

Sector: SMALL PELAGIC (SARDINE)

Category A

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 1,00 | 1,30 | How many years (between the period 2006 to 2021) has the applicant held a fishing right in the fishing sector that is being applied for? | 20,00 | 0% | 0% |
| 1,00 | 1,31 | How many years (between the period 2006 to 2021) has the applicant held a fishing right in fishing sectors other than the fishing sector that is applied for? | 20,00 | 0% | |
| 1,00 | 1,32 | How many years (between the period 2006 to 2021) has the applicant been involved in the South African fishing industry value chain (catching, processing, marketing and managing of finance)? | 20,00 | 0% | |
| 1,00 | | TOTAL | | 0% | |
| 2,00 | 2,6,1 | % of turnover derived from this fisheries being applied for within South Africa | 10,00 | 50% | 2% |
| 2,00 | 2,6,2 | % of turnover derived from fisheries within South Africa | 10,00 | 25% | |
| 2,00 | 2,6,3 | % of turnover derived from fisheries outside South Africa | 10,00 | 12% | |
| 2,00 | 2,6,4 | % of turnover derived from other sources within South Africa | 10,00 | 8% | |
| 2,00 | 2,6,5 | % of turnover derived from other sources outside South Africa | 10,00 | 5% | |
| 2,00 | | TOTAL | | 100% | |
| 3,00 | 3,10 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been charged with an offence under the MLRA, or the regulations or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,20 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers entered into a plea bargain under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | |
| 3,00 | 3,30 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been convicted of a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 25% | |
| 3,00 | 3,40 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers paid an admission of guilt fine for a contravention of the MLRA, the regulations, or the permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |
| 3,00 | 3,50 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers' fishing vessel, motor vehicle, premises or assets been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the long-term right period? | 20,00 | 15% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,60 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers had a fishing right or permit, revoked or cancelled, under Section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | 3% |
| 3,00 | 3,70 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers committed any minor violation where the right or permit was suspended, reduced or altered under section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 5% | |
| 3,00 | 3,8,1 | Is the applicant fully compliant with the below-mentioned legislation: Compensation for Occupational Injuries & Diseases Act, 130 of 1993 | 2,00 | 2,5% | |
| 3,00 | 3,8,2 | Occupational Health & Safety Act, 85 of 1993 | 2,00 | 2,5% | |
| 3,00 | | TOTAL | | 100% | |
| 4,00 | 4,10 | Does the applicant have access to a suitable vessel (e.g. own, part own, catch agreement, or bank guarantee)? | 20,00 | 100% | 20% |
| 4,00 | | TOTAL | | 100% | |
| 5,00 | 5,30 | Complete the table below in relation to the applicant's catch records in the sector applying for. | 10,00 | 100% | 5% |
