilities	2.9% (50/1733)	Planned target exceeded with a variance of 45% with no impact on resources earmarked for other priorities. The performance is in line with the DEA transformation objectives	None
Secure, harmonious, and conducive working environment	Average number of days taken to resolve disciplinary cases	Misconduct: Average number of 90 working days taken to resolve cases. (28/31 cases finalised)	90 days: Misconduct cases	23 misconduct cases finalised- (1 300 days / 23 finalised cases) = 57 average days	Planned target exceeded by 33 days. This had no impact on resources earmarked for other priorities and the higher performance is in line with DEA strategic objectives	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Secure, harmonious, and conducive working environment	Average number of days taken to resolve disciplinary cases	Misconduct: Average number of 90 working days taken to resolve cases. (28/31 cases finalised)	30 days: Grievance cases	22 Average days taken to resolve cases: 72 grievance cases finalised(1588 days / 72 finalised cases)	Planned target exceeded by 8 days. This had no impact on resources earmarked for other priorities and the higher performance is in line with DEA strategic objectives	None
	Percentage implementation of security risk Assessment recommendations	61% (11 out of 18 security assessment recommendations implemented)	100%	85% (11/13) of security risk assessment recommendations implemented	Planned target missed by 15%. The outstanding targets are fully dependant on the third party	DEA Management has engaged the third party and its shareholders on the issues for a resolution
Efficient and Effective Information Technology systems	Number of Master System Plan (MSP) Initiatives	Progress on implementation of MSP projects: 2 projects implemented as planned 3 partially implemented 2 projects delayed 1 project on hold and 1 project cancelled	4 Master System Plan (MSP) initiatives implemented	3 of the 4 Master System Plan (MSP) projects have been fully implemented as planned and 1 project was partially implemented	Planned target missed by 25%. Financial constraints resulted in the need to reprioritise some of the MSP projects and planned milestones to the next financial year.	Prioritisation and implementation of delayed MSP projects will be undertaken in 2017/18
Improved profile, support and capacity for the environment sector	Number of media statements/speeches issued and opinion pieces published	126 statements/ speeches issued	140 statements/ speeches issued	131 statements/ speeches issued (109 statements and 22 speeches)	Planned target missed by slight margin of 6%. Media statements and speeches prepared and issued are demand based (e.g. based on events held and topical stories in the media requiring a response). The planned annual target was estimated based on past factors and limited number of events were hosted in 2016/17 as part of a consolidation approach to save costs	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved profile, support and capacity for the environment sector	Number of media statements/speeches issued and opinion pieces published	9 opinion pieces published	8 opinion pieces published	8 opinion published	None	None
	Number events including Ministerial Public Participation Programme (PPP) hosted	25 Public Participation events conducted	8 Public Participation events hosted	19 departmental events facilitated.	Planned target exceeded by a variance of 138%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of publications produced and distributed	4 editions of stakeholder publications per annum	4 stakeholder publications	4 editions of stakeholder publications produced (Environment Quarterly)	None	None
	Number of environmental awareness activities conducted (Learnership, CAPS training and campaigns)	117 teachers trained	100 teachers trained	156 teachers trained	Planned target exceeded by a variance of 56%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of environmental awareness activities conducted (Learnership, CAPS training and campaigns)	100 young people recruited and trained	100 unemployed youths recruited and learnership programme implemented	100 learnership recruited and learnership programme Implemented	None	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved profile, support and capacity for the environment sector	Number of environmental awareness activities conducted (Learnership, CAPS training and campaigns)	12 Environmental awareness campaigns conducted	3 Environmental awareness campaign implemented	3 awareness campaigns were conducted: <ul style="list-style-type: none"> • Rhino awareness • Waste Management awareness • Climate Change awareness 	None	None
	Number of SETA sector skills plans with environmental focus	6 sector skills plans were confirmed to have an environmental focus	Environment priorities incorporated in 3 Sector Skill Plans	Environmental priorities evaluated and incorporated in 3 sector skills plans: <ul style="list-style-type: none"> • Education, training and development practices (ETDP) • Safety and Security Sector Education and training Authority (SASSETA) • Whole Sale & Retail – Sector Education and Training Authority (W&RSETA) 	None	None
	Number of Integrated Environmental Management (IEM) training session conducted per annum	1108 officials trained including officials from DEA, Provinces and other stakeholders(i.e. Eskom, Transnet)	16 IEM training sessions conducted	16 Integrated Environmental Management (IEM) Training sessions conducted	None	None
	Number of municipal officials/ councillors trained on waste management (200)	N/A	200	346 municipal officials/councillors were trained on waste management	Planned target exceeded by a variance of 73%. Exceeding the target had no impact on resources earmarked for other priorities	None
Effective partnership, cooperative Governance and Local Government support	Percentage implementation of the Local government support strategy	80% implementation of planned actions (36/45) of the planned actions are on target	100% annual action plan implemented	94% (15/16) were implemented and 6% (1/16) is partially achieved	Planned target missed by 6%. Implementation of delayed interventions required multiple stakeholder coordination and cooperation. High level of stakeholder dependency resulted in delays	Delayed interventions will be prioritised and carried out in 2017/18

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Environmental sector evidence- policy interface system in place	Sector diagnostic report produced based on R,D&E framework	8 change strategy advocacy workshops conducted (Phase 1)	15 change strategy advocacy workshops conducted	Planned target exceeded by a variance of 88%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of environmental sustainability research projects commissioned	1 environmental sustainability policy research project commissioned	1 environmental sustainability policy research project commissioned	3 environmental sustainability policy research projects were commissioned and research reports compiled: <ul style="list-style-type: none"> • Green economy and environmental sustainability • Food security • Local government and green economy 	Planned target exceeded by a variance of 200%. Exceeding the target had no impact on resources earmarked for other priorities	None
Effective knowledge and information management for the sector	Number of environmental information and knowledge management tools developed and implemented	Design of Climate Change response M&E system approved by MINMEC	Design of the web-based platform of the climate change M&E system finalised	Design of the Climate Change Monitoring and Evaluation web-based platform finalised	None	None
	Number of environmental information and knowledge management tools developed and implemented	Spatial tools developed and implemented (3): Proof of concept tool developed Procurement procedure for pre-screening tool in Place	4 Spatial tools developed: General pre-screening tool finalised	4 Spatial tools developed: Development of main components of the pre-screening tool Finalised	None	None
			Geo Portal finalised	Geo Portal is operational on temporary server at SITA and will be opened up for general access once the new servers are deployed	None	None
			Sector specific pre-screening application developed	Sector specific screening tool developed	None	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Effective knowledge and information management for the sector	Number of environmental information and knowledge management tools developed and implemented	Project inception meeting between DEA Steering Committee and Project Consultants (PDG) facilitated	SA National Environmental Information Meta- Database Phase 2 – SAEON MoU signed	SA National Environmental Information Meta- Database Phase 2 – SAEON MoU signed	None	None
Enhanced international cooperation supportive of SA environmental / sustainable development priorities	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	2 Climate change positions	10 positions approved: 2 Climate change (UNFCCC; IPCC)	10 positions approved as follows: 2 climate change positions (UNFCCC; IPCC)	None	None
		10 Biodiversity and Conservation positions	6 Biodiversity (CITES COP 17, CBD COP 13, CPB COP-MOB 8, Nagoya COP- MOB 2, IPBES 5, WHC 40)	6 biodiversity and conservation positions (CITES COP 17, CBD COP 13, CPB COP-MOB 8, Nagoya COP-MOB 2, IPBES 5, WHC 40)	None	None
		3 Chemicals/ Waste Management positions	1 Chemicals/ Waste Management (Montreal Meeting of the Parties)	1 position paper for 28th Montreal Meeting of the Parties	None	None
		N/A	1 High Level Political Forum Position on sustainable development (HLPF)	1 High Level Political Forum (HLPF) position paper	None	None
	Mandatory international reports prepared and submitted within timeframe	Terms of reference for the appointment of TNC authors have been finalised and tender advertised	Third National Communication(TNC) finalised	Third National Communication finalised	None	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Enhanced international cooperation supportive of SA environmental / sustainable development priorities	Mandatory international reports prepared and submitted within timeframe	National reports developed and submitted	2 National reports submitted to DIRCO/ Secretariat: CITES TFCA	2 National reports submitted to Department of International Relations and Cooperation (DIRCO) - CITES and TFCA Report	None	None
		2013/14 NEMA S24 report in Parliament tabled within timeframe in May 2014	2015/16 NEMA S26 report tabled in Parliament	NEMA S26 Report finalised and tabled in Parliament	None	None

SUMMARY OF PROGRAMME PERFORMANCE: ADMINISTRATION

Summary of Programme Performance: The Programme had 41 planned annual targets in the 2016/17 annual targets. 36 of the planned 41 targets were achieved (88%) with 15 (42%) of the achieved target exceeded by some margin. The remaining 5 targets were partially achieved. The overall planned output was not achieved in full in relation to this 5 target but significant progress was made. The specific details and circumstances which resulted in delays and non-achievement of planned annual targets have been provided in the detailed report above. Management will continue to implement appropriate interventions where relevant to achieve delayed objectives and to also incorporate lessons learned during future planning processes.

PROGRAMME 2: LEGAL AUTHORISATIONS COMPLIANCE AND ENFORCEMENT

The purpose of the Programme is to promote the development and implementation of an enabling legal regime and licensing/ authorisation system to ensure enforcement and compliance with environmental law. The programme is made-up of six subprogrammes which are as follows: (1) Legal, Authorisations and Compliance Management (2) Integrated Environmental Authorisations (3) Compliance Monitoring (4) Enforcement (5) Corporate Legal Support and Litigation (6) Law Reform and Appeals.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved compliance with environmental legislation	Percentage of Administrative enforcement actions resulting in compliance	83%	70 %	75.47% (184/246) of administrative enforcement actions issued resulted in compliance	Planned target exceeded by a variance of 8%. Exceeding the target had no impact on resources earmarked for other priorities.	None
	Number of administrative enforcement notices issued for noncompliance with environmental legislation	180	220	244 administrative enforcement notices issued for noncompliance with environmental legislation	Planned target exceeded by a variance of 11%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of criminal cases finalised and dockets handed over to the NPA	30	32	40 criminal cases finalised and dockets handed over to the National Prosecution Authority(NPA)	Planned target exceeded by a variance of 25%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of environmental authorisations inspected for compliance	247	145	190 environmental authorisations inspected for compliance	Planned target exceeded by a variance of 31%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of joint compliance and enforcement operations conducted	N/A	22	33 joint compliance and enforcement operations conducted	Planned target exceeded by a variance of 50%. Exceeding the target had no impact on resources earmarked for other priorities	None

PROGRAMME 2: LEGAL AUTHORISATIONS COMPLIANCE AND ENFORCEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved compliance with environmental legislation	Number of officials trained in environmental compliance and enforcement	392 officials trained	300	855 officials trained in environmental compliance and enforcement	Planned target exceeded by a variance of 185%. Exceeding the target had no impact on resources earmarked for other priorities. The planned annual target was exceeded with a high number as result of additional training conducted in partnership with other partner organisation: 318 trained in partnership with the Border Management Coordination Committee (NBMCC), 131 officials trained through the GEF-UNEP Rhino Project (donor funding) and 22 officials trained in Advanced Pollution and Waste course in partnership with the National Prosecuting Authority (NPA). The additional trainings were not incorporated in the planned annual targets and were carried only on the request by the relevant stakeholders	None
	Number of interventions developed and implemented in support of the integrated strategic management of Rhino populations	4 interventions implemented for the safety and security of rhinoceros populations in South Africa	Integrated rhino management strategy developed	Integrated Rhino Management Strategy developed	None	None

PROGRAMME 2: LEGAL AUTHORISATIONS COMPLIANCE AND ENFORCEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strat Plan)	Number of interventions for streamlining environmental authorisation/ management developed	5 Strategic Infrastructure Programmes (SIPs) interventions facilitated	3 interventions: Dangerous goods standard gazetted for implementation	The Dangerous goods standards have not been gazetted for implementation. The standards gazetted for public participation/comments on 5 August 2016. The public comments have been received , reviewed and a consolidated document of comments was developed	Based on the comments received, the indication is that stakeholders are not supportive of the standards. These input/feedback has delayed the process of finalising the standards and publishing them for implementation	DEA will reconsider the dangerous goods standard since there is no consensus on their publication for implementation
			Minimum environmental requirements for the preparation of SDFs (Spatial Development Frameworks) for incorporation into SPLUMA finalised	The minimum requirements were not finalised. A service provider for the development of the minimum environmental requirements has been appointed ,contracting finalised and an inception meeting for the work was held	The project started late due to the need for additional consultation on the Terms of Reference for the project and to facilitate the process of part funding from SANBI	The project will be prioritised and fast-tracked in 2017/18 to ensure completion of the deliverables
			Strategic Assessment for SIP 10 gazetted for implementation (Electricity Grid Infrastructure)	Notice to publish Strategic Assessment for SIP 10 for comments (Electricity Grid Infrastructure) has been signed	The corridors could only be gazetted in April 2017 as it was reliant on amendments to the EIA Regulations to be gazetted for implementation first. The latter process was delayed resulting in consequential delays on these project	The project will be prioritised and fast-tracked in 2017/18 to ensure completion of the deliverables
	Number of environmental sustainability policies reviewed	NSSD2 concept document finalised	Environmental sustainability policy action plan approved	Environmental sustainability policy action plan approved	None	None

SUMMARY OF PROGRAMME PERFORMANCE: LEGAL AUTHORISATIONS COMPLIANCE AND ENFORCEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 2 included 11 annual targets. A total of 8 annual targets (73%) of the planned 11 were achieved with 6 (75%) of them exceeded. The remaining 3 annual targets were not achieved. Two of these targets we partially achieved (i.e. significant progress towards the planned target was made) and one annual target was off/target /missed by a significant margin.

PROGRAMME 3: OCEANS AND COASTS

The purpose of the Programme is to promote, manage and provide strategic leadership on oceans and coastal conservation. The programme is made-up of five sub programmes which are as follows: (1) Oceans and Coasts Management (2) Integrated Coastal Management (3) Oceans and Coastal Research (4) Oceans Conservation (5) Specialist Monitoring Services.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	National Coastal Management Programme interventions implemented	NCMP Implementation Plan developed	Draft Assessment report on the coast developed	Draft assessment report on the coast has been developed	None	None
		N/A	National guideline for coastal management lines finalised	National guideline for coastal management Lines has been finalised	None	None
	Ocean and coastal management strategies and plans developed and implemented	N/A	Evidence for development of water quality guidelines for 3 end user categories complied (natural environment, aquaculture and industrial use)	A report on the evidence gathered on the applicability of existing industrial use guidelines has been compiled. - A consolidated final report on the SA Water Quality Guidelines for Natural Environmental, Aquaculture and Industrial Use (all incorporated into 1 report) has been compiled	None	None
		N/A	Phase 2 (of 4) of Antarctic Strategy finalised	Phase 2 (of 4) of Antarctic strategy finalised. Final draft of a comprehensive long term (20 years) South African Antarctic and Southern Oceans Strategy in place.	None	None
		Nomination letters sent to relevant stakeholders for the establishment of a Technical Advisory Committee Draft Committee terms of reference developed Draft Implementation plan for Ocean and Coastal Spatial plan developed	National Framework on Spatial Planning finalised for Cabinet approval	National Framework on Spatial Planning finalised for Cabinet approval	None	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Marine top predator Population estimates and ecological studies undertaken (including climate change)	Population estimates undertaken for all the 12 birds species and; 2 Southern Ocean species (Crozet and African Penguin)	Population estimates of 10 mainland seabird breeding species (per annum), plus one Antarctic seabird species	Population estimates for 12 mainland seabird and one Antarctic seabird species (Gentoo penguin)	Planned target exceeded by a variance of 20%. Exceeding the target had no impact on resources earmarked for other priorities	None
		N/A	100% of seal pup counts completed	100% of seal pup counts completed	None	None
		N/A	1 top predator ecological study conducted (turtles)	1 top predator (Turtle ecology) ecological study conducted and report finalised	None	None
	Ocean and coast research, survey and monitoring projects undertaken	N/A	Plankton annual monitoring plan implemented along West Coast of South Africa	Plankton annual monitoring plan implemented along West Coast of South Africa	None	None
		N/A	MPA Effectiveness Research Study Conducted (annual plan)	MPA Effectiveness Research Study conducted	None	None
		Robberg MPA and surrounding inshore priority areas resurveyed for benthic biodiversity and top predators Deep water survey off Walter Shoal undertaken with more than 80% objectives being achieved	3 surveys of priority habitat conducted	3 priority habitats were surveyed in 2016/17 <ul style="list-style-type: none"> • De Hoop • Plettenberg • Cape Canyon 	None	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Ocean and coast research, survey and monitoring projects undertaken	Buoys / moorings deployments: Near shore Buoys deployed in Slangkop 4 Deep water Moorings in SAMBA (near Gough) - Elands Bay Buoy deployed - Elands Bay	3 Moorings deployed along SAMBA (South West Coast Atlantic) Oceanographic Observation Line	Preparation of deployment of moorings undertaken but the 5 moorings not yet deployed as at 31st March 2017.	The batteries for the Moorings could not be procured using the internal SCM system because there is only one supplier (agent) in South Africa, and the Department has not received approval to use sole-service provider or single-source provider.	Deployment to be undertaken in the first week of April 2017 along SAMBA (South West Coast Atlantic Oceanographic Observation Line)
		Buoys / moorings deployments: Near shore Buoys deployed in Slangkop 4 Deep water Moorings in SAMBA (near Gough) - Elands Bay Buoy deployed - Elands Bay	2 Moorings deployed along ASCA (South East Coast Indian) Oceanographic Observation Line	3 Moorings deployed along ASCA (South East Coast Indian) Oceanographic Observation Line	Planned target exceeded by a variance of 50%. Exceeding the target had no impact on resources earmarked for other priorities	None
		N/A	South African implementation plan for undertaking IIOE2 (International Indian Ocean Expedition) cruises finalised	South African implementation plan for undertaking IIOE 2 has been finalised	None	None
		N/A	National Pollution Laboratory report on state of water quality on identified site in Eastern Cape coastal area produced	Pollution samples were taken and a National Pollution Laboratory report on state of water quality on identified site in Eastern Cape coastal area produced	None	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Number of peer reviewed scientific publications (including theses and research policy reports)	26 scientific publications peer reviewed	20 peer-reviewed scientific publications	21 scientific publications peer reviewed	Planned target exceeded by a variance of 5%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of relief voyages to remote stations (Antarctica and Islands) undertaken	3 relief voyages undertaken (Marion islands, Gough, SANAE Voyage)	3 relief voyages undertaken	All the 3 relief voyages were successfully undertaken (Marion islands, Gough, SANAE Voyage)	None	None
Ecosystems conserved, managed and sustainably used	Number of Estuary Management Plans developed	Estuarine Management Plans were developed as indicated below: uMngazi EMP developed Mngazana EMP Developed Orange River Mouth EMP Developed Durban Bay EMP under Development Zinkwazi and Nonoti EMPs: Situation Assessment Reports (SAR)	3 EMPs developed	3 draft Estuarine Management Plans were developed; <ul style="list-style-type: none"> • Swartlentsjies; • Buffels River; • Richards Bay 	None	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Percentage of Exclusive Economic Zone under Marine Protected Areas	Percentage of Exclusive Economic Zone under Marine Protected Areas compiled and finalized	21 Draft MPA Regulations finalised (for gazetting)	<p>21 draft regulations for MPA were compiled and gazetted for public comment in February 2016. Further stakeholder engagement undertaken to finalise the regulations as follows:</p> <ul style="list-style-type: none"> Engagement with key National Departments and other Entities: (DMR, PASA, DAFF,DOT) Robben Island Museum Stakeholders around MPAs (Aliwal Shoal and ISimangaliso) in order to discuss draft management plans <p>A report consolidating stakeholder inputs/comments to incorporate into management plans and final regulations has been prepared</p>	Stakeholder consultation for finalisation of regulations undertaken until late in the financial year (February 2017)	Inputs and comments from consultation processes have been consolidated and are currently being considered for incorporation and finalisation in the regulations
Enhanced sector monitoring and evaluation	State of Environment report on Oceans and Coasts published	State of the Oceans Report has been produced	Annual report card on key Ocean and coasts indicators compiled	Annual Ocean and coasts Report Card for 2016 year has been compiled	None	None
	Oceans and Coasts' Monitoring and evaluation programme developed and implemented	N/A	National Oceans and Coasts Water Quality Monitoring Programme implemented in 3 priority areas	National Oceans and Coasts Water Quality Monitoring Programme implemented in 3 priority area	None	None

SUMMARY OF PROGRAMME PERFORMANCE: OCEANS AND COASTS

Summary of Programme Performance: The Annual Performance Plan of Programme 3 included a total of 21 annual targets. Nineteen (19) of these 21 planned targets were achieved with 3 of them exceeded (16%). The remaining two targets were partially achieved.

PROGRAMME 4: CLIMATE CHANGE AND AIR QUALITY

The purpose of the Programme is to improve air and atmospheric quality, lead and support, inform, monitor and report efficient and effective international, national and significant provincial and local responses to climate change. The programme is made-up of seven sub programmes which are as follows: (1) Climate Change Management (2) Climate Change Mitigation (3) Climate Change Adaptation (4) Air Quality Management (5) South African Weather Service (6) International Climate Change Relations and Negotiations (7) Climate Change Monitoring and Evaluation.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strategic Plan)	Climate Change Regulatory Framework and tools developed and Implemented	National climate change response policy	Stakeholder Consultation on legal options for a climate change regulatory framework conducted	Draft climate Change regulatory framework which included legal options on the regulation of climate change was developed and consulted with key stakeholders	None	None
Threats to environmental quality and integrity managed	National Framework for Climate Services developed and implemented	Draft Framework for the National Climate Services developed	Annual plan for National Framework for Climate Services implemented for 4 key climate sensitive sectors	Annual plan for National Framework for Climate Services implemented for 5 Climate services: <ul style="list-style-type: none"> • Agriculture • Disaster Risk Reduction • Energy • Water • Health 	Planned target exceeded by a variance of 25%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Provincial and Local Government Climate Change Adaptation Programme developed and implemented	N/A	Lets' Respond Toolkit rolled out in 40 Municipalities (annual project plan)	Lets' Respond Toolkit rolled out in 56 Municipalities and Annual report on the implementation of the "Lets respond toolkit" compiled	Planned target exceeded by a variance of 40%. Exceeding the target had no impact on resources earmarked for other priorities	None
		N/A	Resilient Cites Programme implemented (annual plan)	Resilient Cites Annual Programme has been implemented. <ul style="list-style-type: none"> • Resilient Cites Workshop conducted in EThekweni, June 2016. • Concept note for Green Climate Fund (GCF) consideration has been developed under Cites Resilience Forum. • Cites Resilience Forum meeting was held in October 2016 in Buffalo City. 	None	None

PROGRAMME 4: CLIMATE CHANGE AND AIR QUALITY (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	Provincial and Local Government Climate Change Adaptation Programme developed and implemented	N/A	3 Provincial Climate change adaptation response strategies/ plans developed	3 Provincial Climate Change response Strategies have been developed: <ul style="list-style-type: none"> • Gauteng, • Northern Cape and • Free State 	None	None
	Number of sector Mitigation potential and impact studies conducted	Terms of reference for the appointment of as service provider developed and approved	Phase 2 National Carbon Sinks Atlas finalised (Detailing of the National Carbon Sink Atlas)	Phase 2 National Carbon Sinks Atlas has been finalised	None	None
			Mitigation potential analysis updated	Mitigation potential analysis updated	None	None
	Number of Climate Change Response Policy interventions implemented	Draft DERO report developed for 5 sectors Draft Mix of measures framework developed My 2050 calculator developed	3 Interventions implemented: Design and approach phase 2 DEROs and Carbon Budgets (2021 – 2025 & 2026 – 2030) finalised	SA Mitigation System Final Report which includes the Design and approach phase 2 DEROs (Desired Emission Reduction Outcomes) and Carbon Budgets (2021 – 2025 and 2026 – 2030) has been finalised	None	None
			Inception report for the analysis of projected national greenhouse gas emissions pathways produced	Inception report for the analysis of projected national greenhouse gas emissions pathways produced	None	None
		53 projects were approved for implementation	4 quarterly Green Fund implementation reports prepared (Green Fund implementation/ coordination)	4 quarterly Green Fund implementation reports prepared	None	None

PROGRAMME 4: CLIMATE CHANGE AND AIR QUALITY (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Negative impacts on health and wellbeing minimised	Number of sector adaptation plans finalised and implemented	Report on gap analysis/ recommendations and policy alignment review for four adaptation sectors completed	Annual plans of 5 Climate Change Adaptation Sector plans implemented: <ul style="list-style-type: none"> • Agriculture • Water • Health Rural • Settlement • Biodiversity 	Annual plans for 5 Climate Change Adaptation Sector plans have been implemented and annual report compiled <ul style="list-style-type: none"> • Agriculture • Water • Health • Rural Settlement • Biodiversity 	None	None
	Provincial Climate Change Risk Analysis and Adaptation studies conducted	Long-term Adaptation Scenarios Phase 2 Reports finalised covering human settlements, scenario planning, and disaster risk reduction sectors. Fact sheets completed and printed in all 7 reports	Climate Change Risk Analysis for 2 provinces conducted	Climate Risk analysis for 3 provinces (KZN, Eastern Cape and Western Cape) conducted.	Planned target exceeded by a variance of 50%. Exceeding the target had no impact on resources earmarked for other priorities	
	National Air Quality Indicator (NAQI)	0.83	1.25	National air quality indicator: 0.92	Planned target exceeded with a low margin. A lower NAQI is an indication better air quality and this is a desirable Performance. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of air quality monitoring stations reporting to SAAQIS	124 stations (100 Government-Owned + 24 Industry)	115 government owned air quality monitoring stations reporting to SAAQIS	145 stations (116 government-owned and 29 private owned) are reporting to SAAQIS	Planned target exceeded by a variance of 26%. Exceeding the target had no impact on resources earmarked for other priorities	None

PROGRAMME 4: CLIMATE CHANGE AND AIR QUALITY (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Negative impacts on health and wellbeing minimised	Percentage of facilities with Atmospheric Emission Licenses reporting to the National Atmospheric Emissions Inventory System (NAEIS)	N/A	65% of facilities with AELs reporting to the NAEIS	73% (761 of 1038) registered facilities with AELs reporting to the NAEIS by 31st March 2017.	Planned target exceeded by a variance of 12%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of air quality management plans implemented	2014/15 Annual Plan for 3 AQMPs (Highveld and Vaal Triangle Airshed) implemented and an annual priority area progress report was produced and included in the 2014 NAQO report	Annual plans of 3 Priority Area AQMPs implemented (Highveld, Vaal Triangle Air shed & Waterberg-Bojanala)	Annual plans of 3 Priority Area AQMPs implemented and annual report produced. <ul style="list-style-type: none"> Implementation Task Team meetings were held in all priority areas Priority Area Annual Implementation Plans developed and implemented 	None	None
Enhanced sector monitoring and evaluation	Framework for reporting on greenhouse gas emissions by industry developed and reports compiled	N/A	2nd Annual Climate Change Monitoring and Evaluation (M&E) reports published	2nd climate change M&E report finalised but not yet published	The process for collection of data took longer than anticipated as it involved a number of stakeholders and delayed the compilation of the document	The document is being prepared for publishing. The document is scheduled to be published in June 2017
		Draft of the GHG inventory 2000-2012 compiled	2000 – 2012 GHG Inventory finalised	2000 – 2012 Green House Gas (GHG) inventory First Order has been finalised	None	None

SUMMARY OF PROGRAMME PERFORMANCE: CLIMATE CHANGE AND AIR QUALITY

Summary of Programme Performance: The Annual Performance Plan of the Programme covered a total of 18 annual targets. 17 annual targets (94%) of the 18 targets were achieved as planned and the remaining one annual target was partially achieved. 6 of the 17 achieved targets were exceed by some varying margin.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

The purpose of the Programme is to ensure the regulation and management of all biodiversity, heritage and conservation matters in a manner that facilitates sustainable economic growth and development. The programme is made-up of eight sub programmes which are as follows: (1) Biodiversity and Conservation Management (2) Biodiversity Planning and Management (3) Protected Areas Systems Management (4) iSimangaliso Wetland Park Authority (5) South African National Parks (6) South African National Biodiversity Institute (7) Biodiversity Monitoring and Evaluation (8) Biodiversity Economy and Sustainable Use.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strategic Plan)	Number of legislative tools to ensure conservation and sustainable use of biodiversity developed and implemented	Black Rhino, Albany Cycad and Pelagornium sidoides, White Rhino, African Lion, Bearded Vulture Biodiversity Management Plans approved	4 tools developed and implemented: Implementation plan for the revised TOPS regulations finalised	Implementation plan for the revised Threatened or Protected Species (TOPS) regulations finalised	None	None
		2 Biodiversity Management Plans (BMP) for species finalised for Public participation	The draft BMP for the Cape Mountain Zebra published for public participation	Draft Biodiversity Management Plans (BMP) for Cape Mountain Zebra gazetted for public participation on 02 December 2016 and the extension of the public participation period approved and published on 03 March 2017	None	None
		Draft BMP for one ecosystem developed (Colbyn valley wetland)	BMP for one ecosystem published for implementation	Biodiversity Management Plan (BMP) for 1 ecosystem not yet published for implementation	During the vetting process, DEA Legal Services and Law Reform unit advised that the development of the BMP-E was not consistent with the Norms and Standards for BMP-Es	Engagements with the City of Tshwane as the identified lead implementer held to address the inconsistencies with Norms and Standards. City of Tshwane has agreed to finalise the development of the BMP-E in accordance with the Norms and Standards requirements

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strategic Plan)	Number of legislative tools to ensure conservation and sustainable use of biodiversity developed and implemented	Draft Biodiversity offsets Policy	National Biodiversity Offsets Policy approved	National Biodiversity Offsets Policy approved	None	None
Ecosystems conserved, managed and sustainably used	Percentage of land under conservation	11.3% (13 774 789 ha / 121 991 200 ha)	12.2% (14 900 446 ha / 121 991 200ha)	12.51% of land under conservation (15 247 487.14 ha / 121 909 000 ha). 0.7% (85 336 300/ 121 909 000) of land added to the conservation estate	None	None
	Percentage of area of state managed protected areas assessed with a METT score above 67%	90% of area of state managed Protected Areas assessed with a METT score of 67% and above	88% of area of state managed protected areas assessed with a METT score above 67%	2015/16 Management Effectiveness Tracking Tool (METT) data analysed. 72% assessed with a METT score above 67%	Annual target for the METT score missed with a variance of 16%. The assessment tool used in 2016/17 was reviewed and improved /strengthened and this resulted in the planned target being partially achieved. The revised tool has an increased number of 70 indicators from the initial 33 (including new indicators on areas on cultural heritage and community development)	The revised tool has improved the reliability and quality of the METT score. The current performance level of 72% will inform a more realistic future target. The implementation of interventions by Management Authorities will be intensified to improve the METT score going forward

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Number of biodiversity stewardship sites established	Stewardship guidelines	1 Stewardship site established	Stewardship site not yet established. The private land owner's organisation requested an opportunity to re-consider the options relating to the establishment of the Nuwejaars Protected Environment. (Stewardship is a voluntary process and therefore the private owners must agree to proceed). The private land owner's organisation only confirmed stewardship as preferred option after consideration of a comparison table and various engagements later in the financial year	Establishing a stewardship is a voluntary process. Private land owners must therefore agree to the approach. The landowners requested a comparison report between the biodiversity stewardship process and NEMPAA requirements, as well as a letter of confirming the commitment and support of DEA and SANParks in establishing their land as a Protected Environment	A comparison table indicating the biodiversity stewardship process to declare Nuwejaars as a Protected Environment was sent to the landowners. A letter indicating DEA and SANParks' commitment has been drafted and sent to the Nuwejaars private land owners
	Number of interventions to ensure conservation and sustainable use of biodiversity developed and implemented	Mining and Biodiversity Guideline capacity building workshop	2 tools: One potential Mining exclusion area identified	Three potential mining exclusion areas (Chrissiesmeer, Wakkerstroom and Steenkampsberg) identified in Mpumalanga Province	Planned target exceeded by a variance of 200%. Exceeding the target had no impact on resources earmarked for other priorities	None
		National Action Programme (NAP) review and alignment with the UNCCD 10 Year Strategy and Framework finalised	NAP to combat land degradation approved	National Action Programme (NAP) to combat land degradation approved	None	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved access, fair and equitable sharing of benefits	Number of community Based interventions to promote access to natural resources	Bushbuckridge Project Management Unit established	1 Project implemented (from Bushbuckridge Master Plan) (Annual plan)	1 project implemented (from BBR master plan as per annual plan) Bushbuckridge Green Economy Business Incubator implemented through annual SMMEs indaba, SMMEs & buyers database and rural enterprise development toolkit	None	None
	People and Parks programme effectively promoted and implemented	6th People and Parks programme convened, resolution developed and adopted	Annual plan for People and Parks resolutions implemented	Annual plan for the 6th People and Parks resolutions implemented	None	None
	Number of benefit sharing agreements concluded and approved	5 Benefit sharing agreements concluded and approved	5 Benefit sharing agreements approved	12 Benefit Sharing Agreements concluded. 1 agreement between Mokhuti Herbs International and the Tlou le Tau Traditional Council approved. 11 Agreements still to be approved. These include one Benefit sharing agreement between B&S Biopharm and the Wupperthal community and 10 Benefit Sharing Agreements between Ecoproducts and the following communities: Muswodi Dipeni, Mukovhawabale, Zwigodini, Matatani, Tshipise, Tshikuyu, Tshokotshoko, Bileni, Dambale and Mapakoni	There were delays as a result of additional information required which had to be requested from the applicants during the legal vetting process	The Department's Legal services Unit will finalise vetting process of the agreements and the outstanding benefiting sharing agreements will be finalised early in 2017/18

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved access, fair and equitable sharing of benefits	Number of natural resource based enterprises established in support of wildlife economy vision 2024	10 Projects implemented	10 sustainable natural resource based enterprises established	19 natural resource based enterprises established (16 supported with game donation and 3 with business plan implementation)	Planned target exceeded by a variance of 90%. Exceeding the target had no impact on resources earmarked for other priorities	None
Strengthened Knowledge, Science Policy Interface	Number of interventions aimed at advancing the biodiversity science policy interface	Elephant research strategy was approved and published for implementation	Two Interventions: Research and The Science Policy Interface Report	Research Science policy interface Report developed	None	None
		Brief breeding policy	1 science/policy brief compiled	1 science policy brief on Natural Capital developed	None	None

SUMMARY OF PROGRAMME PERFORMANCE: BIODIVERSITY AND CONSERVATION

Summary of Programme Performance: The Annual Performance Plan of Programme 5 had 15 planned annual target. 11 (73%) of the 15 target were achieved and two were exceeded. Two target were partially achieved and the remaining two were missed by a significant margin. The challenges which resulted in the non-achievement of the target and the corrective interventions have been provided in the detailed performance report.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

