



forestry, fisheries
& the environment

Department:
Forestry, Fisheries and the Environment
REPUBLIC OF SOUTH AFRICA

BRANCH: FISHERIES MANAGEMENT

SECTOR NAME:	APPLICATION NUMBER	S	E	C	2	1	0	0	1
	REGISTRATION NUMBER								

Application form

for Entity applicants

Fishing Rights Allocation Process 2021/2022

FRAP 2021/2022

APPLICATION NUMBER	S	E	C	2	1	0	0	1
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IMPORTANT INFORMATION

INSTRUCTIONS

1. The applicant or its duly authorised representative must read and understand the general instructions and application requirement sections before completing this application form. All information provided by an applicant must be true, correct, and verifiable. The applicant must complete all the sections of the application form.
2. Only South African persons as defined in the Marine Living Resources Act (MLRA) (Act No. 18 of 1998), may apply for a commercial fishing right.
3. An applicant completing this application form must read the General Policy on the Allocation of Commercial Fishing Rights: 2021 and the Sector Specific Fishery Policy: 2021. These policies will guide the Minister or his/her delegates in the assessment of this application and the decision to allocate the fishing right applied for. Copies of these policies are accessible on the Department's website at https://www.environment.gov.za/mediarelease/draftpolicy_commercialfishingrights
4. Queries related to the application form may be directed telephonically during the week (Monday to Friday) between 08h00 and 16h00 to the **FRAP Call Centre Helpline at (021) 402 FRAP (3727)**.
5. Technical queries relating to the completion of the application form may be directed to **Navayuga** on the tollfree helpline +27 10 500 2323 or by email frapitsupport@navayugainfotech.com.
6. An independent toll free FRAP hotline has been established and is available 24/7 for the purposes of reporting unethical behaviour, theft, fraud, or related activities. The FRAP Fraud Hotline number is 0800 203 589.
7. Please do not communicate with the Minister, the delegated authority, or officials in the Department about your application during the application and allocation process. It is the applicant's responsibility to ensure that all the applicable sections of the form are completed and that all the documentation and information requested either in the General or Sector Specific Policies are **uploaded** during the online application process.
8. When completing this application, if any section does not apply to the applicant, then the section must be marked "N/A" – or "not applicable" and the information requested in that section need not be supplied. The applicant must as far as possible confine its responses to the spaces provided in the application. If this is not possible then the information may be uploaded as an attachment.
9. All documents **uploaded** must be certified copies of the originals. Copies of originals that have not been certified as true copies of the original will not be accepted.
10. The application **must** be submitted before the stipulated closing date and time listed in the Government Gazette Notice dated **(TBC)**. No late applications will be considered.
11. The application form will become live during the month of November 2021. Applicants will be able to create a User Profile and to access the form during this period. Partially completed forms may be saved during this period, to enable applicants to gather their required documentation for uploading. Once the applicant has submitted their application and after **(TBC)** November 2021, no part of the form may be edited or amended.
12. Do not submit an application by mail (post), fax or email. Only online applications submitted on the FRAP21/22 System will be accepted.
13. Applicants must submit one application form per sector applied for.
14. The application fee must be deposited into the following account before the application is submitted
Account Holder: Marine Living Resources Fund
Bank Name: First National Bank
Account Number: 62123256382
Branch Code: 210554
Reference Number: [Use the application form number as the reference number on the bank deposit slip or the printed "electronic funds transfer" page]
15. Proof of payment must be uploaded with the application.
16. No part payments will be accepted. It is the responsibility of the applicant to ensure that the full amount of the application fee is paid before the application is submitted.
17. An application will be declined if the applicant:
 - a. provides false information and/or documentation;
 - b. falsifies documents;
 - c. makes misleading false statements;
 - d. fails to disclose material information or documentation which may materially affect the assessment of this application; or
 - e. fails to comply with the requirements of the General Policy on the Allocation of Fishing Rights: 2021 and the Sector Specific Fishery Policy: 2021 and the instructions and requirements of the application.
18. Successful applicants may be subjected to an independent forensic audit and should it be discovered, after the fishing right has been awarded, that the applicant had contravened any part of the MLRA then the Department may, among others, institute proceedings in terms of Section 28 of the MLRA
19. The delegated authority and/ or the Minister has no discretion to condone the inclusion of non-compliant, improperly submitted or materially defective applications or applicants that do not meet an essential requirement of participating in a sector/fishery.
20. The Minister or his/her delegated authority reserves the right to call upon an applicant to make further representations, provide additional information and documentation, or to verify any aspect of the application.

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IMPORTANT INFORMATION APPLICATION REQUIREMENTS

IF AN APPLICANT CANNOT PROVIDE THE DOCUMENTS OR INFORMATION AS REQUIRED, THEN THE APPLICANT MUST SUBMIT A WRITTEN EXPLANATION FOR IT IN THE PLACE OF THAT SPECIFIC ANNEXURE.