| 5,00 | | TOTAL | | 100% | |
| 6,00 | 6,30 | Economic interest % | 12,00 | 45% | |
| 6,00 | 6,50 | what is the percentage shareholding held by employees through the scheme? | 10,00 | 5% | |
| 6,00 | 6,70 | What capital payments have been made out over the last 5 years to employees through any employee ownership scheme?" | 10,00 | 5% | |
| 6,00 | 6,10 | Complete the following table in relation to the applicant's employees as at the financial year which ends on any date between 1 July 2020 and 30 June 2021? | 24,00 | 10% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 6,00 | 6,11 | Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act, 55 of 1998? | 5,00 | 0% | 15% |
| 6,00 | 6,14 | Percentage of entity's turnover spent on CSI | 9,00 | 20% | |
| 6,00 | 6,16 | Does the applicant procure goods / services from majority black owned company (ies)? | 5,00 | 3% | |
| 6,00 | 6,17 | Is the applicant compliant with the Skills Development Act, 97 of 1998? | 5,00 | 2% | |
| 6,00 | 6,19 | Does the applicant pay levies in terms of the Skills Development Levies Act, 9 of 1999? | 5,00 | 2% | |
| 6,00 | 6,21 | Has the applicant appointed a skills development facilitator? | 5,00 | 0% | |
| 6,00 | 6,23 | Has the applicant developed a workplace skills plan? | 5,00 | 2% | |
| 6,00 | 6,24 | Does the applicant participate in learnership programmes? | 5,00 | 3% | |
| 6,00 | 6,26 | Has the applicant embarked upon enterprise development projects to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance? Full details of these initiatives are requested | 5,00 | 3% | |
| 6,00 | | TOTAL | | 100% | |
| 7,00 | 7,10 | Do you provide permanent? If "Yes" complete the table below in respect of jobs in the fishing industry in the income tax year which ends on 28 February 2021. | 10,00 | 50% | 20% |
| 7,00 | 7,20 | Do you provide part time /contract employment? If "Yes" complete the table below in respect of jobs in the fishing industry in the income tax year which ends on 28 February 2021. | 5,00 | 20% | |
| 7,00 | 7,30 | Do you contribute towards medical aid or any kind of medical support arrangement for more than 50% of your employees? | 2,00 | 10% | |
| 7,00 | 7,40 | Do you contribute towards pension/provident fund for more than 50% of its employees? | 2,00 | 10% | |
| 7,00 | 7,50 | Do you provide all your employees with safe working conditions? | 2,00 | 10% | |
| 7,00 | | TOTAL | | 100% | |
| 8,00 | 8,40 | Complete the table below in relation to the benefits accrued to society, last column must be left empty for unlisted entities. | 10,00 | 40% | |
| 8,00 | 8,60 | How many years has the entity been operating in its local area? | 20,00 | 0% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|------------------|-----------|--|-----------|------------------|-------------|
| 8,00 | 8,70 | Complete the table below in respect of harbours where catch has been landed and processed. | 96,00 | 30% | 10% |
| 8,00 | 8,80 | Complete the table below in respect to harbours where catch is to be landed and processed. | 18,00 | 30% | |
| 8,00 | | TOTAL | | 100% | |
| 9,00 | 9,10 | Complete the following table in relation to investment made | 10,00 | 100% | 25% |
| 9,00 | | TOTAL | | 100% | |
| Final Percentage | | | | | 100% |