The purpose of the Programme is to implementation of expanded public works and green economy projects in the environmental sector. The programme is made-up of Five (5) sub programmes which are as follows: (1) Environmental Protection and Infrastructure Programme (2) Working for Water and Working on Fire (3) Green Fund (4) Environmental Programmes Management (5) Information Management and Sector Coordination.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved socio-economic benefits	Number of Full Time Equivalents (FTEs) created	33 318	38 367	28 633 Full Time Equivalents created	Planned target missed by a variance of 25%. Late start of some projects resulted in non-achievement of the planned target	Project implementation will continue in the 2017/18 financial year
	Number of Work Opportunities created	85 140 (Women -53%; Youth -70% & People with Disabilities – 1.66%)	76 323 (Women- 55%; Youth – 65% & People with Disabilities – 2%)	98 566 Work opportunities created	Planned target exceeded with a variance of 29%. Exceeding the target had no impact on resources earmarked for other priorities	None
				Women – 54.46% (53 677/98 566*100)		
				Youth –63.31% (62 407 /98 566*100)		
				PWD – 5.22% (5 142/98 566*100)		
	Number of accredited training person days created	164 746	151 163	50 048 accredited training person days created	Planned target missed by 67%. The process and guidelines for appointment of accredited training implementers was under-review and new training implementers could not be appointed	Accredited training will be undertaken in 2017/18
	Number of youth benefitting from the Youth Environmental Service (YES)	900	900	194 youth benefitting from the Youth Environmental Service (YES)	The annual target was missed by a variance of 78%. Budgetary constraints resulted in the roll-out of the YES programme in this financial year being delayed	The programme will be implemented in the 2017/18 financial year
	Number of SMMEs used (empowerment)	2 210	2 429	1 783 SMMEs used	Planned target missed by 27%. Delays in project start dates and non-implementation of other planned projects resulted in a lower number of SMME used in the financial year than initially projected	The use of SMMEs will continue in 2017/18 as part of implementation of EPWP projects as this is part on an ongoing priority.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved socio-economic benefits	Number of overnight visitor, staff accommodation units and administration buildings established	33	34	34 units refurbished (overnight visitor, staff accommodation units and administration buildings)	None	None
	Number of Climate Change flagship programmes coordinated	Framework for the National Climate Change Adaptation Strategy for South Africa Developed	Scaling-up plans of 4 flagship programmes finalised	4 Scaling up plans for flagships have been finalised Waste Management; Agriculture; Transport; Renewable Energy – embedded generation.	None	None
Ecosystem conserved, managed and sustainably used	Number of wetlands under rehabilitation	115	132	132 wetlands under rehabilitation	None	None
	Number of Hectares of land under rehabilitation/ restoration	N/A	26 354	66 698.37 Hectares of land under rehabilitation/ restoration	Planned target exceeded by a variance of 153%. Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of Kilometres of accessible coastline cleaned	2 113	2 113	2 113 kilometres of accessible coastline cleaned	None	None
Threats to environment quality and integrity managed	Number of Environmental Monitors deployed in conservation areas	1 102	1 441	1 445 Environmental Monitors deployed in conservation areas	Planned target exceeded by a slight variance of 0.2% Exceeding the target had no impact on resources earmarked for other priorities	None
	Number of emerging invasive alien species targeted for early detection	60	70	104 emerging invasive alien species targeted for early detection	Planned target exceeded by a variance of 49%. Exceeding the target had no impact on resources earmarked for other priorities	None

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environment quality and integrity managed	Number of initial hectares of invasive alien plants treated	205 500	242 668	124 755.96 initial hectares of invasive alien plants treated	The annual target was missed by a variance of 49%. Budget constraints resulted in the start of other projects having to be delayed for later in the financial year. These resulted in a lesser number of hectares being treated	The bids for next cycle are being finalised with time built in to ensure less delays in work starting at beginning of financial year. 2017/18 extension contracts aiming to be approved by end of April 2017
	Number of follow-up hectares of invasive alien plants treated	556 722	723 741	723 750 follow-up hectares of invasive alien plants treated	None	None
	Percentage of wild fires suppressed (provided there are not more than 2 400)	100%	90%	100%(1 220/1 220) wild fires suppressed	Planned target exceeded by a variance of 11%. Exceeding the target had no impact on resources earmarked for other priorities	None
Enhanced sector monitoring and evaluation	Number sector monitoring and evaluation studies and reports/ publications finalised	Design evaluation study completed	Four studies/ reports: Draft Phase 1 External evaluation study report with recommendation finalised	Final data collection instruments developed and draft phase 1 Evaluation Report not yet produced	The process of finalising contractual arrangements with the service provider took longer as there were a number of elements in the contract on which the parties were not in agreement and consensus had to first be reached. These legal process resulted in work starting late and the overall project being delayed	Management will fast track the completion of the pilot data collection by the service provider which started in the last week of March 2017
		2012 SAE0 report completed but not published. NSSD 1 M&E Report finalised	Data for 9 thematic areas collected, processed and analysed and factsheets for each produced	Data for 10 thematic areas collected, processed and analysed and factsheets for each produced	Planned target exceeded by a variance of 11%. Exceeding the target had no impact on resources earmarked for other priorities	None

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Enhanced sector monitoring and evaluation	Number sector monitoring and evaluation studies and reports/ publications finalised	NSSD2 concept document finalised	Methodology Document for the prioritised environmentally sustainable development indices produced	Methodology document for the prioritised environmentally sustainable development indices covering the following indices was compiled: <ul style="list-style-type: none"> • The Priority Area Air Quality Index (PAAQI) • The Terrestrial Biodiversity Protection Index • Marine Protected Areas Indicator 	None	None
		4 emerging issue response options submitted to top management	4 emerging issue response options prepared and submitted to management	12 emerging issue response options prepared and submitted to management	Planned target exceeded by a variance of 200%. Exceeding the target had no impact on resources earmarked for other priorities	None

SUMMARY OF PROGRAMME PERFORMANCE: ENVIRONMENTAL PROGRAMMES

Summary of Programme Performance: The Annual Performance Plan of this Programme for the 2016/17 financial year had a total of 19 annual targets. A total of 13 annual targets (68%) of these planned outputs were achieved. Six of the targets were achieved and exceeded. Three of the remaining 6 missed targets were partially achieved, with the other 3 significantly missed. The challenges which resulted in the non-achievement of the planned annual targets and corrective interventions have been identified.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

The purpose of the Programme is to manage and ensure that chemicals and waste management policies and legislation are implemented and enforced in compliance with chemicals and waste management authorisations, directives and agreements. The programme is made-up of five sub programmes which are as follows: (1) Chemicals and Waste Management (2) Hazardous Waste Management and Licensing (3) General Waste and Municipal Support (4) Chemicals and Waste Policy, Evaluation and Monitoring (5) Chemicals Management.

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Coherent and aligned multi-sector regulatory system & decision support across government (as reflected in the Policy Initiatives on the Strategic Plan)	Number of chemicals and waste management instruments developed and implemented	Problem statement developed	Waste Import/Export Regulations finalised	Waste Import/Export Regulations not finalised	Additional time was required following a MINTECH recommendation for further consultation in order for compliance and enforcement implications to be factored in the regulations. Further consultation was also undertaken with the Department of Trade and Industry on the regulations	The regulations have been revised according to comments received from a stakeholder workshop. A letter has been drafted for submission to DTI for concurrence on the regulation as required in terms of as section 69 (2) of the Waste Management Act
		Draft PCB phase out plan developed	Draft HFC Management Regulation published for comments	Draft HFC Management Regulations developed but not yet published for comments	A final decision on HFC management was only made in October 2016 upon adoption of the Kigali Amendment by the Parties. The reduction targets and freeze dates agreed to were not as stringent as those proposed in the South African regulations. A decision was subsequently taken to halt the development of the regulations until further notice. This decision was also influenced by the financial constraints due to insecurities regarding availability of international funding to support the sector in this work	Publication of the regulations will be prioritised and finalised in 2017/18
		Draft Chemicals Management Bill developed	Draft Chemicals Management Policy developed	Draft National Chemicals Management Policy developed	None	None

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	Number of industry waste management plans (IndWMPs) reviewed per annum	2 Industry Management Plans reviewed (e-waste plan and paper and packaging)	Stakeholder consultation on 3 IndWMP conducted (E-waste; Lightning; Paper and packaging)	Stakeholder consultation on 3 IndWMP conducted. National workshop was held on 28 March 2017 with the 3 sectors (Paper and Packaging, Lighting and Electronic Waste)	At the workshop, stakeholders highlighted additional changes that must be made to the S28 Notice	The S28 Notice has been signed by Executive Authority for publishing in Quarter 1 of 2017/18 financial year
	Percentage increase in waste diverted from landfill	N/A	60% of waste tyres	19.2% (33 139/172 441) of waste tyres were diverted from landfill sites	Planned target missed by a variance of 41%. The number of waste tyre exported have been removed from the overall waste tyre percentage diversion, as processing is the only form of diversion accepted. This approach in calculation of waste tyre diverted from land fill sites has affects the overall percentage/performance of waste tyres diverted as reported by the implementing entity	S28 Notice of intention for the Executive Authority to call for other tyre plans so as to increase % waste tyres recycled/used for energy recovery or re-used was published for comment on 31 March 2017, for 30 days
	Number of chemicals and waste management instruments developed and implemented	Terms of reference for study finalised and tender advertised and bid adjudication process undertaken	Minamata Convention Impact Study finalised	The Minamata Convention Impact Study finalised	None	None
	Number of waste management facilities audited	Waste Act in place	20 Waste management facilities audited	22 Waste management facilities were audited	Planned target exceeded by a variance of 10%. Exceeding the target had no impact on resources earmarked for other priorities	None
Negative impacts on health and wellbeing minimised	Number of Tons consumed (provided not more than 4369.17 Tons consumed)	HCFC Phase out Management Plan finalised	15% (4369.17 tons)	South Africa only consumed 7.8% of 15%. 52% (2281.78 consumed/ 4369.17 baseline) reduction achieved	Progress achieved is below 15% and this is the desired performance	None

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT (CONTINUED)

STRATEGIC OBJECTIVE	PERFORMANCE INDICATOR	BASELINE	ANNUAL TARGET 2016/17	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES/ EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Growth in industries that depend on environmental services	Number of jobs created within the waste management sector	N/A	2 000	408 Jobs were created in the Waste Sector	The annual target was missed by a variance of 80%. The Entity appointed to do the work in this area is unable to meet the targets as approved in the IWMP	S28 Notice of intention by the Executive Authority to call for other tyre plans so as to increase the number of job opportunities in the sector
	Number of waste management enterprises established (SMMEs, Cooperatives)	N/A	30	31 waste management enterprises were established	Planned target exceeded by a variance of 3%. Exceeding the target had no impact on resources earmarked for other priorities	None

SUMMARY OF PROGRAMME PERFORMANCE: CHEMICALS AND WASTE MANAGEMENT

Summary of Programme Performance: The Annual Performance Plan of this Programme for the 2016/17 financial year included 10 annual targets. A total of 6 out the 10 planned targets were achieved (60%). Three other target were missed by a significant margin and the last one target was partiality achieved. The challenges which resulted in the non-achievement of the targets and the corrective interventions have been outlined in the detailed performance report above.

STRATEGY TO OVERCOME AREAS ON UNDERPERFORMANCE

In each area where the planned target has not been achieved, Management has reflected on the factors causing the delays (challenges) and identified the corrective measures to be implemented as detailed in the audited performance information report. This is a standard management practice aimed at ensuring effective implementation and monitoring of the Department's approved Annual Performance Plan. Progress on these areas will be reviewed in the first and following quarters of the next financial year (2017/18) to assess the effectiveness of the identified corrective measures and to determine the need for further management intervention where required.

Changes to planned targets

Below are the details of a submission that was made mid-year to amend some of the deliverables in the department's 2016/17 Annual Performance Plan. Approval for these amendments was obtained.

PROGRAMME 2: LEGAL, AUTHORIZATIONS, COMPLIANCE AND ENFORCEMENT

STRATEGIC OBJECTIVE:IMPROVED COMPLIANCE WITH ENVIRONMENTAL LEGISLATION				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE APPROVED AMENDMENTS
Number of tools to mitigate threats to biodiversity developed and implemented	4 interventions implemented for the safety and security of rhinoceros populations in South Africa	1 intervention: Integrated rhino management strategy reviewed and approved (2016-2021)	1 intervention: Integrated rhino management strategy developed	<p>The Rhino Conservation Lab (workshop) which provided the basis for the development of an Integrated Strategy for the Management of Rhino was completed on 31st August 2016 and the meeting to develop the strategy to incorporate the outcomes of the strategy is scheduled for 14 October 2016</p> <p>The review of the strategy will culminate and be replaced by the development of the integrated Strategy for the Management of Rhino</p>

PROGRAMME 4: CLIMATE CHANGE MANAGEMENT AND AIR QUALITY MANAGEMENT

STRATEGIC OBJECTIVE: COHERENT AND ALIGNED MULTI-SECTOR REGULATORY SYSTEM & DECISION SUPPORT ACROSS GOVERNMENT (AS REFLECTED IN THE POLICY INITIATIVES ON THE STRATEGIC PLAN				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE APPROVED AMENDMENTS
Climate Change Regulatory Framework and tools developed and Implemented	Long-term daptation Scenarios Phase 2 Repo s finalised coverng human settlements, scenario planning, and disaster risk reduction sectors	Draft National Climate Change Response Bill published for public comments	Stakeholder Consultation on legal options for a climate change regulatory framework conducted	The draft climate change bill discussion document has been developed and engaged with stakeholders at an Extended IGCCC Workshop on 12 May 2016, the Intergovernmental Climate Change Committee and the National Climate Change Committee. The branch has also contracted a legal drafter to support the development of the climate change bill

PROGRAMME 4: CLIMATE CHANGE MANAGEMENT AND AIR QUALITY MANAGEMENT (CONTINUED)

STRATEGIC OBJECTIVE: COHERENT AND ALIGNED MULTI-SECTOR REGULATORY SYSTEM & DECISION SUPPORT ACROSS GOVERNMENT (AS REFLECTED IN THE POLICY INITIATIVES ON THE STRATEGIC PLAN)				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE APPROVED AMENDMENTS
Climate Change Regulatory Framework and tools developed and Implemented	Long-term daptation Scenarios Phase 2 Repo s finalised coverng human settlements, scenario planning, and disaster risk reduction sectors	Draft National Climate Change Response Bill published for public comments	Stakeholder Consultation on legal options for a climate change regulatory framework conducted	<p>Following this intial stakeholder consultation and several iterations of the discussion document, it has emanated from the process of developing the socio-eccomic impact assessment for the bill that there is a requirement to critically review and engage relevant stakeholders on available legal options and interrogate the need for a separate legislation for climate change regulation, prior to publication of draft bill for comments</p> <p>This process will require extensive internal consultation as well as MINTECH level engagement prior to the broader publication of a discussion document. The publication of a draft regulatory tool/bill for stakeholder comments is anticipated to be achievable by the third quarter of the 2017/18 financial year</p>

STRATEGIC OBJECTIVE: THREATS TO ENVIRONMENTAL QUALITY AND INTEGRITY MANAGED				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE APPROVED AMENDMENTS
Number of Climate Change Response Policy interventions implemented	Draft DERO report developed for 5 sectors Draft Mix of measures framework developed My 2050 calculator developed	100% of Annual pollution prevention plans for carbon budgets (2016 • 2020) processed before the required compliance date (Phase 1 C Budgets)	Remove annual target from the 2016/17 DEA Annual Performance Plan (Target to be incorporated in the plan for next financial year- 2017/18)	<p>The target cannot be achieved in the current (2016-2017) financial year because of the delays in the promulgation of the National Pollution Prevention Plans regulations. The delays were caused by the following:</p> <p>It is not possible to promulgate the PPP regulations before the National Greenhouse Reporting Regulations is promulgated. This is because the PPP regulations has been cross-referenced with the GHG reporting regulations. It is anticipated that the regulation will be promulgated in October 2016, allowing companies to start submitting their PPPs from April2017</p>
		Draft national GHG emission reduction trajectory	Inception report for the analysis of projected national greenhouse gas emissions pathways produced	<p>The terms of reference for the Peak Plateau and Decline (PPD) refinement were presented at a DEA Management meeting on 25 July 2016 and a decision was taken to delay the refinement of the Peak Plateau and Decline (PPD), as the "current economic climate" is not suitable for such an assessment. Following internal consultation, the project will now focus only on the "analysis of projected national greenhouse gas emissions pathways", with limited and taraeted stakeholder consultations</p>

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

STRATEGIC OBJECTIVE: THREATS TO ENVIRONMENTAL QUALITY AND INTEGRITY MANAGED				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE PROPOSED AMENDMENTS
Number of industry waste management plans (IndWMPs) reviewed per annum	2 Industry Management Plans reviewed (e-waste plan and paper and packaging)	3 IndWMP reviewed: - E-waste - Lightning - Paper and packaging	Stakeholder consultation on 3 IndWMP conducted (E waste; Lightning; Paper and packaging)	The section 28 notice was published for implementation on 12 September 2016. The notice give industry 12 months for submission of inputs on the contents of the 3 industry plans and another 12 months for the registration and development of plans. This means that the draft plans are due for submission by industry latest by September 2018. This resulted in the requirement to move the delivery timeframes in line with the timeframes outlined in the published notice

STRATEGIC OBJECTIVE: COHERENT AND ALIGNED MULTI-SECTOR REGULATORY SYSTEM & DECISION SUPPORT ACROSS GOVERNMENT (AS REFLECTED IN THE POLICY INITIATIVES ON THE STRATEGIC PLAN				
PERFORMANCE INDICATOR	BASELINE	CURRENT (2016/17) ANNUAL TARGET (AS PER TABLED APP)	PROPOSED AMENDMENTS	BRIEF MOTIVATION/REASONS FOR THE APPROVED AMENDMENTS
Number of chemicals and waste management instruments developed and implemented	Draft Chemicals Management Bill developed	Draft Chemicals Management Bill developed	Draft Chemicals Management Policy developed	The correct sequence for the development of an Act should start with a policy as a framework for what must be regulated. Other aspects that were to be regulated under the Chemicals Bill could be achieved through the development of regulations under NEMA.

LINKING PERFORMANCE WITH BUDGETS

PROGRAMME 1: ADMINISTRATION

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Management	163 373	163 373	-	177 744	177 744	-
Corporate Affairs	212 595	211 623	972	207 862	207 862	-
Environmental Advisory Service	105 525	105 525	-	113 384	113 384	-
Financial Management	68 371	68 371	-	66 639	66 639	-
Office Accommodation	221 126	221 126	-	201 235	201 235	-
Environmental Sector Coordination	57 884	57 884	-	65 623	65 623	-
TOTAL	828 874	827 902	972	832 487	832 487	-

PROGRAMME 2: LEGAL, AUTHORISATIONS AND COMPLIANCE

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Legal Authorisation Compliance Management	5 716	5 716	-	15 114	15 114	-
Compliance Monitoring	25 951	25 951	-	24 775	24 775	-
Integrated Environment Authorisation	32 144	32 144	-	30 466	30 166	300
Enforcement	58 882	58 882	-	35 894	35 894	-
Corporate Legal Sup and Litigation	14 447	14 447	-	10 766	10 766	-
Law Reform and Appeals	17 163	17 163	-	14 702	14 702	-
TOTAL	154 303	154 303	-	131 717	131 417	300

PROGRAMME 3: OCEANS AND COASTS

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Oceans and Coasts Management	15 397	15 397	-	11 233	11 233	-
Integrated Coastal Management	122 776	122 776	-	66 017	66 017	-
Oceans and Coastal Research	127 767	127 767	-	115 665	115 665	-
Oceans Conservation	228 641	228 641	-	172 049	168 687	3 362
Specialist Monitoring Service	8 100	8 100	-	7 057	7 057	-
TOTAL	502 681	502 681	-	372 021	368 659	3 362

PROGRAMME 4: CLIMATE CHANGE AND AIR QUALITY

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Climate Change Management	7 854	7 854	-	6 667	6 667	-
Climate Change Mitigation	9 920	9 920	-	8 769	8 769	-
Climate Change Adaptation	7 402	7 402	-	6 953	6 953	-
Air Quality Management	44 648	43 729	919	41 101	41 101	-
South African Weather Service	204 985	204 985	-	160 423	160 423	-
International Climate Change Relations and Negotiations	10 595	10 595	-	13 046	13 046	-
Climate Change Monitoring and Evaluation	10 999	10 999	-	9 155	9 155	-
TOTAL	296 403	295 484	919	246 114	246 114	-

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Biodiversity and Conservation Management	22 925	22 925	-	18 178	18 178	-
Biodiversity Planning Management	25 677	25 677	-	26 189	26 189	-
Protected Areas System Management	43 573	43 573	-	42 187	42 187	-
iSimangaliso Wetland Park Authority	33 031	33 031	-	31 628	31 628	-
South African National Parks	278 939	278 939	-	278 675	278 675	-
South African National Biodiversity Institute	237 973	237 973	-	232 149	232 149	-
Biodiversity Monitoring and Evaluation	17 173	17 173	-	9 744	8 959	785
Biodiversity Economy and Sustainable Use	79 430	79 430	-	61 898	61 898	-
TOTAL	738 721	738 721	-	700 648	699 863	785

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Environmental Protection and Infrastructure Programme	1 568 774	1 568 774	-	1 429 525	1 429 525	-
Working for Water and Working on Fire	1 995 619	1 956 805	38 814	1 794 606	1 793 676	930
Green Fund	180 000	180 000	-	300 000	300 000	-
Environmental Programme management	6 188	6 188	-	6 605	6 605	-
Enforcement Management and Sector Coordination	55 145	55 145	-	49 834	49 834	-
TOTAL	3 805 726	3 766 912	38 814	3 580 570	3 579 640	930

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

SUB- PROGRAMME NAME	2016/17			2015/16		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(OVER)/UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Chemicals and Waste Management	8 121	8 121	-	9 951	9 951	-
Hazardous Waste Management and Licensing	25 588	25 588	-	27 940	27 940	-
General waste and Municipal Support	20 563	20 563	-	19 212	19 212	-
Chemicals and Waste Policy, Evaluation and Monitoring	28 326	24 901	3 425	9 356	9 356	-
Chemicals Management	15 795	15 795	-	13 281	13 281	-
TOTAL	98 393	94 968	3 425	79 740	79 740	-

TRANSFER PAYMENTS

TRANSFER PAYMENTS TO PUBLIC ENTITIES

NAME OF PUBLIC ENTITY	SERVICES RENDERED BY THE PUBLIC ENTITY	AMOUNT TRANSFERRED TO THE PUBLIC ENTITY R'000	AMOUNT SPENT BY THE PUBLIC ENTITY R'000
South African Weather Service (SAWS)	Weather services, climate and related products (disseminating weather services product).	204 985	204 985
iSimangaliso Wetland Park Authority	heritage site management	132 274	132 274
South African National Parks (SANParks)	Conservation management through the national parks system.	637 724	637 724
South African National Biodiversity Institute (SANBI)	Biodiversity Management	319 331	319 331

TRANSFER PAYMENTS TO ALL ORGANISATIONS OTHER THAN PUBLIC ENTITIES

The table below reflects the transfer payments made for the period 1 April 2016 to 31 March 2017

NAME OF PUBLIC ENTITY	SERVICES RENDERED BY THE PUBLIC ENTITY	AMOUNT TRANSFERRED TO THE PUBLIC ENTITY R'000	AMOUNT SPENT BY THE PUBLIC ENTITY R'000	AMOUNT TRANSFERRED (R'000)	AMOUNT SPENT BY THE ENTITY (R'000)
Development Bank of South Africa	Public Corporation	Implementation of Green Fund projects	Yes	18 000	18 000
Global Environmental Fund	Foreign Government	International membership fee	Yes	16 000	16 000
National Regulator for Compulsory Specifications	Departmental Agency	Financial Contribution	Yes	6 833	6 833
Compensation Fund of South Africa	Departmental Agency	Contribution to Fund in respect of project staff	Yes	8 794	8 794
Social benefit	Household	Leave gratuity and severance package	Yes	2 890	2 890
National Association for Clean Air	Non-profit Institution	Financial Contribution	Yes	1 400	1 400
Kwazulu-Natal Conservation Board	Non-profit Institution	Financial Contribution	Yes	1 287	1 287
African World Heritage Fund	Non-profit Institution	Financial Contribution	Yes	1 800	1 800
Various municipalities	Municipality	Vehicle licences	Yes	127	127
SABC	Departmental Agency	Radio and TV licences	Yes	9	9
Other transfer	Household	Bursaries, claims against the state and donations	Yes	1 375	1 375

The table below reflects the transfer payments which were budgeted for in the period 1 April 2016 to 31 March 2017, but no transfer payments were made

NAME OF TRANSFEREE	PURPOSE FOR WHICH THE FUNDS WERE TO BE USED	AMOUNT BUDGETED FOR (R'000)	AMOUNT TRANSFERRED (R'000)	REASONS WHY FUNDS WERE NOT TRANSFERRED
None				

CONDITIONAL GRANTS

None

DONOR FUNDS

DONOR FUNDS RECEIVED

Donor Fund: United Nations Development Programme: Benguela Coastal Current Large Marine Ecosystem Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R319 283.38
Period of the commitment	Ongoing
Purpose of the funding	To give effect to the Strategic Action Programme by establishing a Benguela Current Commission in order to establish a formal institutional structure for co-operation between the Contracting Parties that will facilitate the understanding, protection, conservation and sustainable use of the Benguela Current Large Marine Ecosystem by the Contracting Parties; and To further the objectives recorded in the Strategic Action Programme
Expected outputs	Established Benguela Current Commission
Actual outputs achieved	Project completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species In South Africa: target: Rhinoceros

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R32 285 000
Period of the commitment	May 2014 – April 2019
Purpose of the funding	Improve the effectiveness of efforts to combat wild life crime in South Africa's protected arrears system, focussed on rhinoceros, through improved forensic technologies and capacity, strengthened data catering, sharing and analysis systems at national level, and enhance corporation structures and mechanisms at international level to support law efforts along the whole trafficking chain
Expected outputs	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species In South Africa: target: Rhinoceros
Actual outputs achieved	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime.
Amount received in current period (R'000)	R2 646 000
Amount spent by the department (R'000)	R5 269 000
Reasons for the funds unspent	None
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: South Africa: Enabling Activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R4 426 000
Period of the commitment	August 2014 – June 2019
Purpose of the funding	Undertake national stocktaking and stockholder consultations to review work carried out under previous climate change enabling activities and identify gaps and propose relevant activities to be undertaken within the framework of preparing the TNC under the UNFCCC
Expected outputs	Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)
Actual outputs achieved	Implemented activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)
Amount received in current period (R'000)	R4 426 000
Amount spent by the department (R'000)	R8 680 000
Reasons for the funds unspent	Now project in process for preparation
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R789 502.07
Period of the commitment	Ongoing
Purpose of the funding	Facilitating a national dialogue involving relevant stakeholders on the Rotterdam Convention for South Africa.
Expected outputs	Hosting Rotterdam Convention
Actual outputs achieved	Project completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds
Monitoring mechanism by the donor	Report on the Rotterdam Convention

Donor Fund: United Nations Development Programme: 6th Session of the AEWA Meeting of Parties

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R1 293 000
Period of the commitment	Ongoing
Purpose of the funding	Facilitating a nation dialogue of the AEWA meeting of parties.
Expected outputs	Hosting the 6th Session of the AEWA Meeting of Parties
Actual outputs achieved	6th Session of the AEWA Meeting of Parties
Amount received in current period (R'000)	R1 297 000
Amount spent by the department (R'000)	R4 000
Reasons for the funds unspent	Awaiting claims for payment
Monitoring mechanism by the donor	Reporting on Progress to Donor

Donor Fund: United Nations Development Programme: Hazardous Material Management Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R2 192 464.56
Period of the commitment	Not specified
Purpose of the funding	Hazardous Material Management Programme
Expected outputs	Development of the hazardous material management programme
Actual outputs achieved	Project completed
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Donor funded project completed, await final funds transfer from RDP Funds
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Payment for Watershed Services

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R646 718.30
Period of the commitment	Not specified
Purpose of the funding	Payment for Watershed Services
Expected outputs	Watershed Services
Actual outputs achieved	Achieved and Finalised
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Balance of R22 718,30 to be returned to Donor
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Australia: Funding Agreement in Relation to South Africa Land Sector

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Australia
Full amount of the funding	R7 262 000
Period of the commitment	March 2013 to February 2015
Purpose of the funding	Land sector measurements, reporting and verification capacity building project
Expected outputs	Established MRV capacity building project
Actual outputs achieved	Established MRV capacity building project
Amount received in current period (R'000)	R4 606 008
Amount spent by the department (R'000)	R237 694.60
Reasons for the funds unspent	Final accounting procedures in order to close the account.
Monitoring mechanism by the donor	Audited financial statements each year to Donor

Donor Fund: Botswana: Transfrontier Conservation Areas (TFCA Strategy)

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Botswana
Full amount of the funding	R376 000
Period of the commitment	Not specified
Purpose of the funding	Investment and Infrastructure Development Projects under the Transfrontier Conservation Area Development Programme
Expected outputs	Development and/or packaging of cross-border tourism products in TFCA's increasing worldwide knowledge of tourism activities in TFCAs thereby generating interest to visit; and developing a pipeline of investment opportunities in TFCAs and facilitating their development in order to enhance the attractiveness of these destinations
Actual outputs achieved	Programme under implementation
Amount received in current period (R'000)	R00.00
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Awaiting claims for payment
Monitoring mechanism by the donor	Reporting on Progress to Donor

Donor Fund: Germany: 1st Biennial Updated Report: Compilation, review and publication: Prometium Carbon Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Germany
Full amount of the funding	R1 254 298, 00
Period of the commitment	October 2013 to March 2014
Purpose of the funding	Compilation, review and publication of the 1st Biennial Updated Report: Prometium Carbon
Programme	1st Biennial Updated Report, compiled, reviewed and published
Expected outputs	1st Biennial Updated Report, compiled, reviewed and published
Actual outputs achieved	Project Completed
Amount received in current period (R'000)	R1 245 000
Amount spent by the department (R'000)	R00.00
Reasons for the funds unspent	Project finalised, await funds transfer from RDP Fund to close account
Monitoring mechanism by the donor	Project Progress Reports to Donor

Donor Fund: Germany: 1st Biennial Updated Report: Compilation, review and publication: Climate Support Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Germany
Full amount of the funding	R1 254 298, 00
Period of the commitment	October 2013 to March 2014
Purpose of the funding	Compilation, review and publication of the 1st Biennial Updated Report: Climate Support Programme
Expected outputs	1st Biennial Updated Report, compiled, reviewed and published
Actual outputs achieved	Project Completed
Amount received in current period (R'000)	R604 000
Amount spent by the department (R'000)	R3 293 000
Reasons for the funds unspent	Project finalised, await funds transfer from RDP Fund to close account
Monitoring mechanism by the donor	Project Progress Reports to Donor

Donor Fund: Norway: Capacity Development within the South African National Greenhouse Gas Inventory Unit

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Norway
Full amount of the funding	2 826 633.17
Period of the commitment	October 2013 to March 2014
Purpose of the funding	Building capacity of (GHG) inventory unit to establish a National System of data collection and monitoring & evaluation system
Expected outputs	Capacity Development within the South African National Greenhouse Gas Inventory Unit
Actual outputs achieved	Project Completed
Amount received in current period (R'000)	R961 733.33
Amount spent by the department (R'000)	R2 138 047.20
Reasons for the funds unspent	Project finalised
Monitoring mechanism by the donor	Project Progress Reports to Donor

PART C: GOVERNANCE



1 INTRODUCTION

The Department is Committed to maintain the highest standards of governance as this is fundamental to the management of public finances and resources. As required in terms of the provisions of the management of Public Finances Management Act, the department has developed and implemented effective, efficient and transparent systems of financial, risk management, internal control and maintains a system of internal audit under the control and direction of an audit committee complying with and operating in accordance with relevant regulations and instructions.

2 RISK MANAGEMENT

The department follows an integrated approach towards Enterprise Risk Management and has adopted the Public Service Risk Management Framework in developing the approved risk management policy and strategy. During the planning process, strategic and operational risks are identified. Risks are continuously monitored to determine, amongst other things, new and emerging risks for the department. The Risk Management and Ethics Committee provides assurance to the Accounting Officer and Audit Committee that risks are managed effectively. The Audit and Risk Committee provides independent and objective assurance on the effectiveness of the Enterprise Risk Management.

Progress on risk action plans is monitored quarterly by the Risk Management Unit. The analysis and status of the risks are presented to the Risk Management Committee, Audit Committee and Senior Managers of the department

Key risk indicators are monitored quarterly to demonstrate the effectiveness of mitigation plans and provide improvements to the department's performance by effectively implementing the risk management process. Risk management surveys are conducted bi-annually to determine the progress/understanding of risk management in the organisation.

The table below provide details on the 2016/17 strategic risks, mitigation plans and progress.

RISK	RISK MITIGATION	PROGRESS AGAINST PLANNED MITIGATION
Inability to achieve Department of Environmental Affairs (DEA's) goals/priorities due to the reduction of budget by National Treasury	<ul style="list-style-type: none">• Management Accounting reports to identify excessive spending trends• Implement and monitor National Treasury cost containment measures• Re-prioritisation of resources across the Department of Environmental Affairs (DEA)	<ul style="list-style-type: none">• Monthly accounting reports analysed and loaded on the dashboard for accessibility by management to identify excessive spending trends to implement corrective measures.• The Environmental Programmes budget was monitored closely on a monthly basis to avoid the excessive spending.• Complete drawings request for the 2017/18 financial year was submitted to National Treasury on 02 March 2017.• The Adjusted Estimate of National Expenditure (AENE) 2016 database was submitted to the national treasury on September 2016 and the signed Adjusted Estimate of National Expenditure (AENE) chapter on October 2016.• The revised Adjusted Estimate of National Expenditure (AENE) drawings was submitted on the November 2016.
Poor planning, control and implementation of projects	<ul style="list-style-type: none">• Monitoring of Contracts and Service Level Agreements (SLA's)• Conclusion of procurement processes a year prior to the year of performance• Implement quality assurance recommendations• Review the business plan evaluation criteria and process (EPIP)	<ul style="list-style-type: none">• All institutions that have contracts or agreements (Memorandum of Agreement/Understanding or Service Level Agreements) with the branch had meetings with various functionaries of the branch to monitor performance and thus ensure delivery of the necessary and agreed outputs.• Conclusion of procurement processes were not finalised a year prior to the year of performance, however, existing contracts with Implementing Agents (IA's) were extended and approved. The Environmental Programmes branch is in the process of finalising the bid processes for 2018 – 2021.• Recommendations by the Quality Assurance unit have been implemented throughout the year.• The business plan evaluation criteria and process are being finalised for bid calls to be advertised.

The table below provide details on the 2016/17 strategic risks, mitigation plans and progress. (continued)

RISK	RISK MITIGATION	PROGRESS AGAINST PLANNED MITIGATION
Inadequate Information Technology (IT) services & Security	<ul style="list-style-type: none"> Review the MSP Implementation of ICT Governance Framework deliverables Monitor the project plan(CGICT) with SITA 	<ul style="list-style-type: none"> SITA Monthly Service Level Agreements (SLA's) meetings held and Service Level Reports analysed and discussed. Information and Communications Technology (ICT) infrastructure reviews conducted 98% availability of Information Technology (IT) services
Inadequate and ineffectiveness of interventions to protect the Environment	<ul style="list-style-type: none"> Conduct site inspections for impacts on Biodiversity in Environmental Impact Assessments (EIA's) Periodic country reports for MEA's Identify & Develop the Management plans for Estuaries of National Importance Development of the Marine Spatial Planning (MSP) Bill 2016/17 authorizations loaded on the South African Waste Information Centre (SAWIC) 	<ul style="list-style-type: none"> A total of 24 site inspections for impacts on Biodiversity in Environmental Impact Assessments (EIA's) conducted. No country reports for this period. 3 draft Estuarine Management Plans developed for Swartlentsjies, Buffels River & Richards Bay National Framework on Spatial Planning finalised for Cabinet approval. A total of 25 licences processed and finalised were loaded on the South African Waste Information Centre (SAWIC)
Compromised health and safety impacts on staff	<ul style="list-style-type: none"> Quarterly OHS meetings to monitor incidents Implementation of Health and Safety Program for DEA EMI's Development of a structured program to facilitate the wellbeing of over-wintering teams Implementation of the health and Safety Management system (tool kit) 	<ul style="list-style-type: none"> Quarterly Occupational Health & Safety (OHS) committee meetings held Due to the unique risk profile of Environmental Management Inspectors employed by the Department, the Directorate: EMI Capacity Development and Support engaged the services of an external service provider to undertake to develop a health and safety programme for DEA EMIs, including a risk and legal compliance assessment. The final report was delivered to the Department in May 2016 The SA Agulhas has its Safety Plan and Programme, with one of the aspect of the programme initiated; namely, the development of the First Draft of the Chaplain's duties and Schedule EP Occupational Health and Safety Management System has been rolled out. All SHEQ procedures developed in Q1-Q3 have been approved by the DDG and sent out for Implementation to all EP Implementation CD's
Ineffective regulatory compliance monitoring and enforcement system	<ul style="list-style-type: none"> Implement year 1 &2 targets as set out in the Strategy Implementation Plan 	<ul style="list-style-type: none"> Target for Year 2 of the strategy is under implementation
Inadequate prevention and detection of Fraud, corruption and misconduct	<ul style="list-style-type: none"> Investigate, finalise and report on (publication of outcomes) all fraud and corruption allegations received through all available channels Follow up and monitoring of recommendations implemented 	<ul style="list-style-type: none"> Fraud risk assessments conducted and risk profile approved 100% cases dealt with within timeframes

3 FRAUD AND CORRUPTION

The department has an approved fraud and corruption policy and prevention plan which is implemented effectively through awareness campaigns. The department supports the National Anti-Corruption Hotline (NACH) which is administered by the Public Service Commission and employees are encouraged to make confidential disclosures in relation to suspected fraud and corruption to the Hotline as an alternative to the internal reporting facilities. The Environmental Hotline, administered by the department, is used for the reporting of allegations of environmental crimes.