Mark the box with an "X" if the required documents are attached

No	Sub Section	Documents or Information Required	Mark the box with an "X" if the required documents are attached		
			Annexure	Applicant	Official
1	1A	Provide a certified copy of company registration documents.	1A-1		
2	1.5	Original valid South African Revenue Services (SARS) Tax Clearance Certificate.	1A-2		
3	1C	Provide a letter on the letterhead of the applicant confirming the appointment of the person as the duly authorised contact person for the applicant including a certified copy of the authorised person's Identity document.	1C- 1		
4	1 E	Provide a copy of one of the following: (a) a utility bill (water, electricity, telephone); (b) a lease agreement; (c) a bond agreement with a bank; (d) bank statements; or (e) an affidavit from a third party confirming the current residential address or, in the case of a corporate body the registered office address of the applicant.	1E- 1		
5	2.1	If "Yes", provide a copy of either any commercial catch permit or grant of right letter or a right transfer approval letter issued to the applicant in terms of the MLRA.	2A-1		
6	2.6	If "Yes", provide proof of audited/verified/certified financial statement from all sources of income in respect of the financial year which ends on any date between 1 July 2020 and 30 June 2021.	2A-2		
7	3.1	If "Yes", provide details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the outcome of the criminal proceedings.	3A-1		
8	3.2	If "Yes", provide details and any documentation regarding the plea bargain arrangement entered into, including the conduct giving rise to the plea bargain, the dates and specifics of the plea bargain.	3A-2		
9	3.3	If "Yes," provide details and documentation regarding the conduct of the applicant, the date(s) of conviction, and the penalties imposed.	3A-3		
10	3.4	If "Yes", provide details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct giving rise to the fine, the date of the offence and rand amount paid.	3A-4		
11	3.5	If "Yes", provide details regarding the detention, arrest or seizure, including information regarding the conduct giving rise to the proceedings, and whether a final confiscation or forfeiture order was granted under the Prevention of Organised Crime Act or the MLRA.	3A-5		
12	3.6	If "Yes", provide details and any documentation including the conduct of the individuals giving rise to the Section 28 proceedings.	3A-6		
13	3.7	If "Yes", provide details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the right or permit that was suspended, reduced or altered (Annexure 3A-7)	3A -7		
14	4.1 - 4.3	If "Yes", provide proof of vessel ownership(s) detailing shareholding in the vessel(s) and the cost of that shareholding alternatively how the shareholding was acquired, with proof. If more than one vessel, attach annexures and label in sequential order e.g. 4A-1a, 4A-1b etc.	4A-1		
15	4.4	If "Yes", provide copy of vessel access agreement (i.e. catch agreement, charter agreement, or bank guarantee and/or offer to purchase agreement). If the applicant is considering building a new vessel, then the vessel plans and related costs from the building company are required.	4A-2		
16	4.5	Provide South African Maritime Safety Authority (SAMSA) certificate and vessel specification documents, except in cases where a vessel is still to be built or purchased. If more than one vessel, attach annexures and label in sequential order e.g. 4A-3a, 4A- 3b etc. If vessel is IUU listed, please indicate the name of the Regional Fishery Management Organisation and detail the reasons for the negative listing;	4A-3		
17	6.1	Provide B-BBEE status level verification certificate (for generic entities with turnover greater than R50 million) or sworn affidavit (for EMEs and QSEs – turnover less than R 50 million) as per the Department of Trade and Industry (DTI) latest legislation and/or standards.	6A-1		
18	6.3	Provide proof of dividends paid to black shareholders in 2010, 2015 and 2020 financial years	6B-1		
19	6.6	Provide proof in writing confirming board of directors from registered auditors.	6B-2		
20	6.8 - 6.9	If "Yes", provide a copy of the reports on the development and consultation of an employment equity plan and the progress made to implement the employment equity plan to the Department of Labour in terms of Section 21 of the Employment Equity Act.	6B-3		
21	6.8 - 6.9	If "Yes", provide a copy of the analysis required by Section 19 of the Employment Equity Act, which must include a profile of the applicant's workforce within each occupational category and level in order to determine the degree of under representation of people from designated groups in the various occupational categories and levels in the employer's workforce.	6B-4		
22	6.8 - 6.9	If "Yes", provide a copy of the reports submitted to the Department of Labour in terms of Section 27 of the Employment Equity Act, indicating the remuneration and benefits received in each occupational category and level of that employer's workforce.	6B-5		
23	6.8 - 6.9	. If "Yes", provide a copy of written acknowledgment or other communication regarding the applicants reports from the Department of Labour?	6B-6		
24	6.8 - 6.9	If "Yes", provide a copy of the employment equity plan.	6B-7		
25	6.11	If "Yes", provide proof of donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962.	6D-1		
26	6.12	Please provide full details of the various corporate social investment projects that were invested in by the applicant, with proof.	6D-2		