Sector: SMALL PELAGIC (SARDINE)

Category B

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 1,00 | 1,33 | How many years (between the period 2006 to 2021) has the applicant held a fishing right in fishing sectors other than the fishing sector that is applied for? | 20,00 | 0% | 0% |
| 1,00 | 1,34 | How many years (between the period 2006 to 2021) has the applicant been involved in the South African fishing industry value chain (catching, processing, marketing and managing of finance)? | 20,00 | 0% | |
| 1,00 | | TOTAL | | 0% | |
| 2,00 | 2,6,1 | % of turnover derived from this fisheries being applied for within South Africa | 10,00 | 0% | 2% |
| 2,00 | 2,6,2 | % of turnover derived from fisheries within South Africa | 10,00 | 75% | |
| 2,00 | 2,6,3 | % of turnover derived from fisheries outside South Africa | 10,00 | 12% | |
| 2,00 | 2,6,4 | % of turnover derived from other sources within South Africa | 10,00 | 8% | |
| 2,00 | 2,6,5 | % of turnover derived from other sources outside South Africa | 10,00 | 5% | |
| 2,00 | | TOTAL | | 100% | |
| 3,00 | 3,10 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been charged with an offence under the MLRA, or the regulations or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |
| 3,00 | 3,20 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers entered into a plea bargain under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,30 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been convicted of a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 25% | |
| 3,00 | 3,40 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers paid an admission of guilt fine for a contravention of the MLRA, the regulations, or the permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |
| 3,00 | 3,50 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers' fishing vessel, motor vehicle, premises or assets been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the long-term right period? | 20,00 | 15% | |
| 3,00 | 3,60 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers had a fishing right or permit, revoked or cancelled, under Section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,70 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers committed any minor violation where the right or permit was suspended, reduced or altered under section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 5% | 3% |
| 3,00 | 3,8,1 | Is the applicant fully compliant with the below-mentioned legislation: Compensation for Occupational Injuries & Diseases Act, 130 of 1993 | 2,00 | 2,5% | |
| 3,00 | 3,8,2 | Occupational Health & Safety Act, 85 of 1993 | 2,00 | 2,5% | |
| 3,00 | | TOTAL | | 100% | |
| 4,00 | 4,10 | Does the applicant have access to a suitable vessel (e.g. own, part own, catch agreement, or bank guarantee)? | 20,00 | 100% | 30% |
| 4,00 | | TOTAL | | 100% | |
| 6,00 | 6,30 | Economic interest % | 12,00 | 45% | |
| 6,00 | 6,50 | what is the percentage shareholding held by employees through the scheme? | 10,00 | 5% | |
| 6,00 | 6,70 | What capital payments have been made out over the last 5 years to employees through any employee ownership scheme?" | 10,00 | 5% | |
| 6,00 | 6,10 | Complete the following table in relation to the applicant's employees as at the financial year which ends on any date between 1 July 2020 and 30 June 2021? | 24,00 | 10% | |
| 6,00 | 6,11 | Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act, 55 of 1998? | 5,00 | 0% | |
| 6,00 | 6,14 | Percentage of entity's turnover spent on CSI | 9,00 | 20% | |
| 6,00 | 6,16 | Does the applicant procure goods / services from majority black owned company (ies)? | 5,00 | 3% | |
| 6,00 | 6,17 | Is the applicant compliant with the Skills Development Act, 97 of 1998? | 5,00 | 2% | |
| 6,00 | 6,19 | Does the applicant pay levies in terms of the Skills Development Levies Act, 9 of 1999? | 5,00 | 2% | |
| 6,00 | 6,21 | Has the applicant appointed a skills development facilitator? | 5,00 | 0% | |
| 6,00 | 6,23 | Has the applicant developed a workplace skills plan? | 5,00 | 2% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|------------------|-----------|---|-----------|------------------|-------------|
| 6,00 | 6,24 | Does the applicant participate in learnership programmes? | 5,00 | 3% | 20% |
| 6,00 | 6,26 | Has the applicant embarked upon enterprise development projects to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance? Full details of these initiatives are requested | 5,00 | 3% | |
| 6,00 | | TOTAL | | 100% | |
| 7,00 | 7,10 | Do you provide permanent? If “Yes” complete the table below in respect of jobs in the fishing industry in the income tax year which ends on 28 February 2021. | 10,00 | 50% | 30% |
| 7,00 | 7,20 | Do you provide part time /contract employment? If “Yes” complete the table below in respect of jobs in the fishing industry in the income tax year which ends on 28 February 2021. | 5,00 | 20% | |
| 7,00 | 7,30 | Do you contribute towards medical aid or any kind of medical support arrangement for more than 50% of your employees? | 2,00 | 10% | |
| 7,00 | 7,40 | Do you contribute towards pension/provident fund for more than 50% of its employees? | 2,00 | 10% | |
| 7,00 | 7,50 | Do you provide all your employees with safe working conditions? | 2,00 | 10% | |
| 7,00 | | TOTAL | | 100% | |
| 8,00 | 8,40 | Complete the table below in relation to the benefits accrued to society, last column must be left empty for unlisted entities. | 10,00 | 40% | 15% |
| 8,00 | 8,60 | How many years has the entity been operating in its local area? | 20,00 | 0% | |
| 8,00 | 8,70 | Complete the table below in respect of harbours where catch has been landed and processed. | 96,00 | 30% | |
| 8,00 | 8,80 | Complete the table below in respect to harbours where catch is to be landed and processed. | 18,00 | 30% | |
| 8,00 | | TOTAL | | 100% | |
| Final Percentage | | | | | 100% |

Sector: SMALL PELAGIC (SARDINE)