Extensive awareness drives to communicate the various mechanisms to report allegations of fraud and corruption have been conducted with employees and other stakeholders including the installation of posters at strategic entry points to the department and the inclusion of the NACH number on Supply Chain Management Order Forms. Awareness campaigns have proved to be effective and have improved the participation of employees in uncovering and dealing with fraud and/or corruption.

All allegations of fraud and corruption are either investigated, or have been referred to the external organisations responsible for further investigation. The department has internal investigative capacity and has investigated allegations, with some assistance from external service providers in complex cases.

In order to provide assurance on the handling of allegations, the outcomes of investigations are communicated to the Public Service Commission, where applicable, and information regarding the outcomes of the investigations are appropriately disseminated, subject to legal constraints.

4 MINIMISING CONFLICT OF INTEREST

The Department submitted 99% (183/184) of its financial disclosures this year, which are then analysed by the Public Service Commission. Disciplinary action has been taken against one defaulter. In addition, in the Bid Evaluation Committee proceedings members of the committee are required to sign disclosures of interest. As part of Human Resource Management practice during the recruitment and selection process, members of the selection panel are also required to declare their relationship or any potential conflict of interest, which may arise from knowing or previous association with candidates being assessed.

Furthermore, the Department has established a relationship with CIPRO and signed an agreement to be granted permission to access their database and verify information contained in disclosure forms on directorship in companies.

5 CODE OF CONDUCT

The Department has adopted the Public Service Code of Conduct for implementation. Newly recruited employees in the Department are made aware of the Code during their induction sessions with the aim of enhancing awareness and compliance by all employees. Normal disciplinary measures and procedures are followed in accordance with the disciplinary code to address any contravention of the Code of Conduct and any other policies. Ethical behaviour under all circumstances and at all times is also underpinned by the Department's Values and recognised in the Departmental Batho Pele Awards Scheme.

6 HEALTH SAFETY AND ENVIRONMENTAL ISSUES

The Department Occupational Health and Safety policy and procedure on how to report an injury on duty and occupational diseases is under review to also cover other parts of the Department.

Health and Safety awareness sessions/presentations for regional offices and Local Government Support officials are being facilitated on a continuous basis on Occupational Health and Safety and COID service offerings in a form of presentations and posters. In all areas where required, safety clothing and equipment is procured for use by employees in carrying out their duties. Health and safety inspections are conducted on a continuous basis and corrective measures implemented.

Health and Safety representatives for both the Head office and regional offices were trained and legally appointed to assist in ensuring compliance in the department and to serve as emergency teams. Emergency preparedness plan was also done for Head office.

Health and Safety procedures were also developed, and Health and safety committees were established and coordinated on lower level and higher level to ensure proper implementation of OHS Management System in the Department.

Emergency evacuation drill was also conducted for Head Office (Environment House) to ensure compliance and also as a requirement to Occupational Health and Safety Act 85 of 1993.

Occupational Health and Safety site Inspections and HIRA (Hazardous Identification and Risk Assessment) are conducted to ensure compliance and recommendations on corrective measures. Establishment of OHS and appointment of practitioners assisted DEA in realising the level of compliance required in terms of the Act and how to minimise the risk to the department. The successful applicants that go to the Antarctica and Islands were given Safety Awareness before they left and on arrival in their respective bases. Procurement of the safety clothing is a continuous exercise. The clothing purchased is also for easy identification of staff during site visits and other excursions relating to the work of Oceans and Coast Branch.

Procurement of Health and Safety related equipment such as First Aid kit and content, high visibility reflector vest for DEA emergency team and OHS Act booklets were done for both Head Office and regional office Safety Health and Environment representative.

The Department has initiated a quarterly OHS reporting process that enable all branches to report and address incidents as they happen. This has assisted the organization to address injuries on duty efficiently.

7 PORTFOLIO COMMITTEES

The Portfolio Committee exercises oversight over the service delivery performance of departments.

- Dates of meetings scheduled with Portfolio Committee on Environmental Affairs in 2016/17 as follows: (A total of all 25 meetings which included 37 briefings (including Public hearings and Oversight visits) scheduled have been honoured).

PORTFOLIO COMMITTEE SITTINGS

FIRST TERM	SECOND TERM	THIRD TERM	FOURTH TERM
12, 14 April 2016		11, 13, 18, 20, 25, 28 October 2016	24, 31 January 2017
	16, 23, 24, 30 August 2016	1, 8, 29 November 2016	14, 21 February 2017
	13, 14, 15 September 2016		07, 14, 15 March 2017

The department has a management system which ensures that all matters raised by the Portfolio Committee are attended to and addressed with the agreed timeframe following the meeting on which they are raised. All matters raised by the committee's during the period under review were addressed. The department addresses matters raised in a number of ways depending on the nature of the issues. The intervention by management includes the following:

- Providing clarity on issues raised during briefings or providing feedback/progress during the next meeting with the committee e.g. levels of management for vacancy and turnover rates; as well as those with disabilities.
- Submission of progress reports to address matters raised during committee briefings on strategic plan of the department, budget vote, policy and legislation.
- Incorporated committee input on the strategic plan of the department following briefing on the draft strategic plan.
- Submission of written responses to issues raised on matters related to constituency work members (e.g. Atmospheric emissions criminal enforcement actions taken by DEA, Report on applications for postponement of compliance to minimum emission standards).
- Submission of documents requested for information purposes (e.g. Working on Fire Detailed Report)

8 SCOPA RESOLUTIONS

SCOPA meeting was held on the 9th of November 2016.

Resolution No.	Subject	Details	Response by the department	Resolved (Yes/No)
1	Non-Tabling of the Annual Report for the 2015/16 financial year.	In terms of s65 of the PFMA, (Act 1 of 1999) The executive authority responsible for a department must table in the National Assembly the annual report and financial statements,	The department stated that the mediation process between Ministers (DEA and Finance) were at an advance stage, the meeting took place on 19 October 2016, convened by the office of the	Yes

		within one month after the accounting officer for the department received the audit report; and the executive authority must table a written explanation in the legislature setting out the reasons why they were not tabled.	Auditor General where the dispute on contradictory provision were discussed and an agreement was reached on resubmission of Financial Statements aligned with Modified Cash Standard. Therefore the department will be able to table the annual report, after consideration by its Audit and Risk Committee before the end of November 2016.	
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9 PRIOR MODIFICATIONS TO AUDIT REPORTS

Nature of qualification, disclaimer, adverse opinion and matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
Predetermined Objectives (Reasons for variance between the actual performance and the target were not explained)	2015/16	Resolved
Sector reporting (Summary of findings related to consolidated sector reporting)	2015/16	In progress of resolving
Transfer payments/Work in Progress (Infrastructure development project reporting)	2015/16	Resolved
Assets disposed have not been classified accordingly	2015/16	Resolved
Budgeting & Financial Management- User Access Management (Review of logins and failed login attempts on BAS system)	2015/16	Resolved
IT- Incomplete Electronic Document Management System user policy	2015/16	Resolved
IT- Governance - The Design of the Data Architecture within the Master Systems (MSP) Plan was not included.	2015/16	In progress of resolving
IT Security Management - Outstanding security patch and updates leave systems vulnerable	2015/16	Resolved
IT Security Management - Systems not configured fully in line with leading practice standards	2015/16	In progress of resolving

Nature of qualification, disclaimer, adverse opinion and matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
IT Security Management – Firewall management inadequately designed and implemented	2015/16	Resolved

10 INTERNAL CONTROL UNIT

The Department does not have an internal control unit. However, a section performing the minimum internal financial controls has been established.

11 INTERNAL AUDIT AND AUDIT COMMITTEES

We are pleased to present our report for the financial year ended 31 March 2017.

Audit & Risk Committee Members and Attendance

The Audit & Risk Committee consists of four external and two ex-officio members listed hereunder.

During the year under review five (5) meetings were held.

NAME OF MEMBER	NUMBER OF MEETINGS ATTENDED
Prof DP vd Nest: (Chairperson)	5
Dr T Hanekom: (External member)	4
Ms S Padayachy: (External member)	5
Ms F Tshikhudo: (External Member)	3
Ms L Makotoko: (Ex- Officio: COO)	3
Ms E Makau: (Ex-Officio: CFO)	5

The Auditor-General South Africa, National Treasury, Internal Audit and the Accounting Officer were also invited to the meetings.

12 AUDIT & RISK COMMITTEE REPORT

Audit & Risk Committee Responsibility

The Audit & Risk Committee reports that it has discharged its responsibilities arising from section 38(1) (a) of the PFMA and Treasury Regulations 3.1.

The Audit & Risk Committee also reports that it has adopted an appropriate formal terms of reference as its Audit & Risk Committee Charter, has regulated its affairs in compliance with this Charter and has discharged all of its responsibilities as contained therein.

The effectiveness of internal control

The Department's system of internal control is designed to provide reasonable assurance that, *inter-alia*, assets are safeguarded and that liabilities and working capital are efficiently managed.

Internal Audit has submitted various internal audit reports based on the work performed in terms of the approved strategic risk-based operational plan, which sets out the scope, period covered and the audit objectives for the testing of controls around the key risks identified. A strategy has been implemented by the Director-General to further improve the control environments in the EPWP projects that receive transfers from the Department.

The Audit and Management report of the Auditor-General South Africa on the Annual Financial Statements was also submitted to the Audit & Risk Committee.

The results of the internal and external audits indicated that controls have been operating as intended in the majority of the areas in the department. Some areas exist that require attention to strengthen and improve the control environment, however, the Audit & Risk Committee has considered and evaluated the audit report and is satisfied with management's responses and action plans to address the internal control matters identified through internal and external audit. The audit report of the Auditor-General was adverse, because of the difference in the interpretation of the nature of transactions related to EPWP projects. Management regards these transactions to be transfers and have complied with the Modified Cash Standard (MCS) for the treatment of transfers. This view was supported by the Audit & Risk Committee. Management has applied the Modified Cash Standards (MCS) as follows: Transfers to Expanded Public Works Programmes (EPWP) is seen as non-exchange transactions, as per the definition in the MCS:

"Non-exchange transactions are transactions that are not exchange transactions. In a non-exchange transaction, an entity either receives value from another entity without directly giving approximately equal value in exchange, or gives value to another entity without directly receiving approximately equal value in exchange. For example:

X provides a grant to Entity P → Entity P provides a service to the public → Public receives services

(Accounting Guideline, National Treasury, 2014:5)

The Auditor-General's interpretation is that these are Exchange Transactions. The Department does not receive any direct value from the EPWP projects which is of approximate equal value, thus it cannot be classified as Exchange Transactions.

The Department and the Audit & Risk Committee have had numerous engagements with the Auditor-General and the Office of the Accountant-General with regard to clarifying this issue. These engagements have occurred over the period of more than a year.

The Office of the Accountant-General agrees with the Department that these transactions do not create a principal-agent relationship, with a possible mixture between goods/services and transfers/

subsidies. The Office of the Accountant-General has also provided hands-on assistance to the Department in finalising the issue with the view that none of the expenditure results in a principal-agent relationship, infrastructure projects result in capital expenditure and assets and liabilities from key projects be declared in the Department's financial statements.

Although the current Auditor-General report might create an impression that there has been deterioration in financial management and control in the department, it should be noted that nothing has changed in the Department since the previous clean audit reports were received. The system of internal control is functioning well and transfers to EPWP projects have been dealt with in the same manner as from 2003.

The quality of in-year management and monthly/quarterly reports submitted in terms of the PFMA

The Audit & Risk Committee is satisfied with the content and quality of monthly and quarterly reports prepared and issued by the Department during the year under review. The performance information of the Department was of a good quality and no findings were raised by the Auditor-General in this regard.

Evaluation of Annual Financial Statements

The Audit & Risk Committee has:

- Reviewed and discussed the audited annual financial statements to be included in the annual report, with the Auditor-General South Africa and the Accounting Officer.
- Noted the Auditor-General South Africa's management report and management's response thereto;
- Reviewed the Department's compliance with legal and regulatory provisions;
- Noted the difference in interpretation between the Department and the Auditor-General regarding the disclosure of transfers to EPWP projects in accordance with the Modified Cash Standard (MCS) of accounting practice;
- Reviewed the information on pre-determined objectives to be included in the annual report; and
- Reviewed the quality and timeliness of the financial information availed to the Audit & Risk Committee for oversight purposes during the year.

The Audit & Risk Committee disagrees with the Auditor-General's conclusion on the annual financial statements, and is of the opinion that management's treatment of transfers is in line with the Modified Cash Standard (MCS).

Internal Audit

The Internal Audit Function conducts its affairs in terms of an approved Internal Audit Charter. The function also follows a risk-based audit approach, whereby the Department's risk strategy and the function's assessment of these risks drives the internal audit approach and approved internal audit operational plan. The internal audit risk-based operational plan was formally adopted and approved by the Audit & Risk Committee. Progress on the execution of the plan was monitored and reported at each Audit & Risk Committee meeting.

The Audit & Risk Committee is therefore satisfied that the Internal Audit function is operating effectively and that it has provided reasonable assurance on controls around the risks pertinent to the Department in its final audit reports.

Risk Strategy

The Audit & Risk Committee confirmed that the Department has an Enterprise Risk Management and Ethics serves as the risk committee for the Department. The Department has a risk management strategy and fraud prevention plan that is implemented. Risk assessments in the Department are conducted annually and strategies are formulated to mitigate the identified risks, which are reported to the Audit & Risk Committee. The Department has also developed a combined assurance plan to assist in the monitoring of the mitigation of key strategic and operational risks.

Auditor-General South Africa

The Audit & Risk Committee has met with the Auditor-General to ensure that there are no unresolved issues. Despite these engagements, the Auditor-General remains steadfast in its interpretation of MCS, this is disputed by management and the Audit & Risk Committee. The disagreement resulted in an adverse audit opinion and a regression in audit outcomes.

Conclusion

The Department has received an adverse audit opinion, with findings on the Annual Financial Statements because of a difference in interpretation of MCS. There were no findings on the performance information reported by the Department. Despite the adverse opinion of the Auditor-General, the Department has still performed well in all other aspects and the Audit & Risk Committee wishes to congratulate the Director-General, the Chief Operating Officer, Chief Financial Officer, Finance staff, management and all officials who have contributed to the success of the Department.



PROF. D.P. VAN DER NEST
CHAIRPERSON OF THE AUDIT & RISK COMMITTEE

DATE: 31 JULY 2017

PART D: HUMAN RESOURCE MANAGEMENT



1. Introduction

The need to attract and retain the best Human Resource talent in the labour market is an important element in enabling DEA to achieve its strategic goals and long term vision of a prosperous and equitable society living in harmony with the natural environment. The Department is committed to implementing effective Human Resources Management strategies and interventions to position itself as an employer of choice and enable us to attract and retain the best available talent in the market. The Department implements a number of key programmes to build capacity and enhances skills development, with a particular focus on the youth. In 2016/17 a total of thirty (30) deserving youth students received funding for full-time bursaries to study at Universities in various fields of environmental management, and hundred and forty six (146) young graduates were recruited in the Department's annual internship programme for a period of 12 months. These are important annual interventions aimed at sustaining our talent base.

The Department has a comprehensive Human Resource Plan which is implemented on an ongoing basis and reviewed regularly to ensure alignment with the overall strategy of the organisation and changes in the environment. The key Human Resource Management interventions also include implementation of a Performance Management and Development System (PMDS), which is aimed at the development of our employees and ensuring sustained organisation performance. Monitoring of reports on implementation of the PMDS shows an overall good standing of compliance by employees with key requirements. The Department also has a comprehensive Employee Wellness Programme which offers on-going support to employees in effectively dealing with the demands of the work environment and other personal/societal factors which may have an impact on their performance. Ethical conduct is also promoted and underpinned by the Department's Values and the related Batho-Pele Awards Programme.

2. HUMAN RESOURCES OVERSIGHT STATISTICS

2.1. Personnel related expenditure

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- amount spent on personnel
- amount spent on salaries, overtime, homeowner's allowances and medical aid.

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2016 and 31 March 2017

PROGRAMME	TOTAL EXPENDITURE (R'000)	PERSONNEL EXPENDITURE (R'000)	TRAINING EXPENDITURE (R'000)	PROFESSIONAL AND SPECIAL SERVICES EXPENDITURE (R'000)	PERSONNEL EXPENDITURE AS A% OF TOTAL EXPENDITURE	AVERAGE PERSONNEL COST PER EMPLOYEE (R'000)
Administration	827,902	366,944	8,352	23,991	44	165
Legal,auth and compliance	154,303	106,449	1,956	5,456	69	48
Oceans and coasts	502,681	111,639	1,182	259,739	22	50
Climate change & air quality	295,484	61,219	459	10,120	21	28
Biodiversity & conservation	738,721	69,678	439	43,844	9	31
Environmental programmes	3,766,912	223,423	2,144	116,380	6	101
Chemicals & waste management	94,968	53,396	865	8,549	56	24
TOTAL	6,380,971	992,748	15,397	468,079	16	447

Table 3.1.2 Personnel costs by salary band for the period 1 April 2016 and 31 March 2017

SALARY BANDS	PERSONNEL EXPENDITURE (R'000)	% OF TOTAL PERSONNEL COST	NO. OF EMPLOYEES	AVERAGE PERSONNEL COST PER EMPLOYEE (R'000)
Abnormal Appointment	9,666	0.97	343	28,181
Contract (Levels 1-2)	3,719	0.37	29	128,241
Contract (Levels 13-16)	24,796	2.50		-
Contract (Levels 3-5)	1,325	0.13	2	662,500
Contract (Levels 6-8)	16,135	1.63	54	298,796
Contract (Levels 9-12)	28,438	2.86	50	568,760
Contract Other	53	0.01		-
Highly skilled production (Levels 6-8)	246,183	24.80	684	359,917
Highly skilled supervision (Levels 9-12)	435,628	43.88	741	587,892
Senior management (Levels 13-16)	208,249	20.98	212	982,307
Skilled (Levels 3-5)	18,556	1.87	85	218,306
TOTAL	992,748	96.75	2 222	446,781

Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2016 and 31 March 2017

PROGRAMME	SALARIES		OVERTIME		HOME OWNERS ALLOWANCE		MEDICAL AID	
	AMOUNT (R'000)	SALARIES AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	OVERTIME AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	HOA AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	MEDICAL AID AS A% OF PERSONNEL COSTS
Administration	322,461	87.88	5,726	1.6	7,999	2.2	12,975	3.5
Legal,auth and compliance	93,157	87.51	138	0.1	2,059	1.9	3,550	3.3
Oceans and coasts	100,930	90.41	3,193	2.9	1,430	1.3	2,631	2.4
Climate change & air quality	55,051	89.92	-	0.0	1,120	1.8	1,339	2.2
Biodiversity & conservation	62,011	89.00	131	0.2	1,382	2.0	1,790	2.6
Environmental programmes	195,815	87.64	664	0.3	4,687	2.1	7,374	3.3
Chemicals & waste management	46,818	87.68	101	0.2	1,526	2.9	1,699	3.2
TOTAL	876,243	88.26	9,953	1.0	20,203	2.0	31,358	3.2

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2016 and 31 March 2017

SALARY BAND	SALARIES		OVERTIME		HOME OWNERS ALLOWANCE		MEDICAL AID	
	AMOUNT (R'000)	SALARIES AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	OVERTIME AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	HOA AS A% OF PERSONNEL COSTS	AMOUNT (R'000)	MEDICAL AID AS A% OF PERSONNEL COSTS
Abnormal Appointment	8,555	89	334	3.5		-		-
Contract (Levels 1-2)	5,483	147		-		-		-
Contract (Levels 13-16)	21,790	88		-	149	1	129	1
Contract (Levels 3-5)	1,302	98		-		-		-
Contract (Levels 6-8)	17,675	110	110	0.7	37	0	12	0
Contract (Levels 9-12)	25,342	89	159	0.6	52	0	91	0
Contract Other		-	24	45.3		-		-
Highly skilled production (Levels 6-8)	192,739	78	5,560	2.3	7,713	3	11,612	5
Highly skilled supervision (Levels 9-12)	325,227	75	2,657	0.6	7,046	2	13084	3
Senior management (Levels 13-16)	264,992	127	91	0.0	4,135	2	3,899	2
Skilled (Levels 3-5)	13,138	71	918	4.9	1,071	6	2,531	14
TOTAL	876,243	88	9,853	1.0	20,203	2	31,358	3

3.2. Employment and Vacancies

Table 3.2.1 Employment and vacancies by programme as on 31 March 2017

PROGRAMME	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Prog1/admin, Permanent	715	690	3.5%	14
Prog2/lace, Permanent	183	174	4.9%	1
Prog3/oc, Permanent	166	155	6.6%	62
Prog4/cc&aq, Permanent	76	71	6.6%	15
Prog5/b&c, Permanent	111	104	6.3%	7
Prog6/envprog, Permanent	478	452	5.4%	34
Prog7/chem&waste mng, Permanent	93	87	6.5%	1
TOTAL	1822	1733	5.0%	134

Notes: Permanent Posts excluding Minister and Deputy Minister's posts even though are classified as permanent posts on the establishment

Table 3.2.2 Employment and vacancies by salary band as on 31 March 2017

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Lower skilled (1-2)	2	2	0	32
Skilled(3-5)	89	85	4.5	2
Highly skilled production (6-8)	786	727	7.5	53
Highly skilled supervision (9-12)	838	780	6.9	44
Senior management (13-16)	180	171	5	16
TOTAL	1 895	1 765	6.8	147

Note: Permanent Posts excluding Minister and Deputy Minister's posts even though are classified as permanent posts on the establishment

Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2017

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Administrative related, Permanent	362	353	2.5	12
Agricul animal oceanography forestry & other scien, Permanent	467	455	2.6	1
Head of department/chief executive officer, Permanent	1	1	0	0
Natural sciences related, Permanent	403	395	2.0	6
Senior managers, Permanent	183	172	6.0	15
TOTAL	1416	1376	3.0	34

Notes: Number of approved posts excludes posts additional to establishment and, Minister and Deputy Minister's posts.

3.3. FILLING OF SMS POSTS

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.

Table 3.3.1 SMS post information as on 31 March 2017

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department-16	1	1	100	0	0
Salary Level 15	9	9	100	0	0
Salary Level 14	41	37	90.2	4	9.8
Salary Level 13	132	125	95	7	5
TOTAL	183	172	94	11	6

Notes: Total includes posts additional to establishment

Table 3.3.2 SMS post information as on 30 September 2016

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department-16	1	1	100	0	0
Salary Level 15	9	9	100	0	0
Salary Level 14	41	38	93	3	7
Salary Level 13	131	125	95	6	5
TOTAL	182	173	95	9	5

Notes: Total includes posts additional to establishment

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2016 and 31 March 2017

SMS LEVEL	ADVERTISING	FILLING OF POSTS	
	NUMBER OF VACANCIES PER LEVEL ADVERTISED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL FILLED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL NOT FILLED IN 6 MONTHS BUT FILLED IN 12 MONTHS
Director-General/ Head of Department-16	0	0	0
Salary Level 15	0	0	0
Salary Level 14	1	0	0
Salary Level 13	6	1	1
TOTAL	7	1	1

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS posts - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2016 and 31 March 2017

REASONS FOR VACANCIES NOT ADVERTISED WITHIN SIX MONTHS
Not applicable

REASONS FOR VACANCIES NOT FILLED WITHIN TWELVE MONTHS
Moratorium on the filling of posts due to cost cutting measures and evaluation of human resource demands
Unavailability of Panel Members

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2016 and 31 March 2017

DISCIPLINARY STEPS TAKEN
None

3.4. JOB EVALUATION

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2016 and 31 March 2017

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF JOBS EVALUATED	% OF POSTS EVALUATED BY SALARY BANDS	POSTS UPGRADED		POSTS DOWNGRADED	
				NUMBER	% OF POSTS EVALUATED	NUMBER	% OF POSTS EVALUATED
Lower Skilled (Levels 1-2)	0	0	0	0	0	0	0
Skilled (Levels 3-5)	89	49	55	0	0	0	0
Highly skilled production (Levels 6-8)	728	364	50	0	0	0	0
Highly skilled supervision	822	129	15.7	6	4.6	0	0
(Levels 9-12)	132	2	1.5	0	0	0	0
Senior Management Service Band A	41	3	7.3	0	0	0	0
Senior Management Service Band B	9	0	0	0	0	0	0
Senior Management Service Band C	1	0	0	0	0	0	0
Senior Management Service Band D	1822	547	30.02	6	4.6	0	0
TOTAL	1 895	529	27.9	2	0.37	0	0

Note: Permanent Posts Posts not evaluated during this year did not require evaluation as they were still within the 3 year validity period since the previous evaluation. The Minister and Deputy Minister posts are not included in this category even though are classified as permanent posts on the establishment.

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2016 and 31 March 2017

GENDER	AFRICAN	ASIAN	COLOURED	WHITE	TOTAL
Female	2	0	0	0	2
Male	2	0	0	2	4
TOTAL	4	-	-	2	6

Employees with a disability		0
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Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2016 and 31 March 2017

OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION LEVEL	REASON FOR DEVIATION
PROVISIONING ADMINISTRATION CLERK III: SENIOR L6	1	6	7	Grade progression
ACCOUNTING CLERK III: SENIOR L6	2	6	7	Grade Progression
HUMAN RESOURCE OFFICER: SENIOR L6	1	6	7	Grade progression
ASD: HRM	1	10	11	Retention
OFFICE ADMINISTRATOR I L6	1	6	7	Grade progression
ADMINISTRATIVE OFFICER L7	2	7	8	Grade progression
TELECOM OPERATOR: PRINCIPAL L7	1	7	8	Grade progression
SECURITY ADMINISTRATIVE OFFICER L7	1	7	8	Grade progression
HUMAN RESOURCE PRACTITIONER L7	1	7	8	Grade progression
OFFICE ADMINISTRATOR II L7	1	7	8	Transfer from SANBI
ENVIRONMENTAL OFFICER PRODUCTION GRADE A	3	7	9	Attraction
ADMINISTRATION: DEPUTY DIRECTOR L11	3	11	12	Retention
IT PROJECT MANAGER L11	1	11	12	Retention
EDMS ADMINISTRATOR L11	1	11	12	Retention
DD: BUDGET FINANCE PLANNING & CONTROL MNGT. L11	1	11	12	Retention
DEPUTY DIRECTOR: FINANCIAL AND CONTRACT ADMIN L11.	1	11	12	Retention
POLICY ANALYST(CHEMICALS) L12	1	11	12	Retention
ENVIRONMENTAL OFFICER CONTROL GRADE A	1	10	13	Attraction
DIRECTOR L13	1	13	14	Retention

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2016 and 31 March 2017 (continued)

OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION LEVEL	REASON FOR DEVIATION
HEAD: SPECIALIST ADVISOR L15	1	15	16	Retention
Hr practitioner I7	1	7	8	Grade Progression
Asd: operations and logistics I10	1	10	12	Retention
Policy analyst I11	1	11	12	Retention
Control environmental officer grade b	1	11	13	Retention
Office administrator i I6	1	6	8	Retention
Dd: financial & contract management I11	1	11	12	Retention
Office administrator ii I7	1	7	8	Retention
Special advisor I13	1	13	14	Retention
It project mananer I11	1	11	12	Retention
TOTAL NUMBER OF EMPLOYEES WHOSE SALARIES EXCEEDED THE LEVEL DETERMINED BY JOB EVALUATION				27

Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2016 and 31 March 2017

GENDER	AFRICAN	ASIAN	COLOURED	WHITE	TOTAL
Female	7	2	0	8	15
Male	7	1	1	3	12
TOTAL	14	3	1	11	27

Employees with a disability	3
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Notes: If there were no cases where the salary levels were higher than those determined by job evaluation, keep the heading and replace the table with the following:

Total number of Employees whose salaries exceeded the grades determine by job evaluation	27
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3.5. EMPLOYMENT CHANGES

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department.

3.5.1 Annual turnover rates by salary band for the period 1 April 2016 and 31 March 2017

SALARY BAND	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2015	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
Lower skilled (Levels 1-2)	2	0	0	0
Skilled (Levels3-5)	292	6	4	1.3
Highly skilled production (Levels 6-8)	612	8	24	3.9
Highly skilled supervision (Levels 9-12)	689	14	36	5.2
Senior Management Service Bands A	126	2	5	3.9
Senior Management Service Bands B	38	0	1	2.6
Senior Management Service Bands C	7	0	0	0
Senior Management Service Bands D	1	0	0	0
TOTAL	1767	30	70	4%

Note: Permanent Posts

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2016 and 31 March 2017

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD-APRIL 2016	APPOINTMENTS AND TRANSFERS INTO THE DEPARTMENT	TERMINATIONS AND TRANSFERS OUT OF THE DEPARTMENT	TURNOVER RATE
Administrative related, Permanent	363	10	20	5.5
Agricul animal oceanography forestry & other scien, Permanent	464	8	5	1.0
Head of department/chief executive officer, Permanent	1	0	0	0
Natural sciences related, Permanent	395	15	25	6.3
Senior managers, Permanent	172	2	6	3.5
TOTAL	1394	25	56	4.0

Notes Permanent positions only

Table 3.5.3 Reasons why staff left the department for the period 1 April 2016 and 31 March 2017

TERMINATION TYPE	NUMBER	% OF TOTAL RESIGNATIONS
Death	5	7.1
Resignation	33	47
Dismissal – operational changes	5	7.1
Dismissal – misconduct	1	1.42
Dismissal – inefficiency	5	7.1
Discharged due to ill-health	21	30
Retirement	70	
Transfer to other Public Service Departments	70	4%
TOTAL NUMBER OF EMPLOYEES WHO LEFT AS A% OF TOTAL EMPLOYMENT	73	4.4%

Note: Permanent appointments

Table 3.5.4 Promotions by critical occupation for the period 1 April 2016 and 31 March 2017

OCCUPATION	EMPLOYEES 1 APRIL 2016	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY LEVEL PROMOTIONS AS A% OF EMPLOYEES BY OCCUPATION	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A% OF EMPLOYEES BY OCCUPATION
Administrative related, Permanent	363	9	2.48	315	86.7
Agricul animal oceanography forestry & other scien, Permanent	464	5	1.07	37	7.97
Head of department/chief executive officer, Permanent	1	0	0	0	100
Natural sciences related, Permanent	395	8	2.0	344	87.0
Senior managers, Permanent	172	3	1.7	163	94.76
TOTAL	1394	25	1.79	859	61.625

Table 3.5.5 Promotions by salary band for the period 1 April 2016 and 31 March 2017

SALARY BAND	EMPLOYEES 1 APRIL 2016	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY BANDS PROMOTIONS AS A% OF EMPLOYEES BY SALARY LEVEL	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A% OF EMPLOYEES BY SALARY BANDS
Lower skilled (Levels 1-2)	2	0	0	2	100
Skilled (Levels 3-5)	292	0	0	58	19.86
Highly skilled production (Levels 6-8)	612	21	3.4	457	74.67
Highly skilled supervision (Levels 9-12)	689	18	2.6	482	66.32
Senior Management (Level 13-16)	172	4	2.3	167	97.09
TOTAL	1767	43	2.4	1171	66.27

Note: Permanent posts

3.6. EMPLOYMENT EQUITY

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2017

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Legislators, senior officials and managers	61	13	14	12	50	3	7	14	174
Professionals	113	19	3	13	134	15	7	20	324
Technicians and associate professionals	263	21	6	37	316	22	15	37	717
Clerks	119	11	2	5	241	25	3	10	416
Service and sales workers	34	1	0	0	12	0	0	0	47
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	2	1	0	0	1	1	0	0	5
Plant and machine operators and assemblers	1	0	0	0	0	0	0	0	1
Elementary occupations	0	0	0	0	0	0	0	0	0
SUB-TOTAL	599	66	25	69	772	66	32	81	1684
EMPLOYEES WITH DISABILITIES	8	1	2	4	18	1	1	14	49
GRAND TOTAL	607	67	27	73	790	67	33	95	1733

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2017

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management	2	1	1	2	5	0	0	1	12
Senior Management	58	12	13	10	46	3	7	13	162
Professionally qualified and experienced specialists and mid-management	78	10	3	23	81	12	7	16	230
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	205	14	5	22	246	11	13	28	544
Semi-skilled and discretionary decision making	228	27	3	12	367	36	5	23	701
Unskilled and defined decision making	36	6	0	0	37	5	0	0	84
TOTAL	607	70	25	69	782	67	32	81	1733

Table 3.6.3 Recruitment for the period 1 April 2016 to 31 March 2017

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management	0	0	0	0	0	0	0	0	
Senior Management	3	0	0	0	0	0	0	0	3
Professionally qualified and experienced specialists and mid-management	9	1	0	0	2	0	0	2	14
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	3	0	0	0	4	1	0	0	8
Semi-skilled and discretionary decision making	2	1	0	0	2	1	0	0	6
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
TOTAL	17	2	0	0	8	2	0	0	29
EMPLOYEES WITH DISABILITIES	0	0	0	1	0	0	0	1	2

Table 3.6.4 Promotions for the period 1 April 2016 to 31 March 2017

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management (15-16)	0	0	0	0	1	0	0	0	1
Senior Management (13-14)	2	0	0	0	1	0	0	0	3
Professionally qualified and experienced specialists and mid-management (9-12)	11	1	0	1	4	0	0	1	18
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents (6-8)	6	5	0	0	9	0	0	1	21
Semi-skilled and discretionary decision making (3-5)	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making (1-2)	0	0	0	0	0	0	0	0	0
TOTAL	19	6	0	1	15	0	0	2	43
EMPLOYEES WITH DISABILITIES	0	0	0	0	0	0	0	0	0

Table 3.6.5 Terminations for the period 1 April 2016 to 31 March 2017

OCCUPATIONAL BAND	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	2		1	1	1		1		6
Professionally qualified and experienced specialists and mid-management	12	1	0	4	13	2	1	3	36
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	10	0	0	0	11	0	1	2	24
Semi-skilled and discretionary decision making	3	0	0	0	1	0	0	0	4
Unskilled and defined decision making	0	0	0	0		0	0		
TOTAL	27	1	1	5	26	2	3	5	70
EMPLOYEES WITH DISABILITIES	0	0	0	0	0	0	0	0	0

Table 3.6.6 Disciplinary action for the period 1 April 2016 to 31 March 2017

DISCIPLINARY ACTION	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Verbal Warning	0	0	0	0	0	0	0	0	0
Written Warning	8	1	0	0	4	0	1	0	14
Final Written Warning	1	0	0	0		0	0	0	1
Suspension Without pay	2	0	0	0	1	0	0	0	3
Demotion	2	0	0	0	0	0	0	0	2
Dismissal	1	0	0	0	1	0	0	0	2
Resignation	1	0	0	0	4	0	0	0	5
TOTAL	0	0	0	0	1	0	0	0	1
	14	1	0	0	11	0	1	0	28