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IF AN APPLICANT CANNOT PROVIDE THE DOCUMENTS OR INFORMATION AS REQUIRED, THEN THE APPLICANT MUST SUBMIT A WRITTEN EXPLANATION FOR IT IN THE PLACE OF THAT SPECIFIC ANNEXURE.			Mark the box with an "X" if the required documents are attached		
27	6.13	If "Yes", provide net percentage of the total procurement from black owned company (ies) for the financial year which ends on any date between 1 July 2020 and 30 June 2021.	6E-1		
28	6.17	If "Yes", provide proof indicating which Sector Education Training Authority ("SETA") the applicant is registered with.	6G-1		
29	6.17	If "No", provide a statement as to why the applicant has not complied	6G-2		
30	6.19	If "Yes", provide proof of the levies paid for the period 2006 to 2020 and at the time of application.	6G-3		
31	6.19	If "No", provide a statement with reasons why the applicant has not paid the levies.	6G-4		
32	6.21	If "Yes", provide proof of the appointee's appointment confirmation.	6G-5		
33	6.21	If "No", state why not	6G-6		
34	6.23	If "Yes", provide a copy of the workplace skills plan.	6G-7		
35	6.23	If no, state the reasons why not the workplace skills plan has not been developed.	6G-8		
36	6.24	If "Yes", provide details, including the number of historically disadvantaged persons who participate in these programmes;	6G-9		
37	6.27	If "Yes" provide full details of the initiatives that the applicant has embarked upon to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance.	6H-1		
38	6.28	If "Yes" provide a list of the value adding activities that the applicant has initiated, particularly those activities that stimulate the creation of jobs and wealth within the specific sector(s) the applicant operates within.	6H-2		
39	7.1	If "Yes", provide applicant's employees Payroll for the financial year which ends on any date between 1 July 2020 and 30 June 2021	7A-1		
40	7.3 – 7.5	If "No" to 7.3 – 7.6 upload reason for failure thereof. (Annexure 7A-2)	7A-2		
41	7.3 – 7.5	If "Yes" to 7.3 – 7.6 upload full details of these benefits with proof (Annexure 7A-3)	7A-3		
42	8.1 – 8.4	If "Yes", provide proof of payments to SARS and/or listings in the stock market	8A-1		

Disclaimer: A mark with an "X" by the receiving official does not confirm the authenticity of the information submitted with the application.

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Section 1

Applicant details

1A. Identification Details of the Applicant

1.1	Registered Name										
1.2	Trading Name										
1.3	Type of South African entity (mark with an "X")	Company		Close Corporation		Cooperative		Trust			
1.4	Registration Number:										
1.5	Income Tax number or PIN										

Upload certified copy of company registration documents (Annexure 1A-1) and original valid South African Revenue Services (SARS) Tax Clearance Certificate (Annexure 1A-B)

1B. Contact Details of the Applicant (or Applicant's representative)

1.6	Telephone number										
1.7	Cellphone number										
1.8	Fax number										
1.9	Email address										

1C. Details of Authorised contact person

1.10	Contact Name										
1.11	Identity number										
1.12	Work telephone number										
1.13	Cellphone number										
1.14	Fax number										
1.15	Email address										
1.16	Position held in the legal entity										

Upload a letter on the letterhead of the applicant confirming the appointment of the person as the duly authorised contact person for the applicant including a certified copy of the authorised person's Identity document (Annexure 1C-1)

1D. Physical Address or Principal Place of Business

1.17	Street number											
1.18	Street name											
1.19	Suburb											
1.20	Town/City								Postal Code			

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1E. Registered Office or Postal Address of the Applicant

1.21	PO Box/ Private Bag								
1.22	Suburb								
1.23	Town/City					Postal Code			

Upload a copy of one of the following: (a) a utility bill (water, electricity, telephone);(b) a lease agreement; (c) a bond agreement with a bank; (d) bank statements; or (e) an affidavit from a third party confirming the current residential address or, in the case of a corporate body the registered office address of the applicant. (Annexure 1E-1)

1F. Fishing experience and knowledge

1.24	How many years has the applicant been involved in the fishing industry?		
1.25	What other business operations is the applicant currently involved in? (Enter details below)		

Category A applicants

1.26	How many years (between the period 2005 to 2020) has the applicant held a fishing right in the fishing sector that is being applied for?		
1.27	How many years (between the period 2005 to 2020) has the applicant held a fishing right in fishing sectors other than the fishing sector that is being applied for? <i>Please provide years per sector.</i>	Sector	
1.28	How many years (between the period 2005 to 2020) has the applicant been involved in the South African fishing industry value chain (catching, processing, marketing, and managing of finance)?		