Category C

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 1,00 | 1,35 | How many years (between the period 2006 to 2021) has the applicant's shareholders, members, trustees or directors operated in the South African fishing industry? | 20,00 | 30% | 15% |
| 1,00 | 1,36 | How many years (between the period 2006 to 2021) has the applicant been involved in the South African fishing industry value chain (catching, processing, marketing, and managing of finance)? | 20,00 | 70% | |
| 1,00 | | TOTAL | | 100% | |
| 2,00 | 2,6,1 | % of turnover derived from this fisheries being applied for within South Africa | 10,00 | 30% | 10% |
| 2,00 | 2,6,2 | % of turnover derived from fisheries within South Africa | 10,00 | 20% | |
| 2,00 | 2,6,3 | % of turnover derived from fisheries outside South Africa | 10,00 | 10% | |
| 2,00 | 2,6,4 | % of turnover derived from other sources within South Africa | 10,00 | 30% | |
| 2,00 | 2,6,5 | % of turnover derived from other sources outside South Africa | 10,00 | 10% | |
| 2,00 | | TOTAL | | 100% | |
| 3,00 | 3,10 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been charged with an offence under the MLRA, or the regulations or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |
| 3,00 | 3,20 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers entered into a plea bargain under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,30 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers been convicted of a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 25% | |
| 3,00 | 3,40 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers paid an admission of guilt fine for a contravention of the MLRA, the regulations, or the permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 10% | |
| 3,00 | 3,50 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers' fishing vessel, motor vehicle, premises or assets been detained, arrested or seized under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the long-term right period? | 20,00 | 15% | |
| 3,00 | 3,60 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers had a fishing right or permit, revoked or cancelled, under Section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 15% | |

| Section | Attribute | Caption | Max Score | Attribute Weight | Section % |
|---------|-----------|---|-----------|------------------|-----------|
| 3,00 | 3,70 | Has the applicant or any of its Directors, Trustees, Senior Management, Shareholders or Members (where such shareholding or members interest exceeds 10%) or Skippers committed any minor violation where the right or permit was suspended, reduced or altered under section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)? | 20,00 | 5% | 3% |
| 3,00 | 3,8,1 | Is the applicant fully compliant with the below-mentioned legislation: Compensation for Occupational Injuries & Diseases Act, 130 of 1993 | 2,00 | 2,5% | |
| 3,00 | 3,8,2 | Occupational Health & Safety Act, 85 of 1993 | 2,00 | 2,5% | |
| 3,00 | | TOTAL | | 100% | |
| 4,00 | 4,10 | Does the applicant have access to a suitable vessel (e.g. own, part own, catch agreement, or bank guarantee)? | 20,00 | 100% | 20% |
| 4,00 | | TOTAL | | 100% | |
| 6,00 | 6,30 | Economic interest % | 12,00 | 45% | |
| 6,00 | 6,50 | what is the percentage shareholding held by employees through the scheme? | 10,00 | 5% | |
| 6,00 | 6,70 | What capital payments have been made out over the last 5 years to employees through any employee ownership scheme?" | 10,00 | 5% | |
| 6,00 | 6,10 | Complete the following table in relation to the applicant's employees as at the financial year which ends on any date between 1 July 2020 and 30 June 2021? | 24,00 | 10% | |
| 6,00 | 6,11 | Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act, 55 of 1998? | 5,00 | 0% | |
| 6,00 | 6,14 | Percentage of entity's turnover spent on CSI | 9,00 | 20% | |
| 6,00 | 6,16 | Does the applicant procure goods / services from majority black owned company (ies)? | 5,00 | 3% | |
| 6,00 | 6,17 | Is the applicant compliant with the Skills Development Act, 97 of 1998? | 5,00 | 2% | |
| 6,00 | 6,19 | Does the applicant pay levies in terms of the Skills Development Levies Act, 9 of 1999? | 5,00 | 2% | |
| 6,00 | 6,21 | Has the applicant appointed a skills development facilitator? | 5,00 | 0% | |
| 6,00 | 6,23 | Has the applicant developed a workplace skills plan? | 5,00 | 2% | |
| 6,00 | 6,24 | Does the applicant participate in learnership programmes? | 5,00 | 3% | |