Table 3.6.7 Skills development for the period 1 April 2016 to 31 March 2017

OCCUPATIONAL CATEGORY	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Legislators, senior officials and managers	20	0	2	3	22	2	3	4	56
Professionals	95	4	2	7	147	4	5	5	269
Technicians and associate professionals	132	2	3	6	121	5	4	7	280
Clerks	118	1	0	0	237	4	1	2	363
Service and sales workers	11	5	0	0	0	0	0	0	16
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	1	0	0	0	0	0	0	0	1
TOTAL	377	12	7	16	527	15	13	18	985
EMPLOYEES WITH DISABILITIES	0	0	0	0	0	0	0	0	0

3.7. SIGNING OF PERFORMANCE AGREEMENTS BY SMS MEMBERS

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2016

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS MEMBERS	TOTAL NUMBER OF SIGNED PERFORMANCE AGREEMENTS	SIGNED PERFORMANCE AGREEMENTS AS% OF TOTAL NUMBER OF SMS MEMBERS
Director-General/ Head of Department-16	1	1	1	100
Salary Level 15	9	9	9	100
Salary Level 14	42	42	41	98
Salary Level 13	133	133	132	98
TOTAL	185	185	183	99

Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2017

REASONS
Members joined late
Dated of appointment
2017-01-01 2017-02-01

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2017

REASONS
None – all outstanding still in grace period

3.8. PERFORMANCE REWARDS

To encourage good performance, the department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2016 to 31 March 2017

RACE AND GENDER	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN GROUP	COST (R'000)	AVERAGE COST PER EMPLOYEE
African					
Male	493.99	661.00	74.73	4,853.60	9,825.30
Female	653.00	807.00	80.92	6,130.10	9,387.60
Asian					
Male	23.00	25.00	92.00	278.50	12,108.70
Female	28.00	33.00	84.85	295.50	10,553.57
Coloured					
Male	59.00	73.00	80.82	576.70	9,774.58
Female	56.00	66.00	84.85	545.20	9,735.71
White					
Male	64.00	82.00	78.05	686.50	10,726.56
Female	69.00	81.00	85.19	731.50	10,601.45
EMPLOYEES WITH A DISABILITY	45.00	51.00	88.24	465.20	10,337.78
TOTAL	1,490.99	1,879.00	79.35	14,562.80	9,767.20

Note: The financial information provided above is for the payment of bonuses implemented in the 2016/17 financial year for the 2015/16 performance cycle

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2016 to 31 March 2017

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A% OF THE TOTAL PERSONNEL EXPENDITURE
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN SALARY BANDS	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE	
Lower Skilled (Levels 1-2)	0.00	1.00	0.00	0.00	0.00	0.00
Skilled (level 3-5)	70.00	85.00	82.35	508.60	7,265.71	70.00
Highly skilled production (level 6-8)	545.00	684.00	79.68	4,557.20	8,361.83	545.00
Highly skilled supervision (level 9-12)	632.99	741.00	85.42	6,557.50	10,359.56	632.99
Contract (2-5)	16.00	54.00	29.63	129.00	8,062.50	16.00
Contract (6-8)	16.00	50.00	32.00	167.50	10,468.75	16.00
TOTAL	1,279.99	1,646.00	77.76	11,919.80	9,312.42	1,279.99

Note: The financial information provided above is for the payment of bonuses implemented in the 2016/17 financial year for the 2015/16 performance cycle

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2016 to 31 March 2017

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE
Administrative related, Permanent	227.00	260.00	87.31	2,392.50	10,539.65
Agricul animal oceanography forestry & other scien, Permanent	71.00	86.00	82.56	683.00	9,619.72
Head of department/chief executive officer, Permanent	0.00	1.00	0.00	0.00	0.00
Natural sciences related, Permanent	300.00	385.00	77.92	3,100.00	10,333.33
Senior managers, Permanent	173.00	187.00	92.51	2,188.00	12,647.40
TOTAL	771	919	340.3	8,363.00	9,619.72

Note: The financial information provided above is for the payment of bonuses implemented in the 2016/17 financial year for the 2015/16 performance cycle

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2016 to 31 March 2017

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A% OF THE TOTAL PERSONNEL EXPENDITURE
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN SALARY BANDS	TOTAL COST (R'000)	AVERAGE COST PER EMPLOYEE	
Band A	160.00	169.00	94.67	2,002.50	12,515.63	158,320.40
Band B	38.00	43.00	88.37	464.00	12,210.53	46,622.78
Band C	13.00	18.00	72.22	176.50	13,576.92	22,219.13
Band D	0.00	3.00	0.00	0.00	0.00	5,882.28
TOTAL	211.00	233.00	90.56	2,643.00	12,526.07	233,044.58

Note: The financial information provided above is for the payment of bonuses implemented in the 2016/17 financial year for the 2015/16 performance cycle

3.9. FOREIGN WORKERS

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

Table 3.9.1 Foreign workers by salary band for the period 1 April 2016 and 31 March 2017

SALARY BAND	01 APRIL 2015		31 MARCH 2016		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% CHANGE
Highly skilled supervision (Levels 9-12)	10	71.43	18	81.82	8	100.00
Senior management (Levels 13-16)	4	28.57	4	18.18	0	0.00
TOTAL	14	100.00	22	100.00	8	100.00

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2016 and 31 March 2017

SALARY BAND	01 APRIL 2015		31 MARCH 2016		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% CHANGE
Professionals and managers	12	85.71	18	81.82	6	75.00
Technicians and associated professionals	2	14.29	4	18.18	2	25.00
TOTAL	14	100.00	22	100.00	8	100.00

3.10. LEAVE UTILISATION

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 3.10.1 Sick leave for the period 1 January 2016 to 31 December 2016

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING SICK LEAVE	% OF TOTAL EMPLOYEES USING SICK LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
Contract (Levels 1-2)	36	19.44	15	1.09	2.4	16.00
Contract (Levels 13-16)	38	34.21	10	.73	3.8	137.00
Contract (Levels 3-5)	16	62.5	5	.36	3.2	13.00
Contract (Levels 6-8)	116	36.21	32	2.32	3.63	130.00
Contract (Levels 9-12)	158	46.2	21	1.53	7.52	391.00
Highly skilled production (Levels 6-8)	3738	42.94	521	37.84	7.17	4185.00
Highly skilled supervision (Levels 9-12)	3708	46.25	539	39.14	6.88	8535.00
Senior management (Levels 13-16)	753	41.33	134	9.73	5.62	2864.00
Skilled (Levels 3-5)	591	48.39	100	7.26	5.91	408.00
TOTAL	9153	44.38	1377	100	6.65	16679.00

Note: Includes contracts

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2016 to 31 December 2016

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING DISABILITY LEAVE	% OF TOTAL EMPLOYEES USING DISABILITY LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)
Lower skilled (Levels 1-2)	0	0	0	0	0	0
Skilled (Levels 3-5)	0	0	0	0	0	0
Highly skilled production (Levels 6-8)	470	100	5	41.67	94	557.00
Highly skilled supervision (Levels 9-12)	244	100	5	41.67	48.8	610.00
Senior management (Levels 13-16)	11	100	1	8.33	11	39.00
Contract (Levels 9-12)	16	100	1	8.33	16	36.00
TOTAL	741	100	12	100	61.75	1242.00

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 3.10.3 Annual Leave for the period 1 January 2016 to 31 December 2016

SALARY BAND	TOTAL DAYS TAKEN	NUMBER OF EMPLOYEES USING ANNUAL LEAVE	AVERAGE PER EMPLOYEE
Contract (Levels 1-2)	342	10.36	33
Contract (Levels 13-16)	405	17.61	23
Contract (Levels 3-5)	36	7.2	5
Contract (Levels 6-8)	571	11.42	50
Contract (Levels 9-12)	468	14.63	32
Highly skilled production (Levels 6-8)	13016	17.22	756
Highly skilled supervision (Levels 9-12)	15101	18.62	811
Senior management (Levels 13-16)	4797	21.51	223
Skilled (Levels 3-5)	1748	11.89	147
TOTAL	36484	17.54	2080

Note: Includes Contracts

Table 3.10.4 Capped leave for the period 1 January 2016 to 31 December 2016

SALARY BAND	TOTAL DAYS OF CAPPED LEAVE TAKEN	NUMBER OF EMPLOYEES USING CAPPED LEAVE	AVERAGE NUMBER OF DAYS TAKEN PER EMPLOYEE	AVERAGE CAPPED LEAVE PER EMPLOYEE AS AT 31 MARCH 2016
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	37.69
Highly skilled production (Levels 6-8)	1	1	1	28.6
Highly skilled supervision(Levels 9-12)	10.36	2	5.18	42.3
Senior management (Levels 13-16)	18	5	3.6	34.06
Contract (Levels 9-12)	0	0	0	10.5
Contract (Level 13-16)	0	0	0	13.12
TOTAL	29.36	8	3.67	35.13

The following table summarise payments made to employees as a result of leave that was not taken.

Table 3.10.5 Leave payouts for the period 1 April 2016 and 31 March 2017

REASON	TOTAL AMOUNT (R'000)	NUMBER OF EMPLOYEES	AVERAGE PER EMPLOYEE (R'000)
Leave payout for 2016/17 due to non-utilisation of leave for the previous cycle	0	0	0
Capped leave payouts on termination of service for 2016/17	0	0	0
Current leave payout on termination of service for 2016/17	2667913.13	113	23609.85
TOTAL	2667913.13	113	23609.85

3.11. HIV/AIDS & HEALTH PROMOTION PROGRAMMES

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
None	Conduct HTC quarterly distribution of condoms and information pamphlets
	Conduct gender dialogues on HIV issues
	Observe HIV awareness and provide counselling

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

QUESTION	YES	NO	DETAILS, IF YES
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position	x		Mr Sandy Nyathi -Dir: Transformation, Employee Health and Wellness
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose	x		There are five (5) dedicated staff members to promote Health and Wellbeing of Employees. The annual budget available is R919 000
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme	x		<ul style="list-style-type: none"> Wellness management Health and Productivity Management HIV and TB Management Occupational Health and Safety Management
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent	x		OHS committee and Wellness Champions
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed	x		THE Department has 3 approved Wellness policies Namely: HIV and TB Management Policy; Health and Productivity Management Policy and Wellness Management Policy. The OHS policy is in the process of being reviewed

QUESTION	YES	NO	DETAILS, IF YES
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures	x		<ul style="list-style-type: none"> Care and support services for HIV infected and affected employees are available Counselling services are in place, and condoms are distributed in bathrooms on a regular bases
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have you achieved	x		<ul style="list-style-type: none"> 326 employees tested for HIV in the previous financial year HCT done on quarterly basis
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators	x		<ul style="list-style-type: none"> Operational plans on the 4 pillars of EHW programmes are submitted to DPSA annually Monthly and quarterly reports are provided to management on the implementation of EHW programmes Quarterly implementation reports on HCT and EHW submitted to DPSA for MPAT purposes

3.12. LABOUR RELATIONS

Table 3.12.1 Collective agreements for the period 1 April 2016 and 31 March 2017

SUBJECT MATTER	DATE
None	None

Notes

- If there were no agreements, keep the heading and replace the table with the following:

Total number of Collective agreements	None

The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2016 and 31 March 2017

OUTCOMES OF DISCIPLINARY HEARINGS	NUMBER	% OF TOTAL
Correctional counselling	1	3.6
Verbal warning	0	0
Written warning	14	50
Final written warning	3	10.7
Suspended without pay	2	7
Fine	0	0
Demotion	2	7
Dismissal	5	17.8
Not guilty	0	0
Case withdrawn	0	0
Resignation	1	3.6
TOTAL	28	100

Notes: • If there were no agreements, keep the heading and replace the table with the following:

Total number of Disciplinary hearings finalised	28

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2016 and 31 March 2017

TYPE OF MISCONDUCT	NUMBER	% OF TOTAL
Dishonest & misrepresentation	6	75
Unauthorised use of state vehicle	2	25
TOTAL	8	100

Table 3.12.4 Grievances logged for the period 1 April 2016 and 31 March 2017

GRIEVANCES	NUMBER	% OF TOTAL
Number of grievances resolved	16	22
Number of grievances not resolved	58	78
Number of grievances pending	0	0
TOTAL NUMBER OF GRIEVANCES LODGED	74	100

Table 3.12.5 Disputes logged with Councils for the period 1 April 2016 and 31 March 2017

DISPUTES	NUMBER	% OF TOTAL
Number of disputes upheld	1	6.3
Number of disputes dismissed	2	12.5
TOTAL NUMBER OF DISPUTES LODGED	16	100

Table 3.12.6 Strike actions for the period 1 April 2016 and 31 March 2017

Total number of persons working days lost	0
Total costs working days lost	0
Amount recovered as a result of no work no pay (R'000)	0

Table 3.12.7 Precautionary suspensions for the period 1 April 2016 and 31 March 2017

Number of people suspended	1
Number of people who's suspension exceeded 30 days	1
Average number of days suspended	123
Cost of suspension (R'000)	R62 833.75

3.13. SKILLS DEVELOPMENT

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2016 and 31 March 2017

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2016	TRAINING NEEDS IDENTIFIED AT START OF THE REPORTING PERIOD			
			LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	82	0	42	0	42
	Male	107	0	39	0	39
Professionals	Female	367	0	244	0	244
	Male	305	0	190	0	190
Technicians and associate professionals	Female	299	0	208	0	208
	Male	277	0	170	0	170
Clerks	Female	238	0	154	0	154
	Male	83	0	49	0	49
Service and sales workers	Female	20	0	12	0	12
	Male	45	0	16	0	16
Skilled agriculture and fishery workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Craft and related trades workers	Female	0	0	1	0	1
	Male	8	0	5	0	5
Plant and machine operators and assemblers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Elementary occupations	Female	17	57	3	0	3
	Male	18	33	5	0	5
SUB TOTAL	FEMALE	1023	57	664	57	721
	MALE	843	33	474	33	507
TOTAL		1866	100	1138	100	1238

Table 3.13.2 Training provided for the period 1 April 2016 and 31 March 2017

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AS AT 1 APRIL 2016	TRAINING NEEDS IDENTIFIED AT START OF THE REPORTING PERIOD			
			LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	82	-	27	-	27
	Male	107	-	29	-	29
Professionals	Female	367	-	171	-	171
	Male	305	-	98	-	98
Technicians and associate professionals	Female	299	-	138	-	138
	Male	277	-	142	-	142
Clerks	Female	238	-	333	-	333
	Male	83	-	30	-	30
Service and sales workers	Female	20	-	5	-	5
	Male	45	-	11	-	11
Skilled agriculture and fishery workers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Craft and related trades workers	Female	0	-	0	-	0
	Male	8	-	0	-	0
Plant and machine operators and assemblers	Female	0	-	0	-	0
	Male	0	-	0	-	0
Elementary occupations	Female	17	57	0	57	0
	Male	18	33	1	33	1
SUB TOTAL	Female	1023	57	674	57	731
	Male	843	33	311	33	344
TOTAL		1866	100	985	100	1085

3.14. INJURY ON DUTY

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2016 and 31 March 2017

OUTCOMES OF DISCIPLINARY HEARINGS	NUMBER	% OF TOTAL
Required basic medical attention only	0	0
Temporary Total Disablement	0	0
Permanent Disablement	0	0
Fatal	0	0
TOTAL	0	0

3.15. UTILISATION OF CONSULTANTS

The following tables relates information on the utilisation of consultants in the department.

In terms of the Public Service Regulations "consultant" means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a department.

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Apr-16			
Khensani Heritage Consulting PTY (LTD)	1	1	R 295,488.00
Approval for the appointment of Khensani Heritage Consulting Pty LTD to develop Cultural Heritage survey Guidelines and assessment tool with regard to the management of Protected areas			
OR-043565			
Nelson Mandela Metropolitan University	not indicated	9	R 885,000.01
Request to appoint the Nelson Mandela Metropolitan University as a single source service provider for Elephant Research in support of the South African Elephant Research strategy			
OR-043573			
CM Dzerefos	1	1	R 50,528.00
Request to appoint Dr Cathy Dzerefos as single source provider for provision of an affidavit and report in criminal proceedings to support the state criminal case -S V Blue platinum Venture 16 PTY LTD and Mr M S Maponya			
OR-043417			
Kay Ann Consulting services	not indicated	6	R 224,124.00
Appointment of service provider to conduct Situational Analysis of the Ecotourism Sub-Sector as a Component of the Biodiversity Economy My Strategy			
OR-043456			
Terra Soil Science	not indicated	1	R 95,418.00
The request is for the appointment of Dr Johan van der Waals from Terra Soil Science) to rendere a service on a single source selection for Expert witness - State vs Econo Drums			
OR-043419			
TOTAL			R 1,550,558.01

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
May-16			
21st Century pay solutions comp	not indicated	12	R 305,805.00
Request for the appointment of 21st Century Pay Solution Group (PTY) Ltd as a single source service provider to review DEA,s Administrative support model and determine an appropriate administrative structure			
OR-043894			
2 Connect	1	8	R 194,788.07
Appointment of 2Connect to conduct preparatory alignment session for CFO leadership team and intergrated 3D team coaching			
OR-043682			
Nexus Forensic Services	Not indicated	1	R 19,494.00
Request to appoint Nexus Forensic Services to provide services for the urgent investigation of allegations of illegal access to communications between officials			
OR-043774			
TOTAL			R 520,087.07

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Jun-16			
SA Qualification Authority	No indicated	120	R 45,633.00
Verification of Qualifications by the South African Qualifications Authority (SAQA)			
OR-044253			
Specialised Skills Institute of SA(trading name Meridian trading)	3	20	R 100,000.00

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Request for approval to outsource the service for external consultant Mr Bohmke (Disciplinary Hearing services)			
OR-044180			
TOTAL			R 145,633.00

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Jul-16			
No orders to report about for July 2016			
TOTAL			0.00

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Aug-16			
No orders to report about for August 2016			
TOTAL			0.00

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Sep-16			
Balfour & Holness	Not indicated	4	R 499,100.00
Request approval for the appointment of a service provider to develop the Northern Cape Provincial Protected Areas expansion strategy (NCPAES)			
OR-045257			
Zenande Leadership Consulting	3	6	R 92,004.00
Request approval to participate in Executive coaching- Ms Judy Beaumont			
OR-045036			
TOTAL			R 591,104.00

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Oct-16			
Where are you now	Not indicated	7	R 495,000.00
The appointment of a service provider to assist the Department in the marketing of Transfrontier Conservation Areas (TFCA's) through Digital Tools/Techniques and electronic media			
OR-045341			
TOTAL			R 495,000.00
Nov-16			
No orders to report about for November 2016			
TOTAL			0.00
PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Dec-16			
Tailor-Made Risk Solutions	Not indicated	5	R 491,134.80
Request for approval for the outsourcing of the appointment of Specialist to undertake Geohydrological and Engineering designs for Mapetla and Waterval WDS.Request to approve the Bid specification and Evaluation Committee			
OR-045755			
TOTAL			R 491,134.80
PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Jan-17			
No orders for consultant for January month 2017			
TOTAL			0.00

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Feb-17			
U Moya-Nilu Consulting	Not indicated	2	R 499,996.00
Outsourcing of a Specialist Service: Appointment of a service provider to conduct a technical compilation of a technical compilation of the 2015 State of Air report for South Africa, Branch: Climate Change and Air Quality, for a period of one (1) year			
OR-046015			
Arcus Gibb	Not indicated	1	R 495,694.80
Request to Outsource an Independent Investigation of Chapter 3 of the National Environmental Management ACT, 1998 (NO. 109 of 1998) Non-Compliance challenges of schedules 1 and 2 Organs of State			
OR-045870			
TOTAL			R 995,690.80

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON PROJECT	DURATION (WORK DAYS)	CONTRACT VALUE IN RAND
Mar-17			
No orders to report about for March 2017			
TOTAL			0.00
Overall Total			R 4,789,207.68

CONTINUED TABLE 3.15.1 - Report on consultant appointments using appropriated funds (Tenders)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND
The appointment of the service provider to assist the Department with the plastics materials study	4	6 months	R 892 018.00
Appointment of a service provider to provide a high quality independent review of the 5th national greenhouse gas inventory (covering the period 2000-2012) and further compile a final draft of the inventory of quality that is acceptable for submission to the UNFCCC secretariat	5	2 months	R734 308.00
The appointment of a suitable independent service provider to conduct socio-economic and environmental impact evaluation of people and parks projects within the environmental protection and infrastructure programmes (EPIP)	6	12 months	R 1 980 400.02

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND
The appointment of a service provider to conduct research and develop a report on phase 2 of the desertification, land degradation and drought relating to land productivity progress indicator of the united nations convention to combat desertification as well as the drylands research programme of South Africa, branch: biodiversity and conservation for a period of one year	4	12 months	• R 1 799 969.00
The appointment of the service provider to estimate the individual and the total effect of policies and measures to reduce greenhouse gas emission and the socio-economic impact of the response measures for South Africa	8	8 months	R 4 274 230.50
APPOINTMENT OF THE SERVICE PROVIDER FOR THE UNDERTAKING OF A COMPREHENSIVE NATIONAL COASTAL ASSESSMENT FOR SOUTH AFRICA	19	36 Months	R 3,348,855.00
The Appointment Of A Service Provider For Development And Updating Of A Legislation Handbook To Be Used By Environmental Management Inspectors	6	24 Months	• R 1 455 000.00
The Appointment Of The Service Provider To Conduct An Analysis Of Projected National Greenhouse Gas Emissions Pathways And Develop A User Friendly Model (S) That The Department Will Use For Future Iterations	6	12 Months	• R 1 998 613.37
The Appointment Of The Service Provider For The Development of Estuarine Management Plan (EMP) For Richards Bay Estuary –as per National Estuarine Management Protocol (2013) Promulgated in terms of the National Environmental Management Act: Integrated Coastal Management Amendment Act (ACT NO. 24 OF 2008) (NEM: ICM ACT	6	24 months	R 1 212 822.00
The appointment of a service provider to provide, install and maintain an electronic security access control system at the East Pier Buildings, V&A Waterfront and 2ND Floor, Foretrust Building in Cape Town	4	3 months	R 1,464,674.20
The appointment of a service provider to compile municipal environmental profiles for 44 district municipalities	6	12 Months	R 1 035 553.20
The appointment of a consultant to assist the department of environmental affairs, the department of rural development and land reform and the south African national biodiversity institute with the development of minimum standards for the consideration of environmental aspects for the preparation and review of spatial development frameworks (sdfs)	28	18 months	R 3 445 550.00
The appointment of the service provider to design, develop and implement the environmental wildlife information management system that will be used to capture, store and analyses confidential information on wildlife crimes for national wildlife information management unit5	4	8 months	R 1,198,140.00
THE APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE TRACKING SERVICES FOR THE DEPARTMENT OF ENVIRONMENTAL AFFAIRS VEHICLE FLEET FOR A PERIOD OF THIRTY SIX (36) MONTHS	3	36 months	R 1,219,013.87

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2016 and 31 March 2017 (Orders) (continued)

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	CONTRACT VALUE IN RAND
The appointment of a service provider to provide an ad hoc forensic investigation service to the department of environmental affairs for a period of three (3) years	11	36 months	Applicable Rates
The appointment of a service provider to provide a co-sourced internal audit service to the department of environmental affairs for a period of three (3) years, based on annual performance reviews	19	36 months	Applicable Rates
THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	" 20	24 months	Applicable Rates
THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	5	24 months	Applicable Rates
THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	08	24 months	Applicable Rates
THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	6	24 months	Applicable Rates
THE APPOINTMENT OF THE SERVICE PROVIDER FOR THE PROVISION OF HELICOPTER SUPPORT SERVICE WITH FULL CREW COMPLIMENT FOR THE BRANCH: OCEAN AND COASTS SEASONALLY FOR A PERIOD OF 4 (FOUR) YEARS	2	48 months	R 154 018 453. 64
THE APPOINTMENT OF SERVICE PROVIDER TO CONDUCT THE ROAD TRANSPORT EMISSION FACTOR STUDY	14	8 months	R 946 491.00

TABLE 3.15.2 - Analysis of consultant appointments using appropriated funds, i.t.o. HDIs

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
The appointment of the service provider to assist the Department with the plastics materials study	0	0	0
Appointment of a service provider to provide a high quality independent review of the 5th national greenhouse gas inventory (covering the period 2000-2012) and further compile a final draft of the inventory of quality that is acceptable for submission to the UNFCCC secretariat	0	0	0
The appointment of a suitable independent service provider to conduct socio-economic and environmental impact evaluation of people and parks projects within the environmental protection and infrastructure programmes (EPIP)	19	0	2
The appointment of a service provider to conduct research and develop a report on phase 2 of the desertification, land degradation and drought relating to land productivity progress indicator of the united nations convention to combat desertification as well as the drylands research programme of South Africa, branch: biodiversity and conservation for a period of one year	60	15.8	• 4

TABLE 3.15.2 - Analysis of consultant appointments using appropriated funds, i.t.o. HDIs (continued)

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
The appointment of the service provider to estimate the individual and the total effect of policies and measures to reduce greenhouse gas emission and the socio-economic impact of the response measures for South Africa	0	0	0
	N/A	13.75	8
The Appointment Of A Service Provider For Development And Updating Of A Legislation Handbook To Be Used By Environmental Management Inspectors.	23	12.18	• 2
The Appointment Of The Service Provider For The Development of Estuarine Management Plan (EMP) For Richards Bay Estuary –as per National Estuarine Management Protocol (2013) Promulgated in terms of the National Environmental Management Act: Integrated Coastal Management Amendment Act (ACT NO. 24 OF 2008) (NEM: ICM ACT	n/a	13.28	• 2
The appointment of a service provider to provide, install and maintain an electronic security access control system at the East Pier Buildings, V&A Waterfront and 2ND Floor, Foretrust Building in Cape Town	0%	0	0
The appointment of a service provider to compile municipal environmental profiles for 44 district municipalities	27%	0	1
The appointment of a consultant to assist the department of environmental affairs, the department of rural development and land reform and the south African national biodiversity institute with the development of minimum standards for the consideration of environmental aspects for the preparation and review of spatial development frameworks (sdfs)	51%	n/a	14
The appointment of the service provider to design, develop and implement the environmental wildlife information management system that will be used to capture, store and analyses confidential information on wildlife crimes for national wildlife information management unit5	100%	100%	4
THE APPOINTMENT OF A SERVICE PROVIDER TO PROVIDE TRACKING SERVICES FOR THE DEPARTMENT OF ENVIRONMENTAL AFFAIRS VEHICLE FLEET FOR A PERIOD OF THIRTY SIX (36) MONTHS	23	10	2
E1359: The appointment of a service provider to provide an ad hoc forensic investigation service to the department of environmental affairs for a period of three (3) years	28%	27%	11
The appointment of a service provider to provide a co-sourced internal audit service to the department of environmental affairs for a period of three (3) years, based on annual performance reviews	48.1	14.33	11
E1330: THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	"20	25	16

TABLE 3.15.2 - Analysis of consultant appointments using appropriated funds, i.t.o. HDIs (continued)

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
E1330: THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	18.05	10	01
E1330: THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	0	0%	1
E1330: THE ESTABLISHMENT OF PANEL OF SERVICE PROVIDERS WITH EXPERTISE IN PUBLIC PRIVATE PARTNERSHIPS (PPP) FOR A PERIOD OF THREE (3) YEARS	28	63	3
THE APPOINTMENT OF THE SERVICE PROVIDER FOR THE PROVISION OF HELICOPTER SUPPORT SERVICE WITH FULL CREW COMPLIMENT FOR THE BRANCH: OCEAN AND COASTS SEASONALLY FOR A PERIOD OF 4 (FOUR) YEARS	25	25	1
THE APPOINTMENT OF SERVICE PROVIDER TO CONDUCT THE ROAD TRANSPORT EMISSION FACTOR STUDY	25	25	10

TABLE 3.15.3 - Report on consultant appointments using Donor funds

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	DONOR AND CONTRACT VALUE IN RAND
The appointment of a service provider to conduct an independent review of South Africa's 2nd biennial update report to the united nations framework convention on climate change (UNFCCC)	5	3 months	R 493,253.36

TOTAL NUMBER OF PROJECTS	TOTAL INDIVIDUAL CONSULTANTS	TOTAL DURATION WORK DAYS	TOTAL CONTRACT VALUE IN RAND
None	None	None	None

TABLE 3.15.4 - Analysis of consultant appointments using Donor funds, i.t.o. HDIs

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
The appointment of a service provider to conduct an independent review of South Africa's 2nd biennial update report to the united nations framework convention on climate change (UNFCCC)	66.94	8.7	3

3.16. SEVERANCE PACKAGES

Table 3.16.1 Granting of employee initiated severance packages for the period 1 April 2016 and 31 March 2017

SALARY BAND	NUMBER OF APPLICATIONS RECEIVED	NUMBER OF APPLICATIONS REFERRED TO THE MPSA	NUMBER OF APPLICATIONS SUPPORTED BY MPSA	NUMBER OF PACKAGES APPROVED BY DEPARTMENT
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	0
Highly skilled supervision(Levels 9-12)	0	0	0	0
Senior management (Levels 13-16)	0	0	0	0
TOTAL	0	0	0	0

PART E FINANCIAL INFORMATION



REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO. 27: DEPARTMENT OF ENVIRONMENTAL AFFAIRS

REPORT ON THE FINANCIAL STATEMENTS

Adverse opinion

1. I have audited the financial statements of the Department of Environmental Affairs set out on pages 125 to 232, which comprise the appropriation statement, the statement of financial position as at 31 March 2017, and the statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.

2. In my opinion, because of the significance of the matters described in the basis for adverse opinion section of my report, the financial statements do not present fairly, in all material respects, the financial position of the Department of Environmental Affairs as at 31 March 2017, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) and the requirements of the Public Finance Management Act of South Africa, 1999 (Act No. 1 of 1999) (PFMA).

BASIS FOR ADVERSE OPINION

Transfers and subsidies

3. The department did not account for payments made to implementing agents related to the Expanded Public Works Programme (EPWP) and the Green Fund, in accordance with the requirements of chapter 16 of the MCS, Accounting by principals and agents. The department budgeted and accounted for these payments as transfers and subsidies instead of as either expenditure for capital assets or goods and services, as required by the MCS. Consequently, transfers and subsidies is overstated by R2 775 million (2016: R2 503 million) and the following components of the financial statements are understated or not disclosed:

- Expenditure for capital assets or goods and services classified according to the nature of the expense incurred.
- Revenue and its potential related receivable for certain contractual arrangements with implementing agents that resulted from revenue-generating projects.
- Immovable and movable capital assets that belong to the department acquired or created under these arrangements.
- Prepayments representing advance payments provided to implementing agents that were unspent as at year-end.
- Commitments to implementing agents.

- Disclosure of the description of the nature, circumstances, significant judgement applied, significant terms and conditions and any significant risks of principal agent arrangements and the amount of payments made during the year.
- Appropriate adjustments to the appropriation statement to reflect the correct classification of transactions as required by the MCS.

I was not able to determine the full extent of all the affected financial statement components and to determine the individual misstatements as it was impracticable to do so.

Irregular expenditure

4. The department entered into contracts with implementing agents. When implementing agents are contracted by the department, this does not release the department from ensuring that funds spent on its behalf by the agents comply with the Supply Chain Management (SCM) Regulations that the department are subject to. SCM policies utilised by these implementers were not consistent with the SCM policy of the department and the principles of the PFMA and the Treasury Regulations. The department did not identify and disclose any irregular expenditure resulting from non compliance with departmental SCM prescripts by implementing agents as required by section 40(3)(b)(i) of the PFMA. Consequently, irregular expenditure is understated. I was not able to determine the full extent of the individual understatement as it was impracticable to do so.

Prior period error

5. The department adjusted its comparative information to account for payments made to implementing agents as transfers and subsidies, contrary to the requirements of chapter 16 of the MCS. The nature of the misstatements is described in paragraph 3. Consequently, the adjustments made and disclosed in note 30 to the financial statements are misstated. I was not able to determine the required corrections to the disclosure as it was impracticable to do so.

6. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.

7. I am independent of the department in accordance with the International Ethics Standards Board for Accountants' Code of ethics for professional accountants (IESBA code) together with the ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.

8. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my adverse opinion.

Other matter

9. I draw attention to the matter below. My opinion is not modified in respect of this matter.

Unaudited supplementary schedules

10. The supplementary information set out on pages 200 to 230 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion thereon.

Responsibilities of the accounting officer

11. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS and the requirements of the PFMA and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

12. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless there is an intention either to liquidate the department or to cease operations, or there is no realistic alternative but to do so.

Auditor-general's responsibilities for the audit of the financial statements

13. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

14. A further description of my responsibilities for the audit of the financial statements is included in the annexure to the auditor's report.

REPORT ON THE AUDIT OF THE ANNUAL PERFORMANCE REPORT

Introduction and scope

15. In accordance with the Public Audit Act of South Africa, 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report material findings on the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify findings but not to gather evidence to express assurance.

16. My procedures address the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures also did not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.

17. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programmes presented in the annual performance report of the department for the year ended 31 March 2017:

Programmes	Pages in the annual performance report
Programme 3: oceans and coasts	40 – 44
Programme 4: climate change and air quality	45 – 48
Programme 6: environmental programmes	54 – 57

18. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

19. I did not identify any material findings on the usefulness and reliability of the reported performance information for the following programmes:

- Oceans and coasts
- Climate change and air quality
- Environmental programmes

Other matter

20. I draw attention to the matter below:

Achievement of planned targets

21. Refer to the annual performance report on pages 40 to 44, 45 to 48 and 54 to 57 for information on the achievement of planned targets for the year and explanations provided for the under- or overachievement of a significant number of targets.

REPORT ON THE AUDIT OF COMPLIANCE WITH LEGISLATION

Introduction and scope

22. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the compliance of the department with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.

23. The material findings in respect of the compliance criteria for the applicable subject matters are as follows:

Annual financial statements

24. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework and supported by full and proper records as required by section 40(1)(a) and (b) of the PFMA.

25. Material misstatements identified by the auditors in the submitted financial statements were not adequately corrected and the supporting records were not provided subsequently, which resulted in the financial statements receiving an adverse audit opinion.

Procurement and contract management

26. Sufficient appropriate audit evidence could not be obtained to confirm whether all contracts and quotations by private implementing agents had been awarded in accordance with the legislative requirements, as processes were not in place to ensure that these agents complied with the SCM legislation that the department is subject to.

Other information

27. The department's accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report thereon and those selected programmes presented in the annual performance report that have been specifically reported on in the auditor's report.

28. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion thereon.

29. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated. If, based on the work I have performed on the other information obtained prior to the date of this auditor's report, I conclude that there is a material misstatement of this other information, I am required to report that fact. I have nothing to report in this regard.

Internal control deficiencies

30. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance thereon. The matters reported below are limited to the significant internal control deficiencies that resulted in the basis for the adverse opinion and the findings on compliance with legislation included in this report.

Leadership

31. Management did not take effective steps to ensure that the financial reporting framework was adhered to, despite it having been effective from the 2013-14 financial year. Management incorrectly accounted for payments to implementing agents as transfer payments, which resulted in the financial statements receiving an adverse opinion with material compliance findings.

Financial and performance management

32. Management did not design and implement adequate internal control systems and processes to allow for the valid, accurate and complete recording of revenue, assets, expenses and irregular expenditure resulting from principal agent arrangements.

Other reports

33. I draw attention to the following engagements conducted by various parties that had, or could have, an impact on the matters reported in the department's financial statements, reported performance information, compliance with applicable legislation and other related matters. These reports did not form part of my opinion on the financial statements or my findings on the reported performance information or compliance with legislation.

34. The department completed three forensic investigations, which were conducted by external service providers and risk management during the year under review, relating to the misappropriation of funds at eight projects under the EPWP. The department has handed all relevant cases over to the South African Police Service and The Hawks for further investigation.