Category B applicants

1.29	How many years (between the period 2005 to 2020) has the applicant held a fishing right in fishing sectors other than the fishing sector that is being applied for? <i>Please provide years per sector.</i>	Sector	
1.30	How many years (between the period 2005 to 2020) has the applicant been involved in the South African fishing industry value chain (catching, processing, marketing, and managing of finance)?		

Category C applicants

1.31	How many years (between the period 2005 to 2020) has the applicant's shareholders, members or directors operated in the South African fishing industry?		
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2A. Right allocation records

2.1	Was the applicant awarded a commercial fishing right since 2005? Applicant must specify even if it held a right that was transferred or consolidated or where the name of the entity that held the right was subsequently changed	Y/N			
2.2	If "Yes," in "2.1" complete the table below for all fishing rights allocated to the applicant.				
	Name of Right Holder	Sector	Right Holder number	% Ownership of the right	Year of Right allocated/ Name change (if any)
	+ Insert rows				
If "Yes", please upload a copy of either any commercial catch permit or grant of right letter or a right transfer approval letter issued to the applicant in terms of the MLRA. (Annexure 2A-1)					

2.3	Is the applicant applying for any other fishing rights through a subsidiary or a holding company during the current Fishing Rights Allocation Process: 2020/21 (FRAP 2020/21)?	Y/N	
2.4	If "Yes," to "2.3" complete the table below for all fishing rights applied for (including this application) in order of preference.		
	Name of Subsidiary/ Holding Company	Fishery	Application Number
2.4.1			
2.4.2			
2.4.3	+ Insert rows		

2.5	Is the applicant more than 50% South African owned?					Y/N	
2.6	Did the applicant derive income from any other sources other than fisheries in respect of the financial year which ends on any date between 1 July 2020 and 30 June 2021? If "Yes," complete the table below.					Y/N	
	Name of entity	Annual turnover in Rands (most recently audited, verified or certified figures)	% Derived from fisheries <u>within</u> South Africa	% Derived from fisheries <u>outside</u> South Africa	% Derived from other sources <u>within</u> South Africa	% Derived from other sources <u>outside</u> South Africa	
	+ Insert rows						
If "Yes", upload proof of audited/verified/certified financial statement from all sources of income in respect of the financial year which ends on any date between 1 July 2020 and 30 June 2021 (Annexure 2A-2)							

2.7	If the applicant has derived income from other sources of income in the current financial year or tax year, complete the table below in respect of all the above-mentioned other sources of income.	
	Name of entity	Other sources of income
	+ Insert rows	

Section 3 Compliance

3.1	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) <u>been charged</u> with an offence under the MLRA, or the regulations or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes", upload details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the outcome of the criminal proceedings (Annexure 3A-1)</i>			
3.2	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) <u>entered into a plea bargain</u> under the Criminal Procedure Act 51 of 1977, for a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes", upload details and any documentation regarding the plea bargain arrangement entered into, including the conduct giving rise to the plea bargain, the dates and specifics of the plea bargain. (Annexure 3A -2)</i>			
3.3	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) <u>been convicted</u> of a contravention of the MLRA, or the regulations, or permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes," upload details and documentation regarding the conduct of the applicant, the date(s) of conviction, and the penalties imposed. (Annexure 3A -3)</i>			
3.4	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) <u>paid an admission of guilt fine</u> for a contravention of the MLRA, the regulations, or the permit conditions between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes", upload details and any documentation regarding the admission of guilt fine paid, including information regarding the conduct giving rise to the fine, the date of the offence and rand amount paid. (Annexure 3A -4)</i>			
3.5	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) fishing vessel, motor vehicle, premises or any of the applicants' assets <u>been detained, arrested or seized</u> under the MLRA or restrained, preserved, confiscated or forfeited under the Prevention of Organised Crime Act 121 of 1998 during the long-term right period?	Y/N	
<i>If "Yes", upload details regarding the detention, arrest or seizure, including information regarding the conduct giving rise to the proceedings, and whether a final confiscation or forfeiture order was granted under the Prevention of Organised Crime Act or the MLRA. (Annexure 3A -5)</i>			
3.6	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) had a <u>fishing right or permit, revoked or cancelled</u> , under Section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes", upload details and any documentation including the conduct of the individuals giving rise to the Section 28 proceedings. (Annexure 3A-6)</i>			
3.7	Has the applicant or any of its Directors, Senior Management or Shareholders (with a shareholding of more than 10%) <u>committed any minor violation</u> where the right or permit was suspended, reduced or altered under section 28 of the MLRA between 2005/2006 – 2019/2020 and/or 2013/2014 - 2019/2020 (as per the duration of the applicable sector-specific policy)?	Y/N	
<i>If "Yes", upload details and any documentation regarding the offence including information regarding the conduct giving rise to the charge and the right or permit that was suspended, reduced or altered (Annexure 3A-7)</i>			
3.8	Is the applicant fully compliant with the below-mentioned legislation:		
3.8.1	Compensation for Occupational Injuries & Diseases Act, 130 of 1993	Y/N	
3.8.2	Occupational Health & Safety Act, 85 of 1993	Y/N	
3.8.3	Basic Conditions of Employment Act, 75 of 1997	Y/N	
3.8.3	Customs & Excise Act, 91 of 1964	Y/N	