Auditor-General

Pretoria

31 July 2017



APPROPRIATION STATEMENT
for the year ended 31 March 2017

APPROPRIATION PER PROGRAMME									
VOTED FUNDS	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
PROGRAMME									
1. Administration	803 226	(12 299)	37 947	828 874	827 902	972	99.9%	832 487	832 487
2. Legal, Authorisations and Compliance	164 647	160	(10 504)	154 303	154 303	-	100.0%	131 717	131 417
3. Oceans and Coasts	475 041	2 360	25 280	502 681	502 681	-	100.0%	372 021	368 659
4. Climate Change and Air Quality	289 582	8 502	(1 681)	296 403	295 484	919	99.7%	246 114	246 114
5. Biodiversity and Conservation	718 249	1 218	19 254	738 721	738 721	-	100.0%	700 648	699 863
6. Environmental Programmes	3 865 083	3 175	(62 532)	3 805 726	3 766 912	38 814	99.0%	3 580 570	3 579 640
7. Chemicals and Waste Management	109 273	(3 116)	(7 764)	98 393	94 968	3 425	96.5%	79 740	79 740
TOTAL	6 425 101	-	-	6 425 101	6 380 971	44 130	99.3%	5 943 297	5 937 920
Reconciliation with statement of financial performance									
Add: Departmental receipts				21 180				11 486	
Aid assistance				15 795				-	
Actual amounts per statement of financial performance (total revenue)				6 462 076				5 954 783	
Add: Aid assistance					19 623				12 200
Actual amounts per statement of financial performance (total expenditure)					6 400 594				5 950 120

APPROPRIATION STATEMENT
for the year ended 31 March 2017

APPROPRIATION PER ECONOMIC CLASSIFICATION									
ECONOMIC CLASSIFICATION	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Economic classification									
Current payments	2 127 390	(39 401)	-	2 087 989	2 082 440	5 549	99.7%	1 915 461	1 912 643
Compensation of employees	996 609	(2 889)	-	993 720	992 748	972	99.9%	909 477	909 177
Salaries and wages	946 916	(69 700)	-	877 216	876 244	972	99.9%	803 715	803 415
Social contributions	49 693	66 811	-	116 504	116 504	-	100.0%	105 762	105 762
Goods and services	1 130 781	(36 512)	-	1 094 269	1 089 692	4 577	99.6%	1 005 984	1 003 466
Administrative fees	2 397	1 065	-	3 462	3 462	-	100.0%	1 436	1 436
Advertising	19 080	(3 097)	-	15 983	15 983	-	100.0%	14 302	14 302
Minor assets	14 295	(11 892)	-	2 403	2 403	-	100.0%	3 084	3 084
Audit costs: External	6 715	1 754	-	8 469	8 469	-	100.0%	8 875	8 875
Bursaries: Employees	2 228	(588)	-	1 640	1 640	-	100.0%	1 376	1 376
Catering: Departmental activities	4 618	6 756	-	11 374	11 374	-	100.0%	7 420	7 420
Communication (G&S)	87 658	(64 342)	(1 681)	21 635	21 635	-	100.0%	20 570	20 570
Computer services	29 447	30 258	17 092	76 797	75 878	919	98.8%	75 547	75 547
Consultants: Business and advisory services	215 311	(38 469)	(4 044)	172 798	169 373	3 425	98.0%	128 087	126 354
Infrastructure and planning services	14 951	(14 951)	-	-	-	-	-	-	-
Laboratory services	11 126	(10 136)	-	990	990	-	100.0%	113	113
Scientific and technological services	-	-	-	-	-	-	-	-	-
Legal services	4 881	4 961	-	9 842	9 842	-	100.0%	4 093	4 093

APPROPRIATION STATEMENT
for the year ended 31 March 2017

APPROPRIATION PER ECONOMIC CLASSIFICATION									
ECONOMIC CLASSIFICATION	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Contractors	90 527	9 859	-	100 386	100 386	-	100.0%	143 686	143 686
Agency and support / outsourced services	175 834	28 248	(16 594)	187 488	187 488	-	100.0%	144 207	144 207
Entertainment	696	(653)	-	43	43	-	100.0%	128	128
Fleet services (including government motor transport)	2 500	7 925	-	10 425	10 425	-	100.0%	8 466	8 466
Inventory: Clothing material and supplies	-	1 214	-	1 214	1 214	-	100.0%	-	-
Inventory: Food and food supplies	2 099	(958)	-	1 141	1 141	-	100.0%	953	953
Inventory: Fuel, oil and gas	20 608	(6 780)	-	24 247	24 014	233	99.0%	33 421	33 421
Inventory: Learner and teacher support material	1 190	(1 190)	-	-	-	-	-	-	-
Inventory: Materials and supplies	4 997	(4 996)	-	1	1	-	100.0%	476	476
Inventory: Medical supplies	959	(959)	-	-	-	-	-	24	24
Inventory: Medicine	323	(323)	-	-	-	-	-	-	-
Inventory: Other supplies	16 979	(4 492)	(12 487)	-	-	-	-	-	-
Consumable supplies	50	17 268	-	17 318	17 318	-	100.0%	16 091	16 091
Consumable: Stationery, printing and office supplies	12 361	(4 503)	-	7 858	7 858	-	100.0%	8 509	8 509
Operating leases	88 810	866	(8 668)	81 008	81 008	-	100.0%	70 884	70 884
Property payments	10 069	(4 820)	11 266	16 515	16 515	-	100.0%	12 710	12 170
Transport provided: Departmental activity	50	2 322	-	2 372	2 372	-	100.0%	555	555

APPROPRIATION STATEMENT
for the year ended 31 March 2017

APPROPRIATION PER ECONOMIC CLASSIFICATION									
ECONOMIC CLASSIFICATION	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Travel and subsistence	155 145	23 033	(7 046)	171 132	171 132	-	100.0%	180 286	179 501
Training and development	19 168	12 755	(16 526)	15 397	15 397	-	100.0%	17 733	17 733
Operating payments	77 016	(36 359)	25 280	65 937	65 937	-	100.0%	65 277	65 277
Venues and facilities	33 575	13 630	13 408	60 613	60 613	-	100.0%	34 658	34 658
Rental and hiring	5 118	663	-	5 781	5 781	-	100.0%	3 017	3 017
Transfers and subsidies	4 135 616	13 194	-	4 148 810	4 110 229	38 581	99.1%	3 864 440	3 863 510
Provinces and municipalities	-	33 799	-	33 799	33 799	-	100.0%	24 960	24 960
Provinces	-	4	-	4	4	-	100.0%	-	-
Provincial Revenue Funds	-	3	-	3	3	-	100.0%	-	-
Provincial agencies and funds	-	1	-	1	1	-	100.0%	-	-
Municipalities	-	33 795	-	33 795	33 795	-	100.0%	24 960	24 960
Municipal agencies and funds	-	33 795	-	33 795	33 795	-	100.0%	24 960	24 960
Departmental agencies and accounts	1 301 157	936 209	-	2 237 366	2 198 785	38 581	98.3%	1 679 393	1 678 463
Social security funds	-	8 794	-	8 794	8 794	-	-	1 044	1 044
Departmental agencies and accounts	1 301 157	927 415	-	2 228 572	2 189 991	38 581	98.3%	1 678 349	1 677 419
Higher education institutions	-	15 666	-	15 666	15 666	-	100.0%	20 614	20 614
Foreign governments and international organisations	16 000	-	-	16 000	16 000	-	100.0%	16 000	16 000
Public corporations and private enterprises	180 000	1 539 026	-	1 719 026	1 719 026	-	100.0%	1 967 953	1 967 953

APPROPRIATION STATEMENT
for the year ended 31 March 2017

APPROPRIATION PER ECONOMIC CLASSIFICATION									
ECONOMIC CLASSIFICATION	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations	180 000	-	-	180 000	180 000	-	100.0%	300 000	300 000
Other transfers to private enterprises	180 000	-	-	180 000	180 000	-	100.0%	300 000	300 000
Private enterprises	-	1 539 026	-	1 539 026	1 539 026	-	100.0%	1 667 953	1 667 953
Subsidies on products and production	-	1 539 026	-	1 539 026	1 539 026	-	100.0%	1 667 953	1 667 953
Non-profit institutions	4 487	118 202	-	122 689	122 689	-	100.0%	151 967	151 967
Households	2 633 972	(2 629 708)	-	4 264	4 264	-	100.0%	3 553	3 553
Social benefits	-	2 929	-	2 929	2 929	-	100.0%	2 623	2 623
Other transfers to households	2 633 972	(2 632 637)	-	1 335	1 335	-	100.0%	930	930
Payments for capital assets	162 095	26 002	-	188 097	188 097	-	100.0%	162 488	160 859
Buildings and other fixed structures	135 954	910	-	136 864	136 864	-	100.0%	129 356	129 356
Buildings	135 954	910	-	136 864	136 864	-	100.0%	129 353	129 353
Other fixed structures	-	-	-	-	-	-	-	3	3
Machinery and equipment	26 141	15 105	-	41 246	41 246	-	100.0%	31 308	29 679
Transport equipment	1 239	19 377	-	20 616	20 616	-	100.0%	5 940	5 940
Other machinery and equipment	24 902	(4 272)	-	20 630	20 630	-	100.0%	25 368	23 739
Software and other intangible assets	-	9 987	-	9 987	9 987	-	100.0%	1 824	1 824
Payments for financial assets	-	205	-	205	205	-	100.0%	908	908
TOTAL	6 425 101	-	-	6 425 101	6 380 971	44 130	99.3%	5 943 297	5 937 920

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 1: ADMINISTRATION									
2016/17								2015/16	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Management	86 040	77 142	191	163 373	163 373	-	100.0%	177 744	177 744
2. Corporate Affairs	259 398	(73 028)	26 225	212 595	211 623	972	99.5%	207 862	207 862
3. Environmental Advisory Services	108 475	(2 950)	-	105 525	105 525	-	100.0%	113 384	113 384
4. Financial Management	65 138	3 233	-	68 371	68 371	-	100.0%	66 639	66 639
5. Office Accommodation	220 603	(11 008)	11 531	221 126	221 126	-	100.0%	201 235	201 235
6. Environmental Sector Coordination	63 572	(5 688)	-	57 884	57 884	-	100.0%	65 623	65 623
TOTAL FOR SUB PROGRAMME	803 226	(12 299)	37 947	828 874	827 902	972	99.9%	832 487	832 487

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	646 973	(25 465)	37 947	659 455	658 483	972	99.9%	667 618	667 618
Compensation of employees	380 900	(12 983)	-	367 917	366 945	972	99.7%	349 622	349 622
Salaries and wages	367 200	(43 766)	-	323 434	322 462	972	99.7%	308 380	308 380
Social contributions	13 700	30 783	-	44 483	44 483	-	100.0%	41 242	41 242
Goods and services	266 073	(12 482)	37 947	291 538	291 538	-	100.0%	317 996	317 996
Administrative fees	1 404	1 211	-	2 615	2 615	-	100.0%	842	842
Advertising	12 550	(4 525)	-	8 025	8 025	-	100.0%	9 651	9 651
Minor assets	7 469	(6 508)	-	961	961	-	100.0%	1 089	1 089
Audit costs: External	6 693	955	-	7 648	7 648	-	100.0%	8 640	8 640
Bursaries: Employees	1 434	(140)	-	1 294	1 294	-	100.0%	1 174	1 174
Catering: Departmental activities	1 928	3 888	-	5 816	5 816	-	100.0%	4 023	4 023
Communication (G&S)	24 835	(17 512)	-	7 323	7 323	-	100.0%	7 368	7 368

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 1: ADMINISTRATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Computer services	12 966	2 844	22 317	38 127	38 127	-	100.0%	63 326	63 326
Consultants: Business and advisory services	12 885	12 823	-	25 708	25 708	-	100.0%	32 324	32 324
Infrastructure and planning services	14 951	(14 951)	-	-	-	-	-	-	-
Laboratory services	-	18	-	18	18	-	100.0%	-	-
Legal services	478	780	-	1 258	1 258	-	100.0%	318	318
Contractors	11 264	(9 507)	-	1 757	1 757	-	100.0%	3 289	3 289
Agency and support / outsourced services	621	(551)	-	70	70	-	100.0%	345	345
Entertainment	98	(84)	-	14	14	-	100.0%	35	35
Fleet services (including government motor transport)	-	2 543	-	2 543	2 543	-	100.0%	694	694
Inventory: Food and food supplies	33	(15)	-	18	18	-	100.0%	33	33
Inventory: Fuel, oil and gas	346	(337)	-	9	9	-	100.0%	14	14
Inventory: Materials and supplies	480	(479)	-	1	1	-	100.0%	15	15
Inventory: Other supplies	1 977	(1 977)	-	-	-	-	-	-	-
Consumable supplies	-	5 575	-	5 575	5 575	-	100.0%	6 008	6 008
Consumable: Stationery, printing and office supplies	4 277	(300)	-	3 977	3 977	-	100.0%	4 776	4 776
Operating leases	74 089	2 318	-	76 407	76 407	-	100.0%	65 235	65 235
Property payments	4 493	(4 701)	15 630	15 422	15 422	-	100.0%	10 972	10 972

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 1: ADMINISTRATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transport provided: Departmental activity	-	1 530	-	1 530	1 530	-	100.0%	555	555
Travel and subsistence	24 667	30 265	-	54 932	54 932	-	100.0%	58 259	28 259
Training and development	5 703	2 649	-	8 352	8 352	-	100.0%	11 301	11 301
Operating payments	26 995	(21 098)	-	5 897	5 897	-	100.0%	8 899	8 899
Venues and facilities	8 595	4 174	-	12 769	12 769	-	100.0%	16 720	16 720
Rental and hiring	4 842	(1 370)	-	3 472	3 472	-	100.0%	2 091	2 091
Transfers and subsidies	16 000	1 867	-	17 867	17 867	-	100.0%	17 388	17 388
Provinces and municipalities	-	80	-	80	80	-	100.0%	34	34
Provinces	-	3	-	3	3	-	100.0%	-	-
Provincial Revenue Funds	-	3	-	3	3	-	100.0%	-	-
Municipalities	-	77	-	77	77	-	100.0%	34	34
Municipal agencies and funds	-	77	-	77	77	-	100.0%	34	34
Departmental agencies and accounts	-	8	-	8	8	-	100.0%	7	7
Social security funds	-	-	-	-	-	-	-	5	5
Departmental agencies (non- business entities)	-	8	-	8	8	-	100.0%	2	2
Foreign governments and international organisations	16 000	-	-	16 000	16 000	-	100.0%	16 000	16 000
Households	-	1 779	-	1 779	1 779	-	100.0%	1 347	1 347
Social benefits	-	683	-	683	683	-	100.0%	425	425

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 1: ADMINISTRATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Other transfers to households	-	1 096	-	1 096	1 096	-	100.0%	922	922
Payments for capital assets	140 253	11 154	-	151 407	151 407	-	100.0%	147 174	147 174
Buildings and other fixed structures	135 954	910	-	136 864	136 864	-	100.0%	129 353	129 353
Buildings	135 954	910	-	136 864	136 864	-	100.0%	129 353	129 353
Machinery and equipment	4 299	9 657	-	13 956	13 956	-	100.0%	16 226	16 226
Transport equipment	1 239	2 931	-	4 170	4 170	-	100.0%	4 284	4 284
Other machinery and equipment	3 060	6 726	-	9 786	9 786	-	100.0%	11 942	11 942
Software and other intangible assets	-	587	-	587	587	-	100.0%	1 595	1 595
Payments for financial assets	-	145	-	145	145	-	100.0%	307	307
TOTAL	803 226	(12 299)	37 947	828 874	827 902	972	99.9%	832 487	832 487

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 2: LEGAL, AUTHORISATIONS AND COMPLIANCE									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Legal, Authorisations and Compliance Management	6 318	(602)	-	5 716	5 716	-	100.0%	15 114	15 114
2. Compliance Monitoring	24 760	1 191	-	25 951	25 951	-	100.0%	24 775	24 775
3. Integrated Environmental Authorisations	50 019	(17 875)	-	32 144	32 144	-	100.0%	30 466	30 166
4. Enforcement	57 742	11 644	(10 504)	58 882	58 882	-	100.0%	35 894	35 894
5. Corporate Legal Support and Litigation	9 881	4 566	-	14 447	14 447	-	100.0%	10 766	10 766
6. Law Reform and Appeals	15 927	1 236	-	17 163	17 163	-	100.0%	14 702	14 702
TOTAL FOR SUB PROGRAMME	164 647	160	(10 504)	154 303	154 303	-	100.0%	131 717	131 417

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	163 681	(788)	(10 504)	152 389	152 389	-	100.0%	128 935	128 635
Compensation of employees	106 463	(15)	-	106 448	106 448	-	100.0%	91 489	91 189
Salaries and wages	99 203	(6 046)	-	93 157	93 157	-	100.0%	79 353	79 053
Social contributions	7 260	6 031	-	13 291	13 291	-	100.0%	12 136	12 136
Goods and services	57 218	(773)	(10 504)	45 941	45 941	-	100.0%	37 446	37 446
Administrative fees	36	5	-	41	41	-	100.0%	59	59
Advertising	898	(337)	-	561	561	-	100.0%	372	372
Minor assets	868	(399)	-	469	469	-	100.0%	386	386
Bursaries: Employees	74	(54)	-	20	20	-	100.0%	36	36
Catering: Departmental activities	454	255	-	709	709	-	100.0%	504	504
Communication (G&S)	1 395	(404)	-	991	991	-	100.0%	780	780

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 2: LEGAL, AUTHORISATIONS AND COMPLIANCE									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Computer services	664	14 078	-	14 742	14 742	-	100.0%	9 901	9 901
Consultants: Business and advisory services	2 926	(1 878)	-	1 048	1 048	-	100.0%	985	985
Laboratory services	-	972	-	972	972	-	100.0%	109	109
Legal services	1 209	1 667	-	2 876	2 876	-	100.0%	1 893	1 893
Contractors	508	(123)	-	385	385	-	100.0%	635	635
Agency and support / outsourced services	21 000	(10 322)	(10 504)	174	174	-	100.0%	-	-
Entertainment	42	(34)	-	8	8	-	100.0%	6	6
Fleet services (including government motor transport)	-	25	-	25	25	-	100.0%	16	16
Inventory: Food and food supplies	5	(5)	-	-	-	-	-	-	-
Inventory: Medical supplies	-	-	-	-	-	-	-	1	1
Inventory: Other supplies	727	(727)	-	-	-	-	-	-	-
Consumable supplies	-	1 700	-	1 700	1 700	-	100.0%	936	936
Consumable: Stationery, printing and office supplies	1 200	(517)	-	683	683	-	100.0%	1 060	1 060
Operating leases	228	99	-	327	327	-	100.0%	250	250
Property payments	85	(85)	-	-	-	-	-	-	-
Travel and subsistence	15 517	(1 107)	-	14 410	14 410	-	100.0%	13 555	13 555
Training and development	1 315	640	-	1 955	1 955	-	100.0%	965	965
Operating payments	2 423	(1 387)	-	1 036	1 036	-	100.0%	967	967

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 2: LEGAL, AUTHORISATIONS AND COMPLIANCE									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Venues and facilities	5 644	(2 836)	-	2 808	2 808	-	100.0%	4 030	4 030
Rental and hiring	-	1	-	1	1	-	100.0%	-	-
Transfers and subsidies	-	171	-	171	171	-	100.0%	185	185
Provinces and municipalities	-	4	-	4	4	-	100.0%	2	2
Provinces	-	1	-	1	1	-	100.0%	-	-
Provincial agencies and funds	-	1	-	1	1	-	100.0%	-	-
Municipalities	-	3	-	3	3	-	100.0%	2	2
Municipal agencies and funds	-	3	-	3	3	-	100.0%	2	2
Households	-	167	-	167	167	-	100.0%	183	183
Social benefits	-	167	-	167	167	-	100.0%	183	183
Payments for capital assets	966	768	-	1 734	1 734	-	100.0%	2 592	2 592
Buildings and other fixed structures	-	-	-	-	-	-	-	3	3
Machinery and equipment	966	768	-	1 734	1 734	-	100.0%	2 589	2 589
Transport equipment	-	705	-	705	705	-	100.0%	448	448
Other machinery and equipment	966	63	-	1 029	1 029	-	100.0%	2 141	2 141
Payments for financial assets	-	9	-	9	9	-	100.0%	5	5
TOTAL	164 647	160	(10 504)	154 303	154 303	-	100.0%	131 717	131 417

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 3: OCEANS AND COASTS									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Oceans and Coasts Management	8 285	7 112	-	15 397	15 397	-	100.0%	11 233	11 233
2. Integrated Coastal Management	140 005	(17 229)	-	122 776	122 776	-	100.0%	66 017	66 017
3. Oceans and Coastal Research	134 999	(7 232)	-	127 767	127 767	-	100.0%	115 665	115 665
4. Oceans Conservation	185 493	17 868	25 280	228 641	228 641	-	100.0%	172 049	168 687
5. Specialist Monitoring Services	6 259	1 841	-	8 100	8 100	-	100.0%	7 057	7 057
TOTAL FOR SUB PROGRAMME	475 041	2 360	25 280	502 681	502 681	-	100.0%	372 021	368 659

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	460 696	(16 282)	25 280	469 694	469 694	-	100.0%	363 834	362 101
Compensation of employees	110 662	976	-	111 638	111 638	-	100.0%	99 918	99 918
Salaries and wages	104 086	(3 157)	-	100 929	100 929	-	100.0%	90 256	90 256
Social contributions	6 576	4 133	-	10 709	10 709	-	100.0%	9 662	9 662
Goods and services	350 034	(17 258)	25 280	358 056	358 056	-	100.0%	263 916	262 183
Administrative fees	105	208	-	313	313	-	100.0%	131	131
Advertising	1 107	2 457	-	3 564	3 564	-	100.0%	611	611
Minor assets	1 377	(667)	-	710	710	-	100.0%	1 060	1 060
Bursaries: Employees	265	(205)	-	60	60	-	100.0%	17	17
Catering: Departmental activities	221	931	-	1 152	1 152	-	100.0%	240	240
Communication (G&S)	1 073	2 456	-	3 529	3 529	-	100.0%	2 763	2 763
Computer services	1 685	1 216	-	2 901	2 901	-	100.0%	781	781

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 3: OCEANS AND COASTS									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consultants: Business and advisory services	129 876	(59 012)	-	70 864	70 864	-	100.0%	41 303	39 570
Laboratory services	11 126	(11 126)	-	-	-	-	-	4	4
Legal services	-	492	-	492	492	-	100.0%	329	329
Contractors	1 581	3 941	-	5 522	5 522	-	100.0%	10 487	10 487
Agency and support / outsourced services	130 302	52 559	-	182 861	182 861	-	100.0%	139 499	139 499
Entertainment	458	(451)	-	7	7	-	100.0%	5	5
Fleet services (including government motor transport)	-	5 653	-	5 653	5 653	-	100.0%	5 290	5 290
Inventory: Clothing material and accessories	-	1 214	-	1 214	1 214	-	100.0%	-	-
Inventory: Food and food supplies	1 988	(865)	-	1 123	1 123	-	100.0%	920	920
Inventory: Fuel, oil and gas	7 196	(3 839)	-	3 357	3 357	-	100.0%	4 292	4 292
Inventory: Learner and teacher support material	732	(732)	-	-	-	-	-	-	-
Inventory: Materials and supplies	2 918	(2 918)	-	-	-	-	-	4	4
Inventory: Medical supplies	862	(862)	-	-	-	-	-	21	21
Inventory: Medicine	323	(323)	-	-	-	-	-	-	-
Inventory: Other supplies	1 142	(1 142)	-	-	-	-	-	-	-
Consumable supplies	-	7 135	-	7 135	7 135	-	100.0%	6 299	6 299
Consumable: Stationery, printing and office supplies	1 241	(50)	-	1 191	1 191	-	100.0%	868	868

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 3: OCEANS AND COASTS									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating leases	587	(208)	-	379	379	-	100.0%	288	288
Property payments	292	292	-	584	584	-	100.0%	1 186	1 186
Transport provided: Department activity	32	415	-	447	447	-	100.0%	-	-
Travel and subsistence	14 711	(69)	-	14 642	14 642	-	100.0%	15 201	15 201
Training and development	1 035	147	-	1 182	1 182	-	100.0%	978	978
Operating payments	36 225	(14 756)	25 280	46 749	46 749	-	100.0%	29 852	29 852
Venues and facilities	1 574	(643)	-	931	931	-	100.0%	1 064	1 064
Rental and hiring	-	1 494	-	1 494	1 494	-	100.0%	423	423
Transfers and subsidies	-	1 432	-	1 432	1 432	-	100.0%	1 491	1 491
Provinces and municipalities	-	10	-	10	10	-	100.0%	5	5
Municipalities	-	10	-	10	10	-	100.0%	5	5
Municipal agencies and funds	-	10	-	10	10	-	100.0%	5	5
Department agencies and accounts	-	1	-	1	1	-	100.0%	-	-
Department agencies (non-business entities)	-	1	-	1	1	-	100.0%	-	-
Households	-	1 421	-	1 421	1 421	-	100.0%	1 486	1 486
Social benefits	-	1 384	-	1 384	1 384	-	100.0%	1 486	1 486
Other transfers to household	-	37	-	37	37	-	100.0%	-	-
Payments for capital assets	14 345	17 205	-	31 550	31 550	-	100.0%	6 688	5 059
Machinery and equipment	14 345	7 805	-	22 150	22 150	-	100.0%	6 459	4 830

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 3: OCEANS AND COASTS									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transport equipment	-	15 443	-	15 443	15 443	-	100.0%	926	926
Other machinery and equipment	14 345	(7 638)	-	6 707	6 707	-	100.0%	5 533	3 904
Software and other intangible assets	-	9 400	-	9 400	9 400	-	100.0%	229	229
Payments for financial assets	-	5	-	5	5	-	100.0%	8	8
TOTAL	475 041	2 360	25 280	502 681	502 681	-	100.0%	372 021	368 659

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 4: CLIMATE CHANGE AND AIR QUALITY									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Climate Change Management	7 576	278	-	7 854	7 854	-	100.0%	6 667	6 667
2. Climate Change Mitigation	8 651	1 269	-	9 920	9 920	-	100.0%	8 769	8 769
3. Climate Change Adaptation	4 771	2 631	-	7 402	7 402	-	100.0%	6 953	6 953
4. Air Quality Management	42 549	3 780	(1 681)	44 648	43 729	919	97.9%	41 101	41 101
5. South African Weather Service	204 985	-	-	204 985	204 985	-	100.0%	160 423	160 423
6. International Climate Change Relations and Negotiations	11 032	(437)	-	10 595	10 595	-	100.0%	13 046	13 046
7. Climate Change Monitoring and Evaluation	10 018	981	-	10 999	10 999	-	100.0%	9 155	9 155
TOTAL FOR SUB PROGRAMME	289 582	8 502	(1 681)	296 403	295 484	919	99.7%	246 114	246 114

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	82 026	9 209	(1 681)	89 554	88 635	919	99.0%	83 652	83 652
Compensation of employees	53 691	7 528	-	61 219	61 219	-	100.0%	53 534	53 534
Salaries and wages	51 047	4 005	-	55 052	55 052	-	100.0%	47 845	47 845
Social contributions	2 644	3 523	-	6 167	6 167	-	100.0%	5 689	5 689
Goods and services	28 335	1 681	(1 681)	28 335	27 416	919	96.8%	30 118	30 118
Administrative fees	262	(242)	-	20	20	-	100.0%	23	23
Advertising	812	(547)	-	265	265	-	100.0%	696	696
Minor assets	517	(491)	-	26	26	-	100.0%	10	10
Audit costs: External	22	(22)	-	-	-	-	-	-	-
Bursaries: Employees	158	(158)	-	-	-	-	-	-	-

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 4: CLIMATE CHANGE AND AIR QUALITY									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Catering: Departmental activities	364	(11)	-	353	353	-	100.0%	360	360
Communication (G&S)	2 269	(2)	(1 681)	586	586	-	100.0%	508	508
Computer services	8 196	(7 187)	-	1 009	90	919	8.9%	-	-
Consultants: Business and advisory services	1 109	8 740	-	9 849	9 849	-	100.0%	12 506	12 506
Legal services	302	(31)	-	271	271	-	100.0%	860	860
Contractors	30	(29)	-	1	1	-	100.0%	30	30
Agency and support / outsourced services	535	535	-	-	-	-	-	-	-
Entertainment	20	(17)	-	3	3	-	100.0%	9	9
Inventory: Food and food supplies	17	(17)	-	-	-	-	-	-	-
Inventory: Other supplies	156	(156)	-	-	-	-	-	-	-
Consumable supplies	-	160	-	160	160	-	100.0%	91	91
Consumable: Stationery, printing and office supplies	666	(490)	-	176	176	-	100.0%	33	33
Operating leases	174	(97)	-	77	77	-	100.0%	105	105
Travel and subsistence	7 852	1 908	-	9 760	9 760	-	100.0%	12 143	12 143
Training and development	740	(280)	-	460	460	-	100.0%	479	479
Operating payments	1 493	179	-	1 672	1 672	-	100.0%	131	131
Venues and facilities	2 388	1 238	-	3 626	3 626	-	100.0%	2 134	2 134
Rental and hiring	253	(232)	-	21	21	-	100.0%	-	-

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 4: CLIMATE CHANGE AND AIR QUALITY									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transfers and subsidies	206 385	55	-	206 440	206 440	-	100.0%	161 877	161 877
Department agencies and accounts	204 985	-	-	204 985	204 985	-	100.0%	160 423	160 423
Department agencies (non-business entities)	204 985	-	-	204 985	204 985	-	100.0%	160 423	160 423
Non –profit-institutions	1 400	-	-	1 400	1 400	-	100.0%	1 400	1 400
Households	-	55	-	55	55	-	100.0%	54	54
Social benefits	-	55	-	55	55	-	100.0%	54	54
Payments for capital assets	1 171	(762)	-	409	409	-	100.0%	577	577
Machinery and equipment	1 171	(762)	-	409	409	-	100.0%	577	577
Other machinery and equipment	1 171	(762)	-	409	409	-	100.0%	577	577
Payments for financial assets	-	-	-	-	-	-	-	8	8
TOTAL	289 582	8 502	(1 681)	296 403	295 484	919	99.7%	246 114	246 114

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 5: BIODIVERSITY AND CONSERVATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Biodiversity and Conservation Management	17 927	4 998	-	22 925	22 925	-	100.0%	18 178	18 178
2. Biodiversity Planning and Management	27 161	(1 484)	-	25 677	25 677	-	100.0%	26 189	26 189
3. Protected Areas Systems Management	50 042	(6 469)	-	43 573	43 573	-	100.0%	42 187	42 187
4. iSimangaliso Wetland Park Authority	33 031	-	-	33 031	33 031	-	100.0%	31 628	31 628
5. South African National Parks	278 939	-	-	278 939	278 939	-	100.0%	278 675	278 675
6. South African National Biodiversity Institute	237 973	-	-	237 973	237 973	-	100.0%	232 149	232 149
7. Biodiversity Monitoring and Evaluation	6 519	2 450	8 204	17 173	17 173	-	100.0%	9 744	8 959
8. Biodiversity Economy and Sustainable Use	66 657	1 723	11 050	79 430	79 430	-	100.0%	61 898	61 898
TOTAL FOR SUB PROGRAMME	718 249	1 218	19 254	738 721	738 721	-	100.0%	700 648	699 863

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	164 501	884	19 254	184 639	184 639	-	100.0%	154 700	153 915
Compensation of employees	69 013	666	-	69 679	69 679	-	100.0%	63 140	63 140
Salaries and wages	66 186	(4 175)	-	62 011	62 011	-	100.0%	56 369	56 369
Social contributions	2 827	4 841	-	7 668	7 668	-	100.0%	6 771	6 771
Goods and services	95 488	218	19 254	114 960	114 960	-	100.0%	91 560	90 775
Administrative fees	15	77	-	92	92	-	100.0%	68	68

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 5: BIODIVERSITY AND CONSERVATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Advertising	1 155	194	-	1 349	1 349	-	100.0%	2 164	2 164
Minor assets	383	(311)	-	72	72	-	100.0%	74	74
Bursaries: Employees	-	-	-	-	-	-	-	15	15
Catering: Departmental activities	545	1 724	-	2 269	2 269	-	100.0%	952	952
Communication (G&S)	48 525	(47 898)	-	627	627	-	100.0%	613	613
Computer services	48	4 772	-	4 820	4 820	-	100.0%	398	398
Consultants: Business and advisory services	15 385	10 629	2 970	28 984	28 984	-	100.0%	8 461	8 461
Legal services	75	2 535	-	2 610	2 610	-	100.0%	72	72
Contractors	3 263	4 040	-	7 303	7 303	-	100.0%	34 768	34 768
Agency and support / outsourced services	1 861	(1 733)	-	128	128	-	100.0%	-	-
Entertainment	31	(31)	-	-	-	-	-	8	8
Inventory: Food and food supplies	15	(15)	-	-	-	-	-	-	-
Inventory: Materials and supplies	6	(6)	-	-	-	-	-	457	457
Inventory: Medical supplies	-	-	-	-	-	-	-	2	2
Inventory: Other supplies	32	(32)	-	-	-	-	-	-	-
Consumable supplies	-	595	-	595	595	-	100.0%	122	122
Consumable: Stationery, printing and office supplies	909	(683)	-	226	226	-	100.0%	250	250
Operating leases	503	(313)	-	190	190	-	100.0%	159	159

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 5: BIODIVERSITY AND CONSERVATION									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Property payments	-	-	-	-	-	-	-	447	447
Transport provided: Department activity	-	390	-	390	390	-	100.0%	-	-
Travel and subsistence	16 941	6 875	2 126	25 942	25 942	-	100.0%	21 246	20 461
Training and development	474	(35)	-	439	439	-	100.0%	633	633
Operating payments	2 399	(877)	-	1 522	1 522	-	100.0%	16 518	16 518
Venues and facilities	2 923	19 547	14 158	36 628	36 628	-	100.0%	3 780	3 780
Rental and hiring	-	774	-	774	774	-	100.0%	353	353
Transfers and subsidies	553 030	350	-	553 380	553 380	-	100.0%	544 830	544 830
Department agencies and accounts	549 943	-	-	549 943	549 943	-	100.0%	542 452	542 452
Department agencies (non-business entities)	549 943	-	-	549 943	549 943	-	100.0%	542 452	542 452
Non –profit-institutions	3 087	-	-	3 087	3 087	-	100.0%	2 287	3 087
Households	-	350	-	350	350	-	100.0%	91	91
Social benefits	-	148	-	148	148	-	100.0%	91	91
Other transfers to household	-	202	-	202	202	-	100.0%	-	-
Payments for capital assets	718	(16)	-	702	702	-	100.0%	1 095	1 095
Machinery and equipment	718	(16)	-	702	702	-	100.0%	1 095	1 095
Transport equipment	-	298	-	298	298	-	100.0%	8	8
Other machinery and equipment	718	(314)	-	404	404	-	100.0%	1 087	1 087
Payments for financial assets	-	-	-	-	-	-	-	23	23
TOTAL	718 249	1 218	19 254	738 721	738 721	-	100.0%	700 648	699 863

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 6: ENVIRONMENTAL PROGRAMMES									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Environmental Protection and Infrastructure Programme	1 544 898	30 785	(6 909)	1 568 774	1 568 774	-	100.0%	1 429 525	1 429 525
2. Working for Water and Working on Fire	2 065 703	(16 724)	(53 360)	1 995 619	1 956 805	38 814	98.1%	1 794 606	1 793 676
3. Green Fund	180 000	-	-	180 000	180 000	-	100.0%	300 000	300 000
4. Environmental Programmes Management	7 016	(828)	-	6 188	6 188	-	100.0%	6 605	6 605
5. Information Management and Sector Coordination	67 466	(10 058)	(2 263)	55 145	55 145	-	100.0%	49 834	49 834
TOTAL FOR SUB PROGRAMME	3 865 083	3 175	(62 532)	3 805 726	3 766 912	38 814	99.0%	3 580 570	3 579 640