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Section 4

Access to a suitable vessel

4.1	Does the applicant own a suitable vessel(s) (>50% ownership)? If “Yes” complete the table below			Y/N	
4.1.1	Total book value (Rand) of the vessel(s) including all fishing equipment.		Total insured value (Rand)		
4.2	Does the applicant have a 50% ownership in a suitable vessel? If “Yes” complete the table below			Y/N	
4.2.1	Total book value (Rand) of the vessel(s) including all fishing equipment.		Total insured value (Rand)		
4.3	Does the applicant have part-ownership in a suitable vessel (<50%)? If “Yes” complete the table below			Y/N	
4.3.1	Total book value (Rand) of the vessel(s) including all fishing equipment.		Total insured value (Rand)		
If “Yes” to 4.1, 4.2 or 4.3 upload proof of vessel ownership(s) detailing shareholding in the vessel(s) and the cost of that shareholding alternatively how the shareholding was acquired, with proof. If more than one vessel, attach annexures and label in sequential order (e.g. Annexure 4A-1a, 4A-1b etc.)					

4.4	Does the applicant have access to a suitable vessel (e.g., catch agreement, charter agreement, or bank guarantee)?			Y/N	
If “Yes”, upload copy of vessel access agreement (i.e. catch agreement, charter agreement, or bank guarantee and/or offer to purchase agreement). If the applicant is considering building a new vessel, then the vessel plans and related costs from the building company are required. (Annexure 4A-2)					

4.5	Vessel Details (complete vessel details below – insert new table for each suitable vessel)				
Vessel name		Gross tonnage (gt)		Vessel length (m)	
Vessel type		Vessel horsepower (kw)		Number of crew	
% Ownership of the vessel		Year built		Is the vessel IUU listed?	Y/N
+ Insert new table					
Upload South African Maritime Safety Authority (SAMSA) certificate and vessel specification documents, except in cases where a vessel is still to be built or purchased. If more than one vessel, attach annexures and label in sequential order (e.g., Annexure 4A-3a, 4A- 3b etc)					
If vessel is IUU listed, upload the name of the Regional Fishery Management Organisation and detail the reasons for the negative listing.					

+ Insert comment	
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5.1	Did the applicant fail to utilise its fishing right for any season during the previous rights period?							Y/N	
+ Insert comment									
5.2	If "Yes" to 5.1 did the applicant provide reasons to the Department as to why did the applicant not utilise the right?							Y/N	
5.3	Complete the table below in relation to the applicant's catch records in the sector applying for (even if the applicant held a right that was transferred or consolidated or of which the name of the entity was changed) Note: this applies to Category A applicants only. Applicants need only complete for the years relevant to their right period.								
Year	Catch permit applied for? (Y/N)	Right Number	Name(s) of vessel(s)	Number of crew allocated	Individual TAC (Right holder quantum)	Did the applicant land any fish? (Y/N)	Amount paid in levies for target fish landed	Actual amount landed in kilograms	
2005									
2006									
2007									
2008									
2009									
2010									
2011									
2012									
2013									
2014									
2015									
2016									
2017									
2018									
2019									
2020									

+ Insert comment

6A. B-BBEE Compliance

6.1	Is the applicant B-BBEE compliant?	Y/N	
6.2	If "Yes" to 6.1 please indicate the applicant's latest B-BBEE level		
If "Yes" to 6.1, upload B-BBEE status level verification certificate (for generic entities) / affidavit (for EMEs and QSEs) (Annexure 6A-1)			

6B. Ownership

6.3	Complete the table below in respect of shareholding/membership interest held by black* persons, women, youth and persons with disabilities.											
	Economic Interest				Unrestricted Voting Rights				Net value			
	Black People	Women	Youth	Disabled	Black People	Women	Youth	Disabled	Black People	Women	Youth	Disabled
2005												
2010												
2015												
2020												
Upload proof of dividends paid to black shareholders in 2010, 2015 and 2020 financial years (Annexure 6B-1)												

*The term "Black persons" is defined in terms of the B-BBEE 53 of 2003 and Codes of Good Practice, in which means "Black" means Africans, Coloureds, Chinese and Indians who are citizens of the Republic of South Africa by birth or descent or who became citizens of the Republic of South Africa by naturalisation – a) before 27 April 1994: or b) on or after 27 April 1994 and who would have been entitled to acquire citizenship by naturalisation prior to that date but were precluded from doing so by Apartheid policies

6.4	Complete the table below in respect of the applicant's shareholding/ membership interest. A=African, C=Coloured, Ch=Chinese, I=Indians, W=White and F=Females											
	Shareholder's name/ Member Name (Surname & Initial(s))	% Shareholding/ Membership interest	Race (A/C/Ch/I /W)	Gender (M/F)	Age	Disabili ty (Y/N)	Identity Number					
1												
2	+ Insert rows or upload document											

6C. Management and Employment Equity

6.5	Complete the table below in respect of black persons and women on the applicant's Board of Directors.											
	Percentage black persons on Applicant's Board of Directors						Percentage women on Applicant's Board of Directors					
2005												
2010												
2015												
2020												

6.6	Complete the table below in respect of the applicant's board of director's (if a company) or members (if a close corporation) as at date of application.											
	Director / Member Name (Surname & Initial(s))	Years of Service	Black (Y/N)	Gender (M/F)	Age	Disabil ity (Y/N)	Identity Number					
1												
2	+ Insert rows											
Upload proof in writing confirming board of directors from registered auditors (Annexure 6B-2)												

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6.7 Complete the following table in relation to the applicant's employees as at the financial year which ends on any date between 1 July 2020 and 30 June 2021?																									
1	Gross monthly income (total cost to company)	Av. Monthly Income	Number of employees	% of total employees	Specify the number of HDI employees								Specify the percentage of HDI employees												
					A	C	Ch	I	F	Y	D	A	C	Ch	I	F	Y	D							
	A=African, C=Coloured, Ch=Chinese, I=Indians, F=Females, Y = youth (under 36 at time of application), D = Disabled																								
1	>R75 000																								
2	Between R75 000 and R50 000																								
3	Between R50 000 and R25 000																								
4	Between R25 000 and R20 000																								
5	Between R20 000 and R15 000																								
6	Between R15 000 and R10 000																								
7	Between R10 000 and R5 000																								
8	Between R5 000 and R2 500																								
9	Below R2 500																								
	Total				100%																				
+ Insert comment																									

6.8	Is the applicant a designated employer as defined in Section 1 of the Employment Equity Act, 55 of 1998?	Y/N	
6.9	Does the applicant have an employment equity plan?	Y/N	
<p>If "Yes", upload a copy of the reports on the development and consultation of an employment equity plan and the progress made to implement the employment equity plan to the Department of Labour in terms of Section 21 of the Employment Equity Act. (Annexure 6B-3)</p> <p>If "Yes", upload a copy of the analysis required by Section 19 of the Employment Equity Act, which must include a profile of the applicant's workforce within each occupational category and level in order to determine the degree of under representation of people from designated groups in the various occupational categories and levels in the employer's workforce. (Annexure 6B-4)</p> <p>If "Yes", upload a copy of the reports submitted to the Department of Labour in terms of Section 27 of the Employment Equity Act, indicating the remuneration and benefits received in each occupational category and level of that employer's workforce. (Annexure 6B-5)</p> <p>. If "Yes", upload a copy of written acknowledgment or other communication regarding the applicant's reports from the Department of Employment and Labour? (Annexure 6B-6)</p> <p>If "Yes", provide a copy of the employment equity plan. (Annexure 6B-7)</p>			
+ Insert comment			

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6.10 Complete the following table in relation to the applicant's most recent employment equity profile as provided to the Department of Employment and Labour in October 2020. If the applicant is not designated or did not voluntarily comply, then the table must be completed as at the financial year which ends on any date between 1 July 2020 and 30 June 2021?

A= African C=Coloured, Ch =Chinese, I=Indians, W=White and F=Females as defined in the B-BBEE Codes												Total	
Occupational Levels	Gender	A No.	A %	C No.	C %	Ch No.	Ch %	I No.	I %	W No.	W %	A/C/C h/l %	F %
Top management	Male												
	Female												
Senior management	Male												
	Female												
Professionally qualified and experienced specialists and mid-management	Male												
	Female												
Skilled technical and academically qualified workers, junior management, supervisors, foremen, and superintendents	Male												
	Female												
Semi-skilled and discretionary decision making	Male												
	Female												
Unskilled and defined decision making	Male												
	Female												
TOTAL EMPLOYEES (add females and males)	Permanent												
	Contract												
	Seasonal												

+ Insert comment

6D. Corporate social investment

6.11 Does the applicant make donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962? Y/N

If "Yes", upload proof of donations of the annual taxable income which qualify for deduction in terms of section 18A of the Income Tax Act 58 of 1962. (Annexure 6D-1)

6.12 What percentage of the applicant's net profit was spent during the financial year which ends on any date between 1 July 2020 and 30 June 2021 on corporate social investment? %

Upload full details of the various corporate social investment projects that were invested in by the applicant, with proof. (Annexure 6D-2)

+ Insert comment

6E. Affirmative procurement

6.13 Does the applicant procure goods / services from majority black owned company (ies)? Y/N

If "Yes", provide net percentage of the total procurement from black owned company (ies) for the financial year which ends on any date between 1 July 2020 and 30 June 2021. (Annexure 6E-1)

+ Insert comment

6F. Local Economic Development

6.14 How many years has the entity been operating in its local area?

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6.15 Complete the table below in respect of harbours where catch has been landed and processed.