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	507 686	(3 949)	(62 532)	441 205	440 972	233	99.9%	444 493	444 493
Compensation of employees	220 453	2 970	-	223 423	223 423	-	100.0%	202 856	202 856
Salaries and wages	207 693	(11 878)	-	195 815	195 815	-	100.0%	178 533	178 533
Social contributions	12 760	14 848	-	27 608	27 608	-	100.0%	24 323	24 323
Goods and services	287 233	(6 919)	(62 532)	217 782	217 549	233	99.9%	241 637	241 637
Administrative fees	306	58	-	364	364	-	100.0%	280	280
Advertising	2 249	748	-	1 501	1 501	-	100.0%	446	446
Minor assets	2 890	(2 751)	-	139	139	-	100.0%	449	449
Audit costs: External	-	821	-	821	821	-	100.0%	235	235

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 6: ENVIRONMENTAL PROGRAMMES									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Bursaries: Employees	150	97	-	247	247	-	100.0%	113	113
Catering: Departmental activities	1 012	210	-	802	802	-	100.0%	955	955
Communication (G&S)	9 052	(931)	-	8 121	8 121	-	100.0%	8 113	8 113
Computer services	5 438	3 419	(5 225)	3 632	3 632	-	100.0%	1 141	1 141
Consultants: Business and advisory services	20 421	4 123	-	24 544	24 544	-	100.0%	24 285	24 285
Legal services	2 817	(650)	-	2 167	2 167	-	100.0%	368	368
Contractors	73 881	11 533	-	85 414	85 414	-	100.0%	94 442	94 442
Agency and support / outsourced services	21 515	(11 170)	(6 090)	4 255	4 255	-	100.0%	4 363	4 363
Entertainment	47	(39)	-	8	8	-	100.0%	5	5
Fleet services (including government motor transport)	2 500	(296)	-	2 204	2 204	-	100.0%	2 466	2 466
Inventory: Food and food supplies	41	(41)	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	13 066	7 815	-	20 881	20 648	233	98.9%	29 115	29 115
Inventory: Learner and teacher support material	458	(458)	-	-	-	-	-	-	-
Inventory: Materials and supplies	1 593	(1 593)	-	-	-	-	-	-	-
Inventory: Medical supplies	97	(97)	-	-	-	-	-	-	-
Inventory: Other supplies	12 639	(152)	(12 487)	-	-	-	-	-	-

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 6: ENVIRONMENTAL PROGRAMMES									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable supplies	50	1 807	-	1 857	1 857	-	100.0%	2 371	2 371
Consumable: Stationery, printing and office supplies	2 552	(1 110)	-	1 442	1 442	-	100.0%	1 447	1 447
Operating leases	12 868	(680)	(8 668)	3 520	3 520	-	100.0%	4 728	4 728
Property payments	5 124	(251)	(4 364)	509	509	-	100.0%	105	105
Transport provided: Department activity	18	(13)	-	5	5	-	100.0%	-	-
Travel and subsistence	72 507	20 059	(9 172)	43 276	43 276	-	100.0%	52 000	52 000
Training and development	9 606	9 064	(16 526)	2 144	2 144	-	100.0%	2 878	2 878
Operating payments	5 008	1 862	-	6 870	6 870	-	100.0%	6 766	6 766
Venues and facilities	9 328	(6 288)	-	3 040	3 040	-	100.0%	4 564	4 564
Rental and hiring	-	19	-	19	19	-	100.0%	2	2
Transfers and subsidies	3 353 358	9 239	-	3 362 597	3 324 016	38 581	98.9%	3 131 738	3 130 808
Provinces and municipalities	-	33 705	-	33 705	33 705	-	100.0%	24 919	24 919
Municipalities	-	33 705	-	33 705	33 705	-	100.0%	24 919	24 919
Municipal agencies and funds	-	33 705	-	33 705	33 705	-	100.0%	24 919	24 919
Department agencies and accounts	539 386	936 200	-	1 475 586	1 437 005	38 581	97.4%	969 679	968 749
Social security funds	-	8 794	-	8 794	8 794	-	-	1 039	1 039
Department agencies (non- business entities)	539 386	927 406	-	1 466 792	1 428 211	38 581	97.4%	968 640	967 710

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 6: ENVIRONMENTAL PROGRAMMES									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Higher education institution	-	15 666	-	15 666	15 666	-	100.0%	20 614	20 614
Public corporations and private enterprises	180 000	1 539 026	-	1 719 026	1 719 026	-	100.0%	1 967 953	1 967 953
Public corporations	180 000	-	-	180 000	180 000	-	100.0%	300 000	300 000
Subsidies on products and production (pc)	180 000	-	-	180 000	180 000	-	100.0%	300 000	300 000
Private enterprises	-	1 539 026	-	1 539 026	1 539 026	-	100.0%	1 667 953	1 667 953
Other transfers to private enterprises	-	1 539 026	-	1 539 026	1 539 026	-	100.0%	1 667 953	1 667 953
Non-Profit institutions	-	118 202	-	118 202	118 202	-	100.0%	148 280	148 280
Households	2 633 972	(2 633 560)	-	412	412	-	100.0%	293	293
Social benefits	-	412	-	412	412	-	100.0%	285	285
Other transfers to households	2 633 972	(2 633 972)	-	-	-	-	-	8	8
Payments for capital assets	4 039	(2 161)	-	1 878	1 878	-	100.0%	3 808	3 808
Machinery and equipment	4 039	(2 161)	-	1 878	1 878	-	100.0%	3 808	3 808
Transport equipment	-	-	-	-	-	-	-	274	274
Other machinery and equipment	4 039	(2 161)	-	1 878	1 878	-	100.0%	3 534	3 534
Payments for financial assets	-	46	-	46	46	-	100.0%	531	531
TOTAL	3 865 083	3 175	(62 532)	3 805 726	3 766 912	38 814	99.0%	3 580 570	3 579 640

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 7: CHEMICALS AND WASTE MANAGEMENT									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Chemicals and Waste Management	7 153	968	-	8 121	8 121	-	100.0%	9 951	9 951
2. Hazardous Waste Management and Licencing	23 504	2 084	-	25 588	25 588	-	100.0%	27 940	27 940
3. General Waste & Municipal Support	31 000	(2 673)	(7 764)	20 563	20 563	-	100.0%	19 212	19 212
4. Chemicals and Waste Policy, Evaluation and Monitoring	36 999	(8 673)	-	28 326	24 901	3 425	87.9%	9 356	9 356
5. Chemicals Management	10 617	5 178	-	15 795	15 795	-	100.0%	13 281	13 281
TOTAL FOR SUB PROGRAMME	109 273	(3 116)	(7 764)	98 393	94 968	3 425	96.5%	79 740	79 740

ECONOMIC CLASSIFICATION	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	101 827	(3 010)	(7 764)	91 053	87 628	3 425	96.2%	72 229	72 229
Compensation of employees	55 427	(2 031)	-	53 396	53 396	-	100.0%	48 918	48 918
Salaries and wages	51 501	(4 683)	-	46 818	46 818	-	100.0%	42 979	42 979
Social contributions	3 926	2 652	-	6 578	6 578	-	100.0%	5 939	5 939
Goods and services	46 400	(979)	(7 764)	37 657	34 232	3 425	90.9%	23 311	23 311
Administrative fees	269	(252)	-	17	17	-	100.0%	33	33
Advertising	309	409	-	718	718	-	100.0%	362	362
Minor assets	791	(765)	-	26	26	-	100.0%	16	16
Bursaries: Employees	147	(128)	-	19	19	-	100.0%	21	21
Catering: Departmental activities	94	179	-	273	273	-	100.0%	386	386

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 7: CHEMICALS AND WASTE MANAGEMENT									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Communication (G&S)	509	(51)	-	458	458	-	100.0%	425	425
Computer services	450	11 116	-	11 566	11 566	-	100.0%	-	-
Consultants: Business and advisory services	32 709	(13 894)	(7 014)	11 801	8 376	3 425	71.0%	8 223	8 223
Legal services	-	168	-	168	168	-	100.0%	253	253
Contractors	-	4	-	4	4	-	100.0%	35	35
Entertainment	-	3	-	3	3	-	100.0%	60	60
Inventory: Other supplies	306	(306)	-	-	-	-	-	-	-
Consumable supplies	-	296	-	296	296	-	100.0%	264	264
Consumable: Stationery, printing and office supplies	1 516	(1 353)	-	163	163	-	100.0%	75	75
Operating leases	361	(253)	-	108	108	-	100.0%	119	119
Property payments	75	(75)	-	-	-	-	-	-	-
Travel and subsistence	2 950	5 220	-	8 170	8 170	-	100.0%	7 882	7 882
Training and development	295	570	-	865	865	-	100.0%	499	499
Operating payments	2 473	(282)	-	2 191	2 191	-	100.0%	2 144	2 144
Venues and facilities	3 123	(1 562)	(750)	811	811	-	100.0%	2 366	2 366
Rental and hiring	23	(23)	-	-	-	-	-	148	148
Transfers and subsidies	6 843	80	-	6 923	6 923	-	100.0%	6 931	6 931
Department agencies and accounts	6 843	-	-	6 843	6 843	-	100.0%	6 832	6 832
Department agencies (non- business entities)	6 843	-	-	6 843	6 843	-	100.0%	6 832	6 832

APPROPRIATION STATEMENT
for the year ended 31 March 2017

Programme 7: CHEMICALS AND WASTE MANAGEMENT									
SUB PROGRAMME	2016/17							2015/16	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Households	-	80	-	80	80	-	100.0%	99	99
Social benefits	-	80	-	80	80	-	100.0%	99	99
Payments for capital assets	603	(186)	-	417	417	-	100.0%	554	554
Machinery and equipment	603	(186)	-	417	417	-	100.0%	554	554
Other machinery and equipment	603	(186)	-	417	417	-	100.0%	554	554
Payments for financial assets	-	-	-	-	-	-	-	26	26
TOTAL	109 273	(3 116)	(7 764)	98 393	94 968	3 425	96.5%	79 740	79 740

NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2017

1. DETAIL OF TRANSFERS AND SUBSIDIES AS PER APPROPRIATION ACT (AFTER VIREMENT):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-E) to the Annual Financial Statements.

2. DETAIL OF SPECIFICALLY AND EXCLUSIVELY APPROPRIATED AMOUNTS VOTED (AFTER VIREMENT):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

Earmarked amounts included in the Appropriation account can be summarised as follows:

2.1 EXPANDED PUBLIC WORKS PROGRAMME PROJECTS	MAIN APPROPRIATION R'000	ADJUSTMENTS R'000	ADJUSTED APPROPRIATION R'000
Environmental Protection and Infrastructure Programmes	741 074	41 017	782 091
Incentive: Environmental Protection and Infrastructure Programmes	169 484	-	169 484
Working for Water	887 732	29 657	917 389
Incentive: Working for Water	144 397	-	144 397
Working on Fire	509 699	64 732	574 431
Incentive: Working on Fire	46 180	-	46 180
Total Expanded Public Works Projects	2 498 566	135 406	2 633 972

Expanded Public Works Programme Projects were under Transfers to Household and the actual expenditure re-allocated to the different transfer categories as indicated in the transfer payment annexures to the financial statements

2.2 Economic Competitive Support Package	MAIN APPROPRIATION R'000	ADJUSTMENTS R'000	ADJUSTED APPROPRIATION R'000
Oceans and Marine Research and Development	158 000	-	158 000
iSimangaliso Wetlands Park: equipment, Facilities and infrastructure	32 000	-	32 000
South African National Parks: equipment, Facilities and infrastructure	107 000	-	107 000
Green Fund administered by the Development Bank of South Africa	180 000	-	180 000
TOTAL ECONOMIC COMPETITIVE SUPPORT PACKAGE	477 000	-	477 000

Funds allocated from the Competitive Support Package were spent according to the purpose indicated. The Oceans and Marine Research and Development allocation was utilised for the manning and operating expenditure for the Research Vessels of the Department. The allocation to iSimangaliso and South Africa National Parks was transferred to the indicated Public Entities for Infrastructure Development. The allocation to the Green Fund was transferred to the Development Bank of South Africa for administration of the investment of the informal sector in green initiatives.

NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2017

2.3 Compensation of Employee Ceiling

An amount of R1 001,609 million was allocated to the department to cover the cost of employees in the department. During the Adjusted Estimates the department was cut by an amount of R5m. The amount of R996, 609 million allocated was spent on the compensation of employees and leave gratuity.

3. DETAIL ON PAYMENTS FOR FINANCIAL ASSETS

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. EXPLANATIONS OF MATERIAL VARIANCES FROM AMOUNTS VOTED (AFTER VIREMENT):

4.1 PER PROGRAMME	FINAL APPROPRIATION R'000	ACTUAL EXPENDITURE R'000	VARIANCE R'000	VARIANCE AS A% OF FINAL APPROPRIATION
Administration	828 874	827 902	972	0.1%
Climate Change and Air Quality	296 403	295 484	919	0.3%
Environmental Programmes	3 805 726	3 766 912	38 814	1.0%
Chemicals and Waste Management	98 393	94 968	3 425	3.5%

The establishment of the Waste Bureau progressed slower than anticipated.

4.2 PER ECONOMICAL CLASSIFICATION	FINAL APPROPRIATION R'000	ACTUAL EXPENDITURE R'000	VARIANCE R'000	VARIANCE AS A% OF FINAL APPROPRIATION
	R'000	R'000	R'000	R'000
Current expenditure				
Compensation of employees	993 720	992 748	972	0.10%
Goods and services	1 094 269	1 089 692	4 577	0.42%
Interest and rent on land				
Transfers and subsidies				
Provinces and municipalities	33 799	33 799	-	0%
Departmental agencies and accounts	2 237 366	2 198 785	38 581	1.75%
Higher education	15 666	15 666	-	0%

NOTES TO THE APPROPRIATION STATEMENT
for the year ended 31 March 2017

4.2 PER ECONOMICAL CLASSIFICATION	FINAL APPROPRIATION R'000	ACTUAL EXPENDITURE R'000	VARIANCE R'000	VARIANCE AS A% OF FINAL APPROPRIATION
Public corporations and private enterprises	1 719 026	1 719 026	-	0%
Foreign governments and international organisations	16 000	16 000	-	0%
Non-profit institutions	122 689	122 689	-	0%
Households	4 264	4 264	-	0%
Payments for capital assets				
Buildings and other fixed structures	136 864	136 864	-	0%
Machinery and equipment	41 246	41 246	-	0%
Software and other intangible assets	9 987	9 987	-	0%
Payments for financial assets	205	205	-	0%
TOTAL				

The appropriation statement includes an amount of R3, 864 billion under Transfers and Subsidies. The Modified Cash Standard requires that all expenditure be recorded for their use.

The transfers to Households to the amount of R2, 431 billion (R2, 415 billion) includes transfers to Implementation Agents for EPWP projects to the amount of R2, 428 billion (R2, 413 billion) R2, 203 billion (R2, 210 billion) and R225 million (R202 million) respectively reclassified as Goods & Services and Expenditure for Capital Assets due to their use per the Modified Cash Standard.

STATEMENT OF FINANCIAL PERFORMANCE
for the year ended 31 March 2017

	Note	2016/17		2015/16
		R'000		R'000
REVENUE				
Annual appropriation	1	6 425 101		5 943 297
Departmental revenue	2	21 180		11 486
Aid assistance	3	15 795		-
TOTAL REVENUE		6 462 076		5 954 783
EXPENDITURE				
Current expenditure				
Compensation of employees	4	992 748		909 177
Goods and services	5	1 089 692		1 003 467
Aid assistance	3	19 509		11 921
TOTAL CURRENT EXPENDITURE		2 101 949		1 924 565
Transfers and subsidies	7	4 110 229		3 863 510
Total transfers and subsidies		4 110 229		3 863 510
Expenditure for capital assets				
Tangible assets	8	178 224		159 313
Intangible assets	8	9 987		1 824
Total expenditure for capital assets		188 211		161 137
Payments for financial assets	6	205		908
TOTAL EXPENDITURE		6 400 594		5 950 120
SURPLUS FOR THE YEAR		61 482		4 663
Reconciliation of Net Surplus for the year				
Voted funds		44 130		5 377
Annual appropriation		44 130		5 377
Departmental revenue and NRF Receipts	13	21 180		11 486
Aid assistance	3	(3 828)		(12 200)
SURPLUS FOR THE YEAR		61 482		4 663

STATEMENT OF FINANCIAL PERFORMANCE
for the year ended 31 March 2017

	Note	2016/17		2015/16
		R'000		R'000
ASSETS				
Current assets		50 447		26 099
Cash and cash equivalents	9	22 001		1 488
Prepayments and advances	10	515		475
Receivables	11	2 699		4 205
Aid assistance receivable	3	25 232		19 931
Non-current assets		38 293		21 773
Receivables	9	38 293		21 773
TOTAL ASSETS		88 740		47 872
LIABILITIES				
Current liabilities		76 431		36 469
Voted funds to be surrendered to the Revenue Fund	12	44 130		5 377
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	13	151		247
Payables	14	30 677		30 845
Aid assistance repayable	3	1 473		-
TOTAL LIABILITIES		76 431		36 469
NET ASSETS		12 309		11 403
Represented by:				
Recoverable revenue		12 309		11 403
TOTAL		12 309		11 403

STATEMENT OF FINANCIAL POSITION
as at 31 March 2017

	Note	2016/17		2015/16
		R'000		R'000
Recoverable revenue				
Opening balance		11 403		7 131
Transfers:		906		4 272
Irrecoverable amounts written off	6.2	(13)		(3)
Debts recovered (included in departmental receipts)		(129)		(1 155)
Debts raised		1 048		5 430
Closing balance		12 309		11 403
TOTAL		12 309		11 403

CASH FLOW STATEMENT
for the year ended 31 March 2016

	Note	2016/17		2015/16
		R'000		R'000
CASH FLOWS FROM OPERATING ACTIVITIES				
Receipts		6 461 990		5 954 669
Annual appropriated funds received	1.1	6 425 101		5 943 297
Departmental revenue received	2	20 972		11 248
Interest received	2.3	122		124
Aid assistance received	3	15 795		-
Net (increase)/decrease in working capital		(15 222)		(15 828)
Surrendered to Revenue Fund		(26 653)		(22 783)
Current payments		(2 101 949)		(1 924 565)
Payments for financial assets		(205)		(908)
Transfers and subsidies paid		(4 110 229)		(3 863 510)
Net cash flow available from operating activities	15	207 732		127 075
CASH FLOWS FROM INVESTING ACTIVITIES				
Payments for capital assets	8	(188 211)		(161 137)
Proceeds from sale of capital assets	2.4	86		114
Net cash flows from investing activities		188 125		(161 023)
Increase/(decrease) in net assets		906		4 272
Net cash flows from financing activities		906		4 272
Net increase/(decrease) in cash and cash equivalents		20 513		(29 676)
Cash and cash equivalents at beginning of period		1 488		31 164
Cash and cash equivalents at end of period	16	22 001		1 488

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1	Basis of preparation The financial statements have been prepared in accordance with the Modified Cash Standard.
2	Going concern The financial statements have been prepared on a going concern basis.
3	Presentation currency Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.
4	Rounding Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).
5	Foreign currency translation Cash flows arising from foreign currency transactions are translated into South African Rands using the spot exchange rates prevailing at the date of payment / receipt.
6	Comparative information
6.1	Prior period comparative information Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.
6.2	Current year comparison with budget A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.
7	Revenue
7.1	Appropriated funds Appropriated funds comprises of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation). Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective. The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.

ACCOUNTING POLICIES
for the year ended 31 March 2017

7.2	<p>Departmental revenue</p> <p>Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise. Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.</p>
7.3	<p>Accrued departmental revenue</p> <p>Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:</p> <ul style="list-style-type: none"> • it is probable that the economic benefits or service potential associated with the transaction will flow to the department; and • the amount of revenue can be measured reliably. <p>The accrued revenue is measured at the fair value of the consideration receivable.</p> <p>Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents.</p> <p>Write off's are made according to the departments debt write off policy.</p>
8	Expenditure
8.1	Compensation of employees
8.1.1	<p>Salaries and wages</p> <p>Salaries and wages are recognised in the statement of financial performance on the date of payment.</p>
8.1.2	<p>Social contributions</p> <p>Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment. Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.</p>
8.2	<p>Other expenditure</p> <p>Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.</p>
8.3	<p>Accrued expenditure payable</p> <p>Accrued expenditure payable is recorded in the notes to the financial statements when the goods are received or, in the case of services, when they are rendered to the department or in the case of transfers and subsidies when they are due and payable. Accrued expenditure payable is measured at cost.</p>
8.4	Leases
8.4.1	<p>Operating leases</p> <p>Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment.</p> <p>The operating lease commitments are recorded in the notes to the financial statements.</p>

8.4.2	<p>Finance leases</p> <p>Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment.</p> <p>The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions.</p> <p>Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:</p> <ul style="list-style-type: none"> • cost, being the fair value of the asset; or • the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest.
9	Aid Assistance
9.1	<p>Aid assistance received</p> <p>Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value.</p> <p>Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.</p>
9.2	<p>Aid assistance paid</p> <p>Aid assistance paid is recognised in the statement of financial performance on the date of payment.</p> <p>Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.</p>
10	<p>Cash and cash equivalents</p> <p>Cash and cash equivalents are stated at cost in the statement of financial position.</p> <p>Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.</p> <p>For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.</p>
11	<p>Prepayments and advances</p> <p>Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.</p> <p>Prepayments and advances are initially and subsequently measured at cost.</p> <p>Indicate when prepayments are expensed and under what circumstances.</p>
12	<p>Loans and receivables</p> <p>Loans and receivables are recognised in the statement of financial position at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.</p> <p>Write-offs are made according to the department's write-off policy.</p>
13	<p>Investments</p> <p>Investments are recognised in the statement of financial position at cost.</p>
14	Financial assets

ACCOUNTING POLICIES
for the year ended 31 March 2017

14.1	<p>Financial assets (not covered elsewhere)</p> <p>A financial asset is recognised initially at its cost plus transaction costs that are directly attributable to the acquisition or issue of the financial.</p> <p>At the reporting date, a department shall measure its financial assets at cost, less amounts already settled or written-off, except for recognised loans and receivables, which are measured at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.</p>
14	<p>Impairment of financial assets</p> <p>Where there is an indication of impairment of a financial asset, an estimation of the reduction in the recorded carrying value, to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset, is recorded in the notes to the financial statements.</p>
15	<p>Payables</p> <p>Loans and payables are recognised in the statement of financial position at cost.</p>
16	<p>Capital Assets</p>
16.1	<p>Immovable capital assets</p> <p>Immovable capital assets are initially recorded in the notes to the financial statements at cost.</p> <p>Immovable capital assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.</p> <p>Where the cost of immovable capital assets cannot be determined reliably, the immovable capital assets are measured at fair value for recording in the asset register.</p> <p>Immovable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the immovable asset is recorded by another department in which case the completed project costs are transferred to that department.</p>
16.2	<p>Movable capital assets Movable capital assets are initially recorded in the notes to the financial statements at cost.</p> <p>Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.</p> <p>Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1. Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the movable asset is recorded by another department/entity in which case the completed project costs are transferred to that department.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2017

16.3	<p>Intangible assets</p> <p>Intangible assets are initially recorded in the notes to the financial statements at cost.</p> <p>Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.</p> <p>Internally generated intangible assets are recorded in the notes to the financial statements when the department commences the development phase of the project.</p> <p>Where the cost of intangible assets cannot be determined reliably, the intangible capital assets are measured at fair value and where fair value cannot be determined; the intangible assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.</p> <p>Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the intangible asset is recorded by another department/entity in which case the completed project costs are transferred to that department.</p>
17	Provisions and Contingents
17.1	<p>Provisions</p> <p>Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made.</p> <p>The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.</p>
17.2	<p>Contingent liabilities</p> <p>Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.</p>
17.3	<p>Contingent assets</p> <p>Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department.</p>
17.4	<p>Commitments</p> <p>Commitments (other than for transfers and subsidies) are recorded at cost in the notes to the financial statements when there is a contractual arrangement or an approval by management in a manner that raises a valid expectation that the department will discharge its responsibilities thereby incurring future expenditure that will result in the outflow of cash.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2017

18	<p>Changes in accounting policies, accounting estimates and errors</p> <p>Changes in accounting policies that are effected by management have been applied retrospectively in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the change in policy.</p> <p>In such instances the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.</p> <p>Changes in accounting estimates are applied prospectively in accordance with MCS requirements.</p> <p>Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error.</p> <p>In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.</p>
19	<p>Recoverable revenue</p> <p>Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year.</p> <p>Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.</p>
20	<p>Related party transactions</p> <p>A related party transaction is a transfer of resources, services or obligations between the reporting entity and a related party.</p> <p>Related party transactions within the Minister portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.</p> <p>Key management personnel are those persons having the authority and responsibility for planning, directing and controlling the activities of the department. The number of individuals and their full compensation is recorded in the notes to the financial statements.</p>

ACCOUNTING POLICIES
for the year ended 31 March 2017

1. ANNUAL APPROPRIATION

1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds) and Provincial Departments:

PROGRAMMES	2016/17					2015/16		
	Final Appropriation		Actual Funds Received		Funds not requested/ not received	Final Appropriation		Appropriation received
	R'000		R'000		R'000	R'000		R'000
Administration	828 874		828 874		-	832 487		832 487
Legal, Authorisations and Compliance	154 303		154 303		-	131 717		131 717
Oceans and Coasts	502 681		502 681		-	372 021		372 021
Climate Change and Air Quality	296 403		296 403		-	246 114		246 114
Biodiversity and Conservation	738 721		738 721		-	700 648		700 648
Environmental Programmes	3 805 726		3 805 726		-	3 580 570		3 580 570
Chemicals and Waste Management	98 393		98 393		-	79 740		79 740
TOTAL	6 425 101		6 425 101		-	5 943 297		5 943 297

2. DEPARTMENTAL REVENUE

	Note	2016/17		2015/16
		R'000		R'000
Sales of goods and services other than capital assets	2.1	3 520		3 790
Fines, penalties and forfeits	2.2	2 240		2 080
Interest, dividends and rent on land	2.3	122		124
Sales of capital assets	2.4	86		114
Transactions in financial assets and liabilities	2.5	15 212		5 378
Total revenue collected		21 180		11 486
DEPARTMENTAL REVENUE COLLECTED		21 180		11 486

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

2.1 Sales of goods and services other than capital assets

	Note	2016/17		2015/16
		R'000		R'000
Sales of goods and services produced by the department		3 520		3 790
Sales by market establishment		233		224
Administrative fees		2 976		3 268
Other sales		311		298
TOTAL		3 520		3 790

2.2 Fines, penalties and forfeits

	Note	2016/17		2015/16
		R'000		R'000
Fines	2	2 240		2 080
TOTAL		2 240		2 080

2.3 Interest, dividends and rent on land

	Note	2016/17		2015/16
		R'000		R'000
Interest	2	122		124
TOTAL		122		124

2.4 Sale of capital assets

	Note	2016/17		2015/16
		R'000		R'000
Tangible assets	2	86		1 44
Machinery and equipment	28	86		144
TOTAL		86		144

ACCOUNTING POLICIES
for the year ended 31 March 2017

2.5 Transactions in financial assets and liabilities

	Note	2016/17		2015/16
		R'000		R'000
Receivables	2	624		371
Other Receipts including Recoverable Revenue		14 588		5 007
TOTAL		15 212		5 378

Included in the 2016/17 financial year is an amount of R8, 271m for National Research Foundation (NRF) Salary claim for South African Antarctica Programme (SANAP) scientists personnel appointed.

3. AID ASSISTANCE

	Note	2016/17		2015/16
		R'000		R'000
Opening Balance		(19 931)		(7 731)
Transferred from statement of financial performances		(3 828)		(12 200)
Paid during the year				
CLOSING BALANCE		(23 759)		(19 931)

Transferred from statement of financial performance is the difference between aid assistance revenue to the amount of R15,795m and the expenditure of R19,623m (R114 thousand capital expenditure and R19,509m current expenditure). See annexure 1G

3.1 Analysis of balance by source

	Note	2016/17		2015/16
		R'000		R'000
Aid assistance from RDP	3	(23 759)		(19 931)
CLOSING BALANCE		(23 759)		(19 931)

3.2 Analysis of balance

	Note	2016/17		2015/16
		R'000		R'000
Aid assistance receivable	3	(25 232)		(19 931)
Aid assistance repayable	3	1 473		-
CLOSING BALANCE		(23 759)		(19 931)

4. COMPENSATION OF EMPLOYEES

4.1 Salaries and Wages

	Note	2016/17		2015/16
		R'000		R'000
Basic salary		686 834		630 206
Performance award		15 241		19 044
Service Based		412		272
Compensative/circumstantial		13 198		10 824
Periodic payments		138		-
Other non-pensionable allowances		160 420		143 069
TOTAL		876 243		803 415

4.2 Social contributions

	Note	2016/17		2015/16
		R'000		R'000
Employer contributions				
Pension		85 007		77 700
Medical		31 358		27 933
Bargaining council		140		129
TOTAL		116 505		105 762
TOTAL COMPENSATION OF EMPLOYEES		992 748		909 177
Average number of employees		1 824		1 896

The average number of employees declined due to the re-classification of interns as extra ordinary employees to the establishment

5. GOODS AND SERVICES

	Note	2016/17		2015/16
		R'000		R'000
Administrative fees		3 462		1 436
Advertising		15 983		14 302
Minor assets		2 403		3 084
Bursaries (employees)		1 640		1 376
Catering		11 374		7 420
Communication		21 635		20 570
Computer services		75 878		75 547
*Consultants: Business and advisory services		169 373		126 354
Laboratory services		990		113
Legal services		9 842		4 093
Contractors		100 386		143 686
*Agency and support / outsourced services		187 488		144 208
Entertainment		43		128
Audit cost – external		8 469		8 875
Fleet services		10 425		8 466
Inventory		26 370		34 874
Consumables		25 176		24 600
Operating leases		81 008		70 884
Property payments		16 515		12 710
Rental and hiring		5 781		3 017
Transport provided as part of the departmental activities		2 372		555
Travel and subsistence		171 132		179 501
*Venues and facilities		60 613		34 658
Training and development		15 397		17 733
Other operating expenditure		65 937		65 277
TOTAL		1 089 692		1 003 467

*Included under the item Consultants is mainly Communication cost amounting to R19,5m for CITES COP17 agreement for the development and implementation of a comprehensive national and international communication and Research and Advisory cost for hosting and managing the refurbishment of laboratory space of the National Pollution Laboratory amounting to (R27m) work done by Walter Sisulu University and Nelson Mandela Metropolitan University.

Included in Agency and support is Professional Staff cost for Crewing, manning and vessel operating cost in terms of Crewing and Ship management agreement entered into i.r.o the SA Agulhas II Polar vessel amounting to R18 462m- African Marine Solutions (AMSOL) and Smit Amandla Marine.

Included in Venues and facilities is an amount of R35,890m for Venue hire and related costs for the 17th meeting of the Conference Of the Parties (COP17) to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES).

5.1 Minor assets

	Note	2016/17		2015/16
	5	R'000		R'000
Tangible assets		2 403		3 071
Machinery and equipment		2 278		2 994
Transport assets		125		77
Intangible assets		-		13
Software				13
TOTAL		2 403		3 084

Increase mainly due to the purchase of 236 signage boards for the control of vehicle use on coastal areas and office furniture for Cape Town Office

5.2 Computer services

	Note	2016/17		2015/16
	5	R'000		R'000
SITA computer services		34 434		53 275
External computer service providers		41 444		22 272
TOTAL		75 878		75 547

Increase mainly due to system development and implementation at the DEA Head Office (R20,1m), the migration to Microsoft and business intelligence analysis and a data assurance solution (R17,3m)

5.3 Audit cost – External

	Note	2016/17		2015/16
	5	R'000		R'000
Regularity audits		7 200		7 212
Investigations		1 269		1 663
TOTAL		8 469		8 875

Increase mainly due to tariff increases, visits to regional offices and the payment of the March 2015 audit cost in April 2015 as well as the cost related to fraud hotline investigation by external service providers.

5.4 Inventory

	Note	2016/17		2015/16
	5	R'000		R'000
Clothing material accessories		1 214		-
Food and food supplies		1 141		953
Fuel, oil and gas		24 014		33 421
Materials and supplies		1		476
Medical supplies		-		24
TOTAL		26 370		34 874

Included under the item clothing material and accessories is the procurement of bulk clothing for SANAE 2016/17 Expedition and bulk protective clothing for Marion 15/16 Expedition (Only paid in 2016/17)

Included under the item fuel, oil and gas for 2015/16 is an amount of R29,2m (R20,7m for 2016/17) for the purchase of herbicides for use by Environmental Programmes.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

5.5 Consumables

	Note	2016/17		2015/16
	5	R'000		R'000
Consumable supplies		17 318		16 091
Uniform and clothing		4 429		4 016
Household supplies		5 241		4 987
Building material and supplies		3 505		2 488
Communication accessories		246		38
IT consumables		689		1 451
Other consumables		3 208		3 111
Stationery, printing and office supplies		7 858		8 509
TOTAL		25 176		24 600

5.6 Property payments

	Note	2016/17		2015/16
	5	R'000		R'000
Municipal services		11 694		7 986
Property management fees		506		524
Property maintenance and repairs		2 808		2 275
Other		1 507		1 925
TOTAL		16 515		12 710

Increase in municipal services is mainly due to tariffs hike in electricity by Eskom

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

5.7 Travel and subsistence

	Note	2016/17		2015/16
	5	R'000		R'000
Local		126 311		132 408
Foreign		44 821		47 093
TOTAL		171 132		179 501

5.8 Other operating expenditure

	Note	2016/17		2015/16
	5	R'000		R'000
Professional bodies, membership and subscription fees		14 081		25 124
Resettlement costs		1 172		1 336
Other		50 684		38 817
TOTAL		65 937		65 277

6. PAYMENTS FOR FINANCIAL ASSETS

	Note	2016/17		2015/16
		R'000		R'000
Other material losses written off	6.1	192		905
Debts written off	6.2	13		3
TOTAL		205		908

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

6.1 Other material losses written off

	Note	2016/17		2015/16
	6	R'000		R'000
Vehicle losses – damaged due to accidents		192		902
Inventory Losses – Damaged Vehicles		-		3
TOTAL		192		905

Increase is mainly due to the increase in own vehicle fleet and progress with the investigation into accident and loss cases by Legal Services. Written off as irrecoverable loss in accordance with TR12.5.2

6.2 Debts written off

	Note	2016/17		2015/16
	6	R'000		R'000
Debtors		13		3
TOTAL DEBT WRITTEN OFF		13		3

Debt written off in accordance with TR 11.4.1 (B)

7. TRANSFERS AND SUBSIDIES

	Note	2016/17		2015/16
		R'000		R'000
Provinces and municipalities	31	33 799		24 960
Departmental agencies and accounts	Annex 1A	2 198 785		1 678 463
Higher education institutions	Annex 1B	15 666		20 614
Foreign governments and international organisations	Annex 1D	16 000		16 000
Public corporations and private enterprises	Annex 1C	1 719 026		1 967 953
Non-profit institutions	Annex 1E	122 689		151 967
Households	Annex 1F	4 264		3 553
TOTAL		4 110 229		3 863 510

Assets created to the value of R246, 643 million (R28, 750m) has been transferred to owning entities. Assets completed but not yet transferred amounted to R30, 523 million (R40, 544m). The total work in progress amounts to R689, 829 million (R783, 505m).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

8. EXPENDITURE FOR CAPITAL

	Note	2016/17	2015/16
		R'000	R'000
Tangible assets		178 224	159 313
Buildings and other fixed structures	29	136 864	129 352
Machinery and equipment	27	41 360	29 961
Intangible assets		9 987	1 824
Software	28	9 987	1 824
TOTAL		188 211	161 137

Included under Buildings and other fixed structures is R129,346 million (R103,191 million) for Unitary payments, DEA's wendy house of R7 thousand plus R224,481 million (R202,449 million) EPWP transfers to households (reclassified per Modified Cash Standard requirements). Included in the reclassified amount are expenditure items such as wages, transport, fuel, training, building materials, safety clothes, management fees, etcetera.