Year	Harbour name	Quantity landed (kilograms)	Factory name and address	Quantity processed (kilograms)
2005				
2006				
2007				
2008				
2009				
2010				
2011				
2012				
2013				
2014				
2015				
2016				
2017				
2018				
2019				
2020				

+ Insert comment

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6.16	Complete the table below in respect to harbours where catch is to be landed and processed. Rank by order of usage from 1 – 3 (3 being the highest)			
	Harbour Name	Frequency of usage	Factory name and address	Frequency of usage
1				
2				
3				

6G. Skills Development

6.17	Is the applicant compliant with the Skills Development Act, 97 of 1998?			Y/N	
6.18	If “Yes”, indicate which Sector Education Training Authority (“SETA”) the applicant is registered with.				
If “Yes”, upload proof indicating with which Sector Education Training Authority (“SETA”) the applicant is registered (Annexure 6F-1) If “No”, upload a statement as to why the applicant has not complied (Annexure 6G-1)					
6.19	Does the applicant pay levies in terms of the Skills Development Levies Act, 9 of 1999?			Y/N	
6.20	If “Yes”, state the amount of the levies paid in rand value.		R		
If “Yes”, upload proof of the levies paid for the period 2006 to 2020 and at the time of application. (Annexure 6G-2) If “No”, upload a statement with reasons why the applicant has not paid the levies. (Annexure 6G-3)					
6.21	Has the applicant appointed a skills development facilitator?			Y/N	
6.22	If “Yes”, state the name of the appointee		ID No		
If “Yes”, upload proof of the appointee’s appointment confirmation. (Annexure 6G-4) If “No”, state why not (Annexure 6G-5)					
6.23	Has the applicant developed a workplace skills plan?			Y/N	
If “Yes”, upload a copy of the workplace skills plan. (Annexure 6G-6) If “No”, state the reasons why not the workplace skills plan has not been developed. (Annexure 6G-7)					
6.24	Does the applicant participate in learnership programmes?			Y/N	
If “Yes”, upload details, including the number of historically disadvantaged persons who participate in these programmes. (Annexure 6G-8)					
6.25	What percentage of the applicant’s training budget is spent on the training of historically disadvantaged persons?				%
6.26	State the rand value of the training budget and the equivalent spent on historically disadvantaged persons.		R		

6H. Enterprise Development and Value Adding

6.27	Has the applicant embarked upon <i>enterprise development projects</i> to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance? Full details of these initiatives are requested			Y/N	
If “Yes” provide full details of the initiatives that the applicant has embarked upon to address increasing black ownership, management and skills in new business enterprises, which includes investment programmes and access to finance. (Annexure 6H-1)					
6.28	Has the applicant initiated <i>value adding activities</i> ” in the specific sector / fishery that it operates within?			Y/N	
If “Yes” provide a list of the value adding activities that the applicant has initiated, particularly those activities that stimulate the creation of jobs and wealth within the specific sector(s) the applicant operates within. (Annexure 6H-2)					