8.1 Analysis of funds utilised to acquire capital assets – 2016/17

	Voted funds	Aid assistance	Total
	R'000	R'000	R'000
Tangible assets	178 110	114	178 224
Buildings and other fixed structures	136 864	-	136 864
Machinery and equipment	41 246	114	41 360
Intangible assets	9 987	-	9 987
Software	9 987	-	9 987
TOTAL	188 097	114	188 211

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

8.2 Analysis of funds utilised to acquire capital assets – 2015/16

	Voted funds		Aid assistance		Total
	R'000		R'000		R'000
Tangible assets	159 034		279		159 313
Buildings and other fixed structures	129 352		-		129 352
Machinery and equipment	29 682		279		29 961
Intangible assets	1 824		-		1 824
Software	1 824		-		1 824
TOTAL	160 858		279		161 137

8.3 Finance lease expenditure included in Expenditure for capital assets

	Note	2016/17		2015/16
		R'000		R'000
Tangible assets				
Buildings and other fixed structures		136 864		129 346
Machinery and equipment		104		1 312
TOTAL		136 968		130 658

In terms of the PPP contract for the DEA building, the unitary payment related to the building increases annually with March CPI. Invoice for March 2014/15 services has been paid during the 2013/14 financial year thus 2014/15 only includes 11 payments.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

9. CASH AND CASH EQUIVALENTS

	Note	2016/17		2015/16
		R'000		R'000
Consolidated Paymaster General Account		21 748		1 266
Cash on hand		222		222
Cash with commercial banks (Local)		31		-
TOTAL		22 001		1 488

The Department Paymaster general reflected a net of a favourable balance of R51,182m (R6,556m 2015/16) less other outstanding transactions of R29, 434m (R5,290m 2015/16).

10. PREPAYMENTS AND ADVANCES

	Note	2016/17		2015/16
		R'000		R'000
Travel and subsistence		515		475
TOTAL		515		475

11. RECEIVABLES

	Note	2016/17			2015/16		
		Current	Non-current	Total	Current	Non-current	Total
		R'000	R'000	R'000	R'000	R'000	R'000
Claims recoverable	11.1	899	22 937	23 836	212	9 488	9 700
Trade receivables	11.2	-	11 540	11 540	2 277	8 420	10 697
Recoverable expenditure	11.3	1 512	2 460	3 972	1 431	2 567	3 998
Staff debt	11.4	288	1 339	1 627	285	1 298	1 583
Other debtors	11.5	-	17	17	-	-	-
TOTAL		2 699	38 293	40 992	4 205	21 773	25 978

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

11.1 Claims recoverable

	Note	2016/17		2015/16
	11	R'000		R'000
National departments		899		212
Provincial departments		17 758		9 488
Public entities		5 179		-
TOTAL		23 836		9 700

Increase is due to National Research Foundation salary claim for South African Antarctica Programme (SANAP) scientists personnel appointed.

11.2 Trade receivables

	Note	2016/17		2015/16
	11	R'000		R'000
Implementation Projects		11 540		10 697
TOTAL		11 540		10 697

11.3 Recoverable expenditure (disallowance accounts)

	Note	2016/17		2015/16
	11	R'000		R'000
Department Suspense Account		3 933		3 959
Salary Reversal Control Account		39		39
TOTAL		3 972		3 998

11.4 Staff debt

	Note	2016/17		2015/16
	11	R'000		R'000
Debt Accounts		1 627		1 583
TOTAL		1 627		1 583

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

11.5 Other debtors

	Note	2016/17		2015/16
	11	R'000		R'000
Other		17		-
TOTAL		17		-

12. VOTED FUNDS TO BE SURRENDERED TO THE REVENUE FUND

	Note	2016/17		2015/16
		R'000		R'000
Opening balance		5 377		5 327
Transfer from statement of financial performance (as restated)		44 130		5 377
Paid during the year		(5 377)		(5 327)
CLOSING BALANCE		44 130		5 377

13. DEPARTMENTAL REVENUE AND NRF RECEIPTS TO BE SURRENDERED TO THE REVENUE FUND

	Note	2016/17		2015/16
		R'000		R'000
Opening balance		247		6 217
Transfer from Statement of Financial Performance (as restated)		21 180		11 486
Paid during the year		(21 276)		(17 456)
CLOSING BALANCE		151		247

14. PAYABLES – CURRENT

	Note	2016/17		2015/16
		R'000		R'000
Other payables	14.1	30 677		30 845
TOTAL		30 677		30 845

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for the year ended 31 March 2017

14.1 Other payables

	Note	2016/17		2015/16
	14	R'000		R'000
Salary related payables		170		188
Department suspense accounts		30 496		30 645
Control account		11		12
TOTAL		30 677		30 845

15. NET CASH FLOW AVAILABLE FROM OPERATING ACTIVITIES

	Note	2016/17		2015/16
		R'000		R'000
Net surplus/(deficit) as per Statement of Financial Performance		61 482		4 663
Add back non cash/cash movements not deemed operating activities		146 250		122 412
(Increase)/decrease in receivables – current		(15 014)		7 932
(Increase)/decrease in prepayments and advances		(40)		102
Increase/(decrease) in payables – current		(168)		(23 862)
Proceeds from sale of capital assets		(86)		(114)
Expenditure on capital assets		188 211		161 137
Surrenders to Revenue Fund		(26 653)		(22 783)
NET CASH FLOW GENERATED BY OPERATING ACTIVITIES		207 732		127 075

The surrenders to Revenue Fund include both the previous year portion of Voted Funds to be surrendered but paid during the year (Note 12) and the department revenue paid during this year (note 13).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

16. RECONCILIATION OF CASH AND CASH EQUIVALENTS FOR CASH FLOW PURPOSES

	Note	2016/17		2015/16
		R'000		R'000
Consolidated Paymaster General account		21 748		1 266
Cash on hand		222		222
Cash with commercial banks (Local)		31		-
TOTAL		22 001		1 488

17. CONTINGENT LIABILITIES AND CONTINGENT ASSETS

17.1 Contingent liabilities

	Note	2016/17		2015/16
		R'000		R'000
Liable to	Nature			
Housing loan guarantees	Employees	291		463
Claims against the department	Annex 3B	7 858		7 860
Intergovernmental payables (unconfirmed balances)	Annex 5	12		-
TOTAL		8 161		8 323

7.2 Contingent assets

	Note	2016/17		2015/16
		R'000		R'000
Nature of contingent asset				
Section 24G Administration fines		1 025		1 025
Claims against a service provider		104		88
TOTAL		1 129		1 113

Included in 2014/15 is an amount of R2,3m from Dube Trade port (the Quantum appeal administrative fine reduced by the Minister to R1,3m and was paid during the year under review).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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18. COMMITMENTS

	Note	2016/17		2015/16
		R'000		R'000
Current expenditure				
Approved and contracted		569 913		637 595
Approved but not yet contracted		16 164		18 738
		586 077		656 333
Capital expenditure				
Approved and contracted		3 895		3 709
Approved but not yet contracted				
		3 895		3 709
TOTAL COMMITMENTS		589 972		660 042

Commitment amounts are based on the outstanding value of the full remaining contract period which may be more than 12 months.

19. ACCRUALS AND PAYABLES NOT RECOGNISED

Listed by economic classification			2016/17		2015/16
			R'000		R'000
	30 Days	30+ Days	Total		Total
Goods and services	27 575	694	28 269		57 217
Capital assets	428	-	428		8 450
Other	31	16	47		5 367
TOTAL	28 034	710	28 744		71 034

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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Listed by programme level	Note	2016/17		2015/16
		R'000		R'000
Administration		8 899		13 742
Legal, Authorisation and Compliance		869		305
Ocean and coasts		7 381		31 989
Climate Change and Air Quality		771		1 280
Biodiversity and Conservation		1 434		5 287
Environmental Programmes		8 340		12 734
Chemicals and Waste Management		1 003		330
Other (Assets & Liabilities)		47		5 367
TOTAL		28 744		71 034
<p>Administration- includes invoice from the Business Advocacy Group – Purchasing of (R1.4m) Licence Fees for Nettrace Asset Management, Data and Device Security and procurement of (R1.4m) general adhoc improvements, database system analysis and services from SITA</p> <p>Oceans and Coasts – includes an invoice of (R3, m) from Ford Motor Company for Departmental vehicle for EP Directorate: Biosecurity for Compliance Monitoring. To provide transport for the employees stationed at OR Tambo International Airport. Purchased in terms of RT 57-2016/17</p> <p>Included in 2015/16 is an invoice from Nelson Mandela Metropolitan/Walter Sisulu University (R16m) for Operation Phakisa: (Hosting and managing National Ocean and Coasts Pollution Monitoring Laboratory)</p> <p>Biodiversity and Conservation - Included in 2015/16 is an invoice from McKinsey & CO (R4,4m) for Tourism Biodiversity project (Technical support for the development of detailed delivery plans for enhancing the Biodiversity based economy of South Africa)</p> <p>Environmental Programmes - Included in 2015/16 is an invoice to Independent Development Trust (IDT) (R1,7m) to implement Invasive Alien Plant, Bush-encroachment control and Donga Reclamation, and Agricultural Research Council (ARC) (R4,9m) for Repeat and Refinement National Invasive Alien Plant Survey.</p>				
Included in the above totals are the following:				
Confirmed balances with other departments	Annex 5	39 165		7 035
TOTAL		39 165		7 035

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

20. EMPLOYEE BENEFITS

	Note	2016/17		2015/16
		R'000		R'000
*Leave entitlement		20 270		17 776
Service bonus (Thirteenth cheque)		26 286		24 962
Performance awards		15 526		13 960
Capped leave commitments		12 079		11 836
**Other		412		331
TOTAL		74 573		68 865

A negative amount of R543 thousand was offset against leave entitlement. The amount was as a result of pro-rata calculation of leave taken by employees as at 31 March 2017.

If an employee takes more leave than what is entitled, it results in a negative leave balance. This situation is automatically rectified during the following leave period.

*Long service recognition is as follow:

1. 25 employees qualified for 20 years continued service cash award of R9 886 (R247 150)
2. 7 employees qualified for 30 years continued service cash award of R19 771 (R138 397)
3. 1 employees qualified for 40 years continued service cash award of R26 362

21 LEASE COMMITMENTS

21.1 Operating leases expenditure

2016/17	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	20 063	3 847	23 910
Later than 1 year and not later than 5 years	-	-	16 301	3 214	19 515
Later than five years	-	-	-	21	21
TOTAL LEASE COMMITMENTS	-	-	36 364	7 082	43 446

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

2015/16	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	32 658	3 363	36 021
Later than 1 year and not later than 5 years	-	-	18 203	3 447	21 650
Later than five years	-	-	-	-	-
TOTAL LEASE COMMITMENTS	-	-	50 861	6 810	57 671

The escalation clauses of the 29 buildings leased by the department range from 5.5% to 10%, The department is occupying 6 buildings where leases have expired & the process of renewing the leases has not begun the potential commitment amounts to R25 552 million per year.

21.2 Finance leases

2016/17	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	41	41
TOTAL LEASE COMMITMENTS	-	-	-	41	41

2015/16	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	103	103
Later than 1 year and not later than 5 years	-	-	-	41	41
TOTAL LEASE COMMITMENTS	-	-	-	144	144

**This note excludes leases relating to public private partnership as they are separately disclosed in note no. 26 or 27.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

22. ACCRUED DEPARTMENTAL REVENUE

	Note	2016/17		2015/16
		R'000		R'000
Fines, penalties and forfeits		50		1 150
TOTAL		50		1 150

22.1 Analysis of accrued departmental revenue

	Note	2016/17		2015/16
		R'000		R'000
Opening balance		1 150		150
Less: amounts received		1 100		-
Add: amounts recognised		-		1 000
CLOSING BALANCE		50		1 150

Included in amount received is an amount of R1m from Transnet for the unlawful construction and upgrade of the Transnet service road or the rail line from Tankatara level crossing to Coega station, Eastern Cape province.

23. RELATED PARTY TRANSACTIONS

PAYMENTS MADE	Note	2016/17		2015/16
		R'000		R'000
Opening balance Goods and Services		527		299
CLOSING BALANCE		527		299

List of related party relationships:

Schedule 3A: South African Biodiversity Institute (SANBI) – Usage of conference facilities & accommodation

Schedule 3A: South African National Parks (SANParks) – None

Schedule 3A: South African Weather Service (SAWS) – None

Schedule 3A: iSimangaliso Wetland Park Authority - None

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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24. KEY MANAGEMENT PERSONNEL

	No. of Individuals	2016/17		2015/16
		R'000		R'000
Political office bearers (provide detail below)	2	4 546		4 213
Level 15 to 16	13	18 165		16 301
Level 14 (incl. CFO if at a lower level)	51	52 299		52 598
TOTAL		75 010		73 112

25. PUBLIC PRIVATE PARTNERSHIP

	Note	2016/17		2015/16
		R'000		R'000
Unitary fee paid		146 523		134 529
Fixed component		136 864		129 346
Indexed component		9 659		5 183
Analysis of indexed component		9 659		5 183
Goods and services (excluding lease payments)		9 659		5 183

The Department entered into a Public Private Partnership with Imvelo Concession Company (Pty) Ltd (RF) (the company) to erect a DEA Green Building Campus over a two year period, ending June 2014, where after the company will manage the operations, maintenance and financing of the facility for a period of 25 years.

In terms of the PPP agreement, DEA has to contribute R366 million to reduce the unitary payment for the 2012/12 and 2013/14 financial year. Over the remaining 25 years the unitary payment of approximately R124 million per year is payable by DEA from the 2014/15 financial year, increasing with CPI on an annual basis. The commencement of the PPP accounting term is the 1 August 2014, the date from which DEA is entitled to exercise its right to use the asset.

Gross unitary monthly instalment per clause 2.3 has been paid amounting to R136, 864m, Pass-through costs and additional payment amounting to R9, 659m as per Clause 6.24 of Schedule 6 of the PPP Agreement as at 31 March'17.

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The Imvelo Concession Company (Pty) Ltd consists of the following concessionaires:

Grinakar/Aveng LTD 30%

Old Mutual PLC 30%

Wiphold LTD 20% , and

Kagiso/Tiso Holdings 20%

26. IMPAIRMENT (OTHER THAN RECEIVABLES, ACCRUED DEPARTMENTAL REVENUE, LOANS AND INVESTMENTS)

	Note	2016/17		2015/16
		R'000		R'000
Debtors		288		66
TOTAL		288		66

27. MOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	1 660 420	-	27 986	10 809	1 677 597
Transport assets	1 468 530	-	5 756	791	1 473 495
Computer equipment	81 206	-	14 369	7 216	88 359
Furniture and office equipment	13 046	-	1 962	2 042	12 966
Other machinery and equipment	97 638	-	5 899	760	102 777
	-	-	-	-	-
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	1 660 420	-	27 986	10 809	1 677 597
				Number	Value
Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation:					
Machinery and equipment				3	729
The LOGIS report indicated the amount correctly as additions but calculated the balances as disposals.					

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

27.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	CASH	NON-CASH	(CAPITAL WORK IN PROGRESS CURRENT COSTS AND FINANCE LEASE PAYMENTS)	RECEIVED CURRENT, NOT PAID (PAID CURRENT YEAR, RECEIVED PRIOR YEAR)	TOTAL
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	26 360	1 730	(104)	-	27 986
Transport assets	5 616	140	-	-	5 756
Computer equipment	12 917	1 452	-	-	14 369
Furniture and office equipment	1 837	125	-	-	1 962
Other machinery and equipment	5 990	13	(104)	-	5 899
TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS	26 360	1 730	(104)	-	27 986

27.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	SOLD FOR CASH	NON-CASH DISPOSAL	TOTAL DISPOSALS	CASH RECEIVED ACTUAL
	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	3 842	6 967	10 809	77
Transport assets	-	791	791	-
Computer equipment	3 842	3 374	7 216	77
Furniture and office equipment	-	2 042	2 042	-
Other machinery and equipment	-	760	760	-
TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS	3 842	6 967	10 809	77

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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27.3 Movement for 2015/16

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016

	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	1 642 037	-	34 284	15 901	1 660 420
Transport assets	1 464 358	-	5 343	1 171	1 468 530
Computer equipment	72 186	-	20 924	11 904	81 206
Furniture and office equipment	11 972	-	2 385	1 311	13 046
Other machinery and equipment	93 521	-	5 632	1 515	97 638
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	1 642 037	-	34 284	15 901	1 660 420

27.4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2017

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	611	-	22 100	-	22 711
Additions	-	-	-	1 916	-	1 916
Disposals	-	288	-	2 371	-	2 659
TOTAL MINOR ASSETS	-	323	-	21 645	-	21 968

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Number of R1 minor assets	-	-	-	302	-	302
Number of minor assets at cost	-	166	-	14 096	-	14 262
TOTAL NUMBER OF MINOR ASSETS	-	166	-	14 398	-	14 564

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2016

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	1 212	-	21 165	-	22 377
Additions	-	11	-	2 272	-	2 283
Disposals	-	612	-	1 337	-	1 949
TOTAL MINOR ASSETS	-	611	-	22 100	-	22 711

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Number of R1 minor assets	-	-	-	268	-	268
Number of minor assets at cost	-	283	-	14 520	-	14 803
TOTAL NUMBER OF MINOR ASSETS	-	283	-	14 788	-	15 071

27.5 Movable assets written off

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2017

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Assets written off	-	-	-	454	-	454
TOTAL MOVABLES	-	-	-	454	-	454

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MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED 31 MARCH 2016

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Assets written off	-	-	-	585	-	585
TOTAL MOVABLES	-	-	-	585	-	585

27.6 S42 Movable capital assets⁶

MAJOR ASSETS SUBJECTED TO TRANSFER IN TERMS OF S42 OF THE PFMA - 31 MARCH 2017

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
No. of Assets	-	-	-	7	-	7
VALUE OF THE ASSETS (R'000)	-	-	-	420	-	420

MINOR ASSETS SUBJECTED TO TRANSFER IN TERMS OF S42 OF THE PFMA - 31 MARCH 2017

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
No. of Assets	-	-	-	1	-	1
VALUE OF THE ASSETS (R'000)	-	-	-	4	-	4

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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28. INTANGIBLE CAPITAL ASSETS

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	13 006	-	615	317	13 304
Capital Work-in-progress	-	-	9 400	-	9 400
TOTAL INTANGIBLE CAPITAL ASSETS	13 006	-	10 015	317	22 704

28.1 Additions

ADDITIONS TO INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	CASH	NON-CASH	(DEVELOP-MENT WORK IN PROGRESS – CURRENT COSTS)	RECEIVED CURRENT YEAR, NOT PAID (PAID CURRENT YEAR, RECEIVED PRIOR YEAR)	TOTAL
	R'000	R'000	R'000	R'000	R'000
Software	9 947	68	(9 400)	-	615
TOTAL ADDITIONS TO INTANGIBLE CAPITAL ASSETS	9 947	68	(9 400)	-	615

28.2 Disposals

DISPOSALS OF INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	SOLD FOR CASH	TRANSFER OUT OR DESTROYED OR SCRAPPED	TOTAL DISPOSALS	CASH RECEIVED ACTUAL
	R'000	R'000	R'000	R'000
Software	-	317	317	-
TOTAL DISPOSALS OF INTANGIBLE CAPITAL ASSETS	-	317	317	-

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for the year ended 31 March 2017

29.3 Movement for 2015/16

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016

	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	12 296	-	1 601	891	13 006
TOTAL INTANGIBLE CAPITAL ASSETS	12 296	-	1 601	891	13 006

29. IMMOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2017

	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	40	-	-	-	40
Dwellings	7	-	-	-	7
Non-residential buildings	33	-	-	-	33
TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS	40	-	-	-	40

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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29.1 Movement for 2015/16

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2016

	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	33	-	7	-	40
Dwellings	-	-	7	-	7
Non-residential buildings	33	-	-	-	33
TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS	33	-	7	-	40

29.1.1 Prior error

Nature of period error		2015/16
	Note	R'000
Relating to 2015/16		28 750
Completed assets not transferred as at 31 March 2016 to the amount of R40 544 million has been excluded as it		(69 294)
relate to transfers and subsidies and will be shown as a reference under Transfers as well as in the Accounting Officers report		40 544
TOTAL		-

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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30. PRIOR PERIOD ERROR

30.1 Correction of prior period error

Correction of prior period errors		2015/16
	Note	R'000
Decrease: Goods and services: Agency and support/outsourced services	5	(2 203 287)
Decrease: Tangible assets: Buildings and other fixed structures	8	(224 481)
Increase: Transfers and subsidies:		
Municipalities	7	24 918
Departmental Agencies and accounts		566 003
Higher education		20 614
Public Corporation and Private Enterprises		1 667 953
Non-Profit Institution		148 280
NET EFFECT		-

Correction of prior period errors		2015/16
	Note	R'000
Liabilities: (Commitments)		
Decrease: Current Expenditure - Approved and Contracted		(6 401 060)
Decrease: Current Expenditure - Approved but not yet Contracted		(180 000)
Decrease: Capital Expenditure - Approved and Contracted		(1 265 119)
Net effect		(7 846 179)

The above relates to social security benefit, transfer and subsidies Commitments, which are not contracts pertain to goods and services.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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31. STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES

	GRANT ALLOCATION				TRANSFER		
	OTHER TRANSFERS	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	FUNDS WITHHELD	RE-ALLOCATIONS BY NATIONAL TREASURY OR NATIONAL DEPARTMENT
	R'000	R'000	R'000	R'000	R'000	R'000	R'000
Voted Funds							
Various Municipalities - Licences	-	-	127	127	127	-	
Sub-Total Voted Funds	-	-	127	127	127	-	
Expanded Public Work Programme							
Breede Valley Municipality	-	-	2 561	2 561	2 561	-	
Cape Winelands District Municipality	-	-	1 095	1 095	1 095	-	
Central Karoo District Municipality	-	-	775	775	775	-	
City of Cape Town	-	-	12 530	12 530	12 530	-	
Joe Gqabi District Municipality	-	-	7 261	7 261	7 261	-	
Namakwa District Municipality	-	-	4 771	4 771	4 771	-	
Overstrand Municipality	-	-	3 679	3 679	3 679	-	
West Coast District Municipality	-	-	1000	1000	1000	-	
Sub-Total Voted Funds	-	-	33 672	33 672	33 672	-	
TOTAL	-	-	33 799	33 799	33 799	-	

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

ANNEXURE 1A

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENT/ AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
South African Weather Service	204 985	-	-	204 985	204 985	100%	160 423
iSimangaliso Wetland Park Authority	132 274	-	-	132 274	132 274	100%	92 769
South African National Parks	637 724	-	-	637 724	637 724	100%	546 979
South African National Biodiversity Institute	319 331	-	-	319 331	319 331	100%	304 412
National Regulator for Compulsory Specifications	6 843	-	-	6 843	6 843	100%	6 832
SABC: Radio and TV Licences	-	-	9	9	9	100%	2
Compensation fund	-	-	8 794	8 794	8 794	100%	1 044
SUB TOTAL VOTED FUNDS	1 301 157	-	8 803	1 309 960	1 309 960		1 112 461
Expanded Public Work Programme							
South African National Biodiversity Institute	-	-	35 941	35 941	35 941	100%	22 743
South African National Parks	-	-	534 462	534 462	534 462	100%	264 094
iSimangaliso Wetland Park Authority	-	-	20 029	20 029	20 029	100%	20 319
Agricultural research council	-	-	37 729	37 729	37 729	100%	-
Aspire	-	-	1 979	1 979	1 979	100%	2 334
Buffalo City Development Agency	-	-	2 444	2 444	2 444	100%	-
CASIDRA	-	-	11 837	11 837	11 837	100%	41 136
Citrusdal Water Users association	-	-	9 505	9 505	9 505	100%	1 647
CSIR	-	-	9 540	9 540	9 540	100%	6 903
East London IDZ	-	-	-	-	-	100%	7 402

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DEPARTMENT/ AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
Eastern Cape Parks and Tourism Agency	-	-	2 047	2 047	2 047	100%	16 111
Ezemvelo Kwazulu Natal Wildlife	-	-	-	-	-	-	6 153
Gamtoos Irrigation Board	-	-	112 692	112 692	112 692	100%	97 727
Independent Development Trust	-	-	51 374	51 374	12 793	100%	15 610
Institute of Natural Resources	-	-	1 910	1 910	1 910	100%	975
Kwazulu Natal Ezemvelo Wildlife	-	-	21 212	21 212	21 212	100%	6 706
Mhlatuze Water	-	-	14 026	14 026	14 026	100%	17 699
Mpumalanga Tourism Parks Agency	-	-	12 168	12 168	12 168	100%	-
National Research Foundation	-	-	12 696	12 696	12 696	100%	1 847
North West Parks Board	-	-	6 903	6 903	6 903	100%	4 785
Rand Water Foundation	-	-	1 331	1 331	1 331	100%	2 842
Thabacheu Local Economic Development	-	-	1 345	1 345	1 345	100%	5 578
Umgweni Water	-	-	3 152	3 152	3 152	100%	3 210
Water Research Commission	-	-	2 950	2 950	2 950	100%	2 950
Western Cape Nature Conservation Board	-	-	20 134	20 134	20 134	100%	17 231
SUB-TOTAL VOTED FUNDS	-	-	927 406	927 406	888 825		566 002
TOTAL	1 301 157	-	936 209	2 237 366	2 198 785		1 678 463

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for the year ended 31 March 2017

ANNEXURE 1B

STATEMENT OF TRANSFERS TO HIGHER EDUCATION INSTITUTIONS

INSITUTION NAME	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
Expanded Public Work Programme							
Rhodes University	-	-	10 744	10 744	10 744	100%	14 998
Stellenbosch University	-	-	2 409	2 409	2 409	100%	1 824
University of Cape Town	-	-	1 073	1 073	1 073	100%	1 780
University of Fort Hare	-	-	500	500	500	100%	-
University of Kwazulu Natal	-	-	240	240	240	100%	240
University of North West	-	-	700	700	700	100%	-
Nelson Mandela Metro University	-	-	-	-	-	100%	1 772
TOTAL	-	-	15 666	15 666	15 666		20 614

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ANNEXURE 1C

STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES

NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Public Corporations									
Green Fund DBSA	180 000	-	-	180 000	180 000	100%	-	-	300 000
Sub-Total	180 000	-	-	180 000	180 000	100%	-	-	300 000
Expanded Public Work Programme									
Abakholwe Community Developers PTY LTD	-	-	204	204	204	100%	-	-	472
AEDA (Pty) Ltd	-	-	6 911	6 911	6 911	100%	-	-	3 109
African Global Skills	-	-	-	-	-	-	-	-	195
African wildlife Projects (Pty) Ltd	-	-	475	475	475	100%	-	-	-
African Conservation Trust	-	-	-	-	-	-	-	-	2 036
AGB Mathe	-	-	-	-	-	-	-	-	146
All Green Environmental Consultants	-	-	3 912	3 912	3 912	100%	-	-	-
Amahle Human Resource	-	-	441	411	411	100%	-	-	198
Anix Consulting cc	-	-	7 154	7 154	7 154	100%	-	-	13 265
ASEDA Consulting Engineers (Pty) Ltd	-	-	8 604	8 604	8 604	100%	-	-	10 202
B Ramgoolam & Associates	-	-	-	-	-	-	-	-	1 952
Baagisane Properties CC	-	-	-	-	-	-	-	-	108
Baaitile Modige Waste Management CC	-	-	18 770	18 770	18 770	100%	-	-	9 676
Bahlako IPP Bush Encroachment	-	-	1 949	1 949	1 949	100%	-	-	2 011
Bangwato Environmental Solutions cc	-	-	-	-	-	-	-	-	2 396

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for the year ended 31 March 2017

NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Basadzi Pele Management Consulting and Services cc	-	-	8 138	8 138	8 138	100%	-	-	2 695
Basia Environmental Services cc	-	-	214	214	214	100%	-	-	6 607
Baybol General Trading cc	-	-	-	-	-	-	-	-	6 019
Bergstan South Africa Consulting and Development Engineers(Pty) Ltd	-	-	-	-	-	-	-	-	1 981
Best Enough Trading & Projects	-	-	815	815	815	100%	-	-	1 592
Bikologix Trading Enterprises	-	-	2 433	2 433	2 433	100%	-	-	12 269
Binotype (Pty) Ltd	-	-	3 682	3 682	3 682	100%	-	-	-
Biza iAfrica Consultants cc	-	-	291	291	291	100%	-	-	6 879
Black Diamond Creations and Projects	-	-	-	2 475	2 475	100%	-	-	6 084
Black Jills Engineers	-	-	3 682	3 682	3 682	100%	-	-	-
Blackhead Consulting	-	-	-	-	-	-	-	-	21
Blaze Braai Products	-	-	13 190	13 190	13 190	100%	-	-	12 444
Blue Print Holdings	-	-	-	-	-	-	-	-	859
Bongwato Environmental Solutions	-	-	135	135	135	100%	-	-	-
Bonwelong cc	-	-	-	-	-	-	-	-	1 232
Bonwelong Skills Development	-	-	859	859	859	100%	-	-	2 148
Bright Idea	-	-	-	-	-	-	-	-	113
Brillen Business Solutions CC	-	-	17	17	17	100%	-	-	-
Bruzar Consulting Engineers	-	-	3 874	3 874	3 874	100%	-	-	-
BSP Consulting Consulting Engineering (Pty) Ltd	-	-	-	-	-	-	-	-	7 269

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
BuilderTraining Centre Soweto	-	-	-	-	-	-	-	-	209
Buna Construction and Training cc	-	-	-	-	-	-	-	-	3 366
C4 Ecosolutions CC	-	-	1 055	1 055	1 055	100%	-	-	1 055
Calvin Project Management	-	-	-	-	-	-	-	-	104
Cape West Coast Biosphere Reserve	-	-	-	-	-	-	-	-	3 724
CatagRICT Imprimere	-	-	-	-	-	-	-	-	654
Catara Consulting cc	-	-	7 894	7 894	7 894	100%	-	-	3 038
Central Wetland Rehabilitation	-	-	9 087	9 087	9 087	100%	-	-	7 978
Champions of the Environment	-	-	1 701	1 701	1 701	100%	-	-	5 144
Chase Africa (Pty)	-	-	-	-	-	-	-	-	3 676
Chemshelf 6 cc	-	-	257	-	-	-	-	-	5 087
City Year south Africa	-	-	-	-	-	-	-	-	4 112
Coalition Trading	-	-	65	65	65	100%	-	-	9 170
Cokisa Consulting CC	-	-	24 058	24 058	24 058	100%	-	-	32 629
Community Organisation Resource	-	-	-	-	-	-	-	-	188
Computer Training Academy	-	-	41	41	41	100%	-	-	-
Conservation Support Services	-	-	3 752	3 752	3 752	100%	-	-	682
Cooperate Skills Development	-	-	799	799	799	100%	-	-	1 083
Creative Thinking	-	-	-	-	-	-	-	-	287
Daily Double Trading 656 CC	-	-	995	995	995	100%	-	-	900
Daniel Contraction cc	-	-	-	-	-	-	-	-	5 085

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
DB Nkambule Business Enterprises	-	-	-	-	-	-	-	-	7 385
Delta Environmental Centre	-	-	150	150	150	100%	-	-	2 902
Denovo Environmental South Africa	-	-	2 767	2 767	2 767	100%	-	-	-
Desto Pty Ltd	-	-	-	-	-	-	-	-	526
DevSA Development SA Project Management	-	-	-	-	-	-	-	-	2 546
Digigreen Consulting (Pty) Ltd	-	-	2 932	2 932	2 932	100%	-	-	-
Disema Consulting	-	-	-	-	-	-	-	-	1 600
Ditirelo Skills Development	-	-	36	36	36	100%	-	-	89
Dlokwakhe Project cc	-	-	4 176	4 176	4 176	100%	-	-	374
DM Management & Consulting	-	-	-	-	-	-	-	-	93
DNF Waste and Environmental Services CC	-	-	-	-	-	-	-	-	46
DPV Quantity Surveyors	-	-	-	-	-	-	-	-	2 008
DT Transaksies BK	-	-	-	324	324	100%	-	-	-
Du Toit Kusel Landscape Architects T/A Habitat Landscape Architects	-	-	-	-	-	-	-	-	4 127
Dudula Environment Consulting cc	-	-	470	470	470	100%	-	-	4 430
Duzi Corp 56 cc	-	-	2 636	2 636	2 636	100%	-	-	2 907
Dyambilini Construction and Projects Solution CC	-	-	1 149	1 149	1 149	100%	-	-	11 676
Dzunde Development cc	-	-	7 527	7 527	7 527	100%	-	-	17 917
Eagle Creek Investment	-	-	77	77	77	100%	-	-	407
Earthlake Environmental Consultants	-	-	86	86	86	100%	-	-	-

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Eastern Cape Training Centre	-	-	584	584	584	100%	-	-	-
Eastern Wetland Rehabilitation	-	-	14 195	14 195	14 195	100%	-	-	10 676
Eco Rhythm Management	-	-	2 800	2 800	2 800	100%	-	-	-
Econologics Africa cc	-	-	128	128	128	100%	-	-	2 234
Elgin Community College	-	-	209	209	209	100%	-	-	110
Elsiemot and Associates	-	-	-	-	-	-	-	-	210
Endemic Development cc	-	-	2 585	2 585	2 585	100%	-	-	8 443
Eno Development Services	-	-	233	233	233	100%	-	-	140
Enviro Business Connexion (Pty) Ltd	-	-	3 347	3 347	3 347	100%	-	-	-
Enviso Consulting cc	-	-	185	185	185	100%	-	-	4 574
Ethu Consultants	-	-	-	-	-		-	-	10 135
Farci Trading cc	-	-	1 665	1 665	1 665	100%	-	-	4 768
Fastrack Consultants CC	-	-	2 209	2 209	2 209	100%	-	-	-
FFA Operations (Pty) Ltd	-	-	620 611	620 611	620 611	100%	-	-	621 262
FLR Trading Enterprise	-	-	468	5 189	5 189	100%	-	-	5 189
Focus Consortium (URBANspaes LDM, PDNA)	-	-	-	-	-	-	-	-	15 302
Footmark Management Services	-	-	7 303	7 302	7 300	100%	-	-	-
Footmark Management Services cc	-	-	223	223	223	100%	-	-	657
FSPG Exchequer Account	-	-	-	-	-	-	-	-	824
G & M Environmental Management	-	-	14 668	14 668	14 668	100%	-	-	10 671
G and D Guesthouse	-	-	1 861	1 861	1 861	100%	-	-	1 217

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Gamia Project	-	-	2 941	2 941	2 941	100%	-	-	2 245
Garona Holdings (PTY) Ltd	-	-	-	-	-	-	-	-	5 486
GD Projects & Construction	-	-	4 895	4 895	4 895	100%	-	-	4 565
Gondwana Game Reserve	-	-	780	780	780	100%	-	-	529
GP-Beautification of Ratanda	-	-	1 033	1 033	1 033	100%	-	-	-
Gravitas Consulting Services	-	-	901	901	901	100%	-	-	11 547
Greeco (Pty) Ltd	-	-	3 917	3 917	3 917	100%	-	-	2 290
Green Mile Training and Enviro	-	-	162	162	162	100%	-	-	2 513
Groter Cederberg Brand beskerming	-	-	952	952	952	100%	-	-	451
Gudani Consulting cc	-	-	-	-	-	-	-	-	3 336
GWI Project Managers	-	-	-	-	-	-	-	-	4 864
H and H Environmental Consulting cc	-	-	3 583	3 583	3 583	100%	-	-	4 653
Haenertsburg Development Foundation	-	-	-	-	-	-	-	-	1 637
Henque 3069 CC	-	-	-	-	-	-	-	-	3 720
High Point Trading 1001 cc	-	-	1 900	1 900	1 900	100%	-	-	2 924
Highland Wetland Rehabilitation	-	-	-	-	-	-	-	-	4 052
Hilltop Trading	-	-	-	-	-	-	-	-	988
Hospitality Profession	-	-	968	968	968	100%	-	-	1 034
Ikamva Consulting Agency	-	-	-	-	-	-	-	-	67
Ikssa Trust	-	-	-	-	-	-	-	-	559
Imogene Consulting and Projects cc	-	-	38 148	38 148	19 647	100%	-	-	3 892