7.1	Does the applicant provide permanent employment ? If "Yes" complete the table below in respect of the financial year which ends on any date between 1 July 2020 and 30 June 2021. Note: this applies to Category A & B applicants only.						Y/N						
If "Yes", upload applicant's employees Payroll for the financial year which ends on any date between 1 July 2020 and 30 June 2021 (Annexure 7A-1)													
Total number of permanent employees		% of permanent employees involved in fishing operations (incl admin staff)			% of permanent employees involved in the sector being applied for								
Total wages paid to permanent employees		% wages paid to permanent employees involved in fishing			% wages paid to permanent employees involved in the sector being applied for								
Land based permanent employees				Sea / vessel based permanent employees									
Number		% of total		Number		% of total							
Land based permanent employees - please provide a breakdown per race, gender and age. A=African, C=Coloured, Ch=Chinese, I=Indians, F=Females, W= Whites, Y = youth (under 36 at time of application), D = Disabled													
A		C		Ch		I		F		Y		D	
Sea / vessel permanent employees - please provide a breakdown per race, gender and age. A=African, C=Coloured, Ch=Chinese, I=Indians, F=Females, W= Whites, Y = youth (under 36 at time of application), D = Disabled													
A		C		Ch		I		F		Y		D	
7.2	Does the applicant provide part time /contract employment ? If "Yes" complete the table below in respect of the financial year which ends on any date between 1 July 2020 and 30 June 2021. Note: this applies to Category A & B applicants only.						Y/N						
Total number of part time employees		% of part time employees involved in fishing operations (incl admin staff)			% of part time employees involved in the sector being applied for								
Total wages paid to part time employees		% wages paid to part time employees involved in fishing			% wages paid to part time employees involved in the sector being applied for								
Land based part time employees				Sea / vessel-based part time employees									
Number		% of total		Number		% of total							
Land based part time employees - please provide a breakdown per race, gender and age. A=African, C=Coloured, Ch = Chinese, I =Indians, F=Females, W= Whites, Y = youth (under 36 at time of application), D = Disabled													
A		C		Ch		I		F		Y		D	
Sea / vessel part time / contract employees - please provide a breakdown per race, gender and age. A=African, C=Coloured, Ch = Chinese, I =Indians, F=Females, W= Whites, Y = youth (under 36 at time of application), D = Disabled													
A		C		Ch		I		F		Y		D	
7.3	Does the applicant contribute towards medical aid or any kind of medical support arrangement for more than 50% of its employees?						Y/N						
7.4	Does the applicant contribute towards pension/provident fund for more than 50% of its employees?						Y/N						
7.5	Does the applicant provide all its employees with safe working conditions at sea?						Y/N						
If "No" to 7.3 – 7.5 upload reason for failure thereof. (Annexure 7A-2)													
If "Yes" to 7.3 – 7.5 upload full details of these benefits with proof (Annexure 7A-3)													
+ Insert comment													

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Section 8

Additional Societal Benefits

8.1	Did the applicant/entity pay taxes in the past 15 five years during the period 2005 to 2020 to the South African Revenue Services (SARS)? If "Yes" please complete column 2 (Total taxes paid to Revenue Services) in the table below	Y/N	
8.2	Did the applicant/entity pay dividends in the past 15 five years during the period 2006 to 2020? If "Yes" please complete column 4 (Annual Dividend paid to shareholders) in the table below	Y/N	
8.3	Is the applicant/entity listed in the stock market? If "Yes" please complete column 5 (Annual average share price) in the table below	Y/N	
8.4	Complete the table below in relation to the benefits accrued to society, last column must be left empty for unlisted entities.		
Year	Total taxes paid to Revenue Services (in Rands)	Total outstanding shares at end of financial year	Annual Dividend paid to shareholders (in Rands)
2005			
2006			
2007			
2008			
2009			
2010			
2011			
2012			
2013			
2014			
2015			
2016			
2017			
2018			
2019			
2020			
<i>If "Yes", upload proof of payments to SARS and/or listings in the stock market (Annexure 8A-1)</i>			
Note: Applicants may mark certain information as Confidential			

Section 9

Applicant Declaration

I, the undersigned, do hereby declare that:

- (a) I have read the instructions set out in this application form.
- (b) The information submitted in this Application is true, correct and complete.
- (c) I accept that if any information in this Application is found not to be true or complete, or if false information is provided, or material information is not disclosed, this may lead to the Application being refused, or to the revocation, suspension, cancellation, alteration or reduction of any right, license or permit granted on the strength of this Application, in terms of Section 28 of the Marine Living Resources Act 18 of 1998.
- (d) In order to allow for the proper verification of information submitted I hereby authorise any institution, organ of state, person or body, who possesses or acquires any information relevant to my application, to disclose or make the information available to the Minister, his/her delegate or an official of the Department of Forestry, Fisheries and the Environment or the Fishing Rights Forensic Auditing Team.
- (e) I undertake to co-operate with any investigators by timeously submitting responses to written requests for information or explanations, by attending meetings with investigators, by answering questions satisfactorily at such meetings and where necessary, by granting investigators access to premises, vessels and documents. I accept that failure to co-operate in this regard will constitute an independent ground for refusing my application.
- (f) I accept that any attempt to influence the decision of the delegated authority or appellant authority on the allocation of a right or quantum or effort, in any manner other than provided for in the relevant Sector Specific Policy or in this application form, will result in the application being refused.

Signed at: _____

This _____ **day of** _____ **2021**

Signature of applicant: _____

Applicant's full name _____

If the application is prepared or compiled by, or in consultation with or on the advice of any person or entity the following information must be provided:

Why was the application prepared by a person or entity other than the Applicant or why was someone consulted for advice? What fee or other remuneration was paid, or was promised for the assistance?

If assisted, please provide full details of the consultant / advisor that prepared this application

Details of the person who completed the form

Contact Name																			
Identity number																			
Work telephone number																			
Cellphone number																			
Fax number																			
Email address																			
Position held/relation to applicant																			