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Imperial Crown Trading	-	-	3 162	3 162	3 162	100%	-	-	6 008
Impunzi Construction	-	-	3 699	3 699	3 699	100%	-	-	2 397
In Touch Community Development and Project Managers	-	-	13 901	13 901	10 641	100%	-	-	5 076
Indalo Greening and Waste Management (PTY) Ltd	-	-	113	113	113	100%	-	-	1 296
Influential Inputs	-	-	-	-	-	-	-	-	52
Ingcweti Project Mangement and Consulting cc	-	-	-	-	-	-	-	-	3 026
Interscape Solutions	-	-	2 547	2 547	2 547	100%	-	-	1 207
Interwaste Environmental Solution	-	-	-	-	-	-	-	-	280
IR Consulting Engineers	-	-	1 671	1 671	1 671	100%	-	-	3 354
Iselwa Enginerring and projects	-	-	255	255	255	100%	-	-	5 808
Isibani Solwazi Training and Projects Services cc	-	-	4 252	4 252	4 252	100%	-	-	7 812
Isibuko Development Planners cc t/a Isibuko se Africa	-	-	8 740	8 740	8 740	100%	-	-	2 238
Ithabiseng Environmental Consultant	-	-	32	32	32	100%	-	-	-
Jandes Contractors and Environmental	-	-	1 699	1 699	1 699	100%	-	-	-
Janno Horn	-	-	109	109	109	100%	-	-	135
Jaymat Enviro Solution CC	-	-	59	59	59	100%	-	-	953
Jopane Agricultural Primary Coop	-	-	313	313	313	100%	-	-	-
Kay Ann Consulting and Advisory	-	-	3 410	3 410	3 410	100%	-	-	2 915

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Kayamandi	-	-	-	-	-	-	-	-	169
Kenako Development and Business Solutions cc	-	-	-	-	-	-	-	-	2 551
Kenmab	-	-	33	33	33	100%	-	-	168
Kentation Investment	-	-	-	-	-	-	-	-	810
Kgalawu Consulting cc	-	-	179	179	179	100%	-	-	2 751
Kgwerano Hospitality	-	-	355	355	355	100%	-	-	793
Khabokedi Waste Management Pty Ltd	-	-	30 535	30 535	30 535	100%	-	-	29 185
Khoisan Implementation	-	-	1 971	1 971	1 971	100%	-	-	2 307
Khula Nonke Training	-	-	131	131	131	100%	-	-	585
Khulani Skills Development Centre	-	-	-	-	-	-	-	-	7 715
Khusel Indalo South Africa	-	-	4 716	4 716	4 716	100%	-	-	9 625
Khuselindalo Environmental Developers	-	-	7 248	7 248	7 248	100%	-	-	-
Klapmutsop Renosterveld Conservancy	-	-	417	417	417	100%	-	-	-
Koki Lesedi Trading cc	-	-	-	-	-	-	-	-	8 308
Kovhekanani Prudence Farming	-	-	1 750	1 750	1 750	100%	-	-	-
La Terra Earth Science (Pty) Ltd	-	-	1 526	1 526	1 526	100%	-	-	29
Landfill Consult (Pty) Ltd	-	-	1 618	1 618	1 618	100%	-	-	-
LDM Quantity Surveyor (CT) Inc	-	-	5 237	5 237	5 237	100%	-	-	-
Leap Skills Development Institution	-	-	-	-	-	-	-	-	61
Lehlabile Development CC	-	-	123	123	123	100%	-	-	2 118
Lekgageng Solution (Pty) Ltd	-	-	-	-	-	-	-	-	225

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Lepogo Investments (Pty) Ltd	-	-	3 795	3 795	3 795	100%	-	-	2 946
LinkD Environmental Services	-	-	10 666	10 666	10 666	100%	-	-	7 619
Linlos Investment t/a Ikamva Consulting	-	-	9 050	9 050	9 050	100%	-	-	10 921
Livhuwani Matsila and Associates	-	-	700	700	700	100%	-	-	-
Lizwa Ntlabathi Trading CC	-	-	491	491	491	100%	-	-	453
LOK Consulting (Pty) Ltd	-	-	-	-	-	-	-	-	2 613
LP-Youth Jobs in Waste	-	-	18 172	18 172	18 172	100%	-	-	-
LS Nkosi	-	-	-	-	-	-	-	-	151
LS Nkosi Community Development & Management	-	-	900	900	900	100%	-	-	374
Lulama Projects cc	-	-	89	89	89	100%	-	-	2 423
Lulu Gwagwa Development Consultants cc	-	-	-	126	126	100%	-	-	126
Lyma Consulting Engineers	-	-	534	534	534	100%	-	-	4 348
M2 Engineering and Minerals	-	-	5 274	5 274	5 274	100%	-	-	7 239
Mabusha Business Enterprises cc	-	-	-	-	-	-	-	-	4 749
Magto Waste Management	-	-	-	-	-	-	-	-	1 419
Magzozo Property Development	-	-	11 952	11 952	11 952	100%	-	-	-
Maisaya Trading cc	-	-	4 306	4 306	4 306	100%	-	-	4 900
Maishamalema Development Training	-	-	-	-	-	-	-	-	176
Majegu Consulting (Pty) Ltd	-	-	-	-	-	-	-	-	6 399
Makha Industries (Pty)Ltd	-	-	-	-	-	-	-	-	4 137
Makhayeni Housing Estates	-	-	3 758	3 758	3 758	100%	-	-	-

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Makhetha Development Consultant	-	-	255	255	255	100%	-	-	-
Makhetha Environmental Consultants (Pty) Ltd	-	-	-	-	-	-	-	-	6 996
Malepa Holdings	-	-	-	-	-	-	-	-	28
Malizana Projects	-	-	149	149	149	100%	-	-	64
Mantigane General Trade	-	-	43	43	43	100%	-	-	-
Map Africa Consulting Engineers cc	-	-	897	897	897	100%	-	-	11 018
Maphologelo Projects cc	-	-	306	306	306	100%	-	-	5 399
Marico River Conservation Association	-	-	3 694	3 694	3 694	100%	-	-	13 091
Mashopatsela Engineering and Projects cc	-	-	-	-	-	-	-	-	1 538
Masiqhame Trading 651 cc	-	-	-	-	-	-	-	-	4 196
Masphe Logistics Services cc	-	-	4 472	4 472	4 472	100%	-	-	4 348
Mathonsi Inn Plumbing Construction	-	-	7 100	7 100	7 100	100%	-	-	-
Matthews and Associates	-	-	324	324	324	100%	-	-	4 004
MBB Consulting Engineers (EC) Inc	-	-	8 345	8 345	8 345	100%	-	-	8 345
Mbonelaphanda Civils CC	-	-	5 530	5 530	5 530	100%	-	-	-
Mdelo Landscaping and Projects	-	-	230	230	230	100%	-	-	-
Milongani Eco Consulting cc	-	-	-	-	-	-	-	-	6 358
Milongani Eco-Consulting CC	-	-	31 698	31 698	31 698	100%	-	-	14 468
Mission Point Trading 69	-	-	300	300	300	100%	-	-	47
Misty Blue Trading 611 CC	-	-	91	91	91	100%	-	-	-
Mmadichika trading Enterprises Cc	-	-	-	-	-	-	-	-	3 065

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	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Mobaarak Managenent Services (Pty) Ltd	-	-	7 860	7 860	7 860	100%	-	-	711
Mogato Trading CC	-	-	121	121	121	100%	-	-	-
Mokhurane Technologies	-	-	-	-	-	-	-	-	1 775
Mokwabangweni Construction and projects	-	-	158	158	158	100%	-	-	-
Mokwale Consulting	-	-	5 391	5 391	5 391	100%	-	-	-
Mokwate Consulting CC	-	-	2 137	2 137	2 137	100%	-	-	-
Molejie Construction CC	-	-	128	128	128	100%	-	-	-
Moshopiadi Construction and Projects t/a MCP Gutter Solutions	-	-	2 220	2 220	2 220	100%	-	-	70
Mthoms Trading	-	-	680	680	680	100%	-	-	323
MTO Forestry (PTY) LTD	-	-	2 619	2 619	2 619	100%	-	-	3 077
MTRK	-	-	29	29	29	100%	-	-	18
Mukumba Resources and Projects	-	-	3 452	3 452	3 452	100%	-	-	-
Muselwa Trading & Projects	-	-	402	402	402	100%	-	-	302
Mzansi Eyes Trading cc	-	-	2 640	2 640	2 640	100%	-	-	2 206
National Pride Trading 210 (Pty) Ltd	-	-	153	153	153	100%	-	-	-
Natural Asset Management	-	-	13 901	13 901	13 901	100%	-	-	15 465
NCC Environment Services	-	-	-	-	-	-	-	-	4 236
NCC Environmental Services (Pty) Ltd	-	-	6 140	6 140	6 140	100%	-	-	1 317
Ndimakude Development Trust	-	-	4 233	4 233	4 233	100%	-	-	-
Nemai Consulting CC	-	-	1 475	1 475	1 475	100%	-	-	-
Networx for Career Development	-	-	309	309	309	100%	-	-	750

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Ngwato wa Mphela	-	-	179	179	179	100%	-	-	314
Ngwedi Designs Trading	-	-	1 618	1 618	1 618	100%	-	-	-
NGZ-PFT Programme CC	-	-	12 759	12 759	12 759	100%	-	-	-
Nkadimeng Ya Mefolo General Cons	-	-	2 926	2 926	2 926	100%	-	-	2 132
Nomasojabula Training Centre	-	-	298	298	298	100%	-	-	179
Nontanda Consult & Event Management	-	-	1 381	1 381	1 381	100%	-	-	-
Norobase Pty Ltd	-	-	4 798	4 798	4 798	100%	-	-	4 224
NS Global Academy	-	-	-	-	-	-	-	-	115
NT Geomatics	-	-	4 197	4 197	4 197	100%	-	-	10 977
Paardeberg Sustainability Initiative	-	-	116	116	116	100%	-	-	3 642
PD Muratshi Development	-	-	4 923	4 923	4 923	100%	-	-	2 060
PFT Consulting	-	-	-	-	-	-	-	-	406
Pfukani Kusile Consulting	-	-	-	-	-	-	-	-	44
Pfukani Kusile Consulting cc	-	-	102	102	102	100%	-	-	3 363
Phaki Phakanani Environmental Consultants	-	-	-	-	-	-	-	-	38
Phezukomkhono Training servies	-	-	142	142	142	100%	-	-	88
Phophi na Tshinakho	-	-	70	70	70	100%	-	-	555
PR Tsapa Trading CC	-	-	8	8	8	100%	-	-	-
Pravin Amar Development Planners	-	-	4 205	4 205	4 205	100%	-	-	-
Programme Facilitation Team cc t/a PFT	-	-	11 316	11 316	11 316	100%	-	-	7 288
Qhawe Investments cc	-	-	6 209	6 209	6 209	100%	-	-	1 752

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Rainbow Moon Trading 136 CC	-	-	12 541	12 541	12 541	100%	-	-	8 610
Releboga Holdings (Pty) Ltd	-	-	612	612	612	100%	-	-	-
Richmond Fire Protection Association	-	-	2 882	2 882	2 882	100%	-	-	2 239
Rineka Environment Management CC	-	-	-	-	-	-	-	-	20
River Ranger Management Association	-	-	4 791	4 791	4 791	100%	-	-	-
Rodlam Consulting cc	-	-	-	-	-	-	-	-	2 301
Rodlam De Hoop Nature Reserve	-	-	10 661	10 661	10 661	100%	-	-	-
Rodlam Mthamvuna Nature Reserve	-	-	3 591	3 591	3 591	100%	-	-	-
Rupita Trading Enterprise CC	-	-	448	448	448	100%	-	-	-
SA Entrepreneurship	-	-	147	147	147	100%	-	-	230
SA German Training Services	-	-	261	261	261	100%	-	-	-
SA Wildlife College	-	-	1 893	1 893	1 893	100%	-	-	-
Saja Consulting Engineers NC CC	-	-	192	192	192	100%	-	-	3 679
Salamisa Holding (Pty) Ltd	-	-	4 670	4 670	4 670	100%	-	-	1 857
Salp Holding (Pty) Ltd	-	-	27 363	27 363	27 363	100%	-	-	541
Savannah Regeneration (Pty) Ltd	-	-	6 513	6 513	6 513	100%	-	-	5 518
Scientific Roets	-	-	247	247	247	100%	-	-	299
Seige Trading Solution	-	-	4 766	4 766	4 766	100%	-	-	659
Sejamo Buiding Construction	-	-	282	448	448	100%	-	-	448
Sekhukhune FET College	-	-	87	87	87	100%	-	-	97
Sentraal Breerivier Watergebruikersvereniging	-	-	-	-	-	-	-	-	7 230

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NAME OF PUBLIC CORPORATION/PRIVATE ENTERPRISE	TRANSFER ALLOCATION				EXPENDITURE				2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Senza Manje Property Development	-	-	3 154	3 154	3 154	100%	-	-	1 953
Seyame Business Consulting	-	-	-	-	-	-	-	-	979
Shatadi Developers CC	-	-	5	5	5	100%	-	-	-
Sigma International	-	-	-	-	-	-	-	-	3 197
Sigwela and Associates Env	-	-	4 014	4 014	4 014	100%	-	-	3 833
Sigwela and Associates Environmental Consultants cc	-	-	1 766	1 766	1 766	100%	-	-	4 465
Sikhonyama Trading cc	-	-	-	-	-	-	-	-	3 674
Silver Stars Trading	-	-	3 214	3 214	3 214	100%	-	-	3 485
Simmek Holdings CC	-	-	4 990	4 990	4 990	100%	-	-	-
Simply MGM Indigenous	-	-	-	-	-	-	-	-	155
Siphumelele Youth Organisation	-	-	3 458	3 458	3 458	100%	-	-	3 033
Sisa Waste Management	-	-	18 287	18 287	18 287	100%	-	-	3 543
Sishaka Development Management Services (Pty) Ltd	-	-	-	-	-	-	-	-	195
Siyazama C & P Construction	-	-	1 827	1 827	1 827	100%	-	-	5 525
SMC Synergy CC	-	-	897	897	897	100%	-	-	511
South Africa Wildlife College	-	-	-	-	-	-	-	-	7 676
Spring Forest	-	-	-	721	721	100%	-	-	113
SSR Green Holdings (Pty) Ltd	-	-	2 932	2 932	2 932	100%	-	-	-
STMG Consulting CC / TA KSD Municipality	-	-	5 808	5 808	5 808	100%	-	-	-
Stowie M Trading	-	-	-	-	-	-	-	-	2 012

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	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Symbio Envirosolutions	-	-	-	-	-	-	-	-	2 679
TCM Project Control solution CC	-	-	-	-	-	-	-	-	3 324
Tedcor Pty Ltd	-	-	-	-	-	-	-	-	20 552
Temnotfo Trading CC	-	-	131	131	131	100%	-	-	56
Thabo Ya Letsatsi Trading and Projects	-	-	204	204	204	100%	-	-	-
Thadu Developers cc	-	-	13 445	13 445	13 445	100%	-	-	3 406
Thangasi Environmental Projects	-	-	6 802	6 802	6 802	100%	-	-	6 476
The Business Zone	-	-	6 036	6 036	6 036	100%	-	-	12 942
The Flower Valley Conservation	-	-	-	-	-	-	-	-	5 872
The Lawrence Anthony Earth Organisation	-	-	2 841	2 841	2 841	100%	-	-	-
Thekwini GeoCivils t/a TGC Engineers	-	-	-	-	-	-	-	-	280
Thembakele Consulting Engineers	-	-	3 659	3 659	3 659	100%	-	-	925
Tholoana	-	-	15 284	15 284	15 284	100%	-	-	28 785
Tholoana Sustainable Development	-	-	16 594	16 594	16 594	100%	-	-	-
Tholwana E Molemo	-	-	-	-	-	-	-	-	3 496
Thothi Consulting (Pty) Ltd	-	-	2 841	2 841	2 841	100%	-	-	-
Thusani Beleggings BK	-	-	-	-	-	-	-	-	182
Thuto ya Sechaba	-	-	55	55	55	100%	-	-	33
Tixispan Trading CC	-	-	5 767	5 767	5 767	100%	-	-	-
Tlhabo ya Tsatsi Trading and Projects cc	-	-	-	-	-	-	-	-	5 492
Tlou Integrated Tech	-	-	344	344	344	100%	-	-	3 998

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	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Tommy Phiri Labour Relations and training services	-	-	99	99	99	100%	-	-	-
Tourism World	-	-	1 252	1 252	1 252	100%	-	-	3 721
TPLR & Training Projects	-	-	-	-	-	-	-	-	43
TPN Training & Recruitment	-	-	252	252	252	100%	-	-	108
Trenz Investment cc	-	-	-	-	-	-	-	-	4 854
Triadic Projects (Pty) Ltd	-	-	4 573	4 573	4 573	100%	-	-	2 469
TSD Training & Construction	-	-	-	-	-	-	-	-	515
Tshani Consulting CC	-	-	6 488	6 488	6 488	100%	-	-	-
Tshwane Cleaning Services	-	-	176	176	176	100%	-	-	301
Tsiku Training Consultancy	-	-	207	207	207	100%	-	-	395
TSK Project	-	-	304	304	304	100%	-	-	130
Tswella Trading 88 CC	-	-	1 803	1 803	1 803	100%	-	-	5 029
Tswelopele Ya Rena Trading and Projects	-	-	500	500	500	100%	-	-	-
Udidi Project Development (Pty) Ltd	-	-	-	-	-	-	-	-	4 395
Uhuru Environmental Pty Ltd	-	-	-	-	-	-	-	-	12 744
Ukhana Project	-	-	3 665	3 665	3 655	100%	-	-	3 020
Uluntu Skills Development	-	-	376	376	376	100%	-	-	586
Umkhaba Trading Enterprises cc	-	-	-	-	-	-	-	-	5 322
Usolulelel Pty Ltd	-	-	3 010	3 010	3 010	100%	-	-	6 387
UWP Consulting Engineers (PTY) Ltd	-	-	7 889	7 889	7 889	100%	-	-	10 224
Vhangona at Work	-	-	333	333	333	100%	-	-	56

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	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Vicmat Consultants (Pty) Ltd	-	-	237	237	237	100%	-	-	-
Vino Building Construction and Multi Projects cc	-	-	-	-	-	-	-	-	4 562
Vital Connection	-	-	2 143	2 143	2 143	100%	-	-	2 651
VPK Business Venture	-	-	123	123	123	100%	-	-	286
Vuka Africa Consulting Engineers	-	-	744	744	744	100%	-	-	4 048
Vultures Environmental ServicesCC	-	-	545	545	545	100%	-	-	-
Vusisizwe Marketing Solution cc	-	-	-	-	-	-	-	-	21
Vuthisa Technologies CC	-	-	-	-	-	-	-	-	1 746
VV & Mashona Trading	-	-	247	247	247	100%	-	-	106
Waterberg Biosphere Reserve	-	-	2 365	2 365	2 365	100%	-	-	3 558
Wavhudi Business Trading CC	-	-	136	136	136	100%	-	-	-
WC-Agriforestry Opportunities	-	-	1 794	1 794	1 794	100%	-	-	-
Westridge Contruction (Pty) Ltd	-	-	3 670	3 670	3 670	100%	-	-	2 307
Wood at Heart	-	-	10 172	10 172	10 172	100%	-	-	30 648
WSM Leshika Consulting (Pty) Ltd	-	-	197	197	197	100%	-	-	-
Xipondwana Trading Enterprises cc	-	-	2 420	2 420	2 420	100%	-	-	3 949
YB Mashalaba and Associates Consultants cc	-	-	5 808	5 808	5 808	100%	-	-	11 414
Zama Trading	-	-	829	829	829	100%	-	-	714
Zamisanani Projects cc	-	-	18 614	18 614	18 614	100%	-	-	5 210
Zenature (Pty) Ltd	-	-	610	610	610	100%	-	-	-

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	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Zeteline CC	-	-	2 866	2 866	2 866	100%	-	-	2 788
Zetalogix CC	-	-	6 614	6 614	6 614	100%	-	-	2 919
Zezethu Engineers	-	-	-	-	-	-	-	-	126
Zidiscan Trading CC	-	-	1 339	1 399	1 399	100%	-	-	7 881
Zido Trading CC T/A ZNZ Consulting	-	-	3 555	3 555	3 555	100%	-	-	-
Zintle R Rural Development CC	-	-	-	-	-	-	-	-	901
Zizi Consulting Services	-	-	-	-	-	-	-	-	-76
ZKD Construction	-	-	546	546	546	100%	-	-	403
ZNZ Consulting Engineers CC	-	-	-	-	-	-	-	-	3 731
Zululand Fire Protection	-	-	2 580	2 580	2 580	100%	-	-	756
Sub Total	-	-	1 539 026	1 539 026	1 539 026		-	-	1 667 953
TOTAL	180 000	-	1 539 026	1 719 026	1 719 026		-	-	1 967 953

ANNEXURE 1D

STATEMENT OF TRANSFERS TO FOREIGN GOVERNMENT AND INTERNATIONAL ORGANISATIONS

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
Global Environmental Fund (GEF)	16 000	-	-	16 000	16 000	100%	16 000
TOTAL	16 000	-	-	16 000	16 000		16 000

ANNEXURE 1E

STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

NON-PROFIT INSTITUTIONS	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
Voted Funds							
National Association for Clean Air	1 400	-	-	1 400	1 400	100%	1 400
KwaZulu-Natal Conservation Board	1 287	-	-	1 287	1 287	100%	1 287
African World Heritage Fund	1 800	-	-	1 800	1 800	100%	1 000
Sub-Total Voted Funds	4 487	-	-	4 487	4 487		3 687
Expanded Public Work Programme							
CatagRICT Imprimere NPC (Non Profit Organisation)	-	-	117	117	117	-	-
Duzi Umngeni Conservation Trust	-	-	7 950	7 950	7 950	100%	7 500
Eden to Addo Corridor Initiative	-	-	1 364	1 364	1 364	100%	1 630
Endangered Wildlife Trust	-	-	4 230	4 230	4 230	100%	1 910
Groot Vadersbosch Conservancy Trust	-	-	3 324	3 324	3 324	100%	2 869

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	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
NON-PROFIT INSTITUTIONS							
Haenertburg Development Foundation	-	-	2 170	2 170	2 170	100%	-
Kishugu Non-Profit Company	-	-	39 165	39 165	39 165	100%	32 448
Lima Rural Development Foundation	-	-	8 808	8 808	8 808	100%	11 582
Matsila Development Trust	-	-	7 050	7 050	7 050	100%	5 300
Vier en Twintig Riviere Besproeiingsraad	-	-	-	-	-	-	277
Wildlands Conservation Trust	-	-	14 795	14 795	14 795	100%	46 300
Wildlife and Environment Society of SA	-	-	26 275	26 275	26 275	100%	37 251
WWF South Africa	-	-	2 954	2 954	2 954	100%	1 213
Sub-Total Voted Funds	-	-	118 202	118 202	118 202		148 280
TOTAL	4 487	-	118 202	122 689	122 689		151 967

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ANNEXURE 1F

STATEMENT OF TRANSFERS TO HOUSEHOLDS

	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
HOUSEHOLDS							
Transfers							
Social Benefit	-	-	4 264	4 264	4 264	100%	3 553
TOTAL	-	-	4 264	4 264	4 264		3 553
Expanded Public Work Programme							
Working for Waste	361 664	-	8 491	370 155	370 155	100%	395 031
Working for the Coast	90 268	-	2 890	93 158	93 158	100%	99 781
People and Parks	71 676	-	121 251	192 927	192 927	100%	83 312
Youth Environmental Service	29 533	-	(24 799)	4 734	4 734	100%	29 703
Wildlife Economy	100 448	-	50 460	150 908	150 908	100%	50 739
Greening & Open Space Management	185 602	-	(85 177)	100 425	100 425	100%	219 284
Beneficiary Training	21 973	-	(1 743)	20 230	20 230	100%	28 335
Working for Water	1 123 363	-	(61 579)	1 061 784	1 023 203	96%	857 838
Working on Fire	605 051	-	15 560	620 611	620 611	100%	615 684
Working for Land Operation	44 394	-	(25 354)	19 040	19 040	100%	48 060
Sub-Total	2 633 972	-	-	2 633 972	2 595 391	99%	2 427 767
Minus Expanded Public Work Programme Transfers Reclassified							

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
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	TRANSFER ALLOCATION				EXPENDITURE		2015/16
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRD	APPROPRIATION ACT
	R'000	R'000	R'000	R'000	R'000	%	R'000
HOUSEHOLDS							
Note 31: Various Municipalities EPWP transfers received	(33 672)	-		(33 672)	(33 672)	100%	(24 918)
Annexure 1A Various Departmental Agencies EPWP transfers received	(927 406)	-	-	(927 406)	(888 825)	96%	(566 003)
Annexure 1B: Various Universities EPWP transfers received	(15 666)	-	-	(15 666)	(15 666)	100%	(20 614)
Annexure 1C: Various Private Enterprises EPWP transfers received	(1 539 026)	-	-	(1 539 026)	(1 539 026)	100%	(1 667 953)
Annexure 1E: Various NPO's Enterprises EPWP transfers	(118 202)	-	-	(118 202)	(118 202)	100%	(148 280)
Sub-Total	(2 633 972)	-	-	(2 633 972)	(2 595 391)	99%	(2 427 767)
TOTAL	-	-	4 264	4 264	4 264		3 553

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

ANNEXURE 1G

STATEMENT OF AID ASSISTANCE RECEIVED

NAME OF DONOR	PURPOSE	OPENING BALANCE	REVENUE	EXPENDITURE	PAID BACK ON/BY 31 MARCH	CLOSING BALANCE
Received in cash		R'000	R'000	R'000	R'000	R'000
Botswana	Transfrontier Conservation Areas (TFCA Strategy)	(376)	-	-	-	(376)
Germany	Promethium Carbon Programme	(1 254)	1 254	-	-	-
Germany	Climate Support Programme	-	604	3 293	-	(2 689)
Australia	Funding Agreement in Relation to South Africa Land Sector Measurements	(3 497)	4 606	423	-	686
United Nations (UNEP)	Hazardous Material Management Programme	(654)	-	-	-	(654)
United Nations (UNEP)	Strengthening Law Enforcement Capabilities to Combat Wildlife crime for Conservation and Sustainable use of species in South Africa: target: Rhinoceros	(9 948)	2 646	5 161	-	(12 463)
United Nations (UNEP)	Benguela current large Marine Ecosystem Programme	(1 142)	-	-	-	(1 142)
United Nations (UNEP)	Payment for Watershed Service	23	-	-	-	23
United Nations (UNEP)	Synergies workshop among the Basel, Stockholm and Rotterdam Conventions	(790)	-	-	-	(790)
United Nations (UNEP)	South Africa: Enabling activities for the preparation of Third National Communications (TNC) AND Biennial update report (BUR)	(1 000)	4 426	8 608	-	(5 182)
United Nations (UNEP)	6th Session of the AEWA Meeting of Parties	(1 293)	1 297	-	-	4
Norway	Capacity Development within the South African National Greenhouse Gas Inventory Unit	-	962	2 138	-	(1 176)
TOTAL		(19 931)	15 795	19 623	-	(23 759)

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
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ANNEXURE 1H

STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE

NATURE OF GIFT, DONATION OR SPONSORSHIP (Group major categories but list material items including name of organisation)	2016/17 R'000	2015/16 R'000
Made in kind		
Ex-Gratia		
G Barnes claims on loses incurred during official trip	-	8
Families of the NRM beneficiaries who passed away in a motor vehicle accident (MS Letsoalo)	10	-
Families of the NRM beneficiaries who passed away in a motor vehicle accident (MM Matloa)	10	-
Families of the NRM beneficiaries who passed away in a motor vehicle accident (ES Letsoalo)	10	-
Families of the NRM beneficiaries who passed away in a motor vehicle accident (TM Ramoshaba)	10	-
Sub-total	40	8
Donations		
International Council for Game and Wildlife Conservation	72	-
2nd National Biodiversity and Business Network Indaba	100	-
Fluff tail Festival 2017 - Birdlife South Africa	30	-
World Wetland Day School awareness Competition Prizes	25	-
Sub-total	227	-
TOTAL	267	8

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
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ANNEXURE 3A

STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH 2017 – LOCAL

	GUARANTEE IN RESPECT OF	ORIGINAL GUARANTEED CAPITAL AMOUNT	OPENING BALANCE 1 APRIL 2016	GUARANTEES DRAW DOWNS DURING THE YEAR	GUARANTEES REPAYMENTS/ CANCELLED/ REDUCED/ RELEASED DURING THE YEAR	REVALUA- TIONS	CLOSING BALANCE 31 MARCH 2017	GUARANTEED INTEREST FOR YEAR ENDED 31 MARCH 2017	REALISED LOSSES NOT RECOVER- ABLE I.E. CLAIMS PAID OUT
GUARANTOR INSTITUTION		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
	Housing								
Standard Bank		-	131	-	90	-	41	-	-
Firststrand Bank: FNB		-	57	-	38	-	19	-	-
ABSA Bank		-	207	-	30	-	177	-	-
Nedbank/Perm		-	33	-	14	-	19	-	-
Green Start H/Loans		-	35	-	-	-	35	-	-
TOTAL		-	463	-	172	-	291	-	-

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
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ANNEXURE 3B

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2017

	OPENING BALANCE 1 April 2016	LIABILITIES INCURRED DURING THE YEAR	LIABILITIES PAID/ CANCELLED/ REDUCED DURING THE YEAR	LIABILITIES RECOVERABLE (PROVIDE DETAILS HEREUNDER)	CLOSING BALANCE 31 MARCH 2017
NATURE OF LIABILITY	R'000	R'000	R'000	R'000	R'000
Claims against the department					
Bhekinstsha Business Enterprises	3 990	-	-	-	3 990
Bhekinstsha Business Enterprises	2 198	-	-	-	2 198
Amathole Forestry Co.	978	-	-	-	978
Naledi Office Automation	53	-	-	-	53
Bhyat Motors***	119	-	-	-	119
Penviro	371	-	-	-	371
C Vermuelen	22	-	-	-	22
Motoradio Air Touch Cellular	95	-	-	-	95
H Kuhn	32	-	-	-	32
Morton and Partners	2	-	2	-	-
TOTAL	7 860	-	2	-	7 858

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

ANNEXURE 3B (CONTINUED)

NATURE OF LIABILITIES RECOVERABLE	OPENING BALANCE 1 APRIL 2016	DETAILS OF LIABILITY AND RECOVERABILITY	MOVEMENT DURING YEAR	CLOSING BALANCE 31 MARCH 2017
	R'000		R'000	R'000
Bhekinstsha Business Enterprises	3 990	Summons for loss of income due to alleged dishonest/negligent/irregular conduct in respect of the award of a Tender. New Attorney of record appointed. Matter to be set down.		3 990
Bhekinstsha Business Enterprises	2 198	Claimant is claiming damages in respect of lost income due to alleged tender irregularities. The matter is dormant.		2 198
Amathole Forestry Co.	978	Claimant is claiming damages due to alleged negligent acts or omissions in relation to veld fire. Counsel briefed and filed an exception. State attorney has advised that Plaintiff's Attorneys can still not be traced and has suggested we close our file. The matter is thus deemed finalised as at the 30/3/2016.		978
Naledi Office Automation	53	A claim for outstanding rental on various Photostat machines. We have provided them with a reconciliation statement. It appears that most of the machines were not rented to the Department. Liability reduced during the year.		53
Bhyat Motors***	119	Claim for outstanding rental. Plaintiff's Attorney acknowledged receipt of the settlement offer; however no response has been forthcoming regarding either acceptance or rejection of offer. Matter has been dormant with no new developments.		119
Penviro	371	Claim for monies due in terms of a contract. Plaintiff intended to invoke mediation and arbitration clause of the agreement, no steps taken to further the matter against the Department. State attorney has advised their file is closed as at 31/3/2016.		371
C Vermuelen	22	Claim for damages resulting from motor collision. Combined summonses have been issued against Mr C Vermeulen (defendant) on 23 September 2014. DEA provided the discovery affidavit. Feedback from state attorney awaited.		22
Motoradio Air Touch Cellular	95	The plea was drafted and served on the 12 October 2015. The Department's defence is that the driver of the motor vehicle is not an employee of the Department and the vehicle is also not a part of the Department's fleet. A follow up was done to establish the outcome of the special plea and we are awaiting feedback.		95
H Kuhn	32	Claim for damages resulting from motor vehicle accident between H.Kuhn and Government official. A consultation with the official was held and her written statement furnished. A memo is being prepared for the payment of the claim.		32
Morton and Partners	2	Payment of unpaid accounts for medical tests rendered by Morton and Partners for DEA employees. Extension granted until 4 April 2016. The issue of legal costs has not yet been canvassed by Plaintiff's attorneys.	(2)	-
TOTAL	7 860		(2)	7 858

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2017

ANNEXURE 4

CLAIMS RECOVERABLE

	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL		Cash in transit at year end 2016/17*	
	31/03/2017	31/03/2016	31/03/2017	31/03/2016	31/03/2017	31/03/2016	RECEIPT DATE UP TO SIX (6) WORKING DAYS AFTER YEAR END	AMOUNT
GOVERNMENT ENTITY	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENT								
Department of Agriculture, Forestry and Fisheries	-	-	-	212	-	212		-
National Research Foundation	-	-	17 758	9 488	17 758	9 488		-
Department of Cooperative Governance and Traditional Affairs	-	-	44	-	44	-		-
City of Johannesburg	-	-	5 179	-	5 179	-		-
Department of International Relation and Cooperation	-	-	854	-	854	-		-
Department of Public Works	-	-	1	-	1	-		-
TOTAL	-	-	23 836	9 700	23 836	9 700		-

ANNEXURE 5

INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL		CASH IN TRANSIT AT YEAR END 2016/17 *	
	31/03/2017	31/03/2016	31/03/2017	31/03/2016	31/03/2017	31/03/2016	PAYMENT DATE UP TO SIX (6) WORKING DAYS BEFORE YEAR END.	AMOUNT
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Current								
Department of Justice and Constitutional Development	2 510	7 013	-	-	2 510	7 013		
Department: Government Printing Works	30	22	-	-	30	22		
Western Cape: Department of Transport and Public Works	55	-	-	-	55	-		
North West Department: Social Development	-	-	12	-	12	-		
DIRCO	6 927	-	-	-	6 927	-		
Agricultural Research Council (ARC)	29 643	-	-	-	29 643	-		
TOTAL	39 165	7 035	12	-	39 177	7 035		

ANNEXURE 6

INVENTORIES

INVENTORY		QUANTITY	2016/17	QUANTITY	2015/16
	Note		R'000		R'000
Opening balance		52 748	14 531	30 612	6 094
Add/(Less): Adjustments to prior year balance		(60)	145	(9 129)	(1 144)
Add: Additions/Purchases - Cash		42 231	22 438	80 154	32 001
(Less): Issues		(50 527)	(23 851)	(51 268)	(26 954)
Add/(Less): Adjustments		19	(82)	2 379	4 534
CLOSING BALANCE		44 411	13 181	52 748	14 531

ANNEXURE 7

MOVEMENT IN CAPITAL WORK IN PROGRESS

MOVEMENT IN CAPITAL WORK IN PROGRESS FOR THE YEAR ENDED 31 MARCH 2017

	OPENING BALANCE	CURRENT YEAR CAPITAL WIP	COMPLETED ASSETS	CLOSING BALANCE
	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	783 505	352 973	(446 649)	689 829
Dwellings				
Non-residential buildings				
Other fixed structures	783 505	352 973	(446 649)	689 829
COMPUTER SOFTWARE	-	9 400	-	9 400
Computer Software	-	9 400	-	9 400
TOTAL	783 505	362 373	(446 649)	699 229

The Work in Progress indicated under "Other fixed structures" relate to the WIP of EPWP projects under Transfers and Subsidies.